

UNITED STATES OF AMERICA
POSTAL REGULATORY COMMISSION
WASHINGTON, D.C. 20268-0001

Annual Compliance Report, 2014

Docket No. ACR2014

CHAIRMAN'S INFORMATION REQUEST NO. 7

(Issued February 12, 2015)

To clarify the basis of the Postal Service's estimates in its FY 2014 Annual Compliance Report (ACR), filed December 29, 2014,¹ the Postal Service is requested to provide written responses to the following questions and requests. Answers should be provided to individual questions and requests as soon as they are developed, but no later than February 19, 2015.

IOCS/MODS

1. Please provide a crosswalk of the FY 2014 IOCS finance numbers to the MODS finance numbers in an Excel file format such that the IOCS facilities' finance number can be directly linked to the MODS finance numbers.
2. Please provide the most current MODS Handbook. If the MODS operation codes or operational definitions have changed since the last MODS Handbook was updated, please provide a current list of the MODS codes and operational definitions in addition to the MODS Handbook.

¹ United States Postal Service FY 2014 Annual Compliance Report, December 29, 2014 (FY 2014 ACR Report).

3. The FY 2014 IOCS data entry flow chart depicted in the 'IOCSDataEntryFlowchartFY14.xlsx' file in Library Reference USPS-FY14-37 appears to have omitted information related to question 'Q23J05-FSS Marking.' Please provide an updated IOCS flow chart incorporating the FSS Marking question on the 'Q23' worksheet as well as the 'Dependencies' and 'Validations' worksheets, where appropriate. Please highlight where worksheets were modified.
4. Are all ZIP codes in the IOCS data sets provided to the Commission masked? If so, please provide a crosswalk mapping all masked ZIP codes to their actual ZIP codes in an Excel file format. If not, please specify for each IOCS variable that contains ZIP code data, which contain masked ZIP codes, and which contain unmasked ZIP codes.
5. Please confirm that IOCS variable 'F5' shown on page 1 of the 'IOCSDataDictionaryFY14.xlsx' file in Library Reference USPS-FY14-37 is based on the employee's roster designation in the Time and Attendance Collection System (TACS). If not, please specify how the sampled employee's roster designation is obtained and the difference between the 'PAYROLL DATA CENTER' (listed as the section header for the 'F5' IOCS variable) roster designation and the TACS roster designation.
6. Please refer to Table 1 below. IOCS question 'Q14' contains the sampled employee craft code. IOCS variable 'F5' is the "PAYROLL DATA CENTER" roster designation and IOCS variable 'F257' is described as the "final" roster designation.²

² These IOCS variables are shown in the 'Mainframe Layout' worksheet in the 'IOCSDataDictionaryFY14.xlsx' file provided in Library Reference USPS-FY14-37 ('Q14' is listed on page 2, 'F5' is listed on page 1, and 'F257' is listed on page 20).

- a. Please confirm that the IOCS data collector and final IOCS data processing corrects the roster designation recorded for IOCS variable 'F5' and that the corrected final roster designation is the output in IOCS variable 'F257.'
- b. Please discuss the process and the reasons for why over \$477 million in the city carrier roster designations listed in the 'F5' variable gets changed to the 'Supervisor' roster designation shown in the 'F257' variable in the table below.

Table 1: IOCS Estimated Costs Moved from City Carrier to Supervisor Costs Based on IOCS Assigned Roster Designation

Q14-Craft Code	F257-Final Roster Designation	F5-Payroll Data Center Roster Designation	Corrected IOCS Estimated Costs \$
Supervisor/Acting Supervisor	09-Managers&Supervisors	13-City Delivery Carriers Full Time	410,872,500
	09-Managers&Supervisors	33-City Delivery Carriers Part Time	2,751,855
	09-Managers&Supervisors	43-City Delivery Carriers Part Time Flex	23,812,010
	09-Managers&Supervisors	84-City Delivery Carriers Assistants	35,049,620
	19-Managers&Supervisors – Full Time	13-City Delivery Carriers Full Time	4,645,954
	19-Managers&Supervisors – Full Time	43-City Delivery Carriers Part Time Flex	124,162
	19-Managers&Supervisors – Full Time	84-City Delivery Carriers Assistants	145,833
Total			477,401,934

7. In the Library Reference USPS-FY14-45 'USPS-FY14-45.Preface.Text.pdf' file, the Postal Service states: "Under IOCS data collection procedures, scans are not available for all tallies."³
 - a. Please provide the IOCS data collection procedures related to scans.
 - b. Under what conditions are scans not available?
 - c. From the data files provided to the Commission, please specify how a tally with a scan can be identified.
 - d. Please provide any updates to the IOCS data users' manual.
8. In the Library Reference USPS-FY14-37 'IOCSDataDictionaryFY14.xlsx' file, 'Mainframe Layout' worksheet, it lists the variable 'Q18G12-Retail Equipment Type' and the variable values are 'POS,' 'IRT,' 'Manual' and 'Other.'⁴ Please explain the differences between the retail equipment types and in what types of Postal Facilities they are found.

CUSTOMER ACCESS

9. The Postal Service's 2014 Annual Report to Congress contains a table titled "Operating Statistics – Post Office and Delivery Points," which purports to show the number and composition of City and Business Delivery Points. United States Postal Service 2014 Annual Report to Congress, at 45, available at <https://about.usps.com/publications/annual-report-comprehensive-statement-2014/annual-report-comprehensive-statement-2014.pdf>. Similar data was also filed by the Postal Service in the instant docket. USPS-FY14-33, Excel file "DeliveryPointsFY2014." The number of delivery points differ significantly

³ Supplemental Material Relating to IOCS Tally Analysis Provided in Response to Order No. 2313, February 3, 2015, at 2.

⁴ See page 20 in the referenced file's worksheet.

- between the two data sources. Please explain the difference(s) between these data and provide a reconciliation of the data, if possible.
10. Please refer to USPS-FY2014-33, Excel file "DeliveryPointsFY2014." Please provide a brief definition of "sidewalk delivery point."
 11. Please refer to Response to CHIR No. 1, question 21. Please provide the requested data separately for Level 2, Level 4, and Level 6 Remotely Managed Post Offices.
 12. In FY 2012 and FY 2013, the Postal Service reported 2,132 and 2,166 APCs, respectively. See ACD FY2013, Table V-19, page 127. In response to CHIR No. 1, question 17, the Postal Service reported that there were 2,843 APCs in FY 2014. Please discuss the reasons for the increase in the number of APCs between FY 2013 and FY 2014.
 13. Please confirm all APCs are accounted for in the CPMS Database. If not confirmed, please explain.
 14. Please refer to USPS-FY14-38, Excel file "CI Question Response Counts FY2014," tab "POS," Q4. How does the survey account for wait times greater than zero seconds, but less than one minute? Please discuss.

PACKAGE SERVICES

15. On February 6, 2015, in response to CHIR No. 4, question 2, the Postal Service provided an updated Bound Printed Matter Flats workshare table which added a dropship discount for Basic DFSS Flats. See Excel file "ChIR4.Q2.FY14 BPM Flats Discount Tables.xlsx," filed as part of USPS-FY14-46. The dropship discount for Basic DFSS Flats exceeds the avoided cost. For Basic DFSS Flats, please provide a justification for the passthrough above 100 percent.

By the Acting Chairman.

Robert G. Taub