

USPS-T-3

BEFORE THE  
POSTAL RATE COMMISSION  
WASHINGTON, D. C. 20268-0001

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POSTAL RATE AND FEE CHANGES, 2006

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Docket No. R2006-1

DIRECT TESTIMONY OF  
BRADLEY V. PAFFORD  
ON BEHALF OF THE  
UNITED STATES POSTAL SERVICE

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Direct Testimony  
Of  
Bradley V. Pafford  
AUTOBIOGRAPHICAL SKETCH

6           My name is Bradley V. Pafford. I am a Mathematical Statistician in  
7 Revenue and Volume Reporting, Finance. I have been employed by the  
8 Postal Service since 1991. During that time I have worked on statistical  
9 design issues for improving Postal Service domestic and international  
10 statistical information systems. I have appeared as a witness in Docket No.  
11 R2005-1, USPS-T-4; Docket No. R2001-1, USPS-T-3; Docket No. R2000-1,  
12 USPS-T-4 and Docket No. R97-1, USPS-T-1. I provided technical support to  
13 witness Steele in Docket No. R94-1 and for Postal Service statistical issues in  
14 Docket Nos. MC95-2 and MC96-3.

15           Prior to my employment with the Postal Service, I was a Mathematical  
16 Statistician with the U. S. Department of Agriculture (USDA), National  
17 Agricultural Statistics Service (NASS), for eleven years. I have published  
18 many USDA technical reports, and have contributed papers at the American  
19 Statistical Association Annual Conference and the Bureau of the Census  
20 Annual Research Conference.

21           I was awarded a Bachelor of Science degree with a major in Forestry  
22 from Virginia Polytechnic Institute and State University in 1977, and a Master  
23 of Science degree in Forestry from Virginia Polytechnic Institute and State  
24 University in 1979. My Master of Science degree in Forestry concentrated  
25 on survey research in outdoor recreation environments, with my Master's

1 thesis developed around a time and location probability sample of users of  
2 the Cape Hatteras National Seashore in the Outer Banks of North Carolina.  
3 In 1985 I received a Masters of Statistics degree from North Carolina State  
4 University. I was an instructor of statistics at the USDA graduate school from  
5 1988 - 1992. I am a member of the American Statistical Association.

1 I. PURPOSE AND SCOPE OF TESTIMONY

2

3 The purpose of my testimony is to describe the Origin-Destination  
4 Information System and Revenue, Pieces and Weight (ODIS-RPW), the Bulk  
5 Mail Revenue, Pieces and Weight System (BRPW) and the Revenue, Pieces  
6 and Weight Adjustment System (ARPW), which generate estimates of  
7 revenue, pieces and weight underlying the Postal Service's Request. My  
8 testimony describes the ODIS-RPW System, and identifies any changes from  
9 the my previous testimony in Docket No. R2005-1, USPS-T-4. My testimony  
10 describes the general design of BRPW. To a lesser extent, my testimony  
11 provides a general overview of ARPW: a system that combines the revenue,  
12 pieces and weight data, including ODIS-RPW and BRPW, from the varied  
13 sources to produce the Fiscal Year (FY) 2005 report used for the Base Year.  
14 Finally, I present tables showing estimates of revenue, pieces, and weight  
15 and their statistical reliability.

16 This testimony is divided into five sections as follows: Section II  
17 provides a general overview of the estimation of revenue, pieces and weight.  
18 Section III describes ODIS-RPW, Section IV describes BRPW, and Section V  
19 describes how the revenue, pieces and weight estimates are prepared  
20 through the use of ARPW. A table is attached that provides the FY2005  
21 estimates of revenue, pieces, and weight and their associated 95%  
22 confidence limits.

