



UNITED STATES OF AMERICA
POSTAL REGULATORY COMMISSION
WASHINGTON, DC 20268-0001

RUTH Y. GOLDWAY
CHAIRMAN

February 17, 2012

The Honorable Thomas R. Carper
Chairman
Subcommittee on Federal Financial Management, Government Information,
Federal Services and International Security
U.S. Senate
Washington D.C. 20510

Dear Chairman Carper,

Pursuant to your letter of February 8, 2012, please find attached a summary and related documents that are responsive to your questions regarding Commission travel. This information demonstrates that Commission travel is in support of statutory obligations, performed in a cost-efficient manner, and benefits the Commission, the Postal Service, and the mailing public.

Enclosed are:

1. A document describing and detailing my travel during my chairmanship, with references to attached itineraries and agendas
2. Itineraries and agendas during my chairmanship
3. A synopsis of the Commission's travel policies, with supporting documents
4. A listing of former Chairman Blair's and former Chairman Omas' travel
5. A description and listing of the Commission's field hearings since 2007, with supporting itineraries and agendas

Thank you for your acknowledgment of our expanded efforts to consult with you and your staff. I am pleased to submit this detailed information as part of our effort to continue that consultative relationship. I am available, as is my staff, if you have any questions or would like to discuss this information.

Best regards,

A handwritten signature in cursive script that reads "Ruth Y. Goldway".

Ruth Y. Goldway
Chairman

Responses Regarding Travel of Chairman Goldway

Following is a description and justification for Chairman Goldway's travel during her term as Chairman. Attached are the agendas, programs, and itineraries, as appropriate and available, for that travel.

A. State Department Related Travel/Universal Postal Union

Legal Authority. Under Section 407 of the Postal Accountability and Enhancement Act, the Commission has a duty to support the Secretary of State in foreign policy related to international postal services, including treaties and conventions.

In specific, the U.S. Secretary of State must "give full consideration to the authority vested by law or Executive order in the Postal Regulatory Commission..." as State carries out its responsibilities.

Section 407 also requires that before concluding any applicable treaty, convention, or amendment, the Secretary of State must ask the Postal Regulatory Commission to submit its views on whether rates or classifications are consistent with the standards and criteria established by the Commission. The law requires the Secretary of State to follow the Commission's view, absent a foreign policy or national security reason not to. If the Secretary of State chooses not to follow the Commission's view, she must provide a written explanation.

Universal Postal Union (UPU). The UPU generally meets twice a year, once in the spring and once in the fall. The Chairman of the PRC attends appropriate UPU meetings as part of the Commission's responsibility to support the State Department and United States Government, as well as in an effort to assist the U.S. Postal Service in negotiating postal arrangements that are favorable to the United States and the Postal Service, particularly with regard to terminal dues. Terminal dues are payments made between postal operators for the delivery of international letter mail and small packets. A detailed discussion is contained in the Commission's Annual Compliance Determination at www.prc.gov. Chairman Goldway has often been asked to give speeches and presentations at these events. She meets with regulators from other countries and attends the high-level meetings, plenary sessions, and final sessions on terminal dues. Starting in 2009, the UPU began sponsoring a one-day program exclusively for regulators. Because the U.S. Postal Service comprises 40 percent of the world's mail and is the largest mail exchange partner in the UPU, Chairman Goldway has been asked to speak and/or serve on panels at most of these meetings.

UPU Travel by Chairman Goldway.

- November 6-12, 2011, Bern, Switzerland, UPU Postal Operations Council (gave presentation at Postal Regulation Forum), A1
- April 24-29, 2011 Bern, Switzerland, UPU Postal Operations Council (strategy group meetings; met with head of Swiss regulator and with CEO of Swiss Post), A2

- November 8-12, 2010, Bern, Switzerland, UPU Council of Administration (gave presentation at Postal Regulation Forum, attended Bern Group meeting (informal discussion among regulators) (followed by November 15-16 meeting with ARCEP (French regulator) and La Poste, see section on “Participation in Regulatory and Industry Meetings,” below at D2010-2), A3
- May 26-31, 2010, Hangzhou, China, UPU Forum on Postal Regulation (see joint entry below in “Regulatory Dialogues” at B2 (gave presentation)), A4
- November 8-14, 2009, Bern, Switzerland, UPU Council of Administration Session (met with ARCEP (French regulator), UPU Director General, Director of the European Commission Postal Unit, gave UPU presentation at UPU Forum on Postal Regulation and attended plenary session), A5

B. Regulatory Dialogues

The Commission, under the direction of former Chairman Dan Blair in 2009, initiated a Regulatory Dialogue with other postal regulators to share information and best practices on postal reform and regulation in our respective countries. These are now held annually and hosted by member countries on a rotating basis.

Regulatory Dialogue Travel by Chairman Goldway.

- June 3-12, 2011, Brussels, Belgium (Commissioner Nanci Langley also attended. Both gave presentations, met with the Chairman of Belgian Institute of Posts and Telecommunications, and were invited to speak with Directors of Regulatory Affairs for PostEurop, an association of European postal operators), B1
- May 21-31, 2010, Hangzhou, China, B2
 - May 25, Postal Regulatory Dialogue/Seminar on Universal Service. Commissioner Dan Blair also attended. Both Chairman Goldway and Commissioner Blair made presentations.
 - May 26-31, UPU Forum on Postal Regulation (gave presentation), (see A4, same trip)

C. Commerce Department Related Travel

Starting in 2007, in cooperation with the U.S. express delivery services industry, the U.S. Commerce Department annually has coordinated a joint U.S.-China Symposium on Postal Reform and Express Delivery. At Commerce’s request, the Chairman, beginning with former Chairman Blair, participates in the China symposia. These meetings are hosted by the highest-level Chinese regulator, and there is an expectation that the U.S. counterpart will attend. The symposia alternate yearly between cities in the U.S. and China.

These meetings are important to the U.S. and U.S. carriers, as they encourage the Chinese to open their markets to competition. The U.S. Postal Service attends these symposia.

Commerce Department Travel by Chairman Goldway.

- October 11-16, 2011, Nanning, China, Fifth U.S.-China Symposium on Postal Reform and Express Delivery (gave opening speech, made presentation, and met personally with the top Chinese regulator), C1
- December 8-10, 2010, San Francisco, California, Fourth U.S.-China Symposium on Postal Reform and Express Delivery Services (gave opening speech, hosted separate meeting with China State Post Bureau), C2
- October 16-22, 2009, Third U.S.-China Symposium on Postal Reform and Express Delivery, Nanjing, China (served as U.S. Head of Delegation, gave opening remarks, made presentation, and met personally with the top Chinese regulators), C3

D. Participation in Postal Regulatory and Industry Meetings

The sitting Chairman of the Commission is invited to represent the Commission, give speeches, and participate in various postal regulatory and industry events, both internationally and domestically.

Postal Regulatory and Industry Meeting Travel by Chairman Goldway.

Calendar Year 2011

- October 4-8, 2011, Lisbon, Portugal, Conference on Liberalization of Postal Services organized by Portuguese postal regulator, ANACOM (meeting and presentation, bilateral meetings with Spanish and Macedonian regulators and with high-level official at Portuguese Post, CTT), D-2011-1
- September 20-27, 2011, Edinburgh, Scotland, Global Envelope Manufacturers (speech and introduction of the UPU Director General), D-2011-2
- September 12-13, 2011, San Juan, Puerto Rico, National Association of Postmasters of the United States (NAPUS) convention (keynote speech), D-2011-3
- August 19-20, 2011, Los Angeles, California, National Association of Postal Supervisors (NAPS) Western Region Training Seminar (gave speech), D-2011-4
- April 30-May 3, 2011, San Diego, California, National Postal Forum (panel with Commissioner Mark Acton), D-2011-5
- April 5-6, 2011, San Francisco, California, "Content that Connects," Print Distribution Forum (presentation), D-2011-6
- March 24-26, 2011, Boxborough, Massachusetts, Northeast Mail Expo (gave speech), D-2011-7

Calendar Year 2010

- December 7, 2010, New York, New York, Sixth Annual Livingston Nanotechnology Conference (presented research and opinion on electrification of USPS vehicle fleet), D-2010-1
- November 15-16, 2010, Paris, France, meeting with La Poste (preceded by Bern UPU meetings, see A3, above), D-2010-2
- October 19-20, 2010, Columbus and Cincinnati, Ohio, DHL Briefing Tour, D-2010-3

- October 10-11, 2010, San Francisco, California, DMA:2010 (Direct Marketing Association) Conference and Exhibition (gave speech), D-2010-4
- October 4-5, 2010, Chicago, Illinois, Parcel Shippers, Parcel Forum 10 (“Chat with the Chairman” discussion), D-2010-5
- June 1-5, 2010, Porvoo, Finland, Center for Research in Regulated Industries 18th Conference on Postal and Delivery Economics (participated and gave speech), D-2010-6
- May 12-14, 2010, Atlantic City, New Jersey, MailCom 2010 - 30th Annual Global Conference and Exhibition (gave keynote speech), D-2010-7
- May 3-4, 2010, Clearwater, Florida, IDEAlliance Print Distribution Conference (gave keynote speech), D-2010-8
- April 27, 2010-May 1, 2010, Valencia, Spain, high level postal conference hosted by European Commission under the Spanish Presidency (panelist and bi-lateral meeting with Spanish regulator), D-2010-9
- February 24, 2010, New York, New York, Electrification Coalition, Roundtable on Fleet Electrification (participant) along with Edward Gamache, Maintenance Policy and Programs Manager for USPS, and Janet Dobbs, Office of Asset and Transportation Management for GSA, D-2010-10
- February 5-8, 2010, Pasadena, California, USPS Inspector General FY 2010 Pacific Area Regional Training Meeting (panelist), D-2010-11.

Calendar Year 2009

- November 18-19, 2009, Stamford, Connecticut, Pitney Bowes Advisory Board meeting (gave speech), D-2009-1
- March 16-17, 2009, Greensboro and High Point, North Carolina, USPS Human Resources Shared Service Center tour and Harland Clarke tour, D-2009-2

E. Commission Field Hearings

Beginning in 2007, the Commission conducted four separate series of field hearings. The goal was to promote greater public input into the Commission’s deliberations as both significant new regulations and Commission Advisory Opinions on Postal Service initiatives were formulated. The hearings were necessary to the formulation of new regulations, were well attended by members of the public and often attracted significant local media attention, which served to further educate the public regarding potential for changes in their postal service.

Calendar Year 2009

During the Commission’s review of the U.S. Postal Service Station and Branch Initiative, Docket N2009-1, two field hearings were held. Witnesses informed the Commission as to whether they believed the Postal Service’s proposal was consistent with the Service’s obligation to provide prompt, reliable, and efficient postal services to customers in all areas and all communities.

- September 22-23, 2009, Bronx, NY/Jersey City, New Jersey (also USPS New Jersey Network Distribution Center site visit), E-2009-1
- September 16-17, 2009, Independence, Ohio/Cleveland, Ohio (also American Greetings site visit), E-2009-2

Calendar Year 2010

In conjunction with the Commission's review of the Postal Service's proposal to eliminate Saturday mail delivery to street addresses and collection from collection boxes, Docket N2010-1, field hearings were held in seven locations across the nation. Witnesses addressed the potential impact of this initiative on mail volume, quality and adequacy of service, and the potential national economic impact of such a change in service. Not every Commissioner attended every hearing. Chairman Goldway attended the following:

- June 27-28, 2010, Buffalo, New York, E-2010-1
- June 21-23, 2010, Rapid City, South Dakota/Gillette, Wyoming (also Gillette News Record site visit), E-2010-2
- June 20-21, 2010, Chicago, Illinois (also site visit to R.R. Donnelly and meeting with publishers and editors of the Chicago Tribune), E-2010-3
- May 16-17, 2010, Dallas, Texas, E-2010-4
- May 9-11, 2010, Las Vegas, Nevada/Henderson, Nevada (also Zappos site visit), E-2010-5
