

BEFORE THE  
POSTAL REGULATORY COMMISSION  
WASHINGTON, D.C. 20268-0001

RETAIL ACCESS OPTIMIZATION INITIATIVE, 2011

Docket No. N2011-1

**RESPONSES OF UNITED STATES POSTAL SERVICE  
TO QUESTIONS POSED BY THE COMMISSION  
DURING CROSS-EXAMINATION ON OCTOBER 28, 2011  
(Tr. Vol. 5)**

The United States Postal Service hereby provides the responses to questions posed by the Commission during the evidentiary hearing on October 28, 2011. The questions are paraphrased and are followed by the responses.

Respectfully submitted,

UNITED STATES POSTAL SERVICE

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November 2, 2011

**RESPONSE OF THE UNITED STATES POSTAL SERVICE  
TO QUESTION POSED BY THE COMMISSION  
DURING CROSS-EXAMINATION ON OCTOBER 28, 2011**

1. If during the RAOI process, an office under review has its hours of operation reduced (for example from 20 to 15 hours), how does this information become a part of the discontinuance record to ensure appropriate review by those making the final determination to close a facility?

**RESPONSE**

Hours of operation are a basic fact about the office that must be reflected correctly in the administrative record and final determination. If that information changes, the administrative record needs to reflect that fact, whether by an updated Form 4920 (or its CSDC equivalent), or perhaps even a memo to the record by the discontinuance coordinator.

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2. Page 15, line 20 of witness Boldt's surrebuttal testimony, "while it may have been true in the past that tens of thousands of active employees had access to CSDC-1, including national officers of postal management associations, the application of a need-to-know constraint is true of all postal applications, especially in today's SOX environment. As with a wide variety of other postal data systems, CSDC-II now operates to deprive employees ....from access to the current CSDC II system." What is the connection between CSDC and SOX compliance?

**RESPONSE**

The connection is not a direct one. The simple observation is that the need for checks and balances, constraint upon who needs what information for what purpose, and who can change what data for any purpose, is increasingly necessary and appropriate in postal data systems as in any other data systems. SOX is a relatively well-known example of where checks upon data entry, data maintenance, and data changes are systematized as a way of providing additional security against fraudulent or wasteful conduct. That is the only intended connection.

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**3. What criteria does the Postal Service use to determine whether a postal retail facility will obtain a POS terminal?**

**RESPONSE**

Until FY 2011, Point of Sale terminals were only in Cost Ascertainment Group A-G offices. In FY2011, the Postal Service extended POS to include offices with annual walk-in revenue greater than \$100K. The Postal Service is in the process of converting those offices in FY 2012 (most of them are currently Integrated Retail Terminal offices).

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**4.** Please provide a list of the post offices on the RAOI candidate list that do not have access to the Postal Service's intranet.

**RESPONSE**

See the attached list of RAOI low earned workload Post Offices that do not have inter/intranet access.

Attachment to Response to PRC October 28 Question HR Question 4

		RAO Initiative
		Low Earned Workload
		Post Offices
		W/O Intra/Internet Access

Office	Finance Number	Type	Zip	Address	City	State
POINT BAKER	027098	MAIN_PO	99927	9998 STATE FLOAT	POINT BAKER	AK
ARTOIS	050354	MAIN_PO	95913	357 FRONT ST	ARTOIS	CA
SARGENTS	078100	MAIN_PO	81248	174 MEANS AVE	SARGENTS	CO
SAINT DONATUS	188019	MAIN_PO	52071	118 E 1ST ST	SAINT DONATUS	IA
AMO	170187	MAIN_PO	46103	4925 PEARL ST	AMO	IN
BRADFORD	170781	MAIN_PO	47107	3314 W BRADFORD RD NE	BRADFORD	IN
CENTRAL	171593	MAIN_PO	47110	7020 CENTRAL DR SW	CENTRAL	IN
FRIENDSHIP	173113	MAIN_PO	47021	5918 E MAIN ST	FRIENDSHIP	IN
PIERCEVILLE	176941	MAIN_PO	47039	4499 E STATE ROUTE 350	PIERCEVILLE	IN
ALPHA	200112	MAIN_PO	42603	234 OLD HAPPY TOP RD	ALPHA	KY
BASKETT	200440	MAIN_PO	42402	1015 2ND AVE	BASKETT	KY
CANE VALLEY	201236	MAIN_PO	42720	2303 CANE VALLEY RD	CANE VALLEY	KY
CANNEL CITY	201252	MAIN_PO	41408	9519 HIGHWAY 191	CANNEL CITY	KY
ELIZAVILLE	202444	MAIN_PO	41037	5035 ELIZAVILLE RD	ELIZAVILLE	KY
FORDS BRANCH	202864	MAIN_PO	41526	2730 KEWANEE RD	FORDS BRANCH	KY
HIMA	203744	MAIN_PO	40951	HIGHWAY 80 E	HIMA	KY
HOLLAND	203800	MAIN_PO	42153	8384 HOLLAND RD	HOLLAND	KY
JETSON	204120	MAIN_PO	42252	10339 BROWNSVILLE RD	JETSON	KY
KENTON	204228	MAIN_PO	41053	14079 DECOURSEY PIKE	KENTON	KY
KNOB LICK	204340	MAIN_PO	42154	5260 SULPHUR WELL KNOB LICK RD	KNOB LICK	KY
LONE	204752	MAIN_PO	41347	2297 HIGHWAY 708 W	LONE	KY
MALONE	204984	MAIN_PO	41451	2570 HIGHWAY 191	MALONE	KY
MASON	205100	MAIN_PO	41054	8415 DIXIE HWY	MASON	KY
MILFORD	205228	MAIN_PO	41061	6503 POWERSVILLE HARRISON COUNTY RD	MILFORD	KY
MUSES MILLS	205520	MAIN_PO	41065	6 RYAN RD	MUSES MILLS	KY
PLUMMERS LANDING	206208	MAIN_PO	41081	591 PLUMMERS LANDING RD	PLUMMERS LANDING	KY
SITKA	206248	MAIN_PO	41255	2474 KY ROUTE 201	SITKA	KY
WEST LOUISVILLE	208244	MAIN_PO	42377	7005 STATE ROUTE 815	WEST LOUISVILLE	KY

WILDIE	208368	MAIN_PO	40492	1419 WILDIE RD	WILDIE	KY
WINDSOR	208436	MAIN_PO	42565	3593 KY 80	WINDSOR	KY
WOODBURY	208516	MAIN_PO	42288	74 BARREN RIVER RD	WOODBURY	KY
CUTTYHUNK	241683	MAIN_PO	2713	13A BROADWAY	CUTTYHUNK	MA
MC GRATH	265820	MAIN_PO	56350	300 MAIN ST W	MC GRATH	MN
MARYSVILLE	295544	MAIN_PO	59640	1 ASPEN WAY	MARYSVILLE	MT
BOWERSVILLE	380959	MAIN_PO	45307	3180 MAYSVILLE ST	BOWERSVILLE	OH
CABLE	381218	MAIN_PO	43009	3582 MAIN ST	CABLE	OH
COLTON	381764	MAIN_PO	43510	637 HENRY ST	COLTON	OH
DECATUR	382107	MAIN_PO	45115	6471 HUFF HILL RD	DECATUR	OH
ELGIN	382499	MAIN_PO	45838	18100 SANDS RD	ELGIN	OH
FARMER	382653	MAIN_PO	43520	3948 STATE ROUTE 2	FARMER	OH
HOYTVILLE	383724	MAIN_PO	43529	2521 N MAIN ST	HOYTVILLE	OH
ISLE SAINT GEORGE	383829	MAIN_PO	43436	165 E TUHAN RD	ISLE SAINT GEORGE	OH
KUNKLE	384158	MAIN_PO	43531	104 E ANGOLA ST	KUNKLE	OH
LATTY	384305	MAIN_PO	45855	380 2ND ST	LATTY	OH
LEES CREEK	384368	MAIN_PO	45138	9 LARRICK RD	LEES CREEK	OH
MC GUFFEY	384760	MAIN_PO	45859	402 COURTRIGHT ST	MC GUFFEY	OH
PALESTINE	386405	MAIN_PO	45352	105 W CROSS ST	PALESTINE	OH
PEMBERTON	386503	MAIN_PO	45353	6673 PALESTINE ST	PEMBERTON	OH
POTSDAM	386825	MAIN_PO	45361	2 W CROSS ST	POTSDAM	OH
REESVILLE	386986	MAIN_PO	45166	540 N STATE ROUTE 72	REESVILLE	OH
ROCKY RIDGE	387168	MAIN_PO	43458	14800 W 3RD	ROCKY RIDGE	OH
WEST MILLGROVE	388890	MAIN_PO	43467	6491 MAIN ST	WEST MILLGROVE	OH
WREN	389184	MAIN_PO	45899	119 STATE ROUTE 49	WREN	OH
MANNBORO	515598	MAIN_PO	23105	3800 RICHMOND RD	MANNBORO	VA
MEREDITHVILLE	515820	MAIN_PO	23873	4771 BOYDTON PLANK RD	MEREDITHVILLE	VA
SCHLEY	518058	MAIN_PO	23154	5778 WARE NECK RD	SCHLEY	VA
STEHEKIN	548148	MAIN_PO	98852	31 DEFACTO LN	STEHEKIN	WA
WALDRON	548946	MAIN_PO	98297	1 S BURN RD	WALDRON	WA

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5. During cross-examination of witness Ruiz, he mentioned that he has an annual report going back three years which indicates the hours of operation for each Post Office, branch, and station on the RAOI candidate list as well as annual revenues for each RAOI candidate office during that same timeframe. Please have Mr. Ruiz search his records and provide the information he has pairing hours of operation and walk-in revenue for those offices for the last three years.

**RESPONSE**

Mr. Ruiz has checked his records. As he indicated at page 1778 of Tr. Vol. 5, he has an archival one-time snapshot in the Small Office Variance database of what the operating hours were reported to be on the final week of each of the past three fiscal years (FY 2009, 2010 and 2011) for retail facilities in SOV. The data are filed in USPS Library Reference N2011-1/NP22, which is accompanied by the public version in USPS Library Reference N2011-1/26 from which facility finance numbers have been redacted.

FY 2008-10 walk-in revenues for all retail facilities were filed in USPS Library Reference N2011-1/25 on October 26, 2011.



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**6.** Please provide the most updated list possible of RAOI candidate offices that have had a Final Determination to Close the facility posted in that facility. Similarly, please provide the most updated list possible of RAOI candidate offices that have been removed from consideration for closure under the Initiative.

**RESPONSE**

As of November 1, 2011, no Final Determinations have been posted as part of the RAO Initiative. An updated USPS Library Reference N2011-1/11 is being filed today, as previously scheduled, to reflect the RAO Initiative candidate facilities eliminated from consideration as of the end of October, 2011.