Docket No. N2009-1

USPS Library Reference N2009-1/12

Postal Operations Manual (December 18, 2008)

This is a Category 3 Library Reference consists of the Postal Operations Manual with Postal Bulletin revisions through December 18, 2008.

Update Notice

Postal Operations Manual, POM Issue 9
July 2002

***Postal Operations Manual,* POM Issue 9,** was last printed in July 2002. To inform you of changes since that time, we periodically update this online edition of the POM. We use vertical bars (i.e., revision bars) in the margin to indicate text changed since July 2002.

This online version of the POM has been updated with *Postal Bulletin* articles through December 18, 2008, as follows:

This chapter, subchapter, part, or section...	**titled...**	**was...**	**in *Postal Bulletin* issue number...**	**with an issue date of...**
Entire handbook	*Postal Operations Manual*	updated to replace all instances of the word "insured" with Insured mail® where it refers to a mail service.	22127	4-29-2004
Chapter 1, Retail Management				
123.6 and 123.7	Discontinuation of Post Offices, and Emergency Suspension of Service	revised to include recent policy changes to the approval/ disapproval authority for Post Office closing final determinations.	22124	3-18-2004
123.622	ZIP Code Assignment	revised to include recent policy changes related to ZIP Code retention at discontinued facilities.	22124	3-18-2004
123.72	Suspension Review Team	added to revise emergency suspension procedures.	22124	3-18-2004
123.81	Notice to Postal Officials	revised to correct the approval authority for the discontinuance of Post Offices.	22130	6-10-2004
Exhibit 125.343	Mandatory Public Information to be Available	revised to reflect signage language in Post Offices.	22187	8-17-2006
136.3	Business Reply Mail and Merchandise Return Service	revised to update information on merchandise return service.	N/A	N/A
143	INTELPOST Service	deleted to reflect the fact that INTELPOST (international electronic post) service is no longer available.	22124	3-18-2004
144 through 147	Photocopy Service	renumbered as 143 through 146.	22124	3-18-2004
146.1	Processing Refunds for Postage Stamps on Business Reply Mail, Postage Meter Stamps, Meter Impressions, Permit Imprints or Rejected Personalized Envelopes	revised to allow all Post Offices to process refunds for postage requested.	22088	10-31-2002

This chapter, subchapter, part, or section...	titled...	was...	in *Postal Bulletin* issue number...	with an issue date of...
146.11	Customer Action	revised to add new DMM references.	22193	11-9-2006
		revised to clarify existing policies and procedures for filing indemnity claims.	22127	4-29-2004
Exhibit 146.11	General Instructions for Filing Claims	updated to provide instructions for filing indemnity claims.	22127	4-29-2004
146.111	Customer Action	revised to add new DMM references.	22193	11-09-2006
		revised to specify the material to be sent directly to Claims Appeals at the St. Louis Accounting Service Center.	22127	4-29-2004
146.112	Accepting Post Office	revised to include a reference to the new PS Form 2856, *Damage Report of Insured Parcel and Contents.*	22188	8-31-2006
146.113	Claims and Inquiry Employee	updated to specify copy 3 of PS Form 1000.	22127	4-29-2004
Exhibit 146.12	Processing Claims for Damage or Partial Loss of Contents	deleted.	22127	4-29-2004
146.121	Tendered	updated to change section title to “Loss of Numbered Insured or Registered With Postal Insurance Article” and to reflect the steps for processing claims.	22127	4-29-2004
	Loss of Numbered Insured or Registered With Postal Insurance Article	revised to add DMM reference.	22193	11-9-2006
146.122	Loss of Unnumbered Insured Articles	revised to reflect that locally adjudicated claim forms will be forwarded to St. Louis after processing to ensure all claim data are captured by the system.	22127	4-29-2004
146.123	Loss of COD Articles (or Nonremittance)	deleted.	22127	4-29-2004
	Loss of Express Mail Articles	deleted. Renumber current 146.126 “Damage claim Filed by Addressee” as new 146.124.	22127	4-29-2004
146.124	Damage Claim Filed by Addressee	updated to reflect revised PS Form 1000.	22193	11-9-2006
		revised to include a reference to the new PS Form 2856, *Damage Report of Insured Parcel and Contents.*	22188	8-31-2006

This chapter, subchapter, part, or section...	titled...	was...	in *Postal Bulletin* issue number...	with an issue date of...
Exhibit 146.124	Processing Claims for Damage or Partial Loss of Contents	revised to include a reference to the new PS Form 2856, *Damage Report of Insured Parcel and Contents.*	22188	8-31-2006
146.125	Damage Claim Filed by Sender	renumbered as section 146.123 and updated to reflect new procedures.	22127	4-29-2004
146.126	Damage Claim Filed by Addressee	renumbered as section 146.124, revised, and updated to add new Exhibit 146.124.	22127	4-29-2004
146.127	Damage Claims Exceptions	deleted.	22127	4-29-2004
146.128	Replacement Shipments	deleted.	22127	4-29-2004
146.129	Estimates and Appraisals	renumbered as section 146.25.	22127	4-29-2004
146.13	Processing Claims Received From Another Office	deleted.	22127	4-29-2004
146.131	Time Limits	revised to add new DMM reference.	22193	11-9-2006
146.14 through 146.144	Inquiries and Duplicate Claims	renumbered as new sections 146.13 through 146.134 and revised to show new procedures.	22127	4-29-2004
146.145	Registered Mail and Express Mail	deleted.	22127	4-29-2004
146.22	Unused Meter Stamps	updated to show change in procedures.	22127	4-29-2004
		deleted.	22088	10-31-2002
146.31	Damaged Article	revised how an accepting employee documents damage to support indemnity claims.	22188	8-31-2006
146.4 through 146.425	Sample Claims	deleted.	22127	4-29-2004
146.5 through 146.52	Quarterly Review	renumbered as sections 146.4 through 146.42.	22127	4-29-2004
151.11	Stamps by Mail PS Forms 3227-A and 3227-B	revised to reflect updated policy for Stamps by Mail service.	22194	11-23-2006
151.12	Rural Delivery Areas — PS Form 3227-R	revised to reflect updated policy for Stamps by Mail service.	22194	11-23-2006
151.13	Written and Telephone Requests	added to reflect updated policy for Stamps by Mail service.	22194	11-23-2006
151.22	Postmasters of City Delivery Offices	revised to reflect updated policy for Stamps by Mail service.	22194	11-23-2006
151.31	PS Form 3227	revised to reflect new title “General” and updated policy for Stamps by Mail service.	22194	11-23-2006
151.32	Clerical Downtime	revised to reflect new title and updated policy for Stamps by Mail service.	22194	11-23-2006

This chapter, subchapter, part, or section...	titled...	was...	in *Postal Bulletin* issue number...	with an issue date of...
151.321 through 151.326	General	deleted.	22194	11-23-2006
151.33	Centralized Fulfillment Locations	added.	22194	11-23-2006
151.34	Order Filling Clerks	added.	22194	11-23-2006
151.35	Registry Unit	added.	22194	11-23-2006
151.36	Receiving Delivery Unit	added.	22194	11-23-2006
151.37	Undeliverable as Addressed Orders	added.	22194	11-23-2006
151.38	Lost Orders	added.	22194	11-23-2006
Chapter 2, Philately				
2	Philately	revised to reflect updated policy governing the release, sale, and discontinuance of postage stamps and postal stationery.	22155	5-26-2005
212.2	Criteria for Eligibility	revised to update the criteria to determine the eligibility of subjects for commemoration on U.S. stamps and stationary.	22224	1-17-2008
227	Guidelines for the Purchase and Sale of Local Commemorative Envelopes	added to provide guidelines for the local purchase and sale of commemorative envelopes.	22235	6-19-2008
23	Philatelic Postmarks	revised to remove policy about obliterators and add new policy about first day of sale postmarks, thematic postmarks, and philatelic centers.	22237	7-17-2008
231.1	Definition	revised to delete “for collection purposes.”	22237	7-17-2008
231.21	Publicity	updated to add information about the *Postal Bulletin.*	22237	7-17-2008
231.23	Staffing Availability and Training	updated to give policy on staffing.	22237	7-17-2008
231.31	Postmarks	revised to change “canceled” to “postmarked.”	22237	7-17-2008
231.32	Special Attention	updated to add new procedure.	22237	7-17-2008
231.34	Hand-Stamped Postmarks	updated to give new name of Handbook PO-230.	22237	7-17-2008
231.35	Philatelic Covers	updated to add new procedure to avoid philatelic covers being postmarked in the mailstream.	22237	7-17-2008
231.36	Defacing Philatelic Covers	revised to change terminology from “canceled” to “postmarked.”	22237	7-17-2008
231.4	Hand-Back and Mail-Back Service	revised to update procedures.	22237	7-17-2008

This chapter, subchapter, part, or section...	titled...	was...	in *Postal Bulletin* issue number...	with an issue date of...
231.5	Permissible Postmarking Devises and Hand-Stamped Postmarking for Collectors	updated to add new policy and to replace exhibit 231.5 with new exhibit.	22237	7-17-2008
231.61	Date and Place of Postmarking	updated to add policy on philatelic postmarking services.	22237	7-17-2008
231.62	Preparation Requirements	updated to remove "postal cards."	22237	7-17-2008
231.63	Special Materials on Which Postmarks May Be Requested	updated to revise items d and g.	22237	7-17-2008
231.7 through 231.9	Holding the Mail	updated to add new policy.	22237	7-17-2008
232 through 232.9	First Day of Issue	revised to provide new policy on first day of issue.	22237	7-17-2008
233 through 236.4	First Day of Sale Postmark	updated to add new policy.	22237	7-17-2008
236.52	National Events	revised to change the address for requests for national events.	22099	4-03-2003
236.92	Special Requests	revised to change the approving authority for requests to retain special die-hub cancellations.	22099	4-03-2003
Chapter 3, Collection Services — National Service Standards				
312.2	Local Postmark Requests	revised to change the obsolete requirement for a lobby drop for local postmarks to an option.	22238	7-31-2008
325.4	Collection Schedules	revised to clarify that an Express Mail shipment dropped in an Express Mail collection box is a guaranteed product.	22237	7-17-2008
Chapter 4, Mail Processing Procedures				
443.32	Local Postmarking	revised to remove the obsolete requirement for a lobby drop for local postmarks.	22238	7-31-2008
492	Political Campaign Mail	updated references to PS Forms 3602, Publication 417, and Mailing Standards of the United States Postal Service, Domestic Mail Manual.	22186	8-03-2006
492.74	Identification	revised to delete the 2002 Congressional Primary and Runoff Dates table.	N/A	N/A
Chapter 5, Mail Transportation				
52	Air Transportation Service	revised to replace the terms *contractor* and *contractors* with the terms *supplier* and *suppliers,* respectively.	22117	12-11-2003

This chapter, subchapter, part, or section...	titled...	was...	in *Postal Bulletin* issue number...	with an issue date of...
53	Highway Contract Service	revised to replace the terms *contractor* and *contractors* with the terms *supplier* and *suppliers,* respectively.	22117	12-11-2003
531	Authorization	revised to change the last sentence by replacing the first word ("Policies") and the verb "are" with "Procedural guidance" and the verb "is".	22110	9-04-2003
532.1	General	revised to change the last sentence by deleting the last four words ("in sparsely populated areas").	22110	9-04-2003
532.2	Regular	revised to reflect current practices in the administration of mail transportation contracts.	22117	12-11-2003
536.2	Omitted Service Deductions	revised to reflect current practices in the administration of mail transportation contracts.	22117	12-11-2003
55	Water Route Service	revised to replace the terms *contractor* and *contractors* with the terms *supplier* and *suppliers,* respectively.	22117	12-11-2003
556.2	Omitted Service Deductions	revised to reflect current practices in the administration of mail transportation contracts.	22117	12-11-2003
Chapter 6, Delivery Services				
612.13	Procedures for Delivery to CMRA	updated to reflect that the age requirement for minors is eliminated from PS Form 1583, *Application for Delivery of Mail Through Agent.*	22127	4-29-2004
612.41	Delivery	revised to specify procedure and extend hold time for mail that is addressed to a deceased person and is not being picked up.	22105	6-26-2003
617.31	Someone Normally Available to Receive Parcel	revised to define ordinary parcels and to remove language about unnumbered Insured Mail parcels.	22211	7-19-2007
617.33	Parcel Not Called For	revised to standardize the Notice Left operations for ordinary parcels, accountable mail, and other extra services mailpieces.	22189	9-14-2006

This chapter, subchapter, part, or section...	titled...	was...	in *Postal Bulletin* issue number...	with an issue date of...
617.34	Perishable Parcel	revised to standardize the Notice Left operations for ordinary parcels, accountable mail, and other extra services mailpieces.	22189	9-14-2006
619.251	Procedure	revised to standardize the Notice Left operations for ordinary parcels, accountable mail, and other extra services mailpieces.	22189	9-14-2006
619.252	Parcels and Accountable Mail	revised to standardize the Notice Left operations for ordinary parcels, accountable mail, and other extra services mailpieces.	22189	9-14-2006
644 through 644.2	Conversions	deleted	22204	4-12-2007
646	Management	revised to incorporate pivoting language.	22124	3-18-2004
674	Express Mail Next Day Service	revised to update the policy and procedures for Express Mail Next Day Service.	22234	6-5-2008
674.2	Post Office to Addressee Service	revised to update the standards for Express Mail and return receipt requested items with Waiver of Signature requested.	22239	8-14-08
682.3	Additional Postage for Forwarding	revised to change *Delivery Confirmation* to *Confirmation Services.*	22100	4-17-2003
682.4	Directory Service	revised to change *Delivery Confirmation* to *Confirmation Services.*	22100	4-17-2003
683.23	Registered, Certified, Insured, COD, Return Receipt for Merchandise, and Confirmation Services Mail	revised to change *Delivery Confirmation* to *Confirmation Services.*	22100	4-17-2003
683.24	Express Mail Items	revised to add *Items* to the title.	22100	4-17-2003
683.25	Return of Express Mail and Registered, Numbered Insured, COD, Certified, Return Receipt for Merchandise, and Confirmation Services Mail	revised to change *Delivery Confirmation* to *Confirmation Services.*	22100	4-17-2003
683.26	Other Mail	revised to include Confirmation Services mail among the kinds of mail being discussed.	22100	4-17-2003
691.45	Unidentified Items	revised to allow the Postal Service to be a better environmental neighbor and reduce waste costs.	22088	10-31-2002

This chapter, subchapter, part, or section...	titled...	was...	in *Postal Bulletin* issue number...	with an issue date of...
691.522	Noninjurious Items	revised to allow the Postal Service to be a better environmental neighbor and reduce waste costs.	22088	10-31-2002
691.53	Institutions	revised to allow the Postal Service to be a better environmental neighbor and reduce waste costs.	22088	10-31-2002
691.531	Food	revised to include a reference to PS Form 6011.	22182	6-8-2006
691.582	Express Mail	revised to reflect a change in procedure related to the disposition of undeliverable Express Mail items.	22245	11-6-2008
692	Mail Recovery Centers	revised to update MRCs and to restructure sections.	22248	12-18-2008
692.11	Addresses	revised to update the addresses for administrative and undeliverable mail processing.	22082	8-8-2002
		revised to update the list of MRC service areas.	22082	8-8-2002
692.12	MRC Service Areas	revised to reflect a change in Mail Recovery Center service areas.	22215	9-13-2007
		revised to correct three of the ZIP Codes in the list of MRC service areas.	22083	8-22-2002
692.22	Addresses	revised to update the addresses for parcel mail.	22088	10-31-2002
692.23	Service Areas	revised to reflect a change in Mail Recovery Center service areas.	22215	9-13-2007
		revised to update the service areas for parcel mail.	22088	10-31-2002
692.25	Books and Sound Recording	updated to reflect new DMM references and change Business Mail Acceptance manager to Business Mail entry manager.	22195	12-7-2006
Chapter 7, Postal Vehicle Service				
735	Vehicle Reassignment and Disposal	revised to reflect updated policy and procedures for vehicle disposal.	22106	7-10-2003
Chapter 8, Special Services				
811.1	General	revised to reflect changes in the R-2006 rate case.	22217	10-11-2007
811.4	Retrieval of Delivery Records	revised to reflect changes in the R-2006 rate case.	22217	10-11-2007

This chapter, subchapter, part, or section...	titled...	was...	in *Postal Bulletin* issue number...	with an issue date of...
812.1	Fees and Liability	revised to update the DMM reference.	22217	10-11-2007
		updated to add new DMM reference.	22193	11-9-2006
812.21	Value	revised to reflect changes in the R-2006 rate case.	22217	10-11-2007
812.21	Value	revised to reflect changes in the R-2006 rate case.	22217	10-11-2007
812.264	Indemnity	updated to add new DMM reference.	22193	11-9-2006
812.42	Notice of Arrival	revised to standardize the Notice Left operations for ordinary parcels, accountable mail, and other extra services mailpieces.	22189	9-14-2006
812.51	Who May File	updated to add new DMM reference.	22193	11-9-2006
813.33	Delivery to Military Installations	revised to reflect changes in the R-2006 rate case.	22217	10-11-2007
814.21	General Provisions	revised to reflect changes in the R-2006 rate case.	22217	10-11-2007
817.12	Signature Waiver Option	deleted.	22217	10-11-2007
817.21	Procedure	revised to update the standards for Express Mail and return receipt requested items with Waiver of Signature requested.	22239	8-14-2008
826	Change-of-Address Orders/ Forwarding Mail	revised to add information on options for filing a change of address order.	22234	6-5-2008
826.2	Split Forwarding Policy	deleted.	22234	6-5-2008
831.32	Procedures	Revised to allow the box customer or other persons listed on PS Form 1093, *Application for Post Office Box or Caller Service,* for a Residential Group E Post Office box to file an individual change-of-address order.	22212	8-2-2007
	Surrendered Boxes	revised to update the procedures for spoiled or incorrectly prepared money orders.	22081	7-25-2002
841.122	Verification	updated to reflect that the age requirement for minors is eliminated from PS Form 1093, *Application for Delivery of Mail Through Agent.*	22127	4-29-2004

This chapter, subchapter, part, or section...	titled...	was...	in *Postal Bulletin* issue number...	with an issue date of...
841.31	Calculation of Amount	revised to reflect change in procedures for refunding an unused portion of fee for Post Office box service.	22151	3-31-2005
841.33	Boxes Not Surrendered	Revised to allow the box customer or other persons listed on PS Form 1093, *Application for Post Office Box or Caller Service,* for a Residential Group E Post Office box to file an individual change-of-address order.	22212	8-2-2007
841.46	Refund of Key Fee	revised to eliminate the limit of two keys for which a customer can receive a deposit refund.	22118	12-25-2003
841.751	Standard Procedure for Facilities Without a Central Mark-Up System	Renumbered as 841.752.	22212	8-2-2007
	Who May File	Revised to allow the box customer or other persons listed on PS Form 1093, *Application for Post Office Box or Caller Service,* for a Residential Group E Post Office box to file an individual change-of-address order.	22212	8-2-2007
841.752	Flag Boxes	Renumbered as 841.753.	22212	8-2-2007
841.753	Who May File	Renumber as 841.751.	22212	8-2-2007
841.832	Content	revised to reflect new procedures for handling appeals from denial of Post Office box service or termination of Post Office box service.	22189	9-14-2006
841.841	Procedure	revised to reflect new procedures for handling appeals from denial of Post Office box service or termination of Post Office box service.	22189	9-14-2006
841.842	Effective Date	revised to reflect new procedures for handling appeals from denial of Post Office box service or termination of Post Office box service.	22189	9-14-2006
841.85	Surrender of Service	Deleted.	22212	8-2-2007
841.86	Mail Addressed to Closed Box	Renumbered as 841.85.	22212	8-2-2007

This chapter, subchapter, part, or section...	titled...	was...	in *Postal Bulletin* issue number...	with an issue date of...
842.432	Content	revised to reflect new procedures for handling appeals from denial of Post Office box service or termination of Post Office box service.	22189	9-14-2006
842.441	Procedure	revised to reflect new procedures for handling appeals from denial of Post Office box service or termination of Post Office box service.	22189	9-14-2006
842.442	Effective Date	revised to reflect new procedures for handling appeals from denial of Post Office box service or termination of Post Office box service.	22189	9-14-2006
843.2	Retention	revised to standardize the Notice Left operations for ordinary parcels, accountable mail, and other extra services mailpieces.	22189	9-14-2006

UNITED STATES POSTAL SERVICE

Postal Operations Manual

Issue 9 July 2002

The Postal Service's Transformation Plan serves as a blueprint to the activities we are pursuing to enable us to carry out our long-standing mission of providing affordable, universal service to the people of America. Many of the subjects covered in the *Postal Operations Manual* are also the subject of strategies discussed in the Transformation Plan. It is more important than ever that each of us be aware of the latest policies, regulations, and procedures that affect postal operations so that we can effectively implement the elements of the Transformation Plan. This latest revision of the *Postal Operations Manual* will help you do that.

A. Purpose. The *Postal Operations Manual* (POM) sets forth the policies, regulations, and procedures of the Postal Service governing retail, philatelic, collection, mail processing, transportation, delivery, and vehicle operations.

B. Explanation. Issue 9 is a complete revision. It replaces Issue 8 and contains all of the revisions to the manual published in the *Postal Bulletin* from July 30, 1998, through July 11, 2002. In addition, new language has been added where appropriate. Recycle Issue 8.

C. Summary of Changes and Change Bars. The Summary of Changes contains a description of the changes made to the manual since Issue 8. A change bar (a vertical line in the margin) signals that the adjacent text has been revised.

D. Forms Index. In the Forms Index, each form mentioned in the manual is cross-referenced to each section that contains a reference to that form. By using the Forms Index, if you know the title or number of a form, you will be able to find each section of the manual that contains a reference to that form.

E. Distribution. This revision is being distributed to all Postal Service facilities. If you need additional copies, please use the following procedures:

- **Touch Tone Order Entry (TTOE):** Call 800-332-0317, choose option 1, then option 2.
- You must be registered to use TTOE. To register, call 800-332-0317, choose option 8, extension 2925, and follow the prompts to leave a message (wait 48 hours after registering before you place your first order).
- **E-mail:** Complete PS Form 7380, *MDC Supply Requisition* (manually or by F3Fill), and send it as an attachment to the e-mail address *MDC Customer Service* or to *mcustome@email.usps.gov.*
- **Mail:** Mail a completed PS Form 7380 to the MDC at the following address:

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  TOPEKA KS 66624-9702

F. Sale to the Public. Nonpostal users should write or call:

PUBLIC ORDERS
MATERIAL DISTRIBUTION CENTER
500 SW GARY ORMSBY DR
TOPEKA KS 66624-9702

TELEPHONE: 800-332-0317

G. Comments and Questions. If you cannot find or do not understand certain material or discover that topics were omitted, send a memorandum outlining the problem through management channels to:

ATTN POSTAL OPERATIONS MANUAL
POLICIES AND PROCEDURES INFORMATION
US POSTAL SERVICE
475 L'ENFANT PLAZA SW RM 5540
WASHINGTON DC 20260-5540

H. Effective Date. This manual is effective July 2002.

Azeezaly S. Jaffer
Vice President
Public Affairs and Communications

Summary of Changes

Chapter 1

123.127, 137.442, 137.443, Exhibit 147.11 (item C), 147.12, 147.124, 147.13, 147.144, 147.51a, and 169.521: Effective June 22, 2000, these sections were revised to reflect changes related to the signature capture process and electronic record management system (*Postal Bulletin* 22028, 7-13-00).

124.1, 124.531, 124.54, 124.55, 124.57, 124.711, and 124.721: Effective June 25, 1998, these sections were revised so that the language concerning conduct on Postal Service property is consistent with amendments to 39 CFR 232 (*Postal Bulletin* 21991, 2-11-99).

124.59: Effective June 1, 2000, this section was revised to clarify policies concerning accommodations for customers who require assistance animals and concerning weapons and explosives on postal property; effective June 25, 1998, this section was revised so that the language concerning conduct on Postal Service property is consistent with amendments to 39 CFR 232 (*Postal Bulletin* 22026, 6-15-00; *Postal Bulletin* 21991, 2-11-99).

Exhibit 125.22: Effective June 13, 2002, the column headings in this exhibit were revised to remove references to special delivery, a delivery option that is no longer available (*Postal Bulletin* 22078, 6-13-02).

125.323: Effective October 4, 2001, this section was added to clarify how often retail scales must be verified and calibrated (*Postal Bulletin* 22060, 10-4-01).

125.341a: Effective December 30, 1999, this item was revised to reflect changes concerning mandatory lobby displays and promotions (*Postal Bulletin* 22014, 12-30-99).

Exhibit 125.342: Effective March 23, 2000, this exhibit was revised to reflect changes concerning mandatory lobby displays; effective December 30, 1999, this exhibit was revised to reflect changes concerning mandatory lobby displays (*Postal Bulletin* 22020, 3-23-00; *Postal Bulletin* 22014, 12-30-99).

125.343: Effective February 24, 2000, this section was added to include policies concerning the availability of mandatory public information (*Postal Bulletin* 22018, 2-24-00).

Exhibit 125.343: Effective March 23, 2000, this exhibit was revised to reflect changes concerning the availability of mandatory public information; effective February 24, 2000, this exhibit was revised to reflect changes concerning the availability of mandatory public information; effective December 30, 1999, this exhibit was added to reflect changes concerning

the availability of mandatory public information (*Postal Bulletin* 22020, 3-23-00; *Postal Bulletin* 22018, 2-24-00; *Postal Bulletin* 22014, 12-30-99).

137.45: Effective June 13, 2002, this section was revised to remove references to special delivery, a delivery option that is no longer available, by deleting 137.451 and renumbering (and revising as necessary) 137.452 through 137.455 as 137.451 through 137.454, respectively (*Postal Bulletin* 22078, 6-13-02).

137.454: Effective June 13, 2002, this section was renumbered as 137.453 and revised to remove references to special delivery, a delivery option that is no longer available; effective December 27, 2001, this section (former section 137.454, current section 137.453) was revised with updated Delivery Confirmation® information; effective June 22, 2000, this section (former section 137.454, current section 137.453) was added to reflect changes related to the signature capture process and electronic record management system (*Postal Bulletin* 22078, 6-13-02; *Postal Bulletin* 22066, 12-27-01; *Postal Bulletin* 22028, 7-13-00).

137.455: Effective June 13, 2002, this section was renumbered as 137.454 and revised to remove references to special delivery, a delivery option that is no longer available; effective December 27, 2001, this section (former section 137.455, current section 137.454) was added with information about Signature Confirmation® service (*Postal Bulletin* 22078, 6-13-02; *Postal Bulletin* 22066, 12-27-01).

141.3: Effective June 13, 2002, this section was revised to remove references to special delivery, a delivery option that is no longer available (*Postal Bulletin* 22078, 6-13-02).

146.3a: Effective December 27, 2001, this item was revised to clarify refund of retail service fees (*Postal Bulletin* 22066, 12-27-01).

147.112: Effective January 28, 1999, this section was revised to clarify the procedures for handling domestic indemnity claims (*Postal Bulletin* 21990, 1-28-99).

147.121 and Exhibit 147.12 (p. 2): Effective June 22, 2000, this section and exhibit were revised to reflect changes related to the signature capture process and electronic record management system; effective February 11, 1999, this section and exhibit were revised to clarify the procedures for handling domestic indemnity claims; effective January 28, 1999, this section and exhibit were revised to clarify the procedures for handling domestic indemnity claims (*Postal Bulletin* 22028, 7-13-00; *Postal Bulletin* 21991, 2-11-99; *Postal Bulletin* 21990, 1-28-99).

147.123: Effective August 10, 2000, this section was revised to correct information about how to handle COD claims; effective June 22, 2000, this section was revised to reflect changes related to the signature capture process and electronic record management system (*Postal Bulletin* 22030, 8-10-00; *Postal Bulletin* 22028, 7-13-00).

163, 164.1, 164.4, 165.3, 165.41, 165.42, 165.51, 165.52, 165.53, 166.1, 167.21, 167.22, 167.23, 167.24, 167.32, 167.33, 167.34, 168.1c, 169.1, 169.2, and 169.51: Effective April 4, 2002, these sections were revised to include information on Notice 4314-C, *We Want To Know,* and several

different methods for customers to contact the Postal Service (*Postal Bulletin* 22073, 4-4-02).

165.6: Effective April 4, 2002, this section was deleted, with sections 165.7 and 165.8 renumbered as 165.6 and 165.7, respectively (*Postal Bulletin* 22073, 4-4-02).

172: Effective September 24, 1998, this part was revised to correct ordering information for Selective Service System (SSS) materials; effective September 12, 1998, this part was revised to include new SSS registration procedures (*Postal Bulletin* 21981, 9-24-98; *Postal Bulletin* 21980, 9-10-98).

Chapter 2

21: Effective April 19, 2001, this subchapter, which pertains to philatelic policies and procedures, was revised to reflect changes to the criteria that the Citizens' Stamp Advisory Committee uses to recommend all U.S. postage stamps and stationery (*Postal Bulletin* 22048, 4-19-01).

243.2: Effective June 13, 2002, this section was revised to remove references to special delivery, a delivery option that is no longer available (*Postal Bulletin* 22078, 6-13-02).

Chapter 3

327: Effective October 4, 2001, this part was revised to incorporate changes to the field procedures for administering plant load operations (*Postal Bulletin* 22060, 10-4-01).

Chapter 4

439: Effective January 13, 2000, this part was added to describe ZIP Code authorization and assignment procedures (*Postal Bulletin* 22015, 1-13-00).

443.31c(5): Effective June 13, 2002, this item was revised to remove references to special delivery, a delivery option that is no longer available (*Postal Bulletin* 22078, 6-13-02).

458: Effective November 1, 2001, this part was revised to include recent updates to the national color-code policy for Standard Mail (*Postal Bulletin* 22062, 11-1-01).

491.5: Effective November 5, 1998, this section was revised to reflect new accounting procedures for individual-piece franked mail entered by state offices of individual senators at Post Offices outside Washington, DC (*Postal Bulletin* 21984, 11-5-98).