23 I developed my testimony using inputs from no other witnesses in this  
24 case. The users of ODIS-RPW, BRPW, and ARPW include witness Thress

1 (USPS-T-7), witness Milanovic (USPS-T-9), witness Nash (USPS-T-16),  
2 witness Miller (USPS-T-20 and USPS-T-21), witness Talmo (USPS-T-27),  
3 witness Loetscher (USPS-T-28), witness Schroeder (USPS-T-29), witness  
4 Kelley (USPS-T-30), witness O'Hara (USPS-T-31), witness Taufique (USPS-  
5 T-32), witness Scherer (USPS-T-33), witness Tang (USPS-T-35), witness  
6 Kiefer (USPS-T-36), witness Koroma (USPS-T-37), witness Yeh (USPS-T-  
7 38), witness Berkeley (USPS-T-39), witness Mitchum (USPS-T-40), and  
8 witness Kaneer (USPS-T-41). The Library References associated with my  
9 testimony (all Category 1) include USPS-LR-L-14, USPS-LR-L-15, USPS-LR-  
10 L-16, USPS-LR-L-17, USPS-LR-L-18, USPS-LR-L-19, USPS-LR-L-20, USPS-  
11 LR-K-22/R2005-1, and USPS-LR-L-23. All of the library references cited in  
12 this testimony are Category 1 library references.

1 II. ESTIMATION OF REVENUE, PIECES AND WEIGHT  
2

3 The Postal Service's revenue accounting system contains several  
4 postage based accounts that are directly associated with specific classes or  
5 subclasses of mail. However, most postage revenue accounts are general  
6 accounts that do not correspond exactly with specific mail categories. In  
7 addition, the revenue accounting system does not provide the necessary mail  
8 piece and weight information. Therefore, the Postal Service supplements its  
9 revenue accounting information with statistical data from two systems: the  
10 probability-based sampling system known as ODIS-RPW, and the combined  
11 revenue accounting and bulk mailing postage statement-based system known  
12 as BRPW. These data are combined through a system known as ARPW. My  
13 testimony discusses ODIS-RPW, BRPW and ARPW.

14

15

16 III. ORIGIN-DESTINATION INFORMATION SYSTEM AND REVENUE,  
17 PIECES AND WEIGHT (ODIS-RPW)  
18

19 ODIS-RPW is the primary probability sampling system used to assist in  
20 estimating the Postal Service revenue, pieces, weight, and transit time  
21 measurement. Information collected from this system is used to develop  
22 proposals for new postal rates and fees, assist in budget and workload  
23 preparation, conduct management studies, and inform or support  
24 management decisions concerning mail flow and transit times in  
25 transportation and operations.

1           For the purposes of my testimony, ODIS-RPW is used to produce  
2 component estimates of revenue, pieces and weight for mail categories  
3 where the data are not available from the Postal Service's revenue  
4 accounting system, or from postage statements.

5           ODIS-RPW is composed of a probability sample of mail at destination  
6 (as it exits the postal system), and a probability sample of registered, insured,  
7 Collect on Delivery (COD) and Certificates of Mailing (COM) mail at origin (as  
8 it enters the postal system). The statistical methodology for ODIS-RPW is  
9 provided in USPS-LR-L-14, which updates previous ODIS-RPW  
10 documentation in USPS-LR-K-14/R2005-1.

11           ODIS-RPW destination sampling employs a stratified sample design  
12 that begins with a sampling frame. All destinating mail is partitioned into  
13 frame units, as part of a sampling frame. The frame unit for destinating mail  
14 is the Mail Exit Point (MEP). In ODIS-RPW origin sampling the finance  
15 number is the first-stage sampling unit, and the consolidated originating frame  
16 unit, or COU, is the second-stage sampling unit. The statistical  
17 documentation for the sampling units and sampling frame appear in USPS-  
18 LR-L-14.

19           The procedures used by statistical programs staff in district field offices  
20 to maintain the MEPs and COUs can be found in Appendix J of the *Handbook*  
21 *F-75, Data Collection User's Guide for Revenue, Volume, and Performance*  
22 *Measurement Systems*, USPS-LR-K-22/R2005-1. All supplemental policy  
23 instructions issued throughout FY 2005 have been provided in USPS-LR-L-

1 23, which updates USPS-LR-K-23/R2005-1.

2           MEPs are stratified based on expected average daily volume by mail  
3 shape and predicted mail class within geographic sampling area, which  
4 equates roughly to a destinating processing and distribution area. The  
5 sampling unit is a randomly selected MEP-day or COU-day. MEPs and  
6 COUs are stratified and sampled each quarter. The sample size for ODIS-  
7 RPW for FY2005 totaled 135,599 MEP-days and COU-days, compared with a  
8 sample size of 135,504 in FY2004, the base year for Docket No. R2005-1.

9           Test dates are randomly assigned in conjunction, or jointly scheduled,  
10 with other statistical system test schedules. The documentation for Jointly  
11 Scheduled Tests can be found in USPS-LR-L-15. This updates previous  
12 documentation in USPS-LR-K-15/R2005-1.

13           ODIS-RPW tests are conducted by trained data collectors. Data  
14 collectors record information about sampled mail pieces that include such  
15 items as revenue, pieces, weight, mail class, mail preparation and sortation  
16 markings, type of mailer, indicia, shape, origin ZIP Code, origin postmark,  
17 destination ZIP Code, special service, meter number, meter manufacturer,  
18 forwarding/return status, and the like.

19           For each MEP-day (COU-day), a subsample of the mail may be  
20 selected. Subsampling instructions are also documented in Sections 3.6 and  
21 3.7 of USPS-LR-K-22/R2005-1. Data are entered directly into laptop  
22 computers using the ODIS-RPW Computerized On-Site Data Entry System  
23 (ODIS-RPW CODES), and uploaded to a centralized server, referred to as

1 the WEB Base Unit. Each month an ODIS-RPW data file is written to the San  
2 Mateo mainframe for processing. ODIS-RPW CODES data entry and WEB  
3 Base Unit documentation is presented in USPS-LR-L-19, which update  
4 previous documentation in USPS-LR-K-19/R2005-1.

5 From the sample data, estimates of revenue, pieces and weight are  
6 developed and used to distribute general postage account revenue to the  
7 measured categories of mail. Separate estimates are developed for each  
8 quarter. Documentation of the systems that produce revenue and pieces  
9 estimates are provided in USPS-LR-L-14.

10

#### 11 IV. BULK MAIL REVENUE, PIECES AND WEIGHT SYSTEM (BRPW)

12

13 The BRPW provides estimates of revenue, pieces and weight totals for  
14 the bulk mail categories that have corresponding postage revenue accounts  
15 in the Postal Service's revenue accounting system. The BRPW also reports  
16 estimates of revenue, pieces and weight totals for other bulk mail categories  
17 for which data can be obtained from bulk mailing postage statements. For FY  
18 2005, revenue and volume totals are estimated under the BRPW for the  
19 following bulk mail categories: permit imprint single-piece and presort First-  
20 Class Mail, permit imprint Priority Mail, Periodicals, Nonprofit and Regular  
21 Standard Mail, Package Services permit imprint Parcel Post, permit imprint  
22 Bound Printed Matter (BPM), permit imprint Media Mail, and permit imprint  
23 Library Mail. The postage totals obtained from the following Account Identifier  
24 Code (AIC) sub-accounts reported in the revenue accounts system are used

1 in the BRPW: AIC 121 for permit imprint First-Class Mail; AIC 237 for permit  
2 imprint Priority Mail; AIC 135, AIC 136, and AIC 224 for Periodicals; AIC 125  
3 for permit imprint Nonprofit Standard Mail; AIC 130 and AIC 143 for permit  
4 imprint Regular Standard Mail; AIC 124 for permit imprint Package Services  
5 Media and Library Mail; and AIC 131 and AIC 223 for permit imprint Package  
6 Services BPM and Parcel Post, respectively. BRPW captures mail piece  
7 information from postage statements obtained from a panel of post offices.  
8 An ongoing census of the panel is taken in which the member offices report  
9 their postage statement information each postal accounting period. The panel  
10 consists of an automated office component comprised of offices reporting  
11 postage statement activity through PostalOne, automated bulk mail  
12 acceptance and financial reporting systems, and a supplemental probability  
13 based sample of non-automated post offices. PostalOne is documented in  
14 USPS-LR-L-26, which updates previous documentation USPS-LR-K-  
15 26/R2005-1.

16 Revenue, pieces and weight are captured under the BRPW for Base  
17 Year 2005 from the following postage statement series: PS Form 3600 for  
18 First-Class Mail and Priority Mail, PS Form 3541 for Periodicals, PS Form  
19 3602 for Nonprofit and Regular Standard Mail, PS Form 3605 for Package  
20 Services BPM and Parcel Post, PS Form 3608 for Package Services Media  
21 Mail and Library Mail, PS Form 3540 for Special Services, and PS Form 3660  
22 for Single-Piece Manifest Mailings Permit Imprint. A copy of each type of

1 postage statement is provided in USPS-LR-L-17, which updates previous  
2 copies of postage statements documented in USPS-LR-K-17/R2005-1.

3 For the bulk mail categories of presort First-Class Mail, Periodicals,  
4 and Nonprofit and Regular Standard Mail, the BRPW estimates of revenue  
5 and volume totals constitute the Postal Service's final estimates. For the bulk  
6 mail categories of Package Services BPM, Package Services Parcel Post,  
7 Package Services Media Mail, and Package Services Library Mail, the  
8 estimates of revenue, pieces and weight totals are developed separately for  
9 the permit imprint and postage affixed indicia components under the BRPW  
10 and ODIS-RPW, respectively.

11 The revenue account postage totals and the postage statement  
12 revenue and volume information obtained from the panel are combined in the  
13 BRPW jobstream to produce the estimates of revenue, pieces and weight  
14 totals each quarter. Quarterly estimates are input into the ARPW model. The  
15 BRPW data processing and reporting procedures, and the BRPW statistical  
16 documentation are described in USPS-LR-L-16 and USPS-LR-L-17,  
17 respectively. They update previous documentation provided in USPS-LR-K-  
18 16/R2005-1 and USPS-LR-K-17/R2005-1, respectively. The ARPW is  
19 documented in Library Reference USPS-LR-L-18, which updates previous  
20 Library Reference USPS-LR-K-18/R2005-1.

1 V. PREPARATION OF REVENUE, PIECES AND WEIGHT REPORT  
2 ESTIMATES  
3

4 The ODIS-RPW and BRPW estimates are combined with other data in  
5 the RPW Adjustment System (ARPW) to produce RPW Report estimates.  
6 The FY 2005 RPW Report is provided in USPS-LR-L-20, which updates  
7 previous library reference, USPS-LR-K-20/R2005-1. The RPW Report  
8 estimates are the base year revenue, pieces and weight estimates shown in  
9 the Table 1. ARPW is documented in USPS-LR-L-18, which updates  
10 previous documentation, USPS-LR-K-18/R2005-1.

11 Estimates of the coefficients of variation (expressed as percentages),  
12 and upper and lower 95% confidence limits, are also shown in Table 1.  
13 Documentation of ODIS-RPW and BRPW variance estimation is contained in  
14 USPS-LR-L-14 and USPS-LR-L-17, which update prior documentation  
15 provided in USPS-LR-K-14/R2005-1 and USPS-LR-K-17/R2005-1,  
16 respectively.

**TABLE 1. FISCAL YEAR 2005 REVENUE, PIECES, AND WEIGHT  
ESTIMATES AND ASSOCIATED CONFIDENCE LIMITS  
(Data in Thousands)**

Service Category	REVENUE				PIECES				WEIGHT			
	Estimate	C.V. <sup>1/</sup>	95% Confidence Limit		Estimate	C.V.	95% Confidence Limit		Estimate	C.V.	95% Confidence Limit	
			Lower <sup>2/</sup>	Upper <sup>3/</sup>			Lower <sup>2/</sup>	Upper <sup>3/</sup>			Lower <sup>2/</sup>	Upper <sup>3/</sup>
<b>First-Class Mail:</b>												
Single-Piece Letters, Flats, & Parcels	19,662,110	0.16	19,600,135	19,724,085	43,375,988	0.28	43,136,726	43,615,250	2,075,360	2.67	1,966,198	2,184,522
Nonautom. Presort Letters, Flats, & Parcels	780,946	1.71	754,639	807,254	1,924,080	1.96	1,849,788	1,998,373	106,920	1.93	102,855	110,985
Automation Presort Letters and Flats	13,973,662	0.17	13,926,864	14,020,460	46,467,551	0.17	46,311,931	46,623,171	2,106,235	0.20	2,097,937	2,114,534
Automation Carrier Route Presort Letters	191,422	0.39	189,951	192,892	673,921	0.40	668,611	679,232	30,285	0.33	30,088	30,482
Total Presort Letters, Flats, & Parcels	14,946,030	0.11	14,913,642	14,978,418	49,065,552	0.11	48,959,227	49,171,877	2,243,441	0.13	2,237,695	2,249,186
Single-Piece Cards	598,837	1.11	585,743	611,932	2,521,714	1.14	2,465,082	2,578,347	16,697	7.27	14,305	19,088
Nonautomation Presort Cards	76,996	10.49	61,085	92,908	363,570	10.48	288,509	438,631	3,231	8.09	2,716	3,746
Automation Presort Cards	485,122	2.62	460,083	510,161	2,668,649	2.61	2,531,436	2,805,863	30,414	1.90	29,275	31,552
Automation Carrier Route Presort Cards	12,819	8.18	10,753	14,884	75,482	8.17	63,333	87,631	766	6.14	674	859
Total Presort Cards	574,937	2.52	546,395	603,479	3,107,701	2.49	2,955,259	3,260,143	34,411	1.78	33,205	35,618
Domestic Mail Fees	279,865	1.28	272,808	286,922	-	-	-	-	-	-	-	-
Total First-Class Mail	36,061,780	0.09	35,997,842	36,125,717	98,070,956	0.13	97,819,796	98,322,116	4,369,909	1.32	4,256,274	4,483,544
<b>Priority Mail</b>												
Domestic Mail Fees	4,631,901	0.45	4,590,840	4,672,963	887,477	0.72	874,889	900,065	1,690,682	0.50	1,674,029	1,707,335
Domestic Mail Fees	1,706	4.68	1,549	1,863	-	-	-	-	-	-	-	-
Total Priority Mail	4,633,607	0.46	4,591,618	4,675,597	887,477	0.81	873,315	901,638	1,690,682	0.53	1,673,030	1,708,334
<b>Express Mail</b>												
	872,282	N/C <sup>4/</sup>			55,475	N/C			51,716	N/C		
<b>Mailgrams</b>												
	791	N/C			1,896	N/C			-	-		
<b>Periodicals:</b>												
In-County	72,191	1.93	69,446	74,936	762,673	2.29	728,267	797,080	252,829	2.68	239,481	266,178
Regular	1,735,586	0.10	1,732,167	1,739,005	6,459,528	0.07	6,450,620	6,468,436	3,229,575	0.15	3,220,032	3,239,118
Special Nonprofit	317,539	0.32	315,537	319,541	1,785,083	0.31	1,774,181	1,795,984	509,557	0.32	506,345	512,769
Classroom	15,821	0.31	15,724	15,918	62,719	0.30	62,348	63,090	33,654	0.31	33,449	33,860
Domestic Mail Fees	19,626	0.00	19,626	19,626	-	-	-	-	-	-	-	-
Total Periodical Mail	2,160,763	0.00	2,160,763	2,160,763	9,070,003	0.20	9,034,267	9,105,739	4,025,616	0.22	4,008,169	4,043,063
<b>Standard Mail:</b>												
Regular - Nonautomation Presort	1,171,674	0.74	1,154,593	1,188,755	3,183,357	1.03	3,118,764	3,247,951	610,416	0.33	606,447	614,384
- Automation Presort	10,474,486	0.14	10,445,597	10,503,375	50,745,508	0.13	50,615,549	50,875,467	4,575,952	0.14	4,563,331	4,588,572
Enhanced Carrier Route	5,342,868	0.31	5,310,239	5,375,496	31,966,424	0.37	31,733,421	32,199,428	5,371,957	0.58	5,310,577	5,433,337
Total Regular and ECR	16,989,028	0.01	16,985,681	16,992,375	85,895,290	0.05	85,810,683	85,979,897	10,558,324	0.24	10,508,405	10,608,244
Nonprofit - Nonautomation Presort	295,246	0.97	289,605	300,888	1,807,203	1.02	1,770,889	1,843,517	116,422	1.32	113,395	119,449
- Automation Presort	1,275,794	0.35	1,266,997	1,284,590	10,182,772	0.34	10,114,568	10,250,976	738,031	0.39	732,361	743,702
Nonprofit Enhanced Carrier Route	284,375	1.56	275,636	293,115	3,056,994	2.09	2,931,128	3,182,859	243,740	0.93	239,274	248,205
Total Nonprofit and Nonprofit ECR	1,855,416	0.01	1,855,050	1,855,781	15,046,968	0.21	14,984,719	15,109,217	1,098,193	0.22	1,093,434	1,102,953
Domestic Mail Fees	109,027	1.03	106,815	111,240	-	-	-	-	-	-	-	-
Total Standard Mail	18,953,471	0.01	18,949,737	18,957,205	100,942,091	0.06	100,822,777	101,061,405	11,656,517	0.22	11,605,998	11,707,037
<b>Package Services:</b>												
Parcel Post	1,232,468	0.59	1,218,143	1,246,793	387,805	0.26	385,819	389,791	1,915,763	0.32	1,903,686	1,927,840
Bound Printed Matter	595,795	0.17	593,800	597,790	583,774	0.08	582,854	584,694	1,361,813	0.08	1,359,666	1,363,959
Media Mail	343,037	0.61	338,915	347,159	179,601	0.58	177,549	181,653	355,424	0.66	350,803	360,046
Library Mail	27,049	1.70	26,143	27,955	14,355	1.72	13,869	14,841	27,155	1.83	26,176	28,134
Domestic Mail Fees	2,736	1.94	2,632	2,841	-	-	-	-	-	-	-	-
Total Package Services	2,201,085	0.38	2,184,607	2,217,562	1,165,534	0.16	1,161,860	1,169,208	3,660,155	0.20	3,645,734	3,674,576

**TABLE 1. FISCAL YEAR 2005 REVENUE, PIECES, AND WEIGHT  
ESTIMATES AND ASSOCIATED CONFIDENCE LIMITS  
(Data in Thousands)**

Service Category	REVENUE				PIECES				WEIGHT			
	Estimate	C.V. <sup>1/</sup>	95% Confidence Limit Lower <sup>2/</sup>	95% Confidence Limit Upper <sup>3/</sup>	Estimate	C.V.	95% Confidence Limit Lower <sup>2/</sup>	95% Confidence Limit Upper <sup>3/</sup>	Estimate	C.V.	95% Confidence Limit Lower <sup>2/</sup>	95% Confidence Limit Upper <sup>3/</sup>
U.S. Postal Service Mail	-	-	-	-	621,283	2.03	596,437	646,129	110,675	1.85	106,641	114,708
Free Mail for the Blind and Handicapped	-	-	-	-	76,365	3.44	71,190	81,540	34,392	2.65	32,596	36,187
Total Domestic Mail	64,883,778	N/C			210,891,080	N/C			25,599,661	N/C		
Total International Mail	1,764,913	N/C			851,631	N/C			241,880	N/C		
Total All Mail	66,648,691	N/C			211,742,711	N/C			25,841,541	N/C		
Domestic Special and Other Services:												
Registered	57,922	1.88	55,777	60,067	5,149	0.00	5,149	5,149				
Insurance	125,582	3.92	115,884	135,280	51,565	4.31	47,187	55,944				
Collect on Delivery	9,277	1.60	8,985	9,570	1,499	0.00	1,499	1,499				
Certified	600,632	1.43	583,712	617,553	261,144	1.31	254,405	267,884				
Delivery Receipt Services	568,196	1.29	553,756	582,635	953,212	0.34	946,827	959,596				
Money Orders	166,757	N/C			180,412	N/C						
Total Domestic Special Services	1,528,366	N/C			1,452,982	N/C						
Outstanding MO Taken into Revenue	39,057	N/C										
Stamped Envelopes and Cards	20,982	N/C										
Box Rents	791,545	N/C										
Total Domestic Services	2,379,950	N/C										
International Special Services:												
Total International Services	29,280	N/C			5,802	N/C						
Total Services	2,409,231	N/C										
Total Mail and Services	69,057,922	N/C										
Other Revenue	934,919	N/C										
Total Revenue	69,992,842	N/C										
USPS Special Service Transactions												
Registered					11,221	0.00	11,221	11,221				
Certified					1,224	9.80	988	1,461				
Delivery Receipt					7,635	3.05	7,176	8,094				
Mail Fee					13,475	5.07	12,129	14,821				
Special Handling					132	80.15	0	339				
Total USPS Special Service Transactions					33,687	2.24	32,200	35,173				

**TABLE 1. FISCAL YEAR 2004 REVENUE, PIECES, AND WEIGHT  
ESTIMATES AND ASSOCIATED CONFIDENCE LIMITS  
(Data in Thousands)**

REPORT FOOTNOTES

<sup>1/</sup> Coefficient of Variation =  $(100 \times (\text{Est. Std Error} / \text{Est. Revenue}))$

<sup>2/</sup> Lower Limit = Est. -  $(1.96 \times \text{Est. Std Error})$

<sup>3/</sup> Upper Limit = Est. +  $(1.96 \times \text{Est. Std Error})$

<sup>4/</sup> Not computed