492: Effective April 4, 2002; October 19, 2000; September 7, 2000; and February 10, 2000, this part was revised with updates to political campaign mail procedures (*Postal Bulletin* 22073, 4-4-02; *Postal Bulletin* 22035, 10-19-00; *Postal Bulletin* 22032, 9-7-00; *Postal Bulletin* 22017, 2-10-00).

Chapter 6

611.1c(1), 619.121, 619.125, Exhibit 619.125, 619.211a, 619.211e, 619.212, 619.221, 619.224, 619.231, 619.232, 619.24, 663.1, 663.3, 683.23, 683.25, 691.33, and 691.594: Effective June 22, 2000, these sections were revised or

added to reflect changes related to the signature capture process and electronic record management system (*Postal Bulletin* 22028, 7-13-00).

611.43: Effective August 26, 1999, this section was revised concerning the return of checks issued by the federal government (*Postal Bulletin* 22005, 8-26-99).

612.12: Effective September 7, 2000, this section was revised to reflect changes that allow the use of "PMB" or "#," in certain conditions, as the secondary address designation in the complete delivery address for commercial mail receiving agency (CMRA) customers; effective April 24, 1999, this section was revised to reflect changes to regulations concerning mail delivery procedures to a CMRA (*Postal Bulletin* 22032, 9-7-00; *Postal Bulletin* 21994, 3-25-99).

612.13: Effective March 8, 2001, this section was revised to clarify regulations for mail delivery procedures to a CMRA; effective September 7, 2000, this section was revised to reflect changes that allow the use of "PMB" or "#," in certain conditions, as the secondary address designation in the complete delivery address for CMRA customers; effective April 24, 1999, this section was revised to reflect changes to regulations concerning mail delivery procedures to a CMRA (*Postal Bulletin* 22045, 3-8-01; *Postal Bulletin* 22032, 9-7-00; *Postal Bulletin* 21994, 3-25-99).

612.14: Effective December 14, 2001, a new section 612.14 was added to reflect changes in mail delivery procedures to a CMRA, with former sections 612.14 and 612.15 renumbered as 612.15 and 612.16, respectively (*Postal Bulletin* 22064, 11-29-01).

612.15: Effective December 14, 2001, former section 612.14 was renumbered as 612.15; effective April 24, 1999, this section (section 612.14 in POM 8) was revised with updated regulations concerning mail delivery procedures to a CMRA (*Postal Bulletin* 22064, 11-29-01; *Postal Bulletin* 21994, 3-25-99).

612.16: Effective December 14, 2001, former section 612.15 was renumbered as 612.16; effective April 24, 1999, this section (section 612.15 in POM 8) was revised with updated regulations concerning mail delivery procedures to a CMRA (*Postal Bulletin* 22064, 11-29-01; *Postal Bulletin* 21994, 3-25-99).

612.41: Effective June 26, 2003, this section was revised to extend the length of time carriers hold the mail of a deceased person from 10 to 20 days (*Postal Bulletin* 22105, 6-26-03).

617.1: Effective June 13, 2002, this section was revised to remove references to special delivery, a delivery option that is no longer available (*Postal Bulletin* 22078, 6-13-02).

619.122: Effective June 13, 2002, this section was revised to remove references to special delivery, a delivery option that is no longer available (*Postal Bulletin* 22078, 6-13-02).

619.3: Effective June 22, 2000, this section was deleted, with section 619.4 renumbered as 619.3 and revised to reflect changes related to the signature capture process and electronic record management system (*Postal Bulletin* 22028, 7-13-00).

631.82, item IV B (1) and Supplemental Postal Agreement: Administrative Details item IV A: Effective June 13, 2002, these items were revised to remove references to special delivery, a delivery option that is no longer available (*Postal Bulletin* 22078, 6-13-02).

632: Effective with the publication of POM 9 (July 2002), this part was revised to update information on mail receptacles.

632.526: Effective February 11, 1999, this section was revised to clarify when customers of rural and highway contract routes may share a single mail receptacle (*Postal Bulletin* 21989, 1-14-99).

632.626c: Effective June 13, 2002, this item was revised to remove references to special delivery, a delivery option that is no longer available (*Postal Bulletin* 22078, 6-13-02).

642.3: Effective June 13, 2002, this section was revised to remove references to special delivery, a delivery option that is no longer available (*Postal Bulletin* 22078, 6-13-02).

67: Effective June 13, 2002, this subchapter, which addressed special delivery, a delivery option that is no longer available, was renamed and revised (*Postal Bulletin* 22078, 6-13-02).

682.3e: Effective June 13, 2002, this item was revised to remove references to special delivery, a delivery option that is no longer available; effective June 22, 2000, this section was revised to reflect changes related to the signature capture process and electronic record management system (*Postal Bulletin* 22078, 6-13-02; *Postal Bulletin* 22028, 7-13-00).

682.4: Effective June 13, 2002, this section was revised to remove references to special delivery, a delivery option that is no longer available; effective June 22, 2000, this section was revised to reflect changes related to the signature capture process and electronic record management system (*Postal Bulletin* 22078, 6-13-02; *Postal Bulletin* 22028, 7-13-00).

692.11: Effective March 22, 2001, this section was revised to reflect changes in the mail recovery center (MRC) addresses for administrative mail and undeliverable mail processing (*Postal Bulletin* 22046, 3-22-01).

Chapter 8

81: Effective June 22, 2000, this subchapter was revised to reflect changes related to the signature capture process and electronic record management system, including addition of new section 811 and renumbering of former sections 811 through 815 as 812 through 816, respectively (*Postal Bulletin* 22028, 7-13-00).

811.1: Effective July 26, 2001, this new section (not in POM 8 — see entry for subchapter 81) was revised to update Signature Confirmation service information and Delivery Confirmation service information (*Postal Bulletin* 22055, 7-26-01).

813.31: Effective June 13, 2002, this section (section 812.31 in POM 8) was revised to remove references to special delivery, a delivery option that is no longer available (*Postal Bulletin* 22078, 6-13-02).

813.41d: Effective June 13, 2002, this item (item 812.41d in POM 8) was revised to remove references to special delivery, a delivery option that is no longer available (*Postal Bulletin* 22078, 6-13-02).

815.31: Effective June 13, 2002, this section (section 814.31 in POM 8) was revised to remove references to special delivery, a delivery option that is no longer available (*Postal Bulletin* 22078, 6-13-02).

816: Effective June 13, 2002, this part (part 815 in POM 8), which addressed special delivery, a delivery option that is no longer available, was deleted, with sections 817 and 818 renumbered as 816 and 817, respectively (*Postal Bulletin* 22078, 6-13-02).

817: Effective June 13, 2002, this part was renumbered as 816; effective July 26, 2001, this part (former part 817, current part 816) was revised to update Delivery Confirmation service information; effective June 22, 2000, this part (former part 817, current part 816) was added to describe Delivery Confirmation service information (*Postal Bulletin* 22078, 6-13-02; *Postal Bulletin* 22055, 7-26-01; *Postal Bulletin* 22028, 7-13-00).

817.32: Effective June 13, 2002, this section was renumbered as 816.32; effective November 30, 2000, this section (former section 817.32, current section 816.32) was revised to change the words *military installations* to *APO/FPO addresses* (*Postal Bulletin* 22078, 6-13-02; *Postal Bulletin* 22038, 11-30-00).

818: Effective June 13, 2002, this part was renumbered as 817; effective July 26, 2001, this part (former part 818, current part 817) was added to describe Signature Confirmation service information (*Postal Bulletin* 22078, 6-13-02; *Postal Bulletin* 22055, 7-26-01).

822.21 and 822.22: Effective September 7, 2000, these sections were revised to expand information on the hand stamp used by customers for acceptance of accountable mail (*Postal Bulletin* 22032, 9-7-00).

823.32: Effective July 1, 2001, this section was revised to update authorized agent information to support the revised PS Form 3849 and the electronic record management program (*Postal Bulletin* 22053, 6-28-01).

824.1, 824.4, 824.5, 824.6, 824.72c, 824.74, and 824.84: Effective June 22, 2000, these sections were revised to reflect changes related to the signature capture process and electronic record management system (*Postal Bulletin* 22028, 7-13-00).

824.71: Effective June 13, 2002, this section was revised to remove references to special delivery, a delivery option that is no longer available (*Postal Bulletin* 22078, 6-13-02).

824.81d: Effective June 13, 2002, this item was revised to remove references to special delivery, a delivery option that is no longer available (*Postal Bulletin* 22078, 6-13-02).

831.32: Effective with the publication of POM 9 (July 2002), this section was revised to reflect changes to the procedures for handling spoiled or incorrectly issued money orders.

841.122, 841.32, and 841.33: Effective August 23, 2001, these sections were revised to clarify the application procedure for Post Office box service (*Postal Bulletin* 22057, 8-23-01).

841.211 and 842.22: Effective May 4, 2000, these sections were revised to reflect the correct document ID and title of the P.O. Box Fee Due (*Postal Bulletin* 22023, 5-4-00).

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1 Retail Management

11 Introduction

The mission of the United States Postal Service is to provide quality products and services at a reasonable cost. Most retail products and services are purchased at postal facilities. In the past, that has meant local Post Offices. Today, however, there are a variety of innovative channels for selling Postal Service products and services. This chapter outlines the basic types of retail Postal Service outlets and purchasing methods. It also provides an overview of services, from accepting mail at retail service counters to providing temporary philatelic stations at first-day-of-issue stamp ceremonies.

12 Retail Facility Management

121 Retail Analysis Program (RAP)

121.1 Purpose and Scope

The Retail Analysis Program (RAP) is the primary method for determining the staffing of postal retail facilities. It is an operations research and marketing tool that helps local managers make better decisions regarding staffing of postal retail outlets to meet customer needs. Requests to Area Operations management for approval of retail facility establishments, relocations, expansions, consolidations, or discontinuances must be based on data developed from RAP surveys.

121.2 Responsibilities

121.21 Headquarters

Retail Operations, Marketing and Operations Systems Support, Operations Support, Headquarters, develops and refines the retail analysis methods and supports implementation in the field by providing technical support.

121.22 Areas

Area RAP managers develop area programs, approve and coordinate implementation, and monitor results.

121.23 Districts

121.231 District Manager, Customer Service and Sales

Each district manager, Customer Service and Sales, has the following responsibilities:

a. Designates and supports a knowledgeable member of the supervisory staff as the district coordinator. Larger Post Offices within the district may require their own coordinator.

b. Reviews the progress and results of local surveys and is responsible for their success.

121.232 District Coordinator

District coordinators manage the RAP surveys for their areas. Coordinators ensure that data are gathered, processed, analyzed, and presented to management. Coordinators supervise the development of local implementation plans and may also help to implement them.

121.3 RAP Surveys

121.31 General

All district surveys are conducted on a full district basis; that is, all Post Offices within the district are included in the survey. Each district must perform the following tasks:

a. Conduct a full RAP survey at least once every 5 years. A full RAP survey covers all areas of postal service as listed in Exhibit 121.31.

b. Conduct scheduling and staffing surveys (that is, survey of retail transactions) in all Management Operating Data System (MODS) I and II offices at least once every 2 years.

c. Conduct RAP surveys more frequently on an as-needed basis where there has been a significant change that affects revenues, workload, and/or customers (such as establishment of a contract postal unit in the service area).

Note: Some regions of the country may require more frequent surveys because of growth or decline in population.

Exhibit 121.31
RAP Surveys and Reports

Survey	Purpose	Reports
Retail Service Counter Transactions	To relate staff utilization to workload, adequacy of service, and feasibility of self-service equipment.	1. Queuing analysis. 2. Service evaluation. 3. Product mix.
Customer Origin and Destination	To determine the proper location of retail outlets.	Maps showing shopping patterns of postal customers.
Post Office Boxes	To determine the need and locations for Post Office boxes.	Maps showing where customers open and process their mail.
Meter Customers	To determine meter demand in high density areas.	Maps showing meter holder locations and meter setting frequencies.
Vending Equipment	To determine if vending equipment is in the best location for the benefit of customers.	Maps showing areas customers travel from to use vending equipment.
Customer Attitude	To find out what customers think of the service they receive.	Tabulations of clerk courtesy, housekeeping efficiency, and aspects of service viewed by customers.
Projections of 5-Year Growth	To help plan for future growth.	Maps of projected demand.

121.32 Survey Steps

121.321 General

The steps involved in conducting a RAP survey are as follows:

a. Determine survey plan (timetable).

b. Collect data.

c. Prepare and process data.

d. Analyze data.

e. Recommend where retail facilities should be located.

f. Determine projected savings.

g. Determine projected revenue.

121.322 Determine Survey Plan

District management determines survey priorities that depend on immediate needs, and the district coordinator develops a timetable based on these priority decisions. The timetable, when approved, serves as the district survey plan.

When the district survey plan (timetable) has been developed and approved, local postmasters describe the intended surveys and their purposes to the press and other media in accordance with applicable regulations governing such announcements (see *Administrative Support Manual* (ASM) 33).

121.323 **Collect Data**

A profile of each Post Office, station, and branch is determined from the existing Post Office and community records. This information includes population served, revenue (meter, bulk mailings, and walk-in), the number of Post Office boxes assigned, and other pertinent data. Data on population growth trends, building and construction permits, and so forth are obtained from local municipal and governmental authorities. Official maps for locating customer demand and postal facilities are obtained from the U.S. Geological Survey. This information is used to identify facility sites.

121.324 **Prepare and Process Data**

See Exhibit 121.31, which identifies the various RAP surveys, their purposes, and the reports resulting from each.

121.325 **Analyze Data**

Two major analyses are conducted in a RAP survey:

a. One analysis uses data on customer shopping patterns and future growth both to support planning for the retail outlets that will meet future customer needs and to adjust the current retail network to improve its effectiveness.

b. The other model uses data on the transactions conducted at each retail facility to assess retail service counter scheduling and staffing requirements.

121.326 **Make Recommendations**

Generally, the recommendations are made to district and Post Office management in two major presentations — one on facility deployment and the other on scheduling and staffing.

a. *Deployment.* RAP surveys show where facilities are needed to meet customer demand. RAP lets local managers begin actions to establish, close, consolidate, relocate, or enlarge postal retail facilities.

b. *Staffing.* RAP surveys may indicate the need for additional personnel, or the need for a reduction in workhours in certain facilities. Local managers should take appropriate action in accordance with Handbook EL-901, *Agreement Between United States Postal Service and American Postal Workers Union, AFL-CIO, and National Association of Letter Carriers, AFL-CIO (1994–1998).*

122 Types of Retail Facilities

The Postal Service operates numerous types of retail facilities. Part 123 contains the definitions of each type of facility and the procedures for their establishment, naming, and discontinuance.

123 Post Offices, Stations, Branches, Contract Postal Units, and Nonpersonnel Units

123.1 Definitions and Classification

123.11 Post Offices

Post Offices are established and maintained at locations deemed necessary to ensure that complete postal services are available to all customers within specified boundaries of named geographic places. As of October 1 of each year, Post Offices are categorized through a cost ascertainment grouping (CAG) process based on allowable postal revenue units for the second proceeding fiscal year as follows:

a. CAG A–G, Post Offices having 950 or more revenue units.

b. CAG H–J, Post Offices having at least 190 but fewer than 950 revenue units.

c. CAG K, Post Offices having at least 36 but fewer than 190 revenue units.

d. CAG L, Post Offices having fewer than 36 revenue units.

123.12 Subordinate Units

123.121 General

Stations, branches, contract postal units (CPUs), and nonpersonnel units are subordinate units located within main Post Offices' service areas to provide one or more services at sites more convenient to customers.

123.122 Classified Units

Classified units are stations and branches operated by postal employees in quarters owned or leased by the Postal Service.

123.123 Stations

Stations are located within the corporate limits or city carrier delivery area of the city or town in which the main Post Office is located.

123.124 Branches

Branches are located outside the corporate limits or city carrier delivery area of the city or town in which the main office is located.

123.125 Contract Postal Units

Contract postal units (CPUs) are stations, branches, and community Post Offices (CPOs) operated under contract by persons who are not postal employees. The quarters are provided by the contractor. Contracts to operate contract units provide that the contractor will transact specified postal business.

123.126 Community Post Offices

Community Post Offices (CPOs) are contract units that provide service in small communities where an independent Post Office has been discontinued. A CPO bears its community's name and ZIP Code™ as part of a recognized mailing address.

123.127 Nonpersonnel Units

Nonpersonnel units are small nonstaffed stations and branches that are serviced by a rural carrier in quarters provided by a contractor. The contractor also furnishes custodial and security support. A nonpersonnel unit provides on a self-service basis essential mail services such as the collection and delivery of mail and the sale of stamps. Rural carriers, who are required to remain at nonpersonnel units for a minimum of 15 minutes each day their routes operate, provide other services such as the sale of Money Orders and the acceptance and delivery of Certified Mail™, Insured Mail, Registered Mail™, Delivery Confirmation™, and COD Mail, and all other special services.

123.13 Military Post Offices

123.131 Description

A *military Post Office* (MPO) is a branch of a United States civil Post Office, operated by the Army, Navy, Air Force, or Marine Corps to serve military personnel aboard ships or overseas. The term includes Army and Air Force Post Offices (APOs) and Navy and Marine Corps Post Offices (FPOs). MPOs provide postal service for military personnel where the United States civil Postal Service does not operate and in other places where the military situation requires.

123.132 Establishment and Discontinuance

A military Post Office is established or discontinued only upon request of the military department by which it is operated. These units are authorized and discontinued by the Postal Service, and changes are published in the *Postal Bulletin.*

123.2 Establishment

123.21 General

Post Offices, stations, branches, and contract postal units are established and maintained for the convenience of postal customers and are operational as needed.

123.22 Post Offices

Although there is no fixed minimum population requirement for establishing an independent Post Office, new Post Offices are established only when the Postal Service cannot provide adequate service through the Post Offices, stations, branches, or CPUs currently serving the area; through a new station, branch, or CPU; or by an extension of city, rural, or highway contract delivery. Post Offices are not established solely for community identity. New offices must be recommended by the vice president, Area Operations, for final approval by the Chief Marketing Officer and Senior Vice President.

123.23 Classified Units

Vice presidents, Area Operations, or their designees have the authority to approve the establishment of classified stations and branches where needed within the service areas of Post Offices.

123.24 Contract Units

123.241 Authority

Vice presidents, Area Operations, or their designees have the authority to establish contract units; however, approval authority may not be delegated below the district manager level.

123.242 Requirements

Postmasters or installation managers identify the need and request approval to solicit contracts, evaluate business proposals submitted by offices, and supervise performance under the contract.

123.243 Purchasing

Purchasing and material service centers (P&MSCs) solicit offers and award contracts for contract postal units. All contracts are awarded through negotiation and are for an indefinite period.

123.244 Bonds

Generally, contract postal unit bonds are required before a postal unit contract may be awarded, but in certain circumstances the contracting officer may waive this requirement.

123.245 Payment

Upon contract award of the contract postal unit, the contracting officer must send a completed Contract Unit Payment Authorization (see Exhibit 123.245) to the St. Louis Accounting Service Center, which will issue payments to the contractor.

Exhibit 123.245
Payment Authorization

U.S. Postal Service Contract Unit Payment Authorization

1. Contract Number:
_____

2. a.Issued by:

U.S. Postal Service

b.ACO Code:
c.For Information Call:

3. Performance Requirements:

a. Administrative Post Office:

b. COR Name:
c. Telephone:

4. a.Payee/Owner:

b.Contract Name:
c.Telephone:
d.TIN:
e.Parent TIN:

5. Accounts Payable Data: (Completed by Contracting Office)

Type of Action:
Budgetary Finance No:
Account No: 52301
Total Hours of Operation per Week:
Annual Rate:
Days of Operation per Week:
Hours of Operation each Day:
Contract Unit Name:
Remarks:

Unit Designation:
Begin Date:
End Date:
(Not) Minimum Wage
Location:

Completed by Accounting Service Center

FAC S Loc _____ VDF Code _____

6. Signature of U.S. Postal Service Contracting Officer:

_____ _____ _____
Signature *Name* *Date Submitted*

This document may be issued at the time of award or later with a modification, if necessary, to inform the Accounting Service Center that operations have begun. The original document should be mailed to the Accounting Service Center, U.S. Postal Service, Attn: Rent and Lease Section, P.O. Box 80103, St. Louis, MO 63180-0103. Copies should be placed in the Contract File and mailed to the COR.

123.3 Location of Postal Units

123.31 General

The specific site for a new or relocated facility providing retail services to the public is determined, to the extent possible, through community current and projected needs and the RAP Facilities Deployment and Staffing Survey. The Customer Service Support manager at the district level should recommend location based on customer accessibility and convenience.

123.32 Prohibited Locations

Postal units may not be located in, or directly connected to, a room in which intoxicating beverages are sold for consumption on the premises.

123.4 Names and ZIP Code Assignments and Changes

123.41 Post Office Names

123.411 General

A Post Office normally should bear the official name of the incorporated city, town, or borough in which it is located, as shown in its charter. A Post Office located in an unincorporated place should generally bear the approved name of the principal community served (normally the community in which located) or the approved name of the town or township, if it serves a number of communities within a town or township.

123.412 Changes in Names of Post Offices

Requests for a change to a Post Office name should be initiated by the local postmaster. This request should be submitted through the district manager, Customer Service and Sales, to the vice president, Area Operations, for transmittal with a recommendation to the manager, Address Management, at Headquarters.

123.413 Designations of Stations, Branches, Community Post Offices, and Other Named Postal Facilities

Community Post Offices, stations, branches, and other named postal facilities generally are given names recognized by the public that indicate their locations, using the following policies:

a. *Station Designations.* A station's name should describe its location (neighborhood or section of city, for example) to the general public. There must be no duplication of station names within a single city, county, or 3-digit ZIP Code area.

b. *Community Post Office (CPO) and Branch Designations.* CPO and classified branch names are valid mailing addresses. Therefore, each CPO or classified branch must bear the name of the principal community it serves. There must be no duplication among CPO, classified branch, and Post Office names within a state. Each contract branch also must bear the name of the principal community it serves. Exceptions are historic sites, etc. (e.g., Grand Canyon Branch), which must be approved by the vice president, Operations Support. When there is a proposal to discontinue a Post Office and establish a CPO in the same community, the name of the CPO is the same as the name of the discontinued Post Office.

c. *Permanent Numbers and Letters.* The use of permanent number and letter designations for branches or classified stations is prohibited, unless the number or letter signifies a location, as in M Street Station, 3rd Avenue Station, or Fourth of July Plaza Station. Number and letter designations in use at the time of the establishment of this regulation may continue to be used permanently.

d. *Temporary Numbers and Letters.* Number and letter designations for branches and classified stations that do not signify locations, such as Station A or Branch #4, may be used for a period not to exceed 1 year. These designations may be used beyond 1 year only by written approval of the vice president, Area Operations.

e. *Facilities Named for Individuals.* A postal facility may be named for an individual only with the approval of the Postmaster General and only if the individual has been deceased for at least 10 years, with the exception of deceased U.S. Presidents, Postmasters General, or former members of the Board of Governors. Official commitments announced prior to the establishment of this regulation will be fulfilled. Occasionally, Congress honors an individual by passing a law naming a postal facility after that individual. For the procedures to be followed in such cases, see ASM 519.2.

f. *Changes in Designations of Stations, Branches, or Other Named Postal Facilities.* A local request for a change in the name of a station, branch, or other named postal facility must be submitted to the vice president, Area Operations. A request that results from an official change in the community's name is handled as a change in the name of a Post Office (see 123.412). The vice president, Area Operations, has authority, subject to the policies established in this section, to approve all other changes in designations of facilities, except for those discussed in 123.413a.

123.42 Names and ZIP Code Assignments and Changes

Vice presidents, Area Operations, are responsible for the review of all ZIP Code assignments and changes within their respective areas. See 439 for procedural guidelines for the assignment of ZIP Codes. Postmasters and other field officials will not authorize or change ZIP Code numbers or boundaries without prior area and Headquarters approval.

123.5 Reporting Requirements

123.51 Change in Post Office and ZIP Code Status

Immediately on approval of a change in status or detection that a previous reporting was erroneous, areas will ensure that PS Form 1362, *Post Office Status Change Report,* is completed and submitted to Address Management, Operations Support, at Headquarters. Instructions for completion are on the reverse of the form. The actions to be reported are as follows:

a. Establishment or discontinuance of a postal unit (including contract units) or a ZIP Code delivery area.

b. Conversion of a postal unit from one type to another.

c. A change in the name of a postal unit or the ZIP Code for a delivery area.

d. Establishment of city delivery service.

e. Relocation of a postal unit to a building with a different owner status (from a privately owned to a Postal Service-owned building).

f. Detection that information reported from the National ZIP Code Information System files is in error.

123.6 Discontinuance of Post Offices

123.61 Introduction

123.611 Coverage

This section establishes the rules governing the Postal Service's consideration of whether an existing Post Office should be discontinued. The rules cover any proposal to replace a Post Office with a community Post Office, station, or branch by consolidation with another Post Office and any proposal to discontinue a Post Office without providing a replacement facility.

123.612 Legal Requirements

Under 39 United States Code (U.S.C.) 404(b), any decision to close or consolidate a Post Office must be based on certain criteria. These include the effect on the community served; the effect on employees of the Post Office; compliance with government policy established by law that the Postal Service must provide a maximum degree of effective and regular postal services to rural areas, communities, and small towns where Post Offices are not self-sustaining; the economic savings to the Postal Service; and any other factors the Postal Service determines necessary. In addition, certain mandatory procedures apply:

a. The public must be given 60 days' notice of a proposed action to enable the persons served by a Post Office to evaluate the proposal and provide comments.

b. After public comments are received and taken into account, any final determination to close or consolidate a Post Office must be made in writing and must include findings covering all the required considerations.

c. The written determination must be made available to persons served by the Post Office at least 60 days before the discontinuance takes effect.

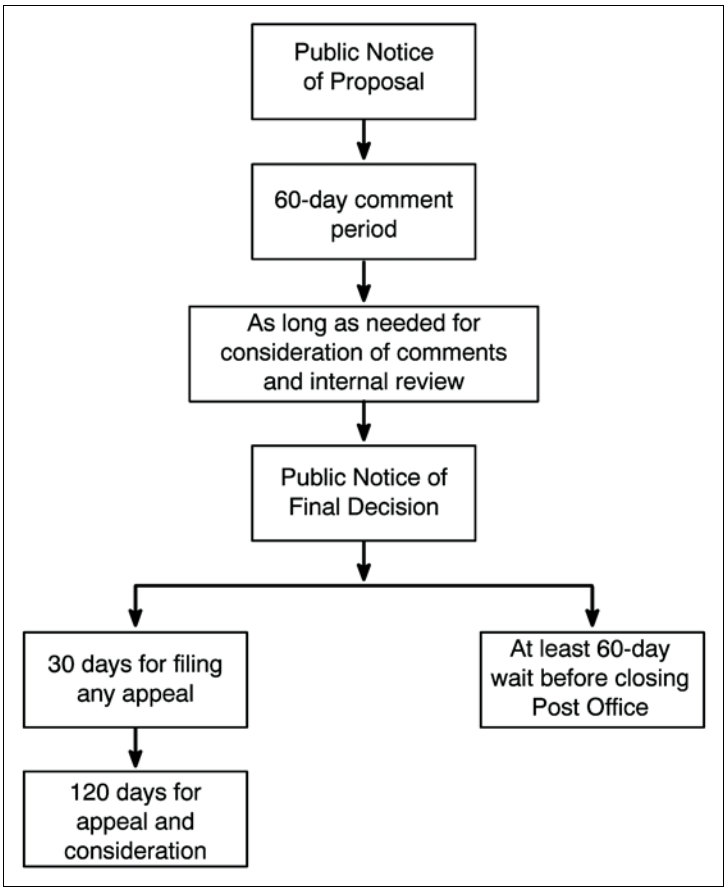
d. Within the first 30 days after the written determination is made available, any person regularly served by the affected Post Office may appeal the decision to the Postal Rate Commission.

e. The Commission may affirm the Postal Service determination or return the matter for further consideration, but may not modify the determination.

f. The Commission is required by 39 U.S.C. 404(b)(5) to make a determination on the appeal no later than 120 days after receiving the appeal.

g. A summary table of the notice and appeal periods under the statute for these regulations is in Exhibit 123.612.

Exhibit 123.612
Public Notice of Proposal



123.613 **Additional Requirements**

Section 123.6 includes the following:

a. Rules to ensure that the community's identity as a postal address is preserved.

b. Rules for consideration of a proposed discontinuance and for its implementation, if approved. These rules are designed to ensure that the reasons leading a district manager, Customer Service and Sales, to propose the discontinuance of a particular Post Office are fully articulated and disclosed at a stage that enables customer participation to make a helpful contribution toward the final decision.

123.62 Preservation of Community Address

123.621 **Policy**

The Postal Service permits the use of a community's separate address to the extent practicable.

123.622 **ZIP Code Assignment**

The ZIP Code for each address formerly served from the discontinued Post Office should be kept, wherever practical. In some cases, the ZIP Code originally assigned to the discontinued Post Office may be changed, if the responsible district manager, Customer Service and Sales, submits a

request with justification to the appropriate vice president, Area Operations, before the proposal to discontinue the Post Office is posted.

a. In a consolidation, the ZIP Code for the replacement community Post Office, station, or branch is the ZIP Code originally assigned to the discontinued Post Office.

b. If the ZIP Code is changed and the parent Post Office covers several ZIP Codes, the ZIP Code must be that of the delivery area in which the facility is located.

123.623 **Post Office Name in Address**

If all the delivery addresses using the name of the Post Office to be discontinued are assigned the same ZIP Code, customers may continue to use the discontinued Post Office name in their addresses instead of the new delivering Post Office name.

123.624 **Name of Facility Established by Consolidation**

If a Post Office to be discontinued is consolidated with one or more Post Offices by establishing in its place a community Post Office, classified or contract station, or branch affiliated with another Post Office involved in the consolidation, the replacement unit is given the same name as the discontinued Post Office.

123.625 **List of Discontinued Post Offices**

Publication 65, *National Five-Digit ZIP Code and Post Office Directory,* lists all Post Offices discontinued after October 1, 1992, for mailing address purposes only if they are used in addresses. The ZIP Codes listed for discontinued offices are those assigned under 123.622.

123.63 Initial Proposal

123.631 **General**

If a district manager, Customer Service and Sales, believes that the discontinuance of a Post Office within his or her responsibility may be warranted, the manager:

a. Must use the standards and procedures in 123.63 and 123.64.

b. Must investigate the situation.

c. Must propose the Post Office be discontinued.

123.632 **Consolidation**

The proposed action may include a consolidation of Post Offices to substitute a community Post Office or a classified or contract station or branch for the discontinued Post Office if either of the following conditions applies:

a. The communities served by two or more Post Offices are being merged into a single incorporated village, town, or city; or

b. A replacement facility is necessary for regular and effective service to the area served by the Post Office considered for discontinuance.

123.633 **Views of Postmasters**

Whether the discontinuance under consideration involves a consolidation or not, the district manager, Customer Service and Sales, must discuss the matter with the postmaster (or the officer in charge) of the Post Office

considered for discontinuance and with the postmaster of any other Post Office affected by the change. The manager should make sure these officials submit written comments and suggestions as part of the record when the proposal is reviewed.

123.634 **Preparation of Written Proposal**

The district manager, Customer Service and Sales, must gather and preserve for the record all documentation used to assess the proposed change. If the manager thinks the proposed action is warranted, he or she must prepare a document titled *Proposal to (Close) (Consolidate) the (Name) Post Office.* This document must describe, analyze, and justify in sufficient detail to Postal Service management and affected customers the proposed service change. The written proposal must address each of the following matters in separate sections:

a. *Responsiveness to Community Postal Needs.* It is the policy of the government, as established by law, that the Postal Service will provide a maximum degree of effective and regular postal services to rural areas, communities, and small towns where Post Offices are not self-sustaining. The proposal should contrast the services available before and after the proposed change; describe how the changes respond to the postal needs of the affected customers; and highlight particular aspects of customer service that might be less advantageous as well as more advantageous.

b. *Effect on Community.* The proposal must include an analysis of the effect the proposed discontinuance might have on the community served, and discuss the application of the requirements in 123.62.

c. *Effect on Employees.* The written proposal must summarize the possible effects of the change on the postmaster, supervisors, and other employees of the Post Office considered for discontinuance. (The district manager, Customer Service and Sales, must suggest measures to comply with personnel regulations related to Post Office discontinuance and consolidation.)

d. *Savings.* The proposal must include an analysis of the economic savings to the Postal Service from the proposed action, including the cost or savings expected from each major factor contributing to the overall estimate.

e. *Other Factors.* The proposal should include an analysis of other factors that the district manager, Customer Service and Sales, determines necessary for a complete evaluation of the proposed change, whether favorable or unfavorable.

f. *Summary.* The proposal must include a summary that explains why the proposed action is necessary and assesses how the factors supporting the proposed change outweigh any negative factors. In taking competing considerations into account, the need to provide regular and effective service is paramount.

g. *Notice.* The proposal must include the following notice: THIS IS A PROPOSAL. IT IS NOT A FINAL DETERMINATION TO (CLOSE) (CONSOLIDATE) THIS Post Office.

(1) If a final determination is made to close or consolidate this Post Office, after public comments on this proposal are received and taken into account, a notice of final determination will be posted in this Post Office.

(2) The final determination must contain instructions on how affected customers may appeal that decision to the Postal Rate Commission. Any such appeal must be received by the Commission within 30 days of the posting of the final determination.

123.64 Notice, Public Comment, and Record

123.641 Posting Proposal and Comment Notice

A copy of the written proposal and a signed invitation for comments must be posted prominently in each affected Post Office. The invitation for comments must do the following:

a. Ask interested persons to provide written comments, within 60 days, to a stated address offering specific opinions and information, favorable or unfavorable, on the potential effect of the proposed change on postal services and the community.

b. State that copies of the proposal with attached optional comment forms are available in the affected Post Offices.

c. Provide a name and telephone number to call for information.

123.642 Proposal and Comment Notice

Exhibit 123.642 is a sample format that may be used for the proposal and comment notice.

Exhibit 123.642
Proposal and Comment Notice for Post Office Closing or Consolidation

UNITED STATES POSTAL SERVICE

Proposal to (Close) (Consolidate) the (Name) Post Office and Optional Comment Form

Attached is a proposal that we are considering for providing your community with more economical and efficient postal service, while also providing regular and effective service. Please read the proposal carefully and then let us have your comments and suggestions. If you choose, you may use the form below. Your comments will be carefully considered and will be made part of a public record. If you use the form below and need more space, please attach additional sheets of paper.
Return the completed form to _____ by _____.

In considering this proposal, if you have any questions you want to ask a postal official, you may call _____ whose telephone number is _____.

I. Effect on Your Postal Services

Please describe any favorable or unfavorable effects that you believe the proposal would have on the regularity or effectiveness of your postal service.

_____
_____
_____
_____

II. Effect on Your Community

Please describe any favorable or unfavorable effects that you believe the proposal would have on your community.

_____
_____
_____
_____

III. Other Comments

Please provide any other view or information that you believe the Postal Service should consider in deciding whether to adopt the proposal.

_____
_____
_____
_____
_____
_____

_____
(Signature of Postal Customer) (Date)

_____
(Mailing Address)

_____
(City) (State) (ZIP Code)

123.643 **Other Steps**

In addition to providing notice and inviting comment, the district manager, Customer Service and Sales, must take any other steps necessary to ensure that the persons served by the Post Office affected understand the nature and implications of the proposed action (e.g., meeting with community groups and following up on comments received that seem to be based on incorrect assumptions or information).

a. If oral contacts develop views or information not previously documented, whether favorable or unfavorable to the proposal, the district manager, Customer Service and Sales, should encourage persons offering the views or information to provide written comments to preserve them for the record.

b. As a factor in making his or her decision, the district manager, Customer Service and Sales, may not rely on communications received from anyone unless submitted in writing for the record.

123.644 **Record**

The district manager, Customer Service and Sales, must keep as part of the record for his or her consideration and for review by the Chief Marketing Officer and Senior Vice President all the documentation gathered about the proposed change.

a. The record must include all information that the district manager, Customer Service and Sales, considered, and the decision must stand on the record. No information or views submitted by customers may be excluded.

b. The docket number assigned to the proposal must be the ZIP Code of the office proposed for closing or consolidation.

c. The record must include a chronological index in which each document is identified and numbered as filed.

d. As written communications are received in response to the public notice and invitation for comments, they are included in the record.

e. A complete copy of the record must be available for public inspection during normal office hours at the Post Office proposed for discontinuance or at the Post Office providing alternative service, if the office to be discontinued was temporarily suspended under 123.7, Emergency Suspension of Service, beginning no later than the date on which notice is posted and extending through the comment period.

f. Copies of documents in the record (except the proposal and comment form) are provided on request and upon payment of fees as listed in ASM 352.7.

123.65 Consideration of Public Comments and Final Local Recommendation

123.651 **Analysis of Comments**

After waiting not less than 60 days after notice is posted under 123.641, the district manager, Customer Service and Sales, must prepare an analysis of the public comments received for consideration and inclusion in the record. If possible, comments subsequently received should also be included in the

analysis. The analysis should list and briefly describe each point favorable to the proposal and each point unfavorable to the proposal. The analysis should identify to the extent possible how many comments support each point listed.

123.652 Reevaluation of Proposal

After completing the analysis, the district manager, Customer Service and Sales, must review the proposal and reevaluate all the previously made tentative conclusions in light of any additional customer information and views in the record.

a. *Discontinuance Not Warranted.* If the district manager, Customer Service and Sales, decides against the proposed discontinuance, he or she must post, in the Post Office considered for discontinuance, a notice stating that the proposed closing or consolidation is not warranted.

b. *Discontinuance Warranted.* If the district manager, Customer Service and Sales, decides that the proposed discontinuance is justified, the appropriate sections of the proposal must be revised, taking into account the comments received from the public. After making necessary revisions, the manager must take the following steps:

(1) Forward the revised proposal and the entire record to the vice president, Delivery and Retail, for final review.

(2) Attach a certificate that all documents in the record are originals or true and correct copies.

123.66 Postal Service Decision

123.661 General

The vice president, Delivery and Retail, or a designee, must review the proposal of the district manager, Customer Service and Sales. This review and the decision on the proposal must be based on and supported by the record developed by the district manager. The vice president, Delivery and Retail, can instruct the district manager to provide more information to supplement the record. Each such instruction and the response must be added to the record. The decision on the proposal of the district manager, which must also be added to the record, may approve or disapprove the proposal, or return it for further action as set forth below.

123.662 Approval

The vice president, Delivery and Retail, or a designee, may approve the proposal of the district manager, Customer Service and Sales, with or without further revisions. If approved, the term *Final Determination* is substituted for *Proposal* in the title. A copy of the Final Determination must be provided to the district manager. The Final Determination constitutes the Postal Service determination for the purposes of 39 U.S.C. 404(b). The Final Determination must include the following notices:

a. *Supporting Materials.* “Copies of all materials on which this Final Determination is based are available for public inspection at the (Name) Post Office during normal office hours.”

b. *Appeal Rights.* “This Final Determination to (close) (consolidate) the (name) Post Office may be appealed by any person served by that office to the Postal Rate Commission. Any appeal must be received by

the Commission within 30 days of the date this Final Determination was posted. If an appeal is filed, copies of appeal documents prepared by the Postal Rate Commission, or the parties to the appeal, must be made available for public inspection at the (name) Post Office during normal office hours."

123.663 **Disapproval**

The vice president, Delivery and Retail, or a designee, may disapprove the proposal of the district manager, Customer Service and Sales, and return it and the record to the manager with written reasons for disapproval. The manager must post a notice in each affected Post Office that the proposed closing or consolidation has been determined to be unwarranted.

123.664 **Return for Further Action**

The vice president, Delivery and Retail, or a designee, may return the proposal of the district manager, Customer Service and Sales, with written instructions to give additional consideration to matters in the record, or to obtain additional information. Such instructions must be placed in the record.

123.665 **Public File**

Copies of each Final Determination and each disapproval of a proposal by the district manager, Customer Service and Sales, must be placed on file in the Postal Service Headquarters Library.

123.67 Implementation of Final Determination

123.671 **Notice of Final Determination to Discontinue Post Office**

When giving notice of a Final Determination, the district manager, Customer Service and Sales, must do the following:

a. Provide notice of the Final Determination by posting a copy prominently in the affected Post Office or offices. The date of posting must be noted on the first page of the posted copy as follows:

"Date of posting: _____, 19___."

The district manager, Customer Service and Sales, must notify the vice president, Delivery and Retail, in writing of the date of posting.

b. Ensure that a copy of the completed record is available for public inspection during normal business hours at each Post Office where the Final Determination is posted, for 30 days from the posting date.

c. Provide copies of documents in the record on request and payment of fees under ASM 352.7.

123.672 **Implementation of Determinations Not Appealed**

If no appeal is filed pursuant to 39 U.S.C. 404(b)(5), the official closing date of the office must be published in the *Postal Bulletin,* effective the first Saturday 90 days after the Final Determination was posted. A district manager, Customer Service and Sales, may request a different date for official discontinuance in the Post Office change announcement document submitted to the vice president, Delivery and Retail. However, the Post Office may not be discontinued sooner than 60 days after the posting of the notice required by 123.671.

123.673 **Actions During Appeal**

The procedures for appeal are as follows:

a. Implementation of Discontinuance. If an appeal is filed, only the vice president, Delivery and Retail, may direct a discontinuance. However, the Post Office may not be discontinued sooner than 60 days after the posting of notice required by 123.671.

b. *Display of Appeal Documents.* Classification and Customer Service, Postal Service General Counsel, must provide the district manager, Customer Service and Sales, with copies of all pleadings, notices, orders, briefs, and opinions filed in the appeal proceeding.

(1) The district manager must ensure that copies of all these documents are prominently displayed and available for public inspection in the Post Office to be discontinued. If that Post Office has been suspended, the manager must display copies in the affected Post Offices.

(2) All documents except the Postal Rate Commission's final order and opinion must be displayed until the final order and opinion are issued. The final order and opinion must be displayed for 30 days.

123.674 **Actions Following Appeal Decision**

The procedures following an appeal decision are outlined below.

a. *Determination Affirmed.* If the Commission dismisses the appeal or affirms the Postal Service's determination, the official closing date of the office must be published in the *Postal Bulletin,* effective the first Saturday 90 days after the Commission renders its opinion, if not previously implemented under 123.673a. However, the Post Office may not be discontinued sooner than 60 days after the posting of the notice required under 123.671.

b. *Determination Returned for Further Consideration.* If the Commission returns the matter for further consideration, the vice president, Delivery and Retail, must direct either (1) notice to be provided under 123.663 that the proposed discontinuance is determined not to be warranted or (2) the matter to be returned to an appropriate stage under these regulations for further consideration following such instructions as the vice president may provide.

123.7 Emergency Suspension of Service

123.71 Authority and Conditions

A district manager, Customer Service and Sales, may suspend the operations of any Post Office under his or her jurisdiction when an emergency or other conditions require such action. Circumstances that justify a suspension include but are not limited to a natural disaster, the termination of a lease when other adequate quarters are not available, the lack of qualified employees for the office, severe damage to or destruction of the office, and the lack of adequate measures to safeguard the office or its revenue. The district manager must provide written notice of any suspension by fax to the vice president, Delivery and Retail.

123.72 Suspension Review Team

When a district manager, Customer Service and Sales, suspends operations at a Post Office, a suspension review team must be formed to review the decision. The suspension review team members must include the district Post Office review coordinator; the manager of Post Office operations or his/her designee; state presidents of each postmaster organization or their designees; and a representative from the facility services office (FSO) or administrative services office.

a. The suspension review team must conduct an onsite visit to the suspended Post Office.

b. Within 10 business days of the onsite visit, the suspension review team must notify the district manager, Customer Service and Sales; the national postmaster organizations; and the vice president, Delivery and Retail, of its recommendation to suspend or not suspend the office.

c. If the suspension team recommends suspension, the formal discontinuance process should be initiated.

d. If the suspension team finds that there is insufficient justification to suspend the office, the office should be reopened.

123.73 Discontinuance of Suspension

If it is proposed to discontinue a suspended Post Office rather than restore operations, the procedures outlined in 123.6 must be followed. All notices and other documents required to be posted or kept in the office to be discontinued must be posted or kept in the Post Office or offices temporarily serving the customers of the suspended Post Office.

123.8 Station, Branch, and Community Post Office Discontinuance

123.81 Notice to Postal Officials

If a district manager, Customer Service and Sales, determines that it is necessary to discontinue a classified station, classified branch, or contractor-operated community Post Office administratively attached to a Post Office, the manager must provide immediate written notice with the reasons for the proposed discontinuance to the vice president, Delivery and Retail.

123.82 Authorization

The vice president, Delivery and Retail, or a designee, may approve the proposed discontinuance or may disapprove it for insufficient justification. No final action on a proposed discontinuance may be taken without the approval of the vice president, Delivery and Retail.

123.9 Reporting Requirements for Station, Branch, and Contract Postal Unit Discontinuance

Upon the discontinuance of a station, branch, community Post Office, or contract postal unit, a report of change in status must be made on PS Form 1362, *Post Office Status Change Report,* as required by 123.5. Additionally, when a contract unit is discontinued, a contract modification must be

completed to show the contract termination date, and submitted to the following address:

ACCOUNTING SERVICE CENTER
US POSTAL SERVICE
PO BOX 14678
ST LOUIS MO 63180-9400

124 Conduct on Postal Property

124.1 General

The rules and regulations outlined in 124.2 through 124.6 apply to all real property under the charge and control of the Postal Service, to all tenant agencies, and to all persons entering in or on such property, except as may be provided in the lease. Space leased to private tenants will be covered only to the extent that requirements are incorporated in the lease agreement. Poster 7, *Rules and Regulations Governing Conduct on Postal Property,* sets forth these rules and regulations and must be kept posted at a conspicuous place on all such property.

124.2 Admission to Postal Property

124.21 Inspection

Purses, briefcases, and other containers brought into, while on, or being removed from the property are subject to inspection. However, items that are brought directly to the customer mailing acceptance area of a facility and that are properly mailed are not subject to inspection, except as provided by ASM 274. A person arrested for violation of this section may be searched incident to that arrest.

124.22 Identification

Except as otherwise ordered, properties must be closed to the public after normal business hours. Properties also may be closed to the public in emergency situations and at such other times as may be necessary for the orderly conduct of business. Admission to properties during periods when such properties are closed to the public may be limited to authorized individuals who may be required to sign the register and to display identification documents when requested by security force personnel or other authorized individuals.

124.3 Preservation of Postal Property

Improperly disposing of rubbish, spitting, creating any hazard to persons or things, throwing articles of any kind from a building, climbing upon the roof or any part of a building, or willfully destroying, damaging, or removing any property or any part thereof is prohibited.

124.4 Conformity With Signs and Directions

124.41 Pedestrian Traffic

All persons in and on postal property must comply with official signs of a prohibitory or directory nature and with the directions of postal police officers or other authorized individuals.

124.42 Vehicular Traffic

Regulations for driving on postal property are as follows:

a. Drivers of all vehicles in or on property shall be in possession of a current and valid state or territory issued driver's license and vehicle registration, and the vehicle shall display all current and valid tags and licenses required by the jurisdiction in which it is registered.

b. Drivers who have had their privilege or license to drive suspended or revoked by any state or territory shall not drive any vehicle in or on property during such period of suspension or revocation.

c. Drivers of all vehicles in or on property shall drive in a careful and safe manner at all times and shall comply with the signals and directions of security force personnel, other authorized individuals, and all posted traffic signs.

d. The blocking of entrances, driveways, walks, loading platforms, or fire hydrants in or on property is prohibited.

e. Parking without authority, parking in unauthorized locations or in locations reserved for other persons, or continuously in excess of 18 hours without permission, or contrary to the direction of posted signs is prohibited. This section may be supplemented by the postmaster or installation head from time to time by the issuance and posting of specific traffic directives as may be required. When so issued and posted such directives shall have the same force and effect as if made a part hereof.

124.5 Specific Restrictions

124.51 Disturbances

Disorderly conduct; loud and unusually noisy conduct; conduct that obstructs the usual use of entrances, foyers, corridors, offices, elevators, stairways, and parking lots; or conduct that otherwise tends to impede or disturb public employees while working or that impedes or disturbs the general public in transacting business on postal property is prohibited.

124.52 Gambling

Participating in games for money or other personal property, operating gambling devices, conducting a lottery or pool, and selling or purchasing lottery tickets are prohibited on postal premises. This prohibition does not apply to the vending or exchange of state lottery tickets at vending facilities operated by licensed blind persons where such lotteries are authorized by state law.

124.53 Alcoholic Beverages and Drugs

124.531 Restrictions

A person under the influence of an alcoholic beverage or any drug that has been defined as a controlled substance may not enter postal property or operate a motor vehicle on postal property. The possession, sale, or use of any controlled substance (except when permitted by law), or the sale of or use of any alcoholic beverage on postal premises is prohibited (except as

authorized by the Postmaster General or designee). The term *controlled substance* is defined in 21 U.S.C. 802.

124.532 Smoking

Smoking (having a lighted cigar, cigarette, pipe, or other smoking material) is strictly prohibited in all buildings or office space (including service lobbies).

124.54 Soliciting, Electioneering, Collecting Debts, Vending, and Advertising

These regulations apply to the following activities:

a. Soliciting alms and contributions, campaigning for election to any public office, collecting private debts, commercial and nonprofit soliciting and vending (including, but not limited to, the vending of newspapers and other publications), the display or distribution of commercial advertising and soliciting of signatures on petitions, polls, or surveys on postal premises (except as authorized by 39 CFR part 259) or impeding the access to or egress from Post Offices are prohibited. This prohibition does *not* apply to the following:

   (1) Commercial or nonprofit activities performed under contract with the Postal Service or pursuant to the provisions of the Randolph-Sheppard Act.

   (2) Posting notices on bulletin boards as authorized by 39 CFR 243.2(a).

   (3) The solicitation of Postal Service and other federal military and civilian personnel for contributions by recognized agencies as authorized by the *Manual on Fund Raising Within the Federal Service,* issued by the Chairman of the United States Civil Service Commission under Executive Order 10927, March 13, 1961.

b. Leafletting, picketing, demonstrating, and other similar conduct by members of the public is prohibited in lobbies and other interior areas of postal premises otherwise open to the public. Public assembly and public address, except when conducted or sponsored by the Postal Service, are also prohibited in lobbies and other interior areas open to the public.

c. Solicitations and other actions that are prohibited by 124.54a when conducted on Postal Service property should not be directed by mail or telephone to postal employees on Postal Service property. The Postal Service will not accept or distribute mail or accept telephone calls directed to its employees when such contacts are believed to be contrary to 124.54a.

d. Except as part of postal activities or as permitted under 17, Public Services, no tables, chairs, freestanding signs or posters, structures, or furniture of any type may be placed in postal lobbies or on postal walkways, steps, plazas, lawns or landscaped areas, driveways, parking lots, or other exterior spaces.

124.55 Prohibited Postings

Except for official postal and other governmental notices and announcements, no handbills, flyers, pamphlets, signs, posters, placards, or other literature may be deposited on the grounds, walks, driveways, parking and maneuvering areas; exteriors of buildings and other structures; or on the floors, walks, stairs, racks, counters, desks, writing tables, window ledges, or furnishings in interior public areas on postal premises. This prohibition does not apply to posting notices on bulletin boards as authorized by 39 CFR 243.2 or to interior space assigned to tenants for their exclusive use. Bulletin boards and other posting space in Post Office lobbies and other public access areas may not be used for posting or display of the following:

a. Private business or nonprofit organization advertisements.

b. Photographs of an incumbent or former U.S. President or Postmaster General.

c. Photographs or likenesses of any elected officials.

d. Political cartoons or other matter designed to influence an election.

e. Religious symbols or matter including but not limited to nativity scenes and the Star of David. This section applies to seasonal displays by the Postal Services, its employees and agents, and nothing in this section prohibits the display of stamps and stamp art or use of secular holiday decorations such as evergreen trees (provided that only nonreligious ornaments are used), menorahs (when displayed in conjunction with other seasonal matter), wreaths, holly, candy canes, Santa Claus, dreidels, and Kwanzaa symbols such as mkeka (a straw mat), kikombe cha umoja (unity cup), or mishumaa saba (a seven-place candle holder with three red, three green, and one black candle). *Printed expressions "Season's Greetings," and "Happy Holidays" must be used in lieu of "Merry Christmas" or "Happy Hanukkah."*

124.56 Authorized Actions

Postal Service employees are welcome to share the spirit of the season with customers, and may do so by extending appropriate greetings such as "Merry Christmas," "Happy Hanukkah," "Happy Kwanzaa," "Happy New Year," etc.

124.57 Seasonal Displays

Seasonal displays on postal property concern events or seasons that have a substantive impact upon mailing patterns. The following guidelines apply to seasonal displays by the Postal Service, its employees, or agents:

a. Displays should relate to the business of the Postal Service, such as promoting the use of postal products and services and encouraging customers to send greetings and gifts.

b. The Postal Service must avoid the appearance of favoring any particular religion or religion itself.

c. Symbols identified with a particular religion, including but not limited to nativity scenes, crosses, or the Star of David, shall not be displayed on postal property. Examples of permissible displays include: stamps and stamp art, evergreen trees bearing nonreligious ornaments, menorahs

(when displayed in conjunction with other seasonal matter), wreaths, holly, candy canes, Santa Claus, reindeer, dreidels, snowmen, stockings, candles, carolers, hearts, colored lights, and Kwanzaa symbols such as mkeka (a straw mat), kikombe cha umoja (unity cup), or mishumaa saba (a seven place candle holder with three red, three green, and one black candle).

d. Printed expressions "Season's Greetings" and "Happy Holidays" should be used in lieu of "Merry Christmas" or "Happy Hanukkah."

124.58 Photographs for News, Advertising, or Commercial Purposes

Photographs for news purposes may be taken in entrances, lobbies, foyers, corridors, or auditoriums when used for public meetings, except as prohibited by official signs, the directions of postal police officers, other authorized personnel, or a federal court order or rule. Other photographs may be taken only with the permission of the local postmaster or installation head.

124.59 Dogs, Other Animals, and Weapons and Explosives

a. Dogs and other animals: Dogs and other animals, except those used to assist persons with disabilities (service animals), may not be brought on postal property for other than official reasons. A "service animal" may be any species, breed, or size and may or may not be licensed, certified, or marked as a service animal. Service animals can assist persons with a wide range of disabilities, whether a disability is visible or not, including physical and mental disorders.

b. Weapons and explosives: No one on postal property may carry or store firearms, other dangerous or deadly weapons, or explosives, whether openly or concealed, for other than official reasons.

Note: All classified postal units must display in lobbies Poster 7, *Rules and Regulations Governing Conduct on Postal Property.* No other signage referring to dogs or service animals should be posted in lobbies or on entry doors. See 125.342.

124.6 Nondiscrimination

There must be no discrimination by segregation or otherwise against any person or persons because of race, color, religion, national origin, sex, age (persons 40 years of age or older are protected), reprisal (discrimination against a person for having filed or for having participated in the processing of an EEO complaint — 29 CFR 1613.261-262), or physical or mental handicap, in furnishing or by refusing to furnish to such person or persons the use of any facility of a public nature, including all services, privileges, accommodations, and activities provided thereby on postal property.

124.7 Enforcement and Violations

124.71 Enforcement

124.711 Postal Police Officers

Office of Inspector General Special Agents, and other persons designated by the Chief Postal Inspector are responsible for enforcing the regulations in this part in a manner that will protect Postal Service property.

124.712 **Agreements**

Pursuant to 40 U.S.C. 318b and with the approval of the Chief Postal Inspector or designee, local postmasters, installation heads, and postal inspectors may enter into agreements with state and local enforcement agencies to ensure that these rules and regulations are enforced in a manner that will protect Postal Service property.

124.72 Violations

124.721 **Court**

Alleged violations of these rules and regulations are heard and the penalties prescribed in 124.722 are imposed either in a federal district court or by a federal magistrate in accordance with applicable court rules. Questions regarding such rules should be directed to the Managing Counsel for the area involved.

124.722 **Fines and Imprisonment**

Anyone found guilty of violating the rules and regulations in this part while on property under the charge and control of the Postal Service is subject to a fine of not more than $50, or imprisonment of not more than 30 days, or both. Nothing contained in these rules and regulations is to be construed to abrogate any other federal laws or regulations or any state or local laws or regulations applicable to any area in which the property is situated.

125 Lobby Management

125.1 Image

125.11 General

The Post Office lobby is the principal business office of the Postal Service. For many customers, the lobby is their only close-up view of postal operations; therefore, its appearance, convenience, and efficiency directly affect the Postal Service's public image. Consequently, to enhance corporate identity and continue the standardization process, lobbies must be maintained according to established postal guidelines (e.g., painting, redecorating, etc.).

125.12 Responsibility

To effectively manage lobbies, postal managers must allocate and use available resources as necessary to ensure that postal retail services are available and accessible to customers in a timely, efficient manner and in an orderly, clean, and attractive environment.

Lobby and retail services must be scheduled during hours most appropriate to the needs of the majority of customers in the local area. Postal units located in a business area normally will be open during hours maintained by that business community. Stations and branches are not required to maintain the same hours as main offices when the needs of their respective local customers are different.

125.2 Service Levels

125.21 General

It is not economically possible for the Postal Service to provide instantaneous service for each customer entering the lobby. Instead, the Postal Service goal is to provide a level of service that produces a waiting time of less than 5 minutes for those customers who cannot be served immediately. Postmasters achieve this goal through the following:

a. Proper training and staff scheduling.

b. Using procedures and equipment that expedite customer transactions, such as making maximum use of integrated retail terminals (IRTs), staff scheduling, the Lobby Director Program (see 125.4), vending equipment maintained in working order, and other line management techniques (see 125.43).

c. Using the retail analysis staffing and scheduling model to determine how many employees should be on duty during all periods to maintain service levels within these guidelines.

125.22 National Holidays

Post Offices are kept open on holidays for the time that is needed to meet reasonable customer requirements (see Exhibit 125.22). Mail is received, collected, and dispatched by holiday schedules. Incoming mail is distributed to Post Office boxes at the main office. Generally, this is also done at stations and branches. The Postal Service observes these holidays:

a. New Year's Day.

b. Martin Luther King, Jr.'s Birthday.

c. George Washington's Birthday.

d. Memorial Day.

e. Independence Day.

f. Labor Day.

g. Columbus Day.

h. Veterans Day.

i. Thanksgiving Day.

j. Christmas Day.

Exhibit 125.22
Holiday Service Levels

	Window	Post Office Box	Firm Call	Delivery Business	Delivery Residential	Express Mail	Collection
A. Holidays Widely Observed							
(New Year's Day, Memorial Day, Independence Day, Labor Day, Thanksgiving Day, Christmas Day)							
Friday Holiday							
Friday	None	Holiday Schedule	None	None	None	Holiday Schedule	Time Decal
Saturday	Limited	Normal	Normal	Normal	Normal	Normal	Normal
Sunday	None	Normal	None	None	None	Normal	None
Saturday Holiday							
Friday	Normal	Normal	Normal	Normal	Normal	Normal	Normal
Saturday	None	Holiday Schedule	None	None	None	Holiday Schedule	Time Decal
Sunday	None	Normal	None	None	None	Normal	Time Decal
Sunday or Monday Holiday							
Saturday	Limited	Normal	Normal	Normal	Normal	Normal	Normal
Sunday	None	Normal	None	None	None	Normal	None
Monday	None	Holiday Schedule	None	None	None	Holiday Schedule	Time Decal[1]
Tuesday, Wednesday, or Thursday Holiday							
Preceding Day	Normal	Normal	Normal	Normal	Normal	Normal	Normal
Holiday	None	Holiday Schedule	None	None	None	Holiday Schedule	Time Decal

	Window	Post Office Box	Firm Call	Delivery Business	Delivery Residential	Express Mail	Collection
B. Holidays Not Widely Observed							
Veterans Day — movable holiday							
(All Monday holidays: Martin Luther King, Jr.'s Birthday; George Washington's Birthday; and Columbus Day)							
Saturday	Normal	Normal	Normal	Normal	Normal	Normal	Normal
Sunday	None	Normal	None	None	None	Normal	None
Monday[2]	Limited	Sunday Schedule	Limited	None	None	Holiday Schedule	Time Decal[1]

Note: Exceptions to these service levels must be approved by the Chief Operating Officer and Executive Vice President.

1When a holiday is observed on Monday and no residential collection is scheduled on Sunday, a full residential collection may be provided on the Monday holiday. Consecutive days without collections should be avoided.

2Veterans Day, November 11, is the only movable holiday in the group of holidays designated as not widely observed; the other three holidays listed in section B are always observed on Mondays. When Veterans Day falls on any day except Sunday, the services provided on that holiday are the same as those shown for Monday. When Veterans Day falls on Sunday, the service levels are the same as those shown for Sunday, but the holiday is observed on Monday by the Postal Service and treated as a Monday holiday

Definition of Terms

Normal - Service normally provided on that particular day of the week.
Time Decal - Collections from boxes with last pickup-time decals identifying the boxes.
Limited - Service adjusted to meet the limited needs of a community on that particular day.

125.3 Space Utilization

125.31 General

The first priority for use of space in lobbies is to make postal services and products available to the public in an orderly, efficient, attractive, and convenient manner. It is also important to display postal services and products in a manner that effectively markets those services to customers, following standard established postal guidelines. Any space that is not needed for that purpose may be made available for purposes prescribed by law or for use by other government agencies under the conditions explained in this subchapter.

125.32 Furnishings and Fixtures

125.321 General

Lobby furniture and fixtures aid customers in the conduct of their business, expedite their movements while in the lobby, and provide them with materials and information. Postmasters should ensure that the selection, placement, and daily maintenance of lobby furnishings conform to the lobby standards and enhance the overall appearance of the lobby. All lobby furniture and equipment must be properly secured to the floor to prevent them from tipping over.

125.322 Writing Tables and Customer Forms

Tables, ledges, and shelves are provided for customers to use while addressing mail and completing forms related to postal transactions. Postmasters are responsible for obtaining the proper number and type of

these items to serve customer needs at each unit. These items should be placed carefully within the lobby so that they do not impede the movement of customers to the queuing line, and they should be kept adequately lighted. Tables, ledges, shelves, etc., must be securely anchored to the wall and/or floor. Publication 65, *National Five-Digit ZIP Code and Post Office Directory,* should be available for customer use in the lobby. Unit supervisors should ensure that pens are available and all required customer forms are available on the tables or in appropriate "Take One" racks. Such forms must be kept neatly arranged at all times and resupplied as necessary on a daily basis.

125.323 Checking Retail Scales for Accuracy

Retail Lobby Scales

Retail scales used to rate single piece mail: Verify scale accuracy each day and field calibrate using certified test weights if scale is not accurate. Follow current procedures for verifying and calibrating.

Repaired scales and new scales: Use certified test weights to field calibrate scales before placing into service.

Ascom Hasler/Triner electronic scales: Refer to the user's operation manual for these units for verification and calibration procedures. The manufacturer or existing maintenance contract handles calibration for these units.

Mechanical scales: Refer to current maintenance management orders or visit *http://www.mtsc.usps.gov* for information on verification and calibration.

Retail Counter Scales

POS ONE: Refer to POS ONE web site at *http://retail.usps.gov/posone* or contact your Area/District POS ONE coordinator for verification and calibration procedures. Retail unit managers or their designees handle calibration for POS ONE units.

IRT, MOS, UNISYS: Refer to current maintenance management orders or visit *http://www.mtsc.usps.gov* for information on verification and calibration. Your local field maintenance office handles calibration for these units.

125.33 Queuing Systems Criteria

Wherever possible, queuing systems should be used to ensure that an orderly flow of lobby traffic is maintained and that customers are served on a first-come, first-served basis. Queuing systems should be installed when two full-time and one or more part-time (4 hours per day) retail service counters are in operation. There are many variations in equipment design and quality. District managers, Customer Service and Sales, should plan procurement of queuing systems to take advantage of price discounts for quantity purchases. A proper plan includes the following:

a. A parcel slide, if space permits.

b. An adequate number of stanchions and rope footage.

c. The proper number of correctly worded signs.

d. Sufficient queuing area for the number of peak-period customers.

e. Installation of the system during nonbusiness hours.

f. Placement of queuing systems to ensure free and unobstructed access to the principal entrance and exit doors used by the public. Also,

ensure that all retail service counters have an unobstructed view of the head of the queue.

125.34 Lobby Displays and Promotions

125.341 General

The amount of lobby space suitable for posters and signs varies, and the total amount of space needed for all postings approved at any one time may exceed the amount of space available in some offices. Local managers should display postings according to the following priorities:

a. Mandatory Postal Service postings are Notice 107, Poster 7, Poster 76, Poster 158, Poster 296, and other forms on a space-available basis.

b. Postal Service mailing forms to facilitate conduct of retail operations, including rate charts.

c. Specialized Postal Service displays, such as promotional material for revenue-generating products and services, packaging, and stamp collecting product displays.

d. Postal Service announcements and consumer information.

e. Notices by members of Congress.

f. Postings for other government agencies.

125.342 Lobby Displays

Certain mandatory notices, posters, and signs must be posted clearly and continuously in the lobbies of all classified postal units. Some mandatory postings may be for temporary periods. Many optional posters and displays are also provided. A list of lobby displays is contained in Exhibit 125.342.

Offices must display the following decals, labels, notices, posters, publications, and signs. Except for those indicated with an asterisk, these items are available from the Material Distribution Centers (MDCs).

Exhibit 125.342
Mandatory Lobby Displays

Poster 7	Rules and Regulations Governing Conduct on Postal Property
Poster 76	Some Things Were Never Meant To Be Mailed
Notice 107	Let's Keep The Mail Safe
Poster 158	Possession of Firearms and Other Dangerous Weapons on Postal Property Is Prohibited by Law
Poster 296	Notice of Reward

125.343 Mandatory Public Information to be Available

Mandatory posters, notices, and signs must be available in Post Offices for customer access. These items may be placed in a binder or posted behind the counter. A list of information that must be made available is contained in Exhibit 125.343.

Exhibit 125.343
Mandatory Public Information to be Available

FBI Most Wanted Poster	Kept in binder behind counter
Notice 123	Ratefold
Notice 4314-C	We Want to Know
Poster SSS46	Selective Service Poster
PUB 65[1]	National Five-Digit ZIP Code and Post Office Directory
PUB 66[1]	ZIP+4 State Directory
PUB 201	Consumer's Guide to Postal Services and Products
Sign 145	Payment Policies
Signs[2]	■ Hours of operation ■ Time when all First-Class™ Post Office box mail normally distributed ■ Letter drops and collection point times

1 *Publications 65 and 66 are available through the National Customer Support Center, U.S. Postal Service, 6060 Primacy Parkway, Suite 101, Memphis, TN 38188-0001.*

2 *Available through the direct vendor signage contract.*

125.35 Scheduled Use

125.351 General

Retail Operations at Headquarters is responsible for policy guidance over the use of lobby floor and poster space for nonpostal purposes. Advertising and Promotion is responsible for scheduling and controls point of purchase advertising for the Postal Service as well as that of other agencies. All requests from government agencies for scheduled use of lobby space on a multiregional, statewide, or larger basis must be forwarded to the area manager, Sales and Account Management, for approval. Requests for scheduled use of space on a less-than-statewide basis must be forwarded to area Sales and Account Management for evaluation and final approval. Scheduled use of lobby space by government agencies is provided in the following order:

a. Federal agencies.

b. State agencies.

c. County and municipal agencies.

125.352 Terms and Conditions

The scheduled use of available space in Post Office lobbies by other government agencies is subject to the following terms and conditions:

a. Each user must pay a fee, to be established by the Postal Service based on the cost and value of the space involved, plus any direct costs incurred by the Postal Service. The amount of the fee to be charged at each type of facility is published periodically in the *Postal Bulletin.*

b. The user agency must arrange for the distribution of any literature or display material to the facility with available space and must pay all costs involved.

c. Floor space is allotted in increments of eight square feet and for predetermined periods, normally one calendar month. Use of floor space is restricted to kiosks or other semipermanent display stands of stable construction, located where they will not impede normal customer traffic or pose a security problem and must meet safety requirements.

d. Examples of counter cards, posters, and other display material must be available for Headquarters, Advertising and Promotion review, as appropriate, at least 3 weeks before the distribution date. Headquarters, Advertising and Promotion or Sales and Account Management will determine the suitability of the material for display in postal lobbies and will provide the user with specific distribution instructions on the available sites.

e. Counter cards must measure no more than 11 by 14 inches.

f. Posters must be of standard dimension (24 by 36 inches,14 by 21 inches, or 11 by 14 inches), must be approved by Advertising and Promotion prior to printing, and must bear a printed expiration date, usually one month from the date of posting, unless specific exceptions have been granted by the approving office.

g. Displays or other dispensers for “Take One” brochures or forms must be accompanied by a life-cycle supply of those items. The facility manager ensures that the dispensers are replenished as needed from the supply of items.

125.36 Unscheduled Use

125.361 Bulletin Boards

The following guidelines apply to the use of bulletin boards:

a. Postmasters may install bulletin boards in public areas of Post Offices or set apart a convenient place to display official government notices, notices of public assemblies, judicial sales, official election notices issued by state or local government, and similar announcements. These display privileges must be afforded without discrimination.

b. Bulletin boards may not display private business advertisements; placards, cards, or notices; photographs or likenesses of any member of state legislature or Congress; or political pictures, cartoons, or other material designed to influence an election.

c. Notices by members of Congress are limited to public announcements of pending competitive civil service examinations and pertinent information about application for appointment to the U.S. service academies. These notices are displayed only if current and within the district of the sponsoring representative or the state of the sponsoring senator. Such displays must conform to the specifications in this section. If a postmaster believes that a notice does not conform to these specifications, the postmaster may display the poster pending the decision of the area manager, Customer Service and Sales. Notices that include the member’s picture must be returned to the member

with an explanation of why they cannot be displayed. Such displays must:

(1) Be no larger than 9 by 12 inches.

(2) Contain no photograph, picture, or likeness of the member of Congress.

(3) Contain no signature and/or printed name of the member of Congress larger than 1/2-inch (48-point) type.

(4) Contain the closing date for submission of applications.

d. If space is available, one recruiting notice (no larger than 8-1/2 by 11 inches) of each branch of the armed services may be placed on bulletin boards.

125.362 **Voting Information**

The following guidelines apply to voting information:

a. Any notices posted regarding voting may not contain any partisan political messages or symbols designed to influence the outcome of an election, including, but not limited to, photographs or other likenesses of incumbents or candidates for any public office. The notices must be no larger than 8-1/2 by 11 inches. Names and addresses of federal legislators may not appear in larger than 1/4-inch (18-point) type.

b. Such notices must be displayed in lobbies that have public bulletin boards. Each notice must remain posted only as long as it contains current information applying to customers served by the displaying postal facility and must be removed not more than 2 years from the date of receipt for posting. At locations where there is no public bulletin board or there is not enough space on the board for individual display, the notices should be assembled for public inspection in a binder or on a clipboard. Nothing in this section precludes postmasters from displaying additional material on public bulletin boards as space permits, as provided in 125.361.

125.37 Blind Vendor Facilities

The Randolph-Sheppard Act (20 U.S.C. 107-107f) requires that preference be given to certified blind persons for installing and operating vending facilities on postal property. A state licensing agency designated by the Department of Health and Human Services must apply on behalf of a blind vendor to the officer in charge of the postal facility where the space is desired. The Postal Service determines if a permit for the vending facility should be granted. Handbook EL-602, *Food Service Operations,* provides guidance for Postal Service compliance with the Randolph-Sheppard Act.

125.4 Lobby Director Program

125.41 Description

The *lobby director* is a friendly, knowledgeable clerk in the lobby who greets customers, determines what they need, and assists them in selecting services and preparing paperwork before they reach the service counter. Lobby directors perform the same duties as the clerks behind the counter, except that they do not handle money.

125.42 Purpose and Scope

The program's objective is to reduce the average customer waiting time by assisting many customers *before* they reach the retail service counter. In addition, it aims to reduce customers' perceived waiting time by demonstrating that the Postal Service intends to provide prompt, efficient, and courteous service. The lobby director should be used during peak traffic periods during the day.

The lobby director program is appropriate for high-traffic offices that:

a. Might otherwise have long lines at several periods of the day, month, or year.

b. Receive customer complaints about long lines, waiting time, or employee discourtesy.

c. Have two full-time and at least one part-time retail service counters in operation.

Note: To implement the lobby director program, there must be enough lobby space for an extra person to work the line.

125.43 Implementation

125.431 Notify Employees

Managers should provide all clerks with a program overview that describes the program and its objectives as well as benefits for customers, retail clerks, and employees acting as the lobby director.

125.432 Encourage Volunteers

All employees with retail responsibilities in a unit may volunteer for the lobby director program. Each volunteer will be given the opportunity to serve as a lobby director.

125.433 Provide Training

Each lobby director receives 8 hours of training that focuses on communications and human relations skills, salesmanship, and knowledge of Postal Service products and services.

125.434 Uniforms

Lobby directors must wear a standard retail clerk uniform blouse, slacks, skirt, or jumper, and black or navy blue shoes.

125.435 Obtain Supplies and Equipment

Lobby directors may work from a mobile cart or a table. The following supplies should be available:

a. Letter scale.

b. Mailing forms and labels.

c. Tape.

d. Packaging products for sale to customers.

e. Bad check list.

f. Zone chart.

g. Rate charts (giveaways).

h. Publication 201, *Consumer's Guide to Postal Services and Products.*

i. Other brochures (giveaways).

125.5 Articles Found in Lobbies or Public Areas

When articles found in lobbies or in public areas around a Post Office are turned in to employees, the employees follow these procedures:

a. Ask the finder to write down on a Postal Service routing slip (Item 0-13) name, address, telephone number, and a description of the article. Unless the article is Postal Service or government property or a money order, it can be returned to the finder if the loser does not claim it within 30 days.

b. If the finder does not leave a name, want the article, or claim the article within 30 days after being notified that it is available, dispose of it in the same manner as unidentified material found loose in the mail. Do not return government property or money orders to the finder. Dispose of government property as prescribed by 691.45. Money orders should be mailed to the St. Louis Accounting Service Center.

c. Note on the routing slip the date and disposition of the article. Also note the name of the employee handling the disposition. File the routing slip for 3 months, then destroy it. The postmaster should make sure that the article is not lost or damaged.

126 Retail Services Management

126.1 Purpose and Scope

In addition to operations involving direct contact with customers, retail clerks must report cash, sales of items, and stock on hand. They must also maintain records, complete data collection forms, and perform other related tasks as required. This section explains administrative responsibilities and applicable regulations.

126.2 Stamps and Accountable Stock Activities

126.21 General

The majority of all retail unit transactions involve the sale of stamps or postal stationery items. Therefore, all employees who provide or supervise retail service must have a thorough working knowledge of the accounting instructions for these materials. Further, it is essential for these employees to understand the requirements for ordering accountable stock (see Handbook F-1, *Post Office Accounting Procedures).*

126.22 Requisitioning Stamps and Accountable Stock

Retail units requisition stamp and accountable stock on schedules established by stamp distribution offices. Adjust requisitions whenever possible to permit ordering stamps in full sheets or packaged lots and postal cards or envelopes in full boxes or packaged lots. Detailed instructions are contained in Handbook F-1.

126.23 Counting Stamps and Accountable Stock

Retail employees count and verify stamp stock as it is received from the supply source and during audits. Recommended systematic methods for performing these tasks are described in Handbook F-1.

126.24 Protecting Stamps and Accountable Stock

Vaults, security containers of various types, and security cages are installed in postal facilities as needed for protective storage of accountable items. The Postal Inspection Service determines the amount and type of security equipment that is required. Details on the use and care of this equipment may be found in Handbook F-1.

Stamps, postal stationery, blank money orders, and other accountable items must be protected at all times. They may be stored in a locked cash drawer or cabinet for short periods during the duty day. At other times they must be stored in the main vault or security container that affords the best available protection. Detailed instructions for safeguarding accountable items are contained in Handbook F-1.

126.25 Destroying Stamps and Accountable Stock

During handling, postage stock items frequently become damaged or soiled to the extent that they are no longer salable. Retail employees turn in such items to the person who fills their stamp requisitions in return for full credit. Periodically, all nonsalable postage items are sent to a designated committee at the stamp distribution office (SDO) or accountable paper depository for verification and destruction. Complete details on the destruction of postage stock are contained in Handbook F-1.

126.3 Financial Activities

126.31 Purpose and Scope

Window clerks must account on a daily basis for all funds received for the sale of stamps and other postal products and services.

This section highlights selected elements of cash management and bookkeeping activities for quick reference. Detailed instructions on required accounting procedures are contained in the *Financial Management Manual* (FMM) and in Handbook F-1.

126.32 Security

126.321 Cash

The following procedures safeguard the security of Postal Service employees and the cash they handle:

a. Window employees' cash drawers have compartments that separate the different denominations of coins and bills, blank money orders, and the postage stock items of highest demand. The drawers are designed to keep these items beyond the sight and reach of customers. They must be locked for security during temporary clerk absences from the retail service counter and must be removed from their cabinets for overnight storage in a vault or security container. Retail employees must never have access to one another's cash drawers.

b. Postal Service policy on the deposit of retail cash receipts is based on requirements for employees' safety, security of funds, availability of revenue, and retention of adequate change.

c. Retail clerks turn in cash periodically during the day to a designated employee to avoid keeping unnecessary amounts at the retail service counter, where the risk of loss is high.

d. Generally, funds retained overnight by clerks for use on the next business day must not exceed $100, or 10 percent of a clerk's credit, whichever is the lesser amount.

e. Detailed instructions for disposition of postal funds are contained in Handbook F-1.

126.322 **Money Orders**

Retail personnel who issue money orders must have on hand a sufficient number of blank money orders to accommodate the expected daily volume of business. Further details concerning the maintenance of blank money orders may be found in Handbook F-1.

126.323 **Advance Deposits**

Guidelines for handling advance deposits are as follows:

a. The advance deposit system encompasses the acceptance, accounting, and file maintenance procedures applying to the operation of Trust Fund Accounts. A large percentage of all postal revenue is deposited in such accounts.

b. Each person involved in the maintenance and management of this complex system must be thoroughly familiar with the established procedures. In general, funds received for use in customers' advance deposit accounts are handled as any other postal funds, subject to the same limitations, procedures, and accountability requirements.

c. Details concerning the handling of advance deposits are contained in Handbook F-1.

126.33 Daily Financial Report

Individual retail clerks and all stations, branches, and main office retail units must report all financial transactions at the end of each business day on the appropriate version of PS Form 1412-A, *Daily Financial Form,* or PS Form 1412-B, *Daily Financial Report.* The report shows the total amount received for each type of transaction conducted during the day and provides a running record of stamp accountability for each clerk and unit.

Each report is checked and verified by a designated employee other |than the person who prepared it. Information from the forms is used by the designated accounting unit in preparing the Statement of Account.

All retail employees must keep fully informed on current reporting procedures. The details are explained in Handbook F-1.

126.4 Retail Hours

126.41 Nonholiday Weekdays

126.411 **Retail Service**

Postmasters provide all retail services for 8½ or more hours on nonholiday weekdays, unless otherwise authorized by the district manager, Customer Service and Sales. Retail service hours are scheduled to meet the needs of

local postal customers. When the postmaster determines that additional service hours are necessary to meet community needs, employee work schedules are adjusted to provide such service. Postmasters must obtain approval of the next higher management level for increasing workhour usage if additional costs are involved.

126.412 Main Post Office and Units in Business Areas

Main Post Offices and other postal units in business areas are usually open during the hours kept by that business community. Stations and branches are not required to be open at the same scheduled hours as main offices. Stations and branches can adjust retail service hours to meet the needs of the local community. Stations and branches in suburban communities and/or large shopping centers may provide late evening service for customer convenience if needed and approved by the district manager, Customer Service and Sales. Postmasters must inform customers of service hours, using any available means to advertise those hours.

126.413 Self-Service Units

Where installation criteria can be met, self-service centers or stamp vending machines are placed in lobbies to reduce retail transactions and for postal customer use when retail service is not available. Postmasters must inform postal customers of the availability, locations, advantages, and products available from self-service vending equipment and encourage their use. Vending equipment must be operable and available for customers when the lobby is open.

126.414 Consolidation of Retail Counter Service

Windows and services must be consolidated so that each retail service counter is used fully. Windows offering specialized service are opened only if conditions warrant and if approved by the district manager, Customer Service and Sales, or designee. All approved specialized retail service counters must also offer stamps and postal stationery.

126.415 Specified Postal Business

Contract stations or branches handle specified postal business during normal business hours or hours designated by the postmaster. Contractors on their own initiative may conduct postal business beyond those designated by the postmaster.

126.42 Saturdays

Window service is provided on Saturdays if there is a demonstrated need. Normally, such service does not exceed 4 hours. Postmasters must obtain approval from the next higher management level if more hours are necessary to meet customer needs. At financial units serving business areas, or facilities serving communities where many residents leave on weekends, retail service may be closed if service is available at other postal units, contract stations, or self-service postal centers. Postmasters must post signs telling customers of locations and hours of such services.

126.43 Sundays

Post Offices are normally not open on Sunday to deliver mail to the general public. Sunday duty schedules cover only employees needed to collect and

prepare mail for dispatch, deliver Express Mail®, make incoming mail distribution considered necessary, and avoid congestion and delays in Monday delivery.

126.44 Lobby Hours

As a minimum, customers must have access to their Post Office boxes during all retail service counter hours. Normally, separate Post Office box lobbies should remain open when someone is on duty in the postal unit. At the postmaster's discretion, when no one is on duty, lobbies may remain open to allow customers access to Post Office boxes and self-service equipment, provided that customer safety, security provisions, and police protection are deemed adequate by the Inspection Service.

126.45 Local or State Holidays

Post Offices may not be closed solely for a local or state holiday. Window or other services may be reduced if warranted by lessened demand. Otherwise, customers must receive normal levels and types of service.

126.46 Signs

Postmasters must use available standard signs to publicize the services and hours at each postal unit.

13 Retail Services at Counters

131 Overview

131.1 Counter Transactions

Counter transactions consist of the sale of postage stamps, postal stationery items, mail services, postal-related products, and other services.

All products and services should be offered at every retail service counter position. This permits full utilization of clerks during their duty tour and is a prerequisite for the successful use of queuing systems as described in 125.33.

131.2 High-Volume Retail Units

Postmasters of high-volume retail units are authorized to activate a stamps-only retail service counter during peak mailing periods such as noon (lunch time), late afternoon, late December, and after general rate changes. Additional staffing is neither authorized nor warranted for this procedure.

Windows offering other specialized services may be opened where local needs dictate.

132 Stamp and Postal Stationery Sales

132.1 Stamps

132.11 General

Stamp collecting is a source of pleasure for millions of people and sales of postage exclusively for retention are very beneficial to the Postal Service. That is why, to the extent possible, the Postal Service must ensure that stamps, stationery, and other stamp collecting products and services are available at or through all Post Offices. All retail employees must be able to transact philatelic sales, advise customers on mail order procedures, or direct them to the nearest philatelic sales unit. Details on the types of philatelic products available and the procedures for handling them are contained in chapter 2.

132.12 Types of Stamps

The Postal Service currently offers three types of adhesive-backed stamps that are used for postage and collecting. Each office and, to a lesser degree, each retail clerk should have available sufficient quantities of each type of stamp normally needed to conduct each day's business and should maintain postage stock neatly to facilitate rapid counting, auditing, and replenishment.

The *three* basic types of stamps are described below:

a. *Regular (Definitive) Stamps,* issued in denominations ranging from 1¢ to $14, are generally kept in constant supply for ordinary postal needs. They are issued when the postage rates change or a new series is introduced. Included in this category are Priority and Express Mail stamps.

b. *Commemorative Stamps* are issued in observance of historical events, in honor of noted persons, and on topics of national importance. Each commemorative issue is printed in limited quantities and sold for a limited time.

c. *Special Stamps* supplement each year's regular issues. They include the Love and Holiday stamps.

132.13 Types of Stamp Sales

132.131 Loose Stamps

Loose stamps should be presented to the customer with the glue side up for cleanliness and convenience in moistening and application. Customers are expected to verify the denomination and affix their own stamps.

132.132 Panes or Partial Panes

Panes of stamps may be broken into strips or blocks for sale to customers. Glassine or a similar material may be required between layers of full panes of stamps when sold. A customer's request to have stamps placed in a nonofficial (glassine) envelope should be honored, although many collectors provide their own stamp storage envelopes. The Postal Service stocks three sizes of glassine envelopes in MDCs for regular requisitioning. These envelopes are to be provided as a customer convenience and service to protect their purchases of loose stamps and sheets of stamps.

132.14 Purchase Receipts

If the customer wants a receipt for purchases and has prepared it in advance, the Postal Service employee stamps it on payment. If the customer has not prepared a receipt but wants one, PS Form 1096, *Receipt,* is used for postage and other services for which a record of payment is not already provided. The Postal Service employee fills in the total amount of the purchase and then stamps the form on payment. IRTs also have the capability of printing customer receipts after the transaction is completed.

132.2 Postal Stationery

132.21 General

The term *postal stationery* includes items such as stamped envelopes, postal cards, and aerogrammes.

132.22 Rejection of Personalized Envelopes

132.221 General

Customers may reject personalized envelope orders for defective manufacture, mistakes in printing, denomination, size, or other defects. Postage value only is refunded if it is the buyer's mistake; full invoice value is refunded if the Postal Service is at fault.

132.222 Purchase Error

Postage value only may be refunded. Such a refund may be made at a Post Office or by returning the envelopes to the Philatelic Fulfillment Service Center (PFSC). Refunds at Post Offices are processed under 145.1 and DMM P014. Rejected envelopes are disposed of in the same manner as unused meter stamps under 145.22 (see 132.224).

132.223 Postal Service Error

The PFSC refunds the total cost of the envelopes. The envelopes must be returned to the PFSC with an explanation of the error. Only customers whose names appear in the return address, or their representatives, may submit rejected personalized envelopes for a refund (see 132.224).

132.224 Replacement

The procedure for replacing personalized envelopes is as follows:

a. When the error is the fault of the Postal Service, the customer may request that the envelopes be replaced. Customers return the envelopes to the PFSC with an explanation of the errors and information needed for a corrected replacement order.

b. When erroneous information on the original order caused the mistake, the customer must remit the manufacturing fee for the replacement order. That fee is the difference between the full selling price of the envelopes and the postage value.

c. When returning an order, the customer must include an explanation for rejecting the envelopes and a request for replacement or refund.

132.225 **Returning Envelopes**

Post Offices should help customers return rejected envelopes to the PFSC; this includes providing customers with postage-paid labels for returning the envelopes.

132.3 Bulk Quantities

132.31 General

Some offices designate a specific individual to handle bulk sales of stamps and postal stationery. When bulk transactions are handled by retail clerks, customers may be requested to give advance notice of their requirements to ensure that the desired type and quantity of items are available. Detailed instructions are contained in DMM P021, P022, and P023.

132.32 Stamps

Each retail postal facility must provide postage stamps (except precanceled stamps at Standard Mail® rates) in quantities, denominations, and configurations desired by customers. There are no discounts for bulk purchases of postage stamps.

132.33 Bulk Sales to Customers

Postal cards, aerogrammes, stamped envelopes, precanceled envelopes, window envelopes, and other items are available for bulk sale to customers. In most instances, the customer places advance orders for bulk purchases.

The unit price established for regular stamped envelopes is slightly lower when sold in multiples of 500 than when sold in broken lots. This reflects only a reduction in handling costs and is not a discount on postage.

132.4 Unlawful Use of Stamps

132.41 By Postal Employees

It is unlawful for Postal Service employees entrusted with the sale or custody of postage stamps to do any of the following:

a. Use stamps in payment of debts or purchase of salable items.

b. Sell stamps except for cash.

c. Sell stamps for more or less than face value.

d. Give stamps away.

132.42 Counterfeit Stamps

Counterfeit stamps must be confiscated and sent to the postal inspector in charge of the district where the Post Office is located. A receipt identifying the stamps must be given to persons from whom counterfeit stamps are confiscated.

133 Postal Money Order Sales

133.1 General

Postal money orders provide a safe and convenient method for customers to make payments or to transfer cash. Postal money orders are sold for a fee. Official money order imprinting machines are provided by the Postal Service

for the sale of money orders. Money order imprinting machines, together with blank money order forms, are accountable items that must be safeguarded at all times to prevent unauthorized use and to protect the integrity of the money order system. Money order equipment is provided to Post Offices on the basis of local need. PS Form 7381, *Requisition for Supplies, Services, or Equipment,* is used for requisitioning additional equipment from the Material Distribution Centers. Procedures for obtaining replacement equipment in case of breakage or defects are contained in the Model 8100 Paymaster's Imprinter Operator's Manual. There are two types of postal money orders:

a. Domestic money orders.

b. International money orders.

Note: Detailed instructions concerning the management and operations of the postal money order system are contained in DMM S020 and *International Mail Manual* (IMM) 371.

133.2 Domestic Money Orders

All Post Offices sell and cash domestic money orders.

133.3 International Money Orders

International money orders are issued to addressees in those countries whose postal administrations have agreements with the U.S. Postal Service to conduct such business.

134 Packaging Products Sales

The Postal Service provides certain packaging products for sale to customers to facilitate safe processing and transportation of the mail and as a convenience to customers. These products are mail preparation items such as padded mailing bags, flat-size envelopes, corrugated boxes, tape, and cushioning materials that provide added protection for contents in transit. In addition, unit prices must be established at or slightly above prevailing prices for similar items sold by private retailers in the local community. Applicable sales taxes are included in any price comparison. Postmasters with questions about whether requirements for the procurement and sale of packaging products are being met at their offices should contact the manager, Customer Service and Sales, at the district. This procedure is in addition to the daily reporting of sales revenue (see 135.3). Detailed instructions are contained in Handbook F-1, *Post Office Accounting Procedures.*

135 Postal-Related Merchandise Sales

135.1 General

135.11 Restrictions

Postal-related merchandise must not be sold in lobbies, except in conjunction with first-day-of-issue ceremonies or special events or opportunities of limited duration. Post Offices may sell merchandise containing actual U.S. postage stamps and metallic stamp lapel pins, but the emphasis must be on stamp collecting products. Managers, Customer

Service Support, are responsible for approving the sale of postal-related merchandise.

135.12 Licensees

Postal-related merchandise should be purchased from authorized licensees of the Postal Service. However, prospective offerers who do not yet hold a license may be solicited with the understanding that, should they be awarded a contract, they must be licensed before they may begin performance.

135.13 Unit Pricing

Just as for retail packaging products, unit prices must be established at or above prices prevailing, including sales taxes, for similar items sold by private retailers in the local community.

135.14 Local Markets

Postal-related merchandise is developed for sale in local markets. It should not be sold outside the district where it is developed.

135.2 Accounting

Districts purchase all postal-related merchandise. Costs are recorded in AIC 494, *Postal-Related Merchandise Costs.*

135.3 Postal-Related Merchandise Revenue Reports

Offices must record revenues from all postal-related merchandise sales in AIC 098, *Postal-Related Merchandise Sales,* on PS Form 1412-A, *Daily Financial Form.* In addition, sales of postal-related merchandise must be recorded on PS Form 8133, *Postal-Related Merchandise Inventory Report.* At the end of each quarter, the selling unit must total each PS Form 8133 and record it on PS Form 8134, *Postal-Related Merchandise Quarterly Report.* Credit and debit (ATM) cards may be accepted in payment for postal products and services at most Post Offices. Exceptions: Credit cards may not be used to pay for money orders, collect on delivery (COD) articles, or passport application fees; debit cards may not be used to pay for passport application fees. See Handbook F-1, 317.

136 Methods of Payment

136.1 General

Payment for postage items may be made in cash or by postal money order at the time of purchase or by advance deposit to a postage meter or advance deposit account. Checks may be accepted in payment for postal products and services, except for money orders and collect on delivery (COD) items, in accordance with Handbook F-1. Checks must be made payable to *U.S. Postal Service* or to *Postmaster* (title only) for the exact amount of the transaction. Credit and debit (ATM) cards may be accepted in payment for postal products and services at most postal offices. Exceptions: Credit cards may not be used to pay for money orders, collect on delivery articles, or passport application fees; debit cards may not be used to pay for passport

application fees. All payments for postage are made for the full face value. There are no discounts for the purchase of postage in any amount.

136.2 Prepaid Mail

Postage must be prepaid on all mailings, except business reply mail, merchandise return service mail, official (including franked) mail, and items authorized for mailing as free matter for the blind and other handicapped persons under DMM E040. The requirement for prepayment means that postage must be paid before mail is accepted, transported, or processed.

136.3 Business Reply Mail and Merchandise Return Service

At the time of delivery, the delivering office collects business reply mail postage plus a per-piece handling charge and merchandise return service postage. There is no per-piece handling charge for merchandise return service.

136.4 Official Mail

For official mail, federal agencies generally pay postage directly to Postal Service Headquarters.

136.5 Rates

Consolidated tables of rates, in forms ranging from wall posters to brochures and scale charts, are distributed for use by postal personnel and the public and for display in service lobbies and at self-service postal centers. In general, appropriate rates for classes of mail and other services appear in the DMM module R in chapters related to the specific class or service in question. Changes in rates and their respective implementation dates are announced in the *Postal Bulletin.* Personnel who provide retail services must familiarize themselves with all such rates and rate changes.

137 Mail Acceptance and Handling

137.1 Policy

137.11 Overview

This section contains general information concerning acceptance and handling of domestic and international mail and is provided as a convenient guide for use by postal employees involved in retail and retail-related services. In no instance should these general guidelines be construed to supersede the rules and regulations contained in the DMM and IMM.

137.12 Retail Employees

It is Postal Service policy for retail employees to accurately advise customers on the rules of mail acceptance and to assist them in selecting the type of service best suited to their needs. Generally, retail employees must refuse prohibited mail matter, except as provided by the DMM, and items that are not properly packed, packaged, addressed, and labeled for safe handling to destinations. Regulations governing mailability are contained in DMM C010 and Publication 2, *Packaging for Mailing.*

137.13 Mail Categories

All categories of mail are accepted at retail service counters. Employees at retail service counters must be prepared to classify the mail and rate it for postage according to type, class, and special services desired by customers. Single pieces of mail are normally accepted at retail service counters or through collection boxes.

137.14 Bulk Mail

Mailings having postage paid through advance deposit accounts are generally accepted at business mail entry units. Postmasters must designate the times and places of business mail acceptance and ensure that it is properly accepted. Refer to Handbook DM-109, *Business Mail Acceptance.*

137.15 Endorsements

Postal employees at receiving retail service counters must ensure that the mail they accept is properly endorsed. Details on the requirements for canceling and postmarking each class of mail and endorsing mail for each type of service are found in appropriate chapters of the DMM. Requirements for philatelic purposes are explained in chapter 2.

137.2 Size and Packaging Standards

137.21 Size Standards

DMM C010 contains the established standards for minimum size limitations on all mailable matter.

137.22 Packaging Standards

Publication 2 contains the established standards for packing, packaging, and marking mail. Acceptability of packaging is a principal criterion of mailability. Items should be packaged so that contents do not harm mailhandling personnel, other mail, or equipment. Fragile items must be packaged to withstand mail processing and transportation. Heavy items must be braced and cushioned to prevent damage to other mail.

137.3 Addressing

137.31 General

Mail should be properly addressed before acceptance. Addresses should normally contain the following information on three separate lines:

a. Line 1: Name of intended recipient.

b. Line 2: House or building number with street name, plus apartment number if appropriate; or a Post Office box number; or a rural route designation with a box number; or general delivery.

c. Line 3: City name, state or state abbreviation, and ZIP Code or ZIP+4. Detailed rules and standards of addressing are contained in DMM A010 and A040. See also Publication 28, *Postal Addressing Standards.*

137.32 Simplified Addressing

A *simplified address* is a delivery instruction for general distribution without individual names and addresses. Simplified addressing is authorized under certain conditions for certain classes of mail, as described in DMM A040.

137.4 Domestic Mail Acceptance

137.41 General

137.411 Jurisdiction and Service Levels

Postal laws and regulations on domestic mail apply to mailings in the United States, its territories, commonwealths, and possessions, and to the mailings of overseas agencies of the United States government.

There are several classes, subclasses, and special services for domestic mail. They sometimes overlap, because it is intended that customers have a choice of service levels. Rating mail for postage requires careful study and application to the prescribed rules and regulations in the DMM. Rulings and detailed mail classification information must be provided only by qualified personnel (see DMM G020).

137.412 Nonmailable Matter and Hazardous Materials

The conditions for preparation and packaging under which such materials are accepted are stated in 138, 139, DMM C020, and Publication 52, *Hazardous, Restricted, and Perishable Mail.* Particular conditions applicable to mailings of hazardous materials to foreign addresses are stated in the IMM. The Postal Service will accept for mailing, in limited quantities and with proper packaging, many potentially hazardous materials that are not outwardly or of their own force dangerous or injurious to life, health, or property.

137.42 Classes of Mail

137.421 Express Mail

Express Mail is a premium expedited service with a postage refund guarantee if delivery is not made within a specified time. All mailable matter presented to the Postal Service properly prepared may be entered as Express Mail. Express Mail includes a variety of services for domestic, military, and foreign addresses, as specified in the DMM and IMM.

137.422 Priority Mail

First-Class Mail® weighing more than 11 ounces and not more than 70 pounds is called *Priority Mail;* however, customers may opt to mail matter less than 11 ounces as Priority Mail. It may not measure more than 108 inches in combined length and girth. Detailed instructions governing Priority Mail and applicable rates are found in DMM modules E and R.

137.423 First-Class Mail

All mailable matter presented to the Postal Service and properly prepaid may be entered as First-Class Mail. First-Class Mail weighing more than 11 ounces is called Priority Mail. Customers may opt to mail First-Class Mail weighing less than 11 ounces at the Priority Mail rate. Instructions and regulations governing First-Class Mail are found in the DMM. Regulations require that handwritten or typed mail, bills and statements of account,

actual and personal correspondence, and all matter sealed or otherwise closed against inspection be sent as First-Class Mail.

137.424 **Periodicals**

Periodicals include newspapers, magazines, and other periodical publications meeting certain eligibility requirements. Eligibility requirements, application procedures, verification procedures, and preparation requirements are contained in DMM E200, DMM M200, Handbook DM-202, and Handbook DM-701, Chapter 2. Periodicals rates are restricted to authorized publishers and news agents. Members of the general public may mail individual copies of Periodicals publications only at the Express Mail, First-Class Mail, or Standard Mail rates.

137.425 **Standard Mail**

Printed matter, including circulars, catalogs, merchandise, and books, weighing less than 16 ounces may be mailed as *Standard Mail.* Qualifications and requirements are found in the DMM.

137.426 **Package Services**

Material such as merchandise, printed matter, mailable live animals, and similar items may be mailed at the Package Services Parcel Post rates. In addition, there are specific Package Services rates for books, sound recordings, manuscripts, bound printed matter, and other items described in the DMM. Items that are mailable at Package Services rates also may be mailed as Priority Mail, Express Mail, or, if less than 16 ounces, Standard Mail. See DMM C022 for additional instructions about mailing live animals and other perishables.

137.427 **Mixed Classes**

First-Class Mail and Standard Mail matter may be enclosed in or attached to Periodicals, Standard Mail, or Package Services by a customer. The general requirement is that the appropriate rate of postage be paid for each piece. Instructions for rating and payment of postage for mixed-class situations are contained in DMM D070, E070, and M070.

137.43 Other Categories of Mail

137.431 **Mail for the Blind or Other Handicapped Persons**

Mail for blind and certain other handicapped persons is mailable under certain conditions without payment of domestic postage. Such mail is marked “Free Matter for the Blind or Handicapped” in the upper right corner, address side. Detailed instructions are contained in DMM E040.

137.432 **Official Mail**

Official mail is material that is authorized by law to be transmitted in the mail without prepayment of postage. Official mail weighing 11 ounces or less is considered First-Class Mail unless otherwise endorsed by the sender. Unendorsed official mail that weighs more than 11 ounces but less than 16 ounces is considered Standard Mail; if it weighs 16 ounces or more it is considered Package Services.

There are two types of official mail:

a. *Franked mail* consists of material relating to the business of Congress that is sent by members of Congress or other congressional officials specified by law. Limited other officials including former Presidents and surviving spouses of former Presidents are also entitled to send franked mail. Instead of postage, these items bear a written or facsimile signature of the authorized sender and other required indicia as appropriate.

b. *Penalty mail* consists of material relating exclusively to the business of the government of the United States that is sent by agencies of the United States government. All material sent under this privilege must bear a complete agency return address, the preprinted phrases "Official Business" and "Penalty for Private Use, $300," and one of the required penalty indicia formats described in DMM E060.

137.44 Accountable Mail

137.441 General

Special services are available that provide proof of mailing, proof of delivery, or indemnification for loss or damage. These services include Certified Mail, Registered Mail, Collect on Delivery (COD), Insured Mail, Return Receipt, and Merchandise Return Service. Because records are kept concerning each item of mail receiving these special services, such mail is called *accountable mail.*

137.442 Certified Mail

Certified Mail provides a record of delivery maintained by the U.S. Postal Service. When the mailpiece is accepted at the Post Office counter, a postmarked sender's receipt of mailing must be provided. No record is kept at the office of origin. Return receipts and restricted delivery are provided for additional fees in accordance with DMM S915 and S916.

137.443 Registered Mail

Registered Mail provides added protection for valuable or important mail. All mailable matter prepaid with postage at First-Class Mail or Priority Mail rates may be registered in accordance with DMM S911. Registered Mail provides a receipt to the sender, special security between shipment points, a record of acceptance and delivery maintained by the U.S. Postal Service, and, at the option of the mailer and for an additional fee, indemnity in case of loss or damage. Postal employees are not permitted to assist customers in the preparation or sealing of mail to be registered. Return receipts and restricted delivery are provided for additional fees in accordance with 822 and 823.

137.444 Collect on Delivery (COD) Mail

Collect on Delivery (COD) provides a method whereby the Postal Service delivers the mail only upon receipt of the funds to be collected. The recipient pays by check payable to the sender or by cash transmitted via a U.S. Postal Service money order. First-Class Mail and Standard Mail and Package Services may be sent as COD mail. Checks may be accepted for payment of COD mail in accordance with 815 and DMM S921.

137.445 Insured Mail

Insurance is available for Package Services mail upon payment of an additional fee. Insurance covers loss, rifling, or damage to mailed items. Priority Mail and items sent at the First-Class Mail rate that contain Package Services matter, and official mail (U.S. government) bearing the "Postage and fees paid" endorsement also may be insured. Return Receipt and Restricted Delivery Service are provided for additional fees in accordance with 822 and 823. See DMM S913 for detailed information.

137.45 Special Services Mail

137.451 Certificate of Mailing

A *certificate of mailing* provides proof that an item was mailed. A fee is charged for this service. No duplicate record of acceptance is maintained by the Post Office, and the certificate provides no insurance for damage or loss. See 821 for detailed information.

137.452 Special Handling

Special handling provides preferential handling to the extent practicable in dispatch and transportation. See DMM S930 for detailed information.

137.453 Delivery Confirmation

Delivery Confirmation provides the customer with information about the date and time an article was delivered or, if delivery was attempted but not successful, the date and time of the delivery attempt. Delivery information can be obtained from an electronic file, via the *Postal Service Internet* at *http://www.usps.com/shipping/epstrac.htm,* or by calling the toll-free number noted in 816.24. No acceptance record is kept at the office of mailing. See 816 and DMM S918 for detailed information.

137.454 Signature Confirmation

Signature Confirmation provides the customer with information about the date and time an article was delivered or, if delivery was attempted but not successful, the date and time of the delivery attempt. The delivery record, including the recipient's signature, is available via fax or mail, upon request. Delivery information can be obtained from an electronic file, via the *Postal Service Internet* at *http://www.usps.com/shipping/epstrac.htm,* or by calling the toll-free number noted in 817.24. No acceptance record is kept at the office of mailing. See 817 and DMM S919 for detailed information.

137.46 Mailable Matter Not Bearing Postage Found in or on Private Mail Receptacles

If the person, firm, or distributor responsible for the impermissible use of the private mail receptacles is within an area served by another Post Office, a sample piece is sent with a report of the facts to the postmaster at that location with a request for action under DMM P011.

137.47 Additional Information

For information on mailing to offshore/noncontiguous domestic destinations, to overseas military Post Offices, and through the Department of State to U.S. Foreign Service personnel abroad and for a list of the areas affected, see DMM A010, E010, E020, and G011.

137.5 Express Mail Acceptance

137.51 Description

Express Mail is available for sending any mailable matter meeting the eligibility standards in DMM E500, the size and weight standards in DMM C500, the preparation standards in DMM M500, and the postage payment standards in DMM P500. DMM D500 provides standards for service objectives, postage guarantees, and refund conditions. The *International Mail Manual* contains the mailing standards for Express Mail International Service and the available destination countries.

Express Mail is available in five basic service offerings (Same Day Airport Service, Custom Designed Service, Next Day Service, Second Day Service, and Express Mail Military Service). *[Same Day Airport Service was suspended in 1995 and is not available until further notice.]*

Under DMM D071, Express Mail Custom Designed Service, Next Day Service, and Second Day Service may be used to expedite movement of any other class of mail from one domestic postal facility to another.

137.52 Express Mail Same Day Airport Service

137.521 Acceptance

[Express Mail Same Day Airport Service, part of the Domestic Mail Classification Schedule under title 39, Code of Federal Regulations, was suspended in 1995. The Postal Service will not accept items for this service until further notice. The following procedures are included only for completeness.]

Express Mail Same Day Airport Service items are accepted only at designated airport mail facilities (AMFs) at the hours specified by the Postal Service for delivery between those designated AMFs. Any item mailed under this service may not be collected or dropped into a collection box, and pickup service is not available. A Same Day Airport Service item must be dispatched on the next available transportation to the destination AMF. Upon arrival at the destination AMF, the item must be made available for claim by the addressee by the time determined for the item when accepted at the origin AMF.

137.522 Security

A Same Day Airport Service item is dispatched on a specific airline and a specific flight number, depending on the acceptance time and destination. Because of aviation security and the dispatch of hazardous and other materials having potential risk to personal safety, additional procedures are required for the acceptance of a Same Day Airport Service item.

Under no circumstance may the Postal Service acceptance employee tell the mailer or write on the Label 11-C (or Label 11-H), *Express Mail Airport to Airport,* or on the article itself any of the following information:

a. Route (airline code).

b. Trip (flight number).

c. Leave time (flight departure time).

d. Arrive time (flight arrival time).

e. TRF (transfer point, if any).

137.523 Mailer Designation

Any mailer of a Same Day Airport Service item is classified and handled as follows:

a. A *known mailer* is any holder of an Express Mail Corporate Account (EMCA), or a holder of a federal government agency account, or any customer who mails items regularly and is known to the acceptance clerk. Shipping, transfer, business, commercial, or other organizations may adapt PS Form 3801, *Standing Delivery Order,* to identify their employees presenting Same Day Airport Service items at an AMF.

b. An *unknown mailer* is any customer who is not considered a known mailer under 137.523a. An unknown mailer must sign the "From" portion of the Label 11-C (or Label 11-H) and provide photo identification. The signature on the identification must match the signature on the Label 11-C (or Label 11-H). The source of the identification must be written on the Label 11-C (or Label 11-H). A Same Day Airport Service item must not be accepted from an unknown mailer who does not provide photo identification or whose signature does not match the signature on the identification.

137.53 Express Mail Custom Designed Service

Express Mail Custom Designed Service items are accepted only on a scheduled basis between designated postal facilities or other designated locations for mailable matter presented under a service agreement between the Postal Service and the mailer as described in DMM E500.

137.54 Express Mail Next Day Service®

137.541 Acceptance

Express Mail Next Day Service items are accepted at designated postal facilities, at designated Express Mail collection boxes, and by pickup service, for overnight service to designated destination 3-digit ZIP Code delivery areas, facilities, or locations. Next Day Service items must be presented by the times authorized by the postmaster.

Each designated acceptance facility must keep an Express Mail Next Day Service directory showing, for the 3-digit ZIP Code area in which the facility is located, the following information:

a. The 5-digit ZIP Code areas to which next-day delivery service by noon is offered.

b. The 5-digit ZIP Code areas to which next-day delivery service by 3 p.m. is offered.

c. A list of facilities at which Next Day Service mail can be claimed.

137.542 Verification

Any Next Day Service item must be verified at the time of mailing to ensure that the appropriate label is completed, the correct postage is paid, and the item is destined to a 3-digit or 5-digit ZIP Code area to which next-day delivery is offered from that point of origin. Any such item deposited in a collection box or handed to a carrier must be verified only at an Express Mail unit designated by the postmaster.

If a Next Day Service item that is brought to an acceptance unit is found not to have the appropriate label completed or the sufficient postage affixed, the mailer must be notified so that the label can be corrected or the insufficient postage paid. For an insufficiently paid item that is undeliverable for any reason, the item is returned to the mailer on payment of the deficient postage. An undeliverable Express Mail item not bearing a return address must be disposed of under the procedures in 68 and 69.

137.55 Express Mail Second Day Service

137.551 Acceptance

Express Mail Second Day Service items are accepted at designated postal facilities, at designated Express Mail collection boxes, and by pickup service. Second Day Service items must be presented by 5 p.m. or such later time as authorized by the postmaster. Second Day Service is available to and from 3-digit and 5-digit ZIP Code destinations not listed in the Express Mail Next Day Service directory.

137.552 Verification

Any Second Day Service item must be verified at the time of mailing to ensure that the appropriate label is completed, the correct postage is paid, and the item is destined to a 3-digit or 5-digit ZIP Code area to which second-day delivery is offered from that point of origin. Any such item deposited in a collection box or handed to a carrier must be verified only at an Express Mail unit designated by the postmaster.

If a Second Day Service item that is brought to an acceptance unit is found not to have the appropriate label completed or the sufficient postage affixed, the mailer must be notified so that the label can be corrected or the insufficient postage paid. For an insufficiently paid item that is undeliverable for any reason, the item is returned to the mailer on payment of the deficient postage. An undeliverable Express Mail item not bearing a return address must be disposed of under the procedures in 68 and 69.

137.56 Express Mail Military Service

137.561 Acceptance

Express Mail Military Service (EMMS) items are accepted at designated retail postal facilities for 2-day or 3-day service to designated Army Post Office/ Fleet Post Office (APO/FPO) 5-digit ZIP Codes and at designated APO/FPO facilities for 2-day or 3-day service to designated 3-digit destination ZIP Code areas, facilities, or locations in the United States. Any 2-day EMMS acceptance office may accept EMMS items for 3-day service after the local cutoff time for normal 2-day service. Designated APO/FPO facilities overseas may accept 3-day service EMMS items for 3-digit destination ZIP Code areas in the United States not included on their 2-day service network. Service to or from an APO/FPO not designated as an EMMS area, location, or facility is prohibited. EMMS Custom Designed Service and drop shipment are available to authorized APO/FPO destinations. EMMS must be presented by the time authorized by the local postmaster.

Each designated facility must keep an EMMS directory showing both 2-day and 3-day service areas for the 3-digit or 5-digit ZIP Code area in which the facility is located. The directory must include the following information:

a. For U.S. Post Offices, the 5-digit APO/FPO ZIP Codes to which EMMS is offered.

b. For overseas APOs and FPOs, the 3-digit U.S. Post Office ZIP Code areas to which EMMS is offered.

137.562 **Verification**

Any EMMS item must be verified at the time of mailing to ensure that the appropriate label is completed, the correct postage is paid, and the item is destined to a 5-digit ZIP Code area to which EMMS is offered from that point of origin. Any such item deposited in a collection box or handed to a carrier must be verified only at an Express Mail unit designated by the postmaster.

If an EMMS item that is brought to an acceptance unit is found not to have the appropriate label completed or the sufficient postage affixed, the mailer must be notified so that the label can be corrected or the insufficient postage paid. For an insufficiently paid item that is undeliverable for any reason, the item is returned to the mailer on payment of the deficient postage. An undeliverable Express Mail item not bearing a return address must be disposed of under the procedures in 68 and 69.

137.6 International Mail Acceptance

137.61 General

The United States Postal Service exchanges mail with other countries in accordance with U.S. domestic laws and regulations and with international postal treaties and conventions.

Mailing conditions and operational procedures adopted to implement the provisions of these laws, treaties, and conventions are set forth in the IMM.

137.62 Classes of Mail

Classes and types of international mail resemble those of domestic mail, but with country-by-country variations on rates, mailability, special services, sizes, and sealing limitations (see IMM 141).

Persons engaged in retail operations must know how to use the IMM efficiently to look up the special destination country requirements and rates applicable to any particular mailing.

137.63 Suspension of International Service

It is Postal Service policy to accept mail for foreign destinations in accordance with all applicable laws, regulations, and treaty or convention provisions.

From time to time, because of war, disaster, civil disturbance, or other cause, it may be necessary to suspend (embargo) mail service to certain foreign destinations. When this is done, public announcements are made in the *Postal Bulletin,* and it is the responsibility of persons engaged in retail operations not to accept such mail, citing the public announcement as the reason.

Signs announcing suspensions of service must be placed prominently in the retail service lobby and on or near mail acceptance units.

137.7 Mailhandling

137.71 Access to Mail and Mailhandling Areas

Authority for access to mail and mailhandling areas in postal facilities is restricted to duly sworn postal employees and postal contractors on official business. Nonpostal maintenance personnel are permitted access to mailhandling areas only when postal employees are on duty there. All other persons (including former employees and off-duty employees) must be specifically authorized access by the postal supervisor of the area involved.

137.72 Recall of Mail

Mail matter may be recalled after acceptance only by the addressee, the sender, or the lawful designee of either. Regulations governing recall of mail are contained in DMM D030.

137.73 Requests for Surrender of Mail

Postal employees served with legal process (for example, a state court order, search warrant, or other legal notice) purporting to require the surrender of mail should respectfully refuse to surrender the mail and should explain that the mail came into their custody by reason of their official employment, and direct the matter to the Inspection Service.

Exception: A search warrant duly issued (by a federal court or served by a federal officer) under Rule 41 of the Federal Rules of Criminal Procedures (see ASM 274.6).

138 Nonmailable Matter — Written, Printed, and Graphic

138.1 Advice to Mailers — Mailability Decisions

138.11 General Advice

When a postal customer seeks advice on whether, or under which conditions, particular matter described in DMM C010 and DMM C030 may be mailed, the customer's attention should be called to any relevant provisions of those standards, and the customer may be assisted in using and understanding those provisions.

138.12 Mailer's Responsibility

The mailer is responsible for complying with applicable postal laws and regulations governing mailability and preparation for mailing, as well as nonpostal laws and regulations on the possession, treatment, transmission, or transfer of particular matter. The general requirements applicable to preparation, packaging, and packing of mailable matter are in DMM C010. Special requirements applicable to preparing, packaging, and packing of potentially dangerous matter are explained in DMM C020.

138.13 Certain Mailability Decisions Not Authorized

Postmasters are not authorized to decide whether written, printed, or graphic matter is, because of its content, nonmailable. Postmasters are not permitted to deny entry to such matter or exclude it from the mail. As stated in 138.11, postmasters should call the attention of prospective mailers of such matter to all apparently relevant provisions of DMM C030. After being so informed, if the mailer requires that matter described in DMM C030 be accepted, it must be treated under 138.14. Written, printed, or graphic matter not properly prepared for mailing can be refused.

138.14 Referral to Inspection Service

A report about written, printed, or graphic matter found in the mail that appears nonmailable must be sent to the Inspection Service. Such matter may not be withheld from dispatch or delivery unless the Inspection Service, acting under ASM 274, instructs such withholding.

138.15 Opening or Inspecting Mail

Mail may not be opened, detained, delayed, or inspected, except under ASM 274.

138.16 Applicability to Military Postal System

DMM C010 and DMM C030 apply to the military postal system, its employees, and undelivered mail that is or has been in the official custody of the system and its employees. References in 138 to the Inspection Service refer to the Postal Inspection Service and its authorized personnel, not to military investigative services.

138.2 Sexually Oriented Advertisements

138.21 Application for Listing

138.211 Completion of Postal Service Form

A person may invoke the protection of 39 U.S.C. 3010 by completing and filing, with any postmaster or other designated Postal Service representative, PS Form 1500, *Application for Listing and/or Prohibitory Order.* This form may be obtained at any Post Office.

138.212 Authorized Filers

A person may file in his or her own behalf and in behalf of any of his or her children under the age of 19 years who reside with that person or are under that person's care, custody, or supervision. An authorized officer, agent, fiduciary, surviving spouse, or other representative may file in behalf of a corporation, firm, association, estate, or deceased or incompetent addressee.

138.213 Weekly Transmission of Applications

Postmasters must send all completed applications weekly to the Prohibitory Order Processing Center (POPC).

138.214 Entry on Postal Service List

After receiving a PS Form 1500, the POPC enters the customer's name and address (and the names and addresses of minor children) on the application into the Postal Service's computerized list of persons who do not want to

receive sexually oriented advertisements. This information is processed and the list updated monthly. The 30-day period under section 3010(b) starts on the effective date of the list on which the person's name first appears.

138.215 **Five-Year Retention on List**

A person's name and address are kept on the list for 5 years, unless a request for revocation is filed sooner by that person. A person must file a new application at the end of the 5-year period to remain on the list. The names and addresses of minor children are removed automatically from the list when they reach 19 years of age. A minor must file an original application in his or her own behalf if that person wants to continue his or her name on the list after reaching 19 years of age.

138.216 **Separate Applications for Different Addresses**

The filing of a single application results in the listing of a single address for the person filing. A person who receives mail at more than one address and who wants the protection of 39 U.S.C. 3010 at more than one address should file a separate application for each. A person who moves must file a new application to receive the protection of 39 U.S.C. 3010 at the new address. The submission of PS Form 3575, *Change of Address Order,* is not effective for this purpose. It is not a violation of 39 U.S.C. 3010 to mail a sexually oriented advertisement to a person at an address other than that which is shown for him or her on the list. It is a violation, however, to mail such an advertisement to that person at the address shown for him or her even though that person has moved from that address.

138.22 Violations

138.221 **Partial Listing**

The following is a partial list of conduct that can violate 39 U.S.C. 3010 or 18 U.S.C. 1735:

a. The mailing of a sexually oriented advertisement in an envelope or other wrapper that does not bear the name and address of the sender and the legend "Sexually Oriented Ad," under DMM C032.5.

b. The mailing directly or indirectly of a sexually oriented advertisement to a person whose name and address have been on the list for more than 30 days.

c. The sale, loan, lease, or licensing of the use of the list or a copy thereof in whole or in part.

d. The use of the list or a copy of it in whole or in part for any other purpose than to ensure that no mailings of sexually oriented advertisements are made to persons on the list.

138.222 **Compliance With Law**

No person who mails sexually oriented advertisements only to persons who have requested to receive the same is deemed to have violated the statute or regulations, if that person is otherwise in compliance with the law, whether or not that person purchased and used the Postal Service list.

138.223 **Customer Reporting of Unsolicited Advertisements**

A person who wants to report receipt of unsolicited sexually oriented advertisements after his or her name and address are on the list for more

than 30 days should submit the entire mailpiece, including the envelope or other wrapper, to any postmaster. The mailpiece must have been opened by the addressee. When submitting the mailpiece, the addressee must endorse the envelope or other wrapper and the contents thereof in substance as follows: "I received this mailpiece on (date)," and sign the statement. He or she should also state the identifying number appearing on his or her application if it is known (see 138.211). The postmaster of the installation to which the mailpiece is submitted must send it without delay to the inspector in charge of the Inspection Service division with geographical jurisdiction over the address of the mailer.

138.224 **Postal Service Employee Reporting of Unsolicited Advertisement**

If a violation of DMM C032 comes to the attention of any Postal Service officer or employee, that person must, through his or her postmaster, report such violation to the postal inspector whose territory includes that postal installation. Mail of a mailer in violation or apparent violation of 39 U.S.C. 3010 may not be refused for dispatch or delivery without a proper court order. Instructions to postmasters must be issued if a court order is obtained.

138.225 **Customer Inquiry of Name on Postal Service List**

A customer who wants to know whether his or her name is on the list should write to the Prohibitory Order Processing Center at the following address:

NATIONAL CUSTOMER SUPPORT CENTER
US POSTAL SERVICE
PO BOX 3744
MEMPHIS TN 38173-0744

139 Nonmailable Matter — Articles and Substances: Special Mailing Rules

139.1 General Provisions

139.11 Rules and Procedures

139.111 **Mailer's Responsibility**

The mailer must comply with applicable postal laws and regulations on mailability and mail preparation, as well as nonpostal laws and regulations on the shipment of particular matters. General requirements for preparation, packaging, and packing of mailable matter are in DMM C010.

139.112 **Dangerous Materials Notices**

Postmasters and other managers of postal facilities must prominently display in Post Office lobbies, acceptance areas, and at self-service postal centers a notice containing the following language: "Mailing extremely dangerous materials, and potentially dangerous materials that are prepared improperly, is prohibited by law. You must ensure that your mailings comply with the law. Ask about postal requirements before you deposit any questionable materials into the mail."

139.113 **Procedure for Acceptance Clerks**

Acceptance clerks must follow these procedures:

a. If the content of an article presented for mailing is described by the mailer or otherwise revealed to be nonmailable, the acceptance clerk

must refuse to accept the article and must explain the reasons to the mailer (see Publication 52, *Hazardous, Restricted, and Perishable Mail,* and the IMM).

b. Acceptance clerks must watch for substances that are nonmailable as packaged and, where such substances are identified, must advise the customer of the preparation and packaging requirements that must be satisfied before such substances are acceptable for mailing (see Publication 52 and the IMM). If the customer fails to show that the matter is mailable as packaged, the employee must refuse to accept the article and must explain the reasons to the mailer.

139.114 **General Advice to Mailers**

When a customer seeks advice about whether or how to mail particular matter, or where it appears likely that a customer is to mail dangerous matter (as described in DMM C020), the customer's attention must be called to the relevant provisions of those standards and any official Postal Service guides to mailing such as Publication 52. Technical questions about the proper preparation or packaging of matter may be referred to the RCSC. The scope of a postmaster's authority to decide whether particular matter is nonmailable under DMM C020 and to exclude such matter from the mail under that decision is determined by 139.116. Mail may not be opened, detained, delayed, or inspected except under ASM 274.

139.115 **Other Laws and Regulations**

Other laws and regulations can apply to the mailings:

a. Particular matter may be mailable under postal statutes and regulations, but customers may have responsibilities under nonpostal statutes and regulations concerned with possession, treatment, transmission, or transfer of such matter. See, for example, 49 CFR, parts 100 through 177 (Department of Transportation Regulations); the Comprehensive Drug Abuse Prevention and Control Act of 1970 (Public Law 91-513), 21 U.S.C. 801, *et seq.;* and the Gun Control Act of 1968 (Public Law 90-618), 18 U.S.C. 921, *et seq.* Postmasters must not give opinions about whether mailing particular articles and substances (see DMM C020) would violate or comply with nonpostal laws and regulations administered by agencies other than the Postal Service. Postmasters who know the existence of such laws or regulations should refer the customer to the appropriate government agency. For example, postal customers with questions about the interstate shipment of rifles or shotguns should be referred to the nearest regional administrator of the Bureau of Alcohol, Tobacco and Firearms or to the Director, Bureau of Alcohol, Tobacco and Firearms.

b. Title 18 U.S.C., chapter 119, constitutes a statutory system of regulating interception of wire, oral, or electronic communications. Any person contemplating the mailing of a device primarily useful for surreptitiously effecting such interception should consider the provisions of chapter 119, particularly section 2512. This statute makes it a crime, unless otherwise provided in chapter 119, for a person intentionally to send through the mail any device whose design that person knows, or has reason to know, renders the device primarily

useful for surreptitious interception of wire, oral, or electronic communications. The statute does not declare that such a device in itself is nonmailable matter but, as shown, provides criminal penalties for the act of intentionally mailing it. Customers who need advice on the applicability of this prohibition to contemplated mailing activities should consult private legal counsel.

139.116 **Authorized Mailability Decisions**

Postmasters may decide whether articles and substances other than written, printed, or graphic matter are nonmailable and must, where appropriate, refuse to accept for mailing such matter determined to be nonmailable. Where necessary, the RCSC should be consulted in determining mailability. If the mailer wants to review the postmaster's decision, the postmaster must, with the mailer's consent, refer a sample and send a complete statement of the facts to the RCSC manager. After the manager's decision is made, further appeal may be made by the mailer under 39 CFR 953, Rules of Practice in Proceedings Relative to Mailability. Postmasters are authorized to take any steps reasonable and necessary to protect Postal Service employees and equipment from the effects of potentially dangerous or injurious materials or substances found in the mail (see ASM 223.4).

139.117 **Referral to Inspection Service**

Matter within the following categories, when found in the mail, should be held temporarily, and the Inspection Service should be advised immediately. Such matters should thereafter be disposed of under instructions promptly furnished by the Inspection Service. These categories of matter are nonmailable firearms and switchblade knives (see DMM C024); controlled substances (see DMM C024); motor vehicle master keys and locksmithing devices (see DMM C024); alcohol (see DMM C024); and explosive, incendiary, or hazardous materials or devices that may present an immediate threat to persons or property (see DMM C023). This provision does not authorize the opening or inspection of any mail.

139.118 **Referral to RCSC**

All matter found in the mail and believed to be nonmailable under DMM C020 and DMM C030, except matter described in 139.117, must not be withheld from dispatch or delivery, but a report fully describing such mailing should be referred to the RCSC manager.

139.119 **Administrative Appeals**

A mailer aggrieved by any mailability decision by the RCSC manager may file a written notice of appeal with the Postal Service Recorder, Judicial Officer Department, with a copy or description of the determination or ruling in question. See 39 CFR 953, Rules of Practice in Proceedings Relative to Mailability.

139.2 Firearms, Knives, and Sharp Instruments (18 U.S.C. 1715, 1716)

Postmasters are not authorized to give legal opinions about the shipment of rifles or shotguns, knives, or sharp instruments (see 139.115).

14 Other Retail Services Management

141 Delivery Services

141.1 General

This section concerns mail delivered by clerks at postal facilities. These types of delivery services involve significant volume and include general delivery, Post Office box and caller service, and, in some instances, firm holdout service. Additionally, clerks often deliver mail to customers who present notices of attempted delivery.

For detailed regulations concerning the availability of these services, customer and Postal Service obligations, and the appropriate rates, see DMM D900, Handbook M-39, *Management of Delivery Services,* and 142 and 84 of this book.

141.2 General Delivery Service

General delivery is intended primarily for use at offices without carrier delivery and for residents not eligible for carrier delivery service, to serve transients, and for other customers who are not permanently located. Mail endorsed “Transient, to be called for, general delivery” or with other suitable words will be placed in the general delivery case to be delivered to the addressee upon request at a retail service counter and with proper identification. Persons living permanently in cities having carrier delivery service and who have good and sufficient reasons satisfactory to the postmaster may receive their mail at general delivery retail service counters.

141.3 Firm Holdout Service

Customers who normally receive 50 or more letters on the first delivery trip and who request to pick up mail may be provided *firm holdout service.* Firm mail may be a direct holdout or may be withdrawn from the carrier’s case. For each customer, employees complete a PS Form 3801, *Standing Delivery Order.* Indicate on the form the type of mail (i.e., letter, Parcel Post, or all mail the firm will pick up) and obtain the signature of each firm employee or the designated agent authorized to pick up the mail. Carriers cannot make a first delivery to customers receiving holdout service, but may make subsequent delivery of mail where such service is provided by multiple trip routes. This service does not withdraw established service.

No charge is made for firm holdout service under these provisions.

In all other cases, customers requesting to call for their mail will be afforded service in accordance with 841, 842, or 843.

141.4 Post Office Box and Caller Services

141.41 General

Post Office box and caller services are premium services provided for the convenience of customers for a fee.

141.42 Post Office Box Service

141.421 General

Post Office box service consists of delivery to Post Office boxes assigned to customers. These boxes are located at postal facilities, subject to customer demand and the Postal Service's ability to provide them. They are arranged in different configurations and must follow certain equipment and numbering requirements (see 141.423).

141.422 Configurations

Post Office box service is provided through the following configurations:

a. A *Post Office box unit* is any number of Post Office box sections that comprise the total Post Office boxes in a facility that is under one particular 5-digit ZIP Code.

b. Any configuration of standard-sized boxes having the same overall dimensions as an assembly of the smallest size configured two high and six wide is a *Post Office box module.*

c. Five Post Office box modules arranged vertically comprise a *Post Office box module unit.* (In some cases, this will exceed 72 inches in height.)

d. Two Post Office box module units side by side (a maximum width of approximately 48 inches) form a section. For series 700, 1300, and others, a maximum width of 48 inches should apply.

A box section should not exceed 120 boxes or be wider than 48 inches. In cases where the physical layout of a Post Office box section unit involves inside corners or outside corners (from the viewpoint of the box clerk) that separate two box module units that would normally make up a box section, consider the following:

(1) In the case of an inside corner, if one of the walls contains an odd number of module units, include the odd module unit with the adjacent two units to form the box section. If both walls contain an odd number of module units, the units adjacent to the corner, if within arms' reach of each other, may be combined to form a box section. If an odd module unit is not within arms' length of an adjacent unit, it should be designated as a box section by itself.

(2) In the case of an outside corner, when there is an odd number of Post Office box module units, one Post Office box module unit will constitute a Post Office box section when necessary.

Note: All new Post Office box sections must conform to the above guidelines. Existing box sections should be made to conform to these standards, when practicable.

141.423 Requirements

Equipment and numbering requirements are as follows:

a. Equipment

(1) Key-locking models of Post Office boxes are the only types available for installation in new facilities or for expansion or replacement of existing Post Office box sections. Surplus

key-locking equipment may be used to match styles upon expansion of currently existing Post Office box sections.

(2) The 1800 series of Post Office box equipment should not be used in the same Post Office box section as 1500 series or earlier equipment models.

(3) Antique call box equipment may no longer be used for the provision of Post Office box service, but may be used as an adjunct to general delivery.

(4) Damaged Post Office boxes must never be rented to customers, and Post Office boxes that have already been rented but suffer damage must be repaired as soon as possible.

b. Numbering

(1) Number all Post Office boxes sequentially.

(2) Number all Post Office boxes vertically from top to bottom. The top box of each column of boxes should end in the number 1 (for example, 1, 11, 21, 31, 41, 51, 61, and so forth). Do not use alphabetical designations.

(3) The district address management office must approve, in advance of the installation and customer notification, numbering deviations where columns contain more than 10 boxes.

(4) Post Office box numbers must all be numeric and must not be repeated within a finance number.

141.43 Caller Service

Caller service is provided at all offices to customers with a large volume of mail, to customers needing multiple separations, or to customers who need a Post Office box number address when no Post Office boxes are available. Postmasters must do the following:

a. Determine the times and locations for the provision of caller service.

b. Establish a series of box numbers for callers that is completely separate and apart from the numbers assigned to physical Post Office boxes. Assign a series of numbers that is beyond the range used on Post Office boxes. This series of numbers must not be repeated within the area represented by one finance number. Do not use alphabetical designations.

142 Metered Postage Services

142.1 General

142.11 Description

There are two primary categories of metered postage:

a. Metered postage imprinted by machines that are privately rented by mailers.

b. Metered postage imprinted by Postal Service-owned machines.

142.12 Security of Equipment

Postal-owned postage meters are restricted items that must be handled securely in accordance with Handbook AS-701, *Material Management.* This meter equipment must not be assigned to contract stations, branches, or community Post Offices. Contractors may rent a postage meter at their own expense, however, subject to the same rules and regulations that apply to private mailers.

142.13 Exceptions

Postage may be paid by meter stamps on any class of mail other than Periodicals. Metered mail is entitled to all the privileges and subject to all the conditions applying to the various classes of mail.

142.2 Customer-Operated Metered Postage

Mailers usually find it more efficient to imprint metered postage directly on large-volume mailings or on metered tapes that are affixed to each piece, rather than to use adhesive stamps for this purpose. Mailers rent postage meter machines from manufacturers authorized to distribute meters by the Postal Service. Applications for licenses for their use are accepted at the Post Office where the metered mail will be deposited. After approval of an application, the postmaster issues a license, for which there is no fee.

142.3 Postal Service-Operated Metered Postage

The Postal Service provides postage meters for use at retail service counters where the volume and type of mail being accepted justifies their use, such as to dispense postage for parcels and other purposes.

142.4 On-Site Meter-Setting Service

Postage meter-setting service may be provided by retail employees at business locations of customers who may find it inconvenient to bring their meters into the Post Office for setting. Fees are charged for this service. Postmasters decide when and where to offer the on-site service based upon customer demand and location, plus the cost and revenue considerations of providing the service. Postmasters may obtain assistance in making such decisions from Retail Systems and Equipment, Headquarters.

143 Photocopy Service

143.1 Policy

The Postal Service permits the installation of coin-operated photocopying machines in the lobbies of offices for customer use in many areas. The machines are owned and maintained by commercial firms, which are selected on a competitive basis. A portion of the proceeds from these machines is paid to the Postal Service. Details on how and where to provide photocopying service may be obtained from the district manager, Customer Service Support, or Handbook PO-208, Part 263.

143.2 Noncompetitive Requirement

The Postal Service does not compete unfairly with private coin-operated photocopy services. The distance between the Post Office premises in which a photocopy machine installation is proposed and a private coin-operated photocopy service must be at least one block or 500 feet. For these purposes, a block is defined as the entire unit where the Post Office structure is located and the opposite sides of the streets around that structure. In small communities (10,000 or less population), the distance should be extended to two or three blocks. When applying this rule, do not consider machines located in libraries, schools, or other public buildings. If the Post Office machine was installed before a private concern put in a machine, the Post Office machine may remain in service.

144 Self-Service Vending

144.1 General

144.11 Description

Many customer needs are met faster and more efficiently by self-service vending equipment that offers basic postal products and services in areas convenient to the public. Such equipment may be installed in postal facilities or at nonpostal locations. The configurations range from a single small stamp vending machine to large self-service postal centers. See Handbook PO-102, *Self Service Vending Operational and Marketing Program,* for specific details regarding self-service vending.

144.12 Responsibilities

Self-service vending equipment, when installed in postal facilities, must be kept operable and available to customers during all business and box lobby hours. Each manager in whose postal facility a self-service unit is located is responsible for ensuring the operability of the equipment. Postmasters are also responsible for informing customers of the advantages, locations, and products available from self-service and vending equipment. In nonpostal locations, self-service vending equipment must also be kept operable and available to customers during all times the mall or other operating location is open for business.

144.13 Value

All stamps and products vended by self-service equipment owned by the Postal Service are sold at face value.

144.14 References

The following are sources of information on self-service vending equipment:

a. Sections 144.2 through 144.5 describe the various configurations of self-service vending equipment in use and the policies underlying their installation and operation.

b. Handbook PO-102, *Self Service Vending Operational and Marketing Program.*

c. Numerous technical manuals are available concerning the operation and maintenance of particular pieces of equipment. The principal

handbook that addresses the management of self-service retailing operations is Handbook PO-102.

144.2 Stamp Vending Machines

Stamp vending machines vend stamps off large coils and are normally installed in smaller Post Offices, stations, and branches where there is a need for service after closing hours or to alleviate the wait in line for single stamp sales. A single stamp vendor may be used in conjunction with a booklet vendor if the traffic warrants. This type of machine is to be used where there is not enough business to justify a postal commodity machine. In larger locations, a small stamp vendor may be placed near a postal commodity machine. Criteria for placement are found in Handbook PO-102.

144.3 Booklet Vending Machines

Booklet vending machines can hold approximately a box of booklets in a crisscrossed stack and are normally installed in smaller Post Offices, stations, and branches where there is a need for service after closing hours or to alleviate the wait in line for stamp booklet sales. This type of machine is to be used when sales exceed the revenue required for a single small stamp vendor but where there is not enough business to justify a postal commodity machine. Criteria for placement are found in Handbook PO-102.

144.4 Booklet/Stamp Combination Machines

Combination machines selling four selections of booklets and two single stamp selections may be used in both postal and nonpostal locations. This type of machine is used when sales exceed single stamp and single booklet vendors but where there is not enough business to justify a postal commodity machine.

144.5 Postal Commodity Machines

Postal commodity machines provide stamp booklets, commemorative and definitive vending packets, aerogrammes, long and short stamped envelopes, stamp coils of 100, Express Mail packets, and Priority Mail packets. The types of products to be sold in these machines are outlined in the specific maintenance series handbook designated for the postal commodity machine. These machines are installed in postal facilities where there is a need for more service than is provided by small stamp vendors and/or booklet vendors but where a full self-service postal center (SSPC) is not warranted. They may also be installed at nonpostal locations where adequate protection exists. Criteria for placement are found in Handbook PO-102.

144.6 Self-Service Postal Centers

144.61 Criteria

Self-service postal centers (SSPCs) are installed in postal facilities where there is a need for more service than is provided by a single postal commodity machine, booklet/stamp combination machine, small stamp vendor, or booklet vendor. SSPCs may be installed at nonpostal locations

where adequate protection exists. See Handbook PO-102 for deployment criteria.

144.62 Equipment Configuration

Self-service postal centers generally contain the following items:

a. A stamp vending machine providing one or more stamps of the most commonly used denominations.

b. A postal commodity machine providing the designated vending items for that type of machine.

c. A booklet/stamp combination machine can be used in lieu of stamp vendor or commodity machine.

d. A currency changer that changes $1 bills into quarters, dimes, and nickels for use in vending machines. Currency changers are to be used only if the vending equipment does not already take bills.

e. A parcel depository, when available.

f. An automatic computing parcel scale and/or letter scale.

g. Publication 65, *National Five-Digit ZIP Code and Post Office Directory.*

h. Customer information signs providing information on postage rates and mailing procedures only if this information is not available on the scale.

i. A letter collection receptacle.

j. Telephone for customer use in case equipment is out of order.

k. Claims for refunds.

All claims for refund of money lost through self-service retail vending equipment must be handled expeditiously. All retail service and other employees who handle such claims or complaints must acquire a thorough working knowledge of the refund procedures contained in Handbook PO-102.

145 Exchanges and Refunds for Payment of Excess Postage

145.1 Processing Refunds for Postage Stamps on Business Reply Mail, Postage Meter Stamps, Meter Impressions, Permit Imprints, or Rejected Personalized Envelopes

145.11 Authorizing Refunds

a. The requester submits a completed PS Form 3533, *Application and Voucher for Refund of Postage, Fees, and Services,* with postage stamps on business reply envelopes, postage meter stamps, envelopes, or other evidence requested for refund to the Post Office.

b. A Post Office employee reviews the application and supporting papers.

c. A Post Office employee and a witness destroy the postage stamps on business reply envelopes, postage meter stamps, envelopes, or other evidence submitted.

d. The Post Office employee calculates the amount to be refunded on Part 3, Postage or Meter Stamps, of PS Form 3533 (for postage meter stamps, follow instructions outlined in Part 3, of PS Form 3533).

e. A Post Office supervisor and a witness must certify the destruction and sign on PS Form 3533, Part 3.

f. If no witness is available (CAG H—L offices only), the postmaster follows steps 2, 3, and 4 and signs PS Form 3533, Part 3.

g. The postmaster or a supervisor authorizes the amount to be refunded, ensures that the approved amount is entered in PS Form 3533, Part 2, Verification of Refund, and signs.

h. If the refund amount exceeds the authorized limit for local payments, the Post Office employee check marks PS Form 3533, Part 6, Refund not Processed at Local Post Office, and forwards it to the designated location (see Disbursements).

i. For local payments, if paid in cash (limited to $10), the payee signs PS Form 3533, Part 5, Receipt for Refund; if paid with a no-fee money order, enter the serial number and the date issued.

j. The Post Office checks off the type of refund in PS Form 3533, Part 1, Refund Requested For, and ensures the amount of refund is reported into the appropriate AIC account number in the unit's PS Form 1412.

145.12 Disbursements

145.121 Standard Field Accounting Procedures (SFAP) and Statement of Account (SOA) Offices

Refunds up to $1,000 may be issued locally. If the refund amount is more than $1,000, the Post Office enters the amount into the corresponding disbursement AIC account number and enters the offset amount into AIC 075, Advance Deposit Refunds Awaiting Payment. The Post Office submits the original PS Form 3533 to the district finance office for payment.

145.122 Standard Accounting for Retail (SAFR) Offices

Refunds up to $500 may be issued locally. If the refund amount is more than $500, the Post Office enters the amount into the corresponding disbursement AIC account number and enters the offset amount to AIC 280, Disbursements Sent to SS/A. The Post Office submits the original PS Form 3533 to Shared Services/Accounting (SS/A) for payment to the following address:

USPS SCANNING AND IMAGING CENTER
PO BOX 9000
SIOUX FALLS SD 57117-9000

145.2 Processing Refunds for Metered Postage

145.21 Meters Checked Out of Service

The procedure for issuing refunds for meters checked out of service is as follows:

a. *Verification at All Offices.* The accepting employee does the following:

(1) Verifies the amount by examining meter registers.

(2) Fills out Part 5, PS Form 3533, if a refund is due.

(3) If the manufacturer's meter checkout form has all the required documentation, uses it instead of PS Form 3533.

(4) Refunds the full value of the unused postage under 145.21b(1) or 145.21b(2).

b. Refund.

(1) At offices with 950 or more revenue units, the employee handles the refund of metered postage under 145.11.

(2) At other offices, the employee handles a refund of metered postage following 145.11.

145.22 Evidence of Unused Meter Stamps at Office of Meter Setting

Instead of submitting bulky evidence of unused meter stamps, postmasters at the office of meter setting may certify the amount and destruction of these stamps in Part 4 of PS Form 3533 and forward the application to the Post Office where the meter is licensed.

145.23 Computing Fraction of Cent

In computing the amount to be refunded for unused meter stamps, resolve a fraction of a cent in favor of the Postal Service. For example, if 90 percent of the postage value of impression is $4.187, the amount refunded is $4.18.

145.3 Refund Application for Retail Services

Application for refund of fees collected for retail services must be initiated by the customer on PS Form 3533. Applications are processed as follows:

a. The application and any supporting papers are reviewed by an authorized Postal Service employee. If the mailer is due a refund for services not rendered (as stated in DMM P014.2.0), the Postal Service employee completes and witnesses the approval part of PS Form 3533. PS Form 3533 is then returned to the mailer for submission to the retail services section for payment.

b. The refund is issued under the provisions of Handbook F-1, 730.

c. If the application is not approved, it is returned to the applicant with the supporting papers and an explanatory statement.

d. When the amount due the Postal Service because of faulty postage meter mechanical operation is less than $1, it is not collected. When the amount due the customer is less than $1, it is refunded only on request.

146 Indemnity Claims

146.1 General Instructions for Filing Claims

146.11 How to File

146.111 Customer Action

The following actions apply to customers who file indemnity claims for Insured Mail, Registered Mail™, COD, or Express Mail® articles. (See Exhibit 146.11 and the IMM for international Insured Mail and Registered Mail claims.)

a. *Claims for Loss.* Only the mailer may file an indemnity claim for the complete loss of an unnumbered Insured Mail article. Either the mailer

or addressee, who is in possession of the original mailing receipt, may file an indemnity claim for the complete loss of a numbered Insured Mail, Registered Mail, COD, or Express Mail article by presenting evidence of insurance and evidence of value. (Proof of loss is not required for numbered Insured Mail, Registered Mail, COD, or Express Mail claims.)

Note: If the article was mailed with Express Mail COD or Registered Mail COD service, the claimant must provide the original COD receipt with either the Express Mail or the Registered Mail receipt.

b. *Claims for Damage.* Either the mailer or addressee may file a claim for damage or for missing contents by presenting evidence of insurance and evidence of value. The addressee must present the article and mailing container, including any wrapping, packaging, and any other contents that were received, to the Postal Service™ for inspection. If the mailer filed the claim, the St. Louis ASC will notify the addressee by letter to present the article and mailing container, including any wrapping, packaging, and any other content that were received to the Postal Service for inspection.

c. *Time Limits.* Claims for complete loss must be filed within the time frames prescribed in DMM 609.14 and POM Exhibit 146.11. Claims for damage or missing contents should be filed immediately, but no later than 60 days from the date of mailing.

d. *PS Form 1000.* The customer must complete the applicable spaces on PS Form 1000 April 2004, *Domestic Claim or Registered Mail Inquiry,* for all domestic indemnity claims.

Exhibit 146.11 (p. 1)

General Instructions for Filing Claims

ELIGIBLE TYPES OF MAIL SERVICES

Insured Mail (unnumbered/numbered), Registered Mail, COD, Express Mail, Express Mail COD, and Registered Mail COD service.

WHO MAY FILE

For complete loss: Only mailer for unnumbered Insured Mail/mailer or addressee, whoever is in possession of the mailing receipt for numbered Insured Mail, Registered Mail, COD, or Express Mail service.

For damage or loss of contents: Mailer or addressee.

WHEN TO FILE

A customer should file a claim immediately, but no later than 60 days from the date of mailing, when the contents of an article are damaged or missing from the mailing container.

For a lost article, a customer must file a claim within the time limits in the chart below.

Filing Time Periods for PS Form 1000

	When to File (From Mailing Date)	
Mail Type or Service	**No Sooner Than**	**No Later Than**
Insured Mail	21 days	180 days
COD	45 days	180 days
Registered Mail	15 days	180 days
Registered COD	45 days	180 days
Express Mail	7 days	90 days
Express Mail COD	45 days	90 days
APO/FPO Insured Mail (First-Class Mail, SAM, PAL, or COD)	45 days	180 days
APO/FPO Insured (Surface Only)	75 days	180 days

DOCUMENTATION REQUIRED FROM CUSTOMER

A.Evidence of Insurance (at least one):

For a claim involving Insured Mail, Registered Mail, COD, or Express Mail service, the customer must present any one of the following evidence showing that the particular service was purchased:

1. The original mailing receipt issued at the time of mailing (Insured Mail, Registered Mail, and COD receipts must contain a Postal Service postmark). Reproduced copies are not acceptable.
2. The wrapper showing the names and addresses of the mailer and the addressee and the proper mail endorsement, tag, or label showing that the article was sent Insured Mail, Registered Mail, COD, or Express Mail service. If only the wrapper is submitted, indemnity can be limited to $100 for Insured Mail, $50 for COD, $100 for Registered Mail, and $100 for Express Mail items.
3. For Express Mail items accepted for mailing under an Express Mail Manifesting agreement in P910, a copy of the manifest page showing the Express Mail label number for the item in question; the manifest summary page for the date the piece was mailed; a copy of PS Form 3152-E, *Express Mail Manifesting Certification,* round-dated by the accepting Post Office; and a copy of the EMCA monthly statement that lists the label number and postage for the mailpiece. If the customer purchased additional insurance, a copy of the round-stamped PS Form 3877, *Firm Mailing Book for Accountable Mail,* must also be submitted.
4. The original sales receipt from the Postal Service listing the mailing receipt number and insurance amount, only if the original mailing receipt is not available. Reproduced copies of the Postal Service sales receipt are not acceptable.

Exhibit 146.11 (p. 2)

General Instructions for Filing Claims

B.Evidence of Value (at least one):

1. Sales receipt, invoice or bill of sale, or statement of value from a reputable dealer.
2. For items valued up to $100, the customer's own statement describing the lost or damaged article and including the date and place of purchase, the amount paid, and whether new or used (only if a sales receipt or invoice is not available). If the article mailed is a hobby, craft, or similar handmade item, the statement must include the cost of the materials used in making the item. The statement must describe the article in sufficient detail to determine whether the value claimed is accurate.
3. Picture from a catalog showing the value of a similar article (only if a sales receipt, invoice, or statement of value from a reputable dealer is not available). The date and place of purchase must be included.
4. Paid repair bills; if the claim is for partial damage, estimates of repair costs or appraisals from a reputable dealer. Repair costs may not exceed the original purchase price.
5. Receipt or invoice for the costs incurred to buy a surety bond required to reissue a lost item.
6. Receipt or invoice of costs incurred for the reconstruction of nonnegotiable documents.
7. copy of a canceled check, money order receipt, credit card statement, or other documentation including the amount paid. For Internet purchases, a copy of the front and back of the canceled check, money order, or a copy of the credit card billing statement is required.
8. or Internet transactions conducted through a Web-based payment network that offers payment services through a stored value account, a computer printout of an online transaction identifying the purchaser and seller, price paid, date of transaction, description of item purchased, and assurance that the transaction status is completed. The printout must clearly identify the Web-based payment network provider through which the Internet transaction was conducted.

C.Proof of Loss (required for unnumbered Insured Mail only):

The mailer must provide proof of loss for unnumbered Insured Mail items only. Proof of loss is not required for numbered Insured Mail, Registered Mail, COD, or Express Mail claims. The mailer must present written and signed documentation from the addressee (such as a letter), dated at least 21 days after the date of mailing, stating that the addressee did not receive the article.

Note: The documentation or a copy of it must be attached to the claim. On the back of the mailing receipt, write "claim filed," round date stamp, photocopy for the file, and return to customer, except unnumbered Insured Mail and Express Mail receipts. Instruct the customer to keep the original receipts until the claim is settled.

146.112 **Accepting Post Office**

When accepting a customer's claim, handle it as follows:

a. If necessary, assist the customer in completing the applicable spaces on PS Form 1000.

b. Date-stamp immediately on receipt and sign in the appropriate spaces.

c. Ensure that the customer presents evidence of insurance.

d. For damage claims, indicate the location of the damaged article on PS Form 1000; complete PS Form 2856, *Damage Report of Insured Parcel and Contents;* and handle under 146.3. Provide any other information that may help in the adjudication of the claim.

e. Detach copy 2 of PS Form 1000 and give it to the customer to retain until the claim is settled. Forward copy 1 of the claim form and supporting documentation to the St. Louis Accounting Service Center for processing. (For unnumbered Insured Mail, do not mail copy 1 of PS Form 1000 to the St. Louis Accounting Service Center until **after** processing locally as described in 146.122.)

f. Endorse the original insurance receipt and/or wrapper "Claim Filed [date]", date-stamp, and initial it. Return the receipt or wrapper to the customer (except unnumbered Insured Mail and Express Mail Receipts). Instruct the customer to keep the receipts until the claim is settled.

146.113 **Claims and Inquiry Employee**

Detach copy 3 of PS Form 1000 and file it alphabetically by mailer's name. Process the claim as explained in 146.12.

146.12 Processing Claims at the Post Office

146.121 **Loss of Numbered Insured Mail, Registered Mail With Postal Service Insurance, COD, or Express Mail Items**

Process the claims as follows:

a. When PS Form 1000 is presented at a Post Office for the purpose of filing a claim, check for completeness, mailer and addressee address, customer signature, complete article receipt number, and supporting documentation.

b. Mail PS Form 1000 and supporting documentation, evidence of insurance and evidence of value (as defined in DMM 609.3.1) to the St. Louis Accounting Service Center at the following address:

CLAIMS SERVICING SECTION
ACCOUNTING SERVICE CENTER
PO BOX 80143
ST LOUIS MO 63180-0143

Claims forms submitted with an APO/FPO mailing address must include the unit or ship designation.

146.122 **Loss of Unnumbered Insured Articles**

When PS Form 1000 is presented at a Post Office for the purpose of filing a claim, check for completeness, customer signature, and supporting documentation. Complete section B of the claim form and indicate claim was

paid or denied. After processing locally, mail the original copy 1 of the form to the St. Louis Accounting Service Center at the following address:

CLAIMS SERVICING SECTION
ACCOUNTING SERVICE CENTER
PO BOX 80143
ST LOUIS MO 63180-0143

146.123 **Damage Claim Filed by Mailer**

If the mailer files a damage claim for an article still in the possession of the addressee, the St. Louis ASC will notify the addressee by letter to present the damaged article and mailing container, including any wrapping, packaging, and any other contents that were received, to the Postal Service for inspection. Failure to do so will result in denial of the claim.

Note: When the mailer receives notification from the addressee of receipt of a damaged article, the mailer must advise the addressee to await notification from the Postal Service to present the damaged article and mailing container, including any wrapping, packaging, and any other contents they received to the Postal Service for inspection if the mailer elects to file the claim.

146.124 **Damage Claim Filed by Addressee**

If the addressee files a damage claim, section A of PS Form 1000 must be completed to show that the damaged article and mailing container, including any wrapping, packaging, and any other contents they received, were presented for inspection when the claim was filed. Complete PS Form 2856, *Damage Report of Insured Parcel and Contents,* and handle under 146.3. Provide any other information that may help in the adjudication of the claim. The St. Louis ASC will make payment to the person designated in section A 3a of PS Form 1000 provided the original proof of insurance is submitted with claim. If a dual claim is filed, the claim with the original mailing receipt will be honored.

Exhibit 146.124

Processing Claims for Damage or Partial Loss of Contents

All Claims for Damaged/Missing Contents

- Complete PS Form 1000, part B, to show that the damaged article and mailing container, including wrapping, packaging, and any other contents that were received, were presented for inspection when the claim was filed.
- If necessary, return the article to the customer so that an appraisal or estimate can be obtained.
- Use PS Form 3831, *Receipt for Article(s) Damaged in Mails,* to provide a receipt for damaged articles. Note the condition on the form.
- Payment is made to the customer presenting the mailing receipt or to the addressee when designated by the sender as payee.

  Exemption: (For numbered Insured Mail articles only) If the addressee paid for repair to a partially damaged article and the endorsement on the wrapper shows that enough insurance was bought to cover the cost of repairs, payment will be made to the addressee unless a claim payment has already been made on the numbered Insured Mail article.
- If the article has no salvage value (below $10.00), allow the customer to keep it if the customer wants it; otherwise, destroy it.
- If the completely damaged Insured Mail, COD, or Express Mail article has salvage value, retain it until the claim and all appeals are settled, and then forward it to the appropriate mail recovery center.
- For Registered Mail claims, retain the article and the packaging until released by notification from the St. Louis ASC.
- Detach copy 2 of PS Form 1000 and give it to the customer to retain until the claim is settled.
- Complete PS Form 2856, *Damage Report of Insured Parcel and Contents.* Provide any other information that may help in the adjudication of the claim.
- Forward copy 1 of the claim form PS Form 1000; a copy of PS Form 2856, *Damage Report of Insured Parcel and Contents;* and supporting documentation to the St. Louis Accounting Service Center for processing. (For unnumbered Insured Mail, do not mail copy 1 of PS Form 1000 to the St. Louis Accounting Service Center until after processing locally as described in 146.112.)
- Retain copies of all forms and documentation locally.

146.125 **Estimates and Appraisals**

If necessary, return the article to the customer so that the customer may obtain an appraisal or estimate. Use PS Form 3831, *Receipt for Article(s) Damaged in Mails,* to give and take receipts for damaged articles. Note the condition of the article on the form.

146.13 Inquiries and Duplicate Claims

146.131 **Time Limits**

Customers file duplicate claims within the time limits shown in DMM 609.1.7. If a customer asks about the status of a claim and the required time has elapsed, process a duplicate claim under 146.132.

146.132 **Customer Filing**

Customers may file claims as follows:

a. *In Person.* To file a duplicate claim, the customer must either (1) submit a photocopy of the customer's completed part of the claim form or (2) request the Post Office where the claim was filed to process a photocopy of the Post Office's receipt copy of the claim form.

b. *By Telephone.* Obtain information about the mailing from the customer and check the file for a record of the original claim. If a copy of the claim is found, photocopy it, mark "Duplicate" on the top left corner immediately above the title (do not write in the barcode area), and process the form as in 146.12.

146.133 **Claim Form Copy Not on File**

If a copy of PS Form 1000 is not on file, advise the customer that no record exists. If the customer kept a copy of the claim form, ask the customer to bring or send a copy to the Post Office. If neither the customer nor the Post Office has a copy of the claim form, the customer must file an original claim on PS Form 1000. Ask the customer to present the original mailing receipt to the Post Office. When the customer presents the mailing receipt and the receipt is annotated to show that a claim was filed, follow original claim procedures and attach a note to the claim indicating that a previous claim was filed.

146.134 **Completing Duplicate Claims**

Complete these duplicate claims as follows:

a. Do not use a new PS Form 1000 when filing a duplicate claim.

b. Use either a photocopy of the customer's original copy or the Post Office's original copy and mark "Duplicate" on the top left corner of the form immediately above the title.

c. Process the form as described in 146.11.

146.2 Reimbursements

146.21 Tendered

If a customer reimburses the Postal Service for an incorrectly paid claim, Postal Service personnel must accept it and issue a receipt using PS Form 1096, *Receipt.* Annotate the receipt to indicate the form of payment received, such as, cash, personal check, money order, and record the number of any

negotiable instrument received. If cash is received from the customer, issue a no-fee money order payable to the U.S. Postal Service for transmittal to the Eagan ASC. Do not send cash. Send all reimbursements to the Eagan ASC with all claim identifying information to the following address:

DISBURSING BRANCH
2825 LONE OAK PKWY
EAGAN MN 55121-9600

Personal checks, money orders, or other negotiable instruments should be made payable to the U.S. Postal Service. If the instrument is made payable to the postmaster, the postmaster must sign and restrictively endorse it "Pay to U.S. Postal Service" and forward as above.

146.22 Not Tendered

When it is determined that a claim was paid improperly and repayment is not tendered, report it to the St. Louis ASC by memorandum so it may be placed under accounts receivable control.

146.3 Disposition

146.31 Damaged Article

For a completely damaged article with little or no salvage value (under $10.00), allow the customer to keep the article if the customer wants it; items that may be of a hazardous nature to employees must be destroyed. If the completely damaged Insured Mail, COD, or Express Mail article has salvage value, retain it until the claim and all appeals are settled, then forward it to the appropriate mail recovery center. For Registered Mail damage claims, retain the article and packaging at the Post Office until released by notification from the St. Louis ASC. Provide the customer with PS Form 3831, *Receipt for Article(s) Damaged in Mails.*

St. Louis ASC will notify the customer in writing that the claimant has 30 days from the date of denial action to recover the article and that he/she has no recourse if, subsequently, the item is disposed of after the 30 days. Articles on which claims are paid, on which the customer has not filed an appeal, or on which the customer fails to recover, should be forwarded to the appropriate mail recovery center on the next weekly dispatch. The status of a claim can be obtained by calling the St. Louis ASC Help Desk at 866-974-2733. Articles being sent to the mail recovery center should not be stripped of the insured labels and must include a copy of the paid claim letter or notice that the item is ready for auction. Always document disposition of damaged articles. Make sure the disposition of the damaged article is clearly annotated on PS Form 1000.

146.32 Disposition of Recovered Articles

When a lost Registered Mail, Insured Mail, COD, or Express Mail article is recovered undamaged after payment of a claim, the payee may reimburse the Postal Service for the full amount paid in exchange for the article. If the article is damaged, has depreciated in value, or is missing contents, the payee may accept it and reimburse the Postal Service an amount set by the St. Louis ASC.

146.4 Quarterly Review

146.41 Responsibility

The consumer affairs and claims manager (district) coordinates the review of claims and inquiry functions at the main Post Offices, stations, and branches. The review must be conducted quarterly or when the St. Louis ASC reports or other evidence indicates that delinquent or delayed forms exist at any location accepting claims. Conduct the reviews as follows:

a. Count the claims forms; duplicate claims, PS Form 1510, *Mail Loss/ Rifling Report;* and PS Form 3811-A, *Request for Delivery Information/ Return Receipt After Mailing*. Note the dates when the forms were received in the unit. Delivery information must be provided within 5 days of receipt of the request. Requests not processed within 5 days are delinquent. Determine the percentage of delinquent requests.

b. Check the COD file, comparing dates of money orders with dates of delivery, and note any delays.

c. Check the files of PS Forms 3849 for proper completion. Compare date of receipt and date of return of each COD article to determine whether held beyond the maximum 30-day retention period. Note any irregularities.

d. Determine the accessibility of delivery records to all employees who conduct searches.

e. Select a representative sample of delivery units each quarter. Check on-hand COD articles, noting the dates when received in the unit. Articles held more than 30 days must be recorded as irregularities.

f. Discuss the findings with the postmaster, station/branch manager, or other supervisor who manages the claims and inquiry function. Jointly develop a plan of action to correct any irregularities.

g. Provide a summary report for each site to the district manager, Customer Service and Sales, as well as the employee in charge of the unit reviewed. The report must list all noted irregularities and delinquent forms. Determine the cause of noted irregularities and delinquent forms and include a summary of the jointly developed plan of corrective action to be taken within a specified time. The manager, Customer Service and Sales, is responsible for ensuring that the plan is immediately implemented. When an accumulation of delinquent forms exists, it must be corrected immediately.

h. Conduct a follow-up review after 15 days to determine the effectiveness of the action taken. If no irregularities are detected, schedule the next review semiannually.

146.42 Survey Form

Photocopy Exhibit 146.4 and use it to help complete the quarterly review. After the review is done and the survey form completed, file it at the consumer affairs and claims office.

Exhibit 146.4
Quarterly Review

At the Claims & Inquiry Unit (Count any requests over 5 days old as irregularities.)

Delivery information must be provided within 5 days after the request is received. Requests not processed within 5 days are delinquent. Determine the number of forms in the claims & inquiry unit that are more than 5 days old and not processed.

Total Number of Claim Forms _____

Number of Claim Forms over 5 days old _____

Total Number of Duplicate Claims _____

Number of Duplicate Claims over 5 days old _____

Total Number of PS Forms 1510 _____

Number of PS Forms 1510 over 5 days old _____

Total Number of PS Forms 3811-A _____

Number of PS Forms 3811-A over 5 days old _____

Delivery Records (Count any "No" responses as irregularities.)

Are delivery records accessible to all employees who conduct searches? _____

Check the files of delivery records. Are PS Forms 3849 properly completed? _____

On PS Forms 3849, compare the date of receipt with the date of return to determine whether COD articles are held beyond the maximum 30-day retention period. Are COD articles returned promptly? _____
Check the COD file, comparing dates of money orders with dates of delivery. Are money orders sent to the COD mailer by the next business day after the date of delivery? _____

At the Delivery Unit (Count all articles held past the retention period as irregularities.)

Select a representative sample of delivery units each quarter. Check on-hand accountable mail articles, noting the dates when received in the unit.

Number of COD articles held past the 30-day retention period _____

Number of Insured Mail articles held past the 15-day retention period _____

Number of Registered Mail articles held past the 15-day retention period _____

Number of Certified Mail articles held past the 15-day retention period _____

15 Easy Stamp Services

151 Stamps by Mail

151.1 Description

151.11 Stamps by Mail PS Forms 3227-A and 3227-B

The Stamps by Mail (SBM) service allows Postal Service customers on all carrier routes and Post Office boxes to purchase Postal Service products, such as booklets, sheets, and coils of stamps, postal cards, and stamped envelopes by ordering through the mail. The Postal Service order forms are incorporated in self-addressed, postage-paid envelopes. Customers may obtain order envelopes (PS Forms 3227-A and 3227-B, *Stamps Delivered to Your Door)* from city, rural, or contract delivery service carriers, in Post Office lobbies, or by telephoning the local delivery unit to request its delivery.

PS Form 3227-A is for noncredit card Stamps SBM fulfillment sites and PS Form 3227-B is for credit card only SBM fulfillment sites. After completing the PS Form 3227-A order form and enclosing a check or money order, or after completing a PS Form 3227-B for credit card-only sites, the customer drops the sealed envelope in a collection box or in his or her mailbox. Mail orders are filled and delivered to the customer within 2 business days after the customer mails the order. Postmasters must requisition PS Forms 3227-A and 3227-B from the printer and maintain a supply for use in filling customers' telephone requests for the form, for customer use in the Post Office lobby, and for all letter carriers and Post Office box clerks to use as required.

Postmasters must requisition PS Forms 3227-A or 3227-B from the printer. To order forms, submit PS Form 3227-O, *Stamps At Your Door Supply Order,* to the printer. PS Form 3227-O is available at *http://blue.usps.gov;* click *Forms,* then select the form by number.

151.12 Rural Delivery Areas — PS Form 3227-R

Stamp purchase orders allow Postal Service customers, on rural and contract delivery service routes only, to purchase all Postal Service products (such as booklets, sheets, and coils of stamps, postal cards, and money orders) directly from the rural or contract delivery service carrier or by using PS Form 3227-R, *Stamp Purchase Order.* Customers obtain PS Form 3227-R from the rural or contract delivery service carrier or by telephoning the local delivery unit to request the form's delivery. After completing PS From 3227-R and enclosing cash, check, or money order, the customer places the sealed envelope in his or her mailbox with the flag up. Stamp orders are generally filled and returned to the customer either at the time of mail delivery by the rural carrier or within 1 business day. Postmasters must maintain a supply of PS Form 3227-R for use in filling customers' telephone requests for the form or for rural delivery carriers to use as required.

Postmasters must requisition PS Form 3227-R from the Material Distribution Center (MDC). The MDC applies limits to order quantities depending on the CAG level of the requisitioning office. Since actual need may not be

determined by such limits, districts must order “buffer” supplies of forms in order to serve those units receiving too few.

151.13 Written and Telephone Requests

Handle written and telephone requests as follows:

a. Unit managers and clerical employees answering customers’ telephone inquiries must be aware that customers may order SBM without using PS Form 3227-A or 3227-B for credit card-only sites by writing to the local postmaster. The customer should indicate the kind and quantity of stamps desired and must enclose a check or money order payable to “Postmaster” for the amount of the stamps. For credit card-only sites, the customer must include the required credit card information.

b. Postage affixed to such an order is not refundable.

c. After filling orders, employees should always enclose a preaddressed PS Form 3227-A or 3227-B for placing the next order.

151.2 Responsibilities

151.21 Managers, Customer Service Support

District managers, Customer Service Support, have primary responsibility for ensuring compliance with these guidelines.

151.22 Postmasters of City Delivery Offices

Postmasters are responsible for ensuring direct supervision of SBM operations to achieve service standards and to deal effectively with variances.

Postmasters are also responsible for ensuring that rural carriers maintain a supply of stamp stock and PS Form 3227-R order forms sufficient to meet the needs of the customers on the route.

151.23 Postmasters of Rural Delivery Offices

Postmasters with rural delivery are responsible for ensuring that rural carriers maintain a supply of stamp stock sufficient to meet the needs of the customers on the route. Postmasters must ensure that service standards are achieved, and deal effectively with variances.

151.24 Order Filling Clerks

The order filling clerks receive all orders and may fill them intermittently throughout the business day. For this reason, early availability is necessary to forecast volume and to plan a day’s work. Orders received by 2:00 p.m. should be filled before the end of the workday. All orders must be filled within 8 hours (and turned around within 24 hours) of the clerk’s receipt.

As each order is opened, the clerk must do the following:

a. Check for the customer’s name and address on both the order form and, especially, on the return address label.

b. Ensure that the order form is properly filled in and includes the quantities and costs of the ordered items. Check the customer’s addition to ensure that the total is correct.

c. Verify that the customer's check is signed and made payable to "Postmaster" or "United States Postal Service" in the amount shown on the order. Accept the customer's check in accordance with procedures outlined in Handbook F-1, *Post Office Accounting Procedures.* Annotate the back of the check with the initials "SBM."

d. If an error is found, take the following steps before returning the order or order form to the customer:

(1) If the value of the order exceeds the amount of the check, fill as much of the order as permitted, deducting postage equal to the value of the shortage.

(2) If the check lacks a signature or an amount, or if no check or order was enclosed, return the materials to the customer. Enclose a short descriptive note to the customer, explaining the error. Always include a new order form.

(3) If the check's value exceeds the value of stock ordered, fill the order and have a no-fee money order prepared for the balance. Enclose the money order with the filled stamp order.

e. When filling an order, use a G-10 Permit envelope of the appropriate size to contain it. Enclose another preaddressed PS Form 3227 with the stock. Detach the return address label from the order form and apply it to the G-10 Permit envelope. Seal the envelope.

f. Place filled order forms aside for filing at the end of the day's order filling. Each form must be round dated, initialed by the person who filled it, and filed according to the customer's last name. These records must be retained in the unit until the next examination of the clerk's accountability or 30 days, whichever is greater.

g. Take the orders to the registry unit.

h. Record the number of orders in each dispatch to a delivery unit on an all-purpose ruled form.

151.25 Registry Unit

The registry clerk must do the following:

a. Validate the count of orders by delivery unit and return a copy of the ruled form to the clerk, keeping a copy for registry records.

b. Place all orders for one delivery unit in a large envelope addressed to the unit manager, marked, "Open and Distribute." Record the number of enclosed orders on the envelope's face.

c. Backstamp each large envelope as though it were a regular registered piece, without a registered article number and without listing it on a registry control sheet.

d. Dispatch the large envelopes by pouch to the appropriate delivery units.

151.26 Receiving Delivery Unit

At a receiving delivery unit, the manager must do the following:

a. Remove and open the unit envelope, checking the number of orders against the count appearing on the envelope.

b. Notify the registry section immediately of any discrepancies.

c. Distribute the individual orders to the appropriate carriers. Carriers deliver the orders as regular mail, or Certified Mail, as marked. Managers must leave all orders, except Certified Mail articles, in the delivery receptacle, unless an obvious security risk exists.

151.3 Filling Orders

151.31 General

The intent is to maximize quality and productivity within the required 24-hour target for order filling. District managers are expected to implement an appropriate mix of SBM operations among city delivery units. With higher volumes, SBM orders may be preaddressed to (and filled at) central locations, such as the main office. Placing the barcode on the envelope and assigning a unique ZIP Code to SBM allows automated sites to save work hours, speed the mail's availability, and sort directly to the order fulfillment operation. Normally, orders are delivered by regular mail. Certify those valued at $200 or more. Second attempts must be made the next day if the initial delivery attempt is unsuccessful.

151.32 Clerical Downtime

If transaction volumes permit, one method of filling SBM orders is to use clerical downtime. Between customers, retail service counter clerks can fill orders at the customers' own delivery units.

151.33 Centralized Fulfillment Locations

In centralized fulfillment locations, assign at least one clerk and a designated backup clerk to handle sustained order processing. Avoid service failures by training a third clerk to assist in handling the heavy volumes expected before Christmas and following planned promotions or publicity.

In centralized operations, separate filled orders by delivery unit or route, as appropriate. Certify any envelope containing stock worth $200 or more.

151.34 Order Filling Clerks

The order filling clerks receive all SBM orders and may fill them intermittently throughout the business day. For this reason, early availability is necessary to forecast volume and to plan a day's work. All orders must be filled within 24 hours of the clerk's receipt.

As each order is opened, the clerk must do the following:

a. Check for the customer's name and address on both the order form and, especially, on the return address label.

b. Ensure that the order form is properly filled in and includes the quantities and costs of the ordered items. Check the customer's addition to ensure that the total is correct.

c. Verify that the customer's check is signed and made payable to "Postmaster" or "United States Postal Service" in the amount shown on the order. Accept the customer's check in accordance with procedures outlined in Handbook F-1, *Post Office Accounting Procedures.* Annotate the back of the check with the initials "SBM."

d. If an error is found, take the following steps before returning the order or order form to the customer:

(1) If the value of the order exceeds the amount of the check, fill as much of the order as permitted, deducting postage equal to the value of the shortage.

(2) If the check lacks a signature or an amount, or if no check or order was enclosed, return the materials to the customer. Enclose a brief descriptive note to the customer, explaining the error. Always include a new order form.

(3) If the check's value exceeds the value of stock ordered, fill the order to the amount of the check or money order.

e. When filling an order, it is preferred that fulfillment sites use standard Tyvek envelopes available from the Material Distribution Center. For more information, see *Postal Bulletin* 22165 (10-13-05, page 63). Tyvek envelopes should be mailed using a G-10 label. Detach the return address label from the order form and then apply it to the envelope with the G-10 label. Enclose another preaddressed PS Forms 3227-A or 3227-B as appropriate with the stock. Seal the envelope.

f. Reporting SBM Revenue:

Report SBM revenue on PS Form 1412-A, *Daily Financial Form,* in AIC 094, *Stamps by Mail Sales.* If orders cannot be filled on the same day as opened, deposit the funds and enter the amount to *Trust Funds Received.* Make a withdrawal from *Trust Funds* and entry to AIC 094 on the next business day when the orders are filled. PS Forms 3227 are not submitted as support for PS Forms 1412-A. Keep the files of these forms available for supervisors' review.

g. Place filled order forms aside for filing at the end of the day's order filling. Each form must be round dated, initialed by the person who filled it, and filed according to the customer's last name. These records must be retained in the unit until the next examination of the clerk's accountability or 30 days, whichever is greater.

h. Take the orders to the registry unit.

i. Record the number of orders in each dispatch to a delivery unit on an all-purpose ruled form.

151.35 Registry Unit

The registry clerk must do the following:

a. Validate the count of orders by delivery unit, return a copy of the ruled form to the clerk, and keep a copy for registry records.

b. Place all orders for one delivery unit in a large envelope addressed to the unit manager and marked "Open and Distribute." Record the number of enclosed orders on the envelope's face.

c. Backstamp each large envelope as though it were a regular registered piece, without a registered article number and without listing it on a registry control sheet.

d. Dispatch the large envelopes by pouch to the appropriate delivery units.

151.36 Receiving Delivery Unit

At a receiving delivery unit, the manager must do the following:

a. Remove and open the unit envelope, checking the number of orders against the count appearing on the envelope.

b. Notify the registry section immediately of any discrepancies.

c. Distribute the individual orders to the appropriate carriers. Carriers deliver the orders as regular mail, or Certified Mail, as marked. Managers must leave all orders, except Certified Mail articles, in the delivery receptacle, unless an obvious security risk exists.

151.37 Undeliverable as Addressed Orders

If an order has been misaddressed or is undeliverable as addressed, return it through the registry unit to the SBM section supervisor or SBM clerk. The supervisor or SBM clerk determines from the PS Form 3227 which clerk filled it and if the correct address is on the envelope. The supervisor or SBM clerk notifies the customer to verify the address and explain the delay of the order. If the order is undeliverable and the customer cannot be contacted, the supervisor or SBM clerk returns the order to the stamp credit within a week.

Note: Customer addresses with incorrect or missing ZIP Codes are deliverable.

151.38 Lost Orders

SBM orders are rarely lost in the mail. If a customer reports a lost or missing order, replace it immediately as follows:

a. Check the files for a record of the order. It is unnecessary to ask the customer for a copy of a canceled check. Complete a PS Form 1510, *Mail Loss/Rifling Report* (initiated by the SBM clerk or supervisor receiving the nonreceipt report). Retain a copy of the PS Form 1510 with the original order PS Form 3227-A or 3227-B for a period of 2 years. Send all replacement orders by Certified Mail, regardless of value. Contact the customer prior to delivery of the replacement order to ensure that the customer will be there to receive the order.

b. Record the customer's name and the reporting date of the loss on PS Form 1096, *Receipt,* indicating "Duplicate Stamps by Mail Order — original reported lost."

c. The supervisor must clear the issuing clerk's accountability by initialing the PS Form 1096.

d. The clerk submits the PS Form 1096 as support for the entry to suspense on PS Form 1412-A, *Daily Financial Form.*

e. Return an undeliverable order to the stamp credit out of which it was filled. Increase the stamp credit by reducing AIC 094 and increasing *Trust Funds Received.*

152 Stamps by Phone

By calling 800-STAMP-24 (800-782-6724), customers may order stamps and make purchases with Visa, MasterCard, or Discover credit cards. This toll-free number is available 24 hours a day, 7 days a week. There is a service charge, and stamps are delivered by mail within 3 to 5 business days.

153 Stamps on Consignment

153.1 Description

153.11 General

In the Stamps on Consignment program, the Postal Service distributes First-Class, Express Mail, and Priority Mail postage stamps to retailers for resale to the general public. Stamps are not to be sold above face value. In consigned stocks, if the vendor chooses to sell at below face value, the retailer still must pay the Postal Service for full value of the stocks. The retailer pays for the stamps 30 or 45 days after receipt (depending on the number of sales outlets). The objective of the program is to provide a convenient method of purchasing stamps for household customers who prefer to combine their stamp purchases with retail shopping. To meet this objective, retail specialists solicit local retail chains to provide the service, or through a postal contractor.

153.12 Stamps on Consignment Agreement

A stamp consignment agreement must be entered into by the Postal Service and the retail business. The agreements are signed jointly by the Postal Service and the stamp consignee. Only a designated Postal Service contracting officer may sign this agreement, unless it is established through the designated contractor (see Exhibit 153.213).

Exhibit 153.12 (p. 1)
Sample Stamps on Consignment Agreement

STAMP CONSIGNMENT AGREEMENT — *Local Date*:_____

1. Consignee Obligations:

1.1 To accept on consignment, on _____ the following qualities of Unites States Postal Service (USPS) postage.
Date

First-Class postage (minimum of 300 stamp booklets/sheetlets or equivalent value):
_____ 20 stamp booklets
_____ 10 stamp booklets
_____ rolls of 100 stamps
Express Mail postage: _____ stamps
Priority Mail postage: _____ stamps
Other (specify): _____

1.2 The consignee must examine orders upon receipt and report any discrepancies within five calendar days to:

_____
Postmaster/Station Manager

1.3 The consignee will sell stamps to the public for face value, which is the same price the USPS charges it customers for stamps.The consignee will advertise and promote the sales of stamps with advertising materials as may be available by the USPS.

1.4 The consignee agrees to replenish stock as needed. Stock may be replenished until the value of the stock on hand equals double the value specified in part 1.1; further replenishments require modification to this agreement. Stock will be replenished at:

_____
Name and Location of replenshing USPS facility

1.5 The consignee will remit thirty calendar days from the data in part 1.1 and every thirty days thereafter, full face value payments for stamps sold to the public during the preceding thirty-day period. Remittance will be made to the postal official names in part 1.2.

1.6 The consignee will be fully responsible for consigned stamps from the time of receipt until their sale, and for payments derived from consignment sales until their remittance to the designated post office. The consignee must not assign any of its interests or obligations under this agreement to any other party.

1.7 The consignee will provide the USPS, within a month of this agreement's execution and quarterly thereafter, a list of outlets through which consignee sells stamps. The list must designate the outlets by 5-digit ZIP Code and show the amount and value of stock distributed to each outlet, and must be provided to:

_____
Retail SpecialistAddress/City/State/Zip

1.8 The consignee will allow the USPS to examine stamp inventory and related documents while this agreements is in effect and for a period of three years from the date of its termination.

Exhibit 153.12 (p. 2)
Sample Stamps on Consignment Agreement

1.9 The consignee will notify the USPS in writing within five calendar days of the initiation of any bankruptcy proceedings. The notice must include: 1) the court in which, and the date when, the proceeding was filed; and 2) a report describing the stamp stock and sales payments for which the consignee is responsible on the date of notice.

1.10 Consignments to businesses the USPS deemed commercial mail receiving agencies must agree to sell all First-Class stamp products, as well as Express Mail and Priority Mail products.

2. USPS Obligations:

2.1 The USPS will supply and replenish stamp stock.

2.2 The USPS may provide advertising to increase public awareness of consignment sales.

3. The Parties Obligations:

3.1 Except as otherwise provided in this agreement, changes to the agreement's terms may only be made by written modification signed by both parties. Charges in the consignee's ownership require the execution of a new agreement.

3.2 This agreement may be terminated by either party on thirty calender days' written notice to the consignee or contracting officer at the addresses in part 7. However, the USPS, if necessary to protect its interests, may terminate this agreement immediately, to be subsequently confirmed in writing within fifteen calendar days.

3.3 Within fifteen calendar days of any termination, the consignee must provide: 1) all stamps (or cash equivalent) in its possession to the USPS; 2) sales proceeds not yet remitted to the USPS; and 3) cash for stamps and sales proceeds not so provided for which consignee is responsible under part 1.6.

3.4 The parties agree that: 1) the agreement involves consignment sales and that the consignee does not receive title to the stamps; and 2) neither consignee and its employees, nor the USPS and its employees, may be considered employees of the other for any purpose.

3.5 This agreement constitutes the entire agreement of the parties and supersedes all former agreements. No oral representations of any kind affect any term of this agreements.

4. Consignee Tax Identification Number (TIN)

5. Parent Company TIN (if applicable)

6. Parent Company Name & Address (if applicable)

Exhibit 153.12 (p. 3)
Sample Stamps on Consignment Agreement

7.Signatures:

_____**20**_____
Retail Specialist/Postmaster/Station Manager (date)
United States Postal Service

_____**20**_____
Contracting Officer (date)
United States Postal Service

_____
Contracting Officer's Address

Consignee's Name:

Consignee's Signature:

Consignee's Title:

Name of Firm:

Street Address:

City, State and ZIP Code:

Contact Person:

Telephone:

CONTRACT NUMBER _____

153.2 Responsibilities

153.21 Districts

153.211 General

Districts must market and implement the Stamps on Consignment program in their respective areas. Sections 153.212 through 153.218 describe the steps. The area Advertising and Promotion function and the local retail specialist will coordinate local promotional efforts.

153.212 Identify Target Markets, Products, and Retail Outlets

The guidelines for identifying markets, products, and retail outlets are as follows:

a. The major item for sale will be booklets of First-Class Mail stamps. Many household customers prefer to purchase booklets of stamps over other postal products, and it is easier for both the Postal Service and the retailer to advertise, administer, and control the program. Retailers are more likely to sign an agreement if the stamp transaction is simple and fast. Therefore, customer, retailer, and Postal Service benefits will be maximized. The Postal Service does not consign booklets of fewer than 10 stamps because such booklets would compete with private stamp vendors, and these booklets are expensive to produce compared with the revenue they generate. The following products are authorized for sale:

   (1) First-Class stamp booklets (including self-adhesive sheetlets).

   (2) Rolls of 100 First-Class stamps.

   (3) Express Mail, Priority Mail, and postcard stamps. Items (2) and (3) are targeted for specific market segments. For example, rolls of First-Class stamps are often sold in card stores and high-income areas, while other stamps are often sold in truck stops and tourist areas.

b. Supermarkets have a significant lead over other retailers in retail revenues and frequency of household customer visits. Thus, these accounts offer the most benefits for the sales effort required. Drugstore chains and convenience store chains are considered *secondary accounts.* Approach these retailers only after making an effort to sign up supermarket chains. Consignment agreements can be signed with stores that have vending machines operated by private stamp vendors. The Consignment program is specifically targeted at customers who prefer to purchase large quantities of stamps in booklets or coils. Private stamp vendors, in contrast, serve customers who prefer to purchase one or two First-Class stamps. Retailers participating in the Consignment program should be encouraged to retain existing private stamp vending machines. As a reminder, Postal Service vending equipment may not be placed at sites already served by a private vendor. Consult these sources to identify retail chains that could qualify as consignment outlets:

   (1) The Yellow Pages.

   (2) The public library.

(3) The Chamber of Commerce.

(4) The Better Business Bureau listing.

(5) PAGEX (list of businesses).

(6) The Fairchild Financial Directory of Retail Stores.

153.213 **Meet with Potential Consignees**

Once the retailers in the area have been identified, call the postmaster at the retailer's administrative Post Office to schedule a meeting. Before the meeting, use a proposal worksheet (see Exhibit 153.213) to gather all the information about the retail chain that is needed to plan an effective presentation. The Postal Service representative must be completely versed in the Stamps on Consignment program in order to answer all questions and present the sales points clearly. For example, there is evidence that the following are true of the sale of stamps at or below face value:

a. Generates increased store traffic.

b. Generates good will.

c. Could be tied in to sales of greeting cards.

d. Is most effective at the checkout counter, especially if a sign is located there to remind the customer.

e. Is fast because booklets have universal product code (UPC) barcodes.

Exhibit 153.213
Stamps on Consignment Proposal Worksheet

Business:	
Type of Business: ☐ Supermarket chain ☐ Drugstore chain ☐ Convenience store chain ☐ Other (specify) _____	
Name of Business	___
Address	___
Name of Contact	
Title	
Telephone Number	
Number of Stores	
Locations of stores (cities, counties)	___

Products to Be Consigned:	**Estimated Number per Month***
ATM sheetlets of 18 37-cent stamps ($6.66)	___
Booklets of 20 37-cent stamps ($7.40 each)	___
Booklets of 10 37-cent stamps ($3.70 each)	___
Rolls of 100 37-cent stamps ($37 each)	___
Pad of Express Mail stamps ($)	___
Pad of Priority Mail stamps ($)	___
Booklet of 10 postcard stamps ($)	___

**For an initial estimate, assume that the supermarket chain has a potential of at least two booklets of 20 stamps a month for each $1,000 monthly sales.*

153.214 Negotiate the Agreement

The Postal Service consigns postage stamps only to firms that sell them directly to the general public at one of their established retail outlets. The consignee's business must be accessible to all segments of the public, and the consignee's image and product line must be compatible with the image of the Postal Service. All stamps must be sold to the general public at face value or, if desired, less than face value. The consignee must agree to advertise within the store to make customers aware of the availability of stamps at their outlets. The Postal Service will consign postal products for a period of 30 days to retailers with fewer than 25 outlets. Consignees with 25 or more retail outlets will be allowed a 45-day consignment. If the required quantity of stamps would have a major impact on the inventory at the stamp distribution office (SDO), contact Alternative Retail Services at Headquarters before signing the consignment agreement. This will ensure that a sufficient quantity of booklets will be available for the consignee once the agreement is signed.

The minimum consignment consists of one, all, or any combination of the following items:

a. One full carton (300 books per carton) of stamp booklets.

b. One flat (50 rolls per flat) of rolls of 100 First-Class stamps.

c. One pad of Express Mail or Priority Mail stamps.

153.215 **Implement the Program**

The Post Office nearest the consignee's main office or warehouse manages the day-to-day operation of the contract. The Post Office orders stamps from the SDO before shipment to the consignee or pickup is scheduled. Post Offices with consignment agreements may order stamps more than once per accounting period. For a large retailer, orders are delivered to the consignee's regional warehouse. To make the program cost-effective for the Postal Service, deliver the stamps to a single delivery point and have the consignee distribute boxes or cartons to its own outlets. When a consignee replenishes stamps, accept payment by corporate check (payable to "Postmaster") to the Post Office where the agreement is held and in accordance with the contracted consignment period. Record payment to AIC 095 on PS Form 1412-A, *Daily Financial Form,* at the replenishing office. Each postal quarter, analyze the activity for each agreement to ensure that the Postal Service is receiving revenue in a timely manner. This analysis is conducted as follows:

a. Step 1: Total all replenishment sales.

b. Step 2: Multiply the total consignment amount by the number of consignment periods (three for 30 days or two for 45 days) in the quarter.

c. Step 3: Divide the total replenishment sales from Step 1 by the results from Step 2.

d. Step 4: The results must fall between 80 percent and 120 percent. Any consignment outside these parameters indicates a need to renegotiate or terminate the agreement. Percentages of less than 80 percent show that the consignee has too much stock and could be selling without replenishment. Return stock to the SDO and reduce the amount consigned. Percentages greater than 120 percent show that the consignee is having to replenish too often. Before any consignment levels are increased, the above analysis must be completed. An increase in subconsignees is not sufficient justification to increase consignment levels.

Note: It is important to monitor each agreement regularly to ensure compliance.

153.216 **Promote the Program**

Both the Postal Service and the consignee should promote the program; however, the Postal Service and the consignee must advertise separately and bear the cost separately. Customer awareness and use of Stamps on Consignment can be increased by the following activities:

a. Selling stamps at the checkout counter.

b. Posting highly visible door and cash register decals. The larger size, Label 226-A, *Stamps To Go Door Decal,* approximately 9 inches square, is for window and wall display. The smaller size, Label 226-B, *Easy Stamp Cash Register Decal,* approximately 3-3/4 inches square, is for display at cash registers. These decals can be requisitioned from the Material Distribution Centers.

c. Advertising through direct mailings to postal customers. The Postal Service will not conduct a free mailing for one retailer, but may disseminate a generic mailer listing all of the stores in the area that are in the Stamps on Consignment program. Designs for mailing pieces should be cleared at the national Headquarters level.

d. Periodically sending press releases to local television and radio stations and newspapers. The district will issue press releases announcing stamp availability at the retailer when a consignment agreement is signed. The basic message will be that stamps are now available at a new retailer in addition to other store locations. Periodically, the retail specialist should issue press releases reminding the public that stamps are available at a number of local retailers, and include the names of all the retailers in the copy.

e. Advertising through local newspapers and radio stations. The Postal Service will provide reproducible artwork of its logo for retailer advertisements. The advertisements should be cleared at the Headquarters level before publication, to ensure that they are in keeping with the image of the Postal Service.

153.217 Monitor the Agreement

It is important that the Postal Service provide the retailer with timely stamp deliveries and support and that the retailer provide a high quality of service that reflects well upon the Postal Service. Therefore, monitor all consignment agreements each month to ensure that stamps are made available to the retailer on time and that the retailer is reimbursing the Postal Service as specified in the agreement.

After the first 6 months of the agreement, contact the retailer for feedback and to demonstrate Postal Service interest in an effective program. If appropriate, schedule a meeting. Follow-up is critical to the success of the program.

153.218 Evaluate the Program

Review consignment activity regularly to determine how the overall program is performing relative to expectations and to determine what improvements are needed. Information that should be reviewed includes the following:

a. Revenues: total for program and for each consignee.

b. Postal Service costs.

c. Customer and retailer feedback.

153.22 Contracting Officer

The contracting officer (CO) must sign all consignment agreements. Personnel from Alternative Retail Services at Headquarters will support negotiations to obtain the endorsement of the retailer's national office. When this endorsement is obtained, or if there is no national or regional office,

agreements can be executed at the local level. In addition to the original, the CO must make six copies of the consignment agreement (see Exhibit 153.12). The CO retains the original and distributes the remaining as follows: One copy goes to the main stock of the Post Office to support PS Form 8047-X, *Stamps on Consignment Replenishment and Analysis Record.* One copy is for the retailer. One copy is submitted to the stamp distribution office (SDO) for entry into the STAMPS system. One copy is for the replenishment unit. One copy is for the Customer Service Support Office in the district file, and one copy is sent to:

SOC MANAGER
ALTERNATIVE RETAIL SERVICES
US POSTAL SERVICE
475 L'ENFANT PLAZA SW RM 8430
WASHINGTON DC 20260-2417

153.23 Main Stock Custodian

The main stock custodian completes PS Form 8047-X, *Stamps on Consignment Replenishment and Analysis Record* (see Exhibit 153.23a), and enters "consignment" as the explanation and the number of booklets or coils consigned in the balance column. Only when an agreement is amended or terminated will the balance of stamp products be changed. In these cases SDO must be notified. The main stock custodian enters the number of each item type consigned by the Post Office on a separate PS Form 3295, *Daily Record of Stamps, Stamped Paper and Nonpostal Stamps on Hand* (see Exhibit 153.23b).

Exhibit 153.23a

PS Form 8047-X, Stamps on Consignment Replenishment and Analysis Record

STAMPS ON CONSIGNMENT REPLENISHMENT AND ANALYSIS RECORD

CONSIGNEE NAME:
CONSIGNEE TELEPHONE NUMBER:

PRODUCT:				PRODUCT:				PRODUCT:			
EXPLANATION	DATE	REPLEN. AMOUNT	CONSIGN. AMOUNT	EXPLANATION	DATE	REPLEN. AMOUNT	CONSIGN. AMOUNT	EXPLANATION	DATE	REPLEN. AMOUNT	CONSIGN. AMOUNT

PS FORM 8047-X, NOVEMBER 1989

Exhibit 153.23b

PS Form 3295, Daily Record of Stamps, Stamped Paper and Nonpostal Stamps on Hand

UNITED STATES POSTAL SERVICE®

DAILY RECORD OF STAMPS, STAMPED PAPER AND NONPOSTAL STAMPS ON HAND

Use separate sheet for each item number. Complete heading by filling in the description, denomination, item number, and price.

1. Value of Stamps per Book or Coil $

2. Value of Envelopes per Thousand $

3. Denomination ¢

4. Item No.

5. Description

Date (1)	Quantity Received (2)	Quantity Issued (3)	Balance Quantity (4)	Balance Value (5)	Date (6)	Quantity Received (7)	Quantity Issued (8)	Balance Quantity (9)	Balance Value (10)
	Brought Forward ▲								

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Exhibit 153.23b (continued)
PS Form 3295, Daily Record of Stamps, Stamped Paper and Nonpostal Stamps on Hand

Date (1)	Quantity		Balance		Date (6)	Quantity		Balance	
	Received (2)	Issued (3)	Quantity (4)	Value (5)		Received (7)	Issued (8)	Quantity (9)	Value (10)

PS Form **3295,** March 1999 *(Page 2 of 2)*

153.24 Retail Clerk

Upon termination of the agreement, the consignee must return all stamp products or the equivalent funds to the Post Office immediately. The retail clerk returns booklets and coils in full boxes or cartons to salable stock and records loose booklets and coils as nonsalable stock. The clerk receives the funds for the stamp products consigned but not returned and a PS Form 17, *Stamp Requisition,* in duplicate, indicating the value of those stamps. The retail clerk then does the following:

a. Signs the original PS Form 17 and returns it to the main stock custodian.

b. Completes the transactions and enters on PS Form 1412-A the amount from the duplicate PS Form 17 in *Stamps Received* (account identifier code (AIC) 841), and *Consignment Replenishment Sales* (AIC 095).

c. Enters the funds for the booklets not returned in *Cash Remitted* (AIC 751 or 752).

d. If equivalent funds have not been paid, enters the PS Form 17 amount in *Miscellaneous Suspense, Awaiting Collection* (AIC 761).

e. Enters the amount of stock received to AIC 841, prepares a PS Form 17 for this stock, and submits it to the main stock custodian.

153.3 Revenue Allocation

In cases where a consignment agreement covers retail units located in more than one 5-digit ZIP Code area, during the first calendar year the revenue goes to the district that establishes the agreement. After the first year, consignment revenue will be allocated to the 5-digit ZIP Code where the stamps are sold. Districts are responsible for submitting, on a quarterly basis, a revenue allocation report containing sales by 5-digit ZIP Code for each consignment agreement in existence for 1 year or longer, with sales in multiple ZIP Codes. Send the report to:

SOC ALLOCATION
ALTERNATIVE RETAIL SERVICES
US POSTAL SERVICE
475 L'ENFANT PLAZA SW RM 8430
WASHINGTON DC 20260-2417

154 Stamps by Automated Teller Machine

154.1 Description

The newest Easy Stamp service allows a customer to purchase stamps at a bank automated teller machine (ATM). The stamps became available nationally in October 1992. Stamps may be purchased through the standard Stamps on Consignment contract or purchased outright.

154.2 Responsibilities

See 153.2, Responsibilities. Retail Specialists should notify the Alternative Retail Services office at Headquarters 60 days prior to a bank coming on-line. This can be accomplished by telephone or in writing. Information should include the name, district, and telephone number of the Retail Specialist;

name and address of the bank, bank contact name, telephone number, number of ATMs the bank has, the number of ATMs dispensing stamps, type of ATM machine(s), the amount of transaction charged (if any); name and address of Post Office to issue stamp stock; and estimated usage per consignment period or month. Send to:

ATM PROGRAM
ALTERNATIVE RETAIL SERVICES
US POSTAL SERVICE
475 L'ENFANT PLAZA SW RM 4347E
WASHINGTON DC 20260-6809

154.3 Revenue Allocation

Refer to 153.3.

16 Consumer Services

161 Overview

A key to effective retail management and delivery services in the Postal Service is a responsive working relationship with the public. The public expects timely and consistent service at affordable rates. The Customer Satisfaction Index (CSI) indicates that prompt response to and resolution of customer complaints will increase customer satisfaction with the complaint handling process. The Customer Satisfaction Index, as well as complaints received by telephone, letter, e-mail, facsimile mail, or in person, points out areas where the Postal Service receives low marks from customers and where service needs improvement. Customers also suggest ways to better meet their needs, as well as offer positive feedback for superior service.

162 Scope

The following, or their designees, are responsible for implementing these requirements and for informing assigned personnel about local procedures for responding to or forwarding comments received from customers:

a. Headquarters Consumer Affairs.

b. Vice presidents, Area Operations.

c. Customer Service and Sales district managers.

d. Plant managers.

e. Consumer Affairs and Claims managers.

f. Postmasters and station or branch managers.

g. Postal employees delegated responsibility for handling complaints.

163 Source of Complaints

The Postal Service receives complaints, compliments, suggestions, and requests for information from business and residential customers through:

a. Personal contact, telephone, e-mail, and fax.

b. Written correspondence, including customer surveys (e.g., the Customer Satisfaction Index).

c. Headquarters to field referrals (customer correspondence initially directed to the Postmaster General or the Consumer Advocate, then reassigned to the field for resolution).

d. Inquiries from the legislative and executive branches of the federal, state, and local governments.

164 Responsibility

164.1 Headquarters Management

Headquarters Consumer Affairs is responsible for establishing requirements and measuring compliance with complaint handling and complaint resolution procedures contained in Management Instruction PO-160-2002-1, *Complaint Resolution and Proper Use of Notice 4314-C, We Want to Know.*

164.2 Field Management

The following managers must ensure compliance with comment and complaint resolution procedures and resolve complaints within their areas of responsibility:

a. Vice presidents, Area Operations.

b. District managers.

c. Plant managers.

d. Consumer Affairs and Claims managers.

e. Postmasters and station or branch managers.

164.3 Consumer Affairs and Claims Managers

Consumer Affairs and Claims managers must also do the following:

a. Monitor activity throughout their respective districts for compliance with comment and complaint resolution procedures.

b. Refer customer complaints to the appropriate functional areas for resolution.

c. Establish and maintain a customer complaint file.

164.4 Postmasters and Station or Branch Managers

Postmasters and station or branch managers must also do the following:

a. Ensure that their offices comply with comment and complaint resolution procedures.

b. Ensure that all employees coming in contact with the public on a regular basis (e.g., retail clerks, city and rural carriers, supervisors, installation managers) have available at their workstations enough Notice 4314-C, *We Want To Know,* for customers.

c. Prominently display Notice 4314-C in lobbies and box sections, business mail entry units, and other customer service areas at all times.

d. Maintain one or more customer complaint logs (see 165.3).

e. Investigate and take corrective action to resolve complaints by contacting and working with other functional areas of the Postal Service, if necessary, to resolve customer complaints.

f. Establish and maintain a complaint file.

Note: Other postal employees, especially retail clerks, are to provide the customer with a Notice 4314-C or refer the customer to the appropriate functional area.

165 Procedures

165.1 Time Frames

Follow the procedures in the chart below to ensure that the necessary actions are performed within the indicated time frames:

	Time Frame	Action
Initial Contact	Within 24 hours after receiving comment or complaint.	Acknowledge comment or complaint by preprinted postcard, letter, telephone call, or personal contact with the customer.
Final Response	Within 14 calendar days after receiving comment or complaint.	Send a final response to the customer by telephone, letter, or personal contact. The final response must be comprehensive and either describe how the problem was or will be corrected, or explain why the problem cannot be corrected.

165.2 Exception to Final Response Requirement

The final response time discussed above does not apply to complaints requiring issuance of a publication watch for daily, weekly, and monthly newspapers or magazines. All other requirements do apply. Process the publication watch in accordance with Management Instruction PO-440-88-4, *Publication Watch — Revised Form and Procedures,* dated 10/21/88. The time frames for responding to these complaints are as follows:

Type of Publication	Final Response Due
Daily	Within 35 days after complaint received.
Weekly	Within 45 days after complaint received.
Monthly	Within 75 days after complaint received.

165.3 Maintaining Customer Complaint Control Log

Maintain one or more customer complaint control logs at every office to ensure timely response to customer complaints and to facilitate routine analysis of complaint activity. Include the following information in the customer complaint control log:

a. Office name and ZIP Code.

b. Customer name.

c. Company name, if applicable.

d. Customer address.

e. Customer telephone number.

f. Subject of complaint or comment.

(1) Type of 24-hour acknowledgement (e.g., telephone, letter, postcard, personal).

(2) Type of final response (e.g., telephone, letter, personal).

g. Date received.

h. Suspense date.

i. Assignment of responsibility for suspense and resolution of the complaint.

j. Date closed.

Note: See Exhibit 165.3.

Exhibit 165.3
Customer Complaint Control Log

Customer Complaint Control Log

Office Name

ZIP Code

Customer or Company Information			Control No. (CSC)	Subject	Type of Contact C = Postcard L = Letter P = Personal T = Telephone		Date Received	Suspense Date	Assigned To	Date Closed
Name	Address	Phone No.			Initial (Within 24 Hrs)	Final				

165.4 Complaint Resolution

165.41 Complaints Resolved Immediately

If a comment or complaint received over the telephone or in person is resolved immediately, document the contact in the customer complaint control log.

165.42 Further Investigation Required

When local postal management cannot resolve a complaint or comment, the employee provides the customer with a Notice 4314-C, *We Want to Know.* The customer then can choose from the three options on 4314-C.

165.5 Processing Complaints

165.51 Complaints Made in Person

When local postal management cannot resolve a complaint or comment, the employee provides the customer with a Notice 4314-C, *We Want to Know.* The customer then can choose from the three options on 4314-C.

165.52 Processing a Written Complaint

Transfer all vital information from the customer's letter to the customer complaint control log.

165.53 Processing a Complaint Made by Telephone

Document all vital information of the customer's complaint in the customer complaint control log.

165.6 Headquarters to Field Referrals

The following procedures and duties apply to administrative handling of complaints:

a. The Consumer Affairs and Claims manager is responsible for transferring information from customer correspondence to the customer complaint control log, for assigning the suspense date, and for ensuring that appropriate response is made within 14 days from the date the district office received the complaint.

b. Field offices must respond within the assigned suspense date established by the Consumer Affairs and Claims manager.

c. Acknowledgment will have been made by Headquarters within 24 hours.

165.7 Government Inquiries

Process inquiries from legislative and executive branch officials as follows:

a. Follow procedures in ASM 338.

b. Process inquiries regarding service to a postal customer in the area served as written correspondence. These inquiries must receive prompt and conscientious attention.

166 Right of Appeal

166.1 Dissatisfied Customers

Advise dissatisfied customers that using the methods on Notice 4314-C, *We Want To Know,* doesn't exhaust their opportunity to have the Postal Service consider their complaints and that they may also address their complaints in writing directly to:

CONSUMER ADVOCATE
U S POSTAL SERVICE
475 L'ENFANT PLAZA SW RM 5801
WASHINGTON DC 20260-2200

166.2 Suits Against Postal Service

Advise customers who indicate that they may sue the Postal Service or postal employees or officers that the court in which they file suit may require them first to appeal their complaints in writing to Postal Service Headquarters before the court will consider the matter.

167 Customer Contact Guidelines

167.1 General

This section provides guidance on how to handle complaints effectively. It does not provide final answers. Absolute rules cannot be established for handling complaints. In each case, judgments must be based on the specific circumstances of how the problem can best be resolved. Each complaint should be given individual treatment. Every individual complaint is important to the customer and to our organization. Not only can a complaint to the Postal Service identify operational problems, it also provides an opportunity to turn a dissatisfied customer into an understanding, informed, and satisfied consumer. Conveying a concerned attitude is an underlying factor in successfully resolving complaints. The general recommended approach is to follow these guidelines:

a. If the problem was the fault of the Postal Service, an apology should be automatic. To the customer, the person answering the complaint represents the entire Postal Service. The customer should be advised that the Postal Service regrets any inconvenience.

b. The customer is entitled to know why there was a problem. The customer has, after all, paid for a service that apparently was not received. Most people are responsive to a reasonable explanation.

c. It is the responsibility of the Postal Service to inform customers of the proper application and use of postal rules and regulations. A customer may well have misunderstood them and should not be criticized for any lack of knowledge in this respect. If possible, explain why the rule or regulation is in effect.

d. Impress upon the customer that the Postal Service is taking steps to see that the problem does not occur again.

e. The customer is helping the Postal Service by complaining. A complaint suggests where improvements can be made and helps to spotlight little problems before they become big ones.

f. Let the customer know that the Postal Service wants to be of help and that we encourage further contact if there are any problems in the future. Emphasize to the customer our concern about the quality of mail service.

Note: If a particularly difficult problem is encountered, consult higher level management about the best way to handle the complaint. A trained Consumer Affairs manager is available in each district to provide additional assistance.

167.2 Receiving Complaints

167.21 In Person

Employees should always greet customers courteously, introducing themselves by name and title. Personal visits (walk-in customers) usually involve critical, time sensitive issues that require immediate action. General guidelines for handling customers include the following:

a. Discuss the customer's mail problem in a private, uncluttered area, away from the public.

b. Ask the customer to be seated. Make the customer comfortable, and let the customer explain the problem without unnecessary interruptions. Accept whatever evidence the customer offers to substantiate the complaint.

c. Tell the customer how long you think it will take to get back with some answers if the problem cannot be resolved immediately. Describe the actions you plan to take. Do not make promises or guarantees that you may not be able to keep.

167.22 By Telephone

Employees should always answer telephone calls promptly, courteously, and clearly. The standard greeting and closing for all incoming telephone calls include the following:

a. Greet callers in a courteous and professional manner using an appropriate greeting ("Good morning," "Good afternoon," or "Good evening").

b. Identify the office, department, or functional area.

c. Identify yourself by name.

d. Offer assistance (e.g., "May I help you?").

e. If accusations are made that are known to be unfounded, remain calm. It is better for the customer to express dissatisfaction to the Postal Service than it is for the Postal Service to express dissatisfaction to the public. The Postal Service then has the opportunity to begin correcting the problem.

f. Before closing each inquiry, thank the customer for calling and offer to be of further assistance should the need arise.

167.23 By Fax

Customer contacts by fax are usually critical in nature and require the following immediate action:

a. Acknowledge receipt of fax by telephone whenever possible.

b. Clarify the problem and obtain any additional information needed to investigate the complaint.

167.24 By Letter

Postal employees responsible for handling written customer complaints must acknowledge receipt within 24 hours by preprinted postcard, letter, telephone call, or personal contact.

167.3 Responding to Customer Comments and Complaints

167.31 General

A delay in responding to customer comments or complaints will only add to a customer's dissatisfaction. A quick response, on the other hand, lets the customer know that the Postal Service cares. Customer comments and complaints can be addressed in person, by telephone, or by letter.

167.32 In Person

Postal employees responsible for responding in person to customer complaints should do the following:

a. Upon completion of the investigation, you may arrange an appointment with the customer to discuss the results of the investigation.

b. Thank the customer for bringing the matter to our attention and offer assistance in the future.

167.33 By Telephone

Postal employees who are responsible for responding by telephone to customer complaints should proceed as follows:

a. Upon completion of the investigation, telephone customers to inform them of the findings. Some customer problems can be answered best by letter, but in many cases a simple telephone call will suffice. Be friendly and informative. Discuss the findings with the customer.

b. Close the conversation by thanking the customer for bringing the matter to our attention and offer assistance in the future.

167.34 By Letter

Postal employees who are responsible for responding in writing to customer complaints should proceed as follows:

a. Upon completion of the investigation, write to customers to inform them of the findings. Responses should be concise, grammatically correct, and address the customer's concerns. Assistance may be obtained from the district Consumer Affairs and Claims manager.

b. The written response must be comprehensive and either correct the problem or explain to the customer why the problem cannot be corrected.

c. Written responses should close with a paragraph thanking the customer for bringing the matter to our attention and offering assistance in the future.

d. All responses should be typed, without error, and prepared on official Postal Service stationery.

e. If the complaint was referred by another or higher level office, provide the originating office with a copy of your letter or report so that the case can be closed at that level.

168 Measurement of Effectiveness and Benefits

168.1 Effectiveness

Consumer Affairs monitors the quality and timeliness of complaint resolution by conducting reviews of the following:

a. Customer Satisfaction Index.

b. Consumer Affairs field audits.

c. Periodic reports from the Consumer Affairs Tracking System.

168.2 Benefits

The Postal Service expects these benefits from reviewing the complaint resolution process:

a. To improve customer satisfaction with the complaint handling process.

b. To establish accountability for effective resolution of customer complaints.

c. To identify and correct service deficiencies that cause complaints.

Note: Postal Service managers are never to be criticized or disciplined based solely on the number of complaints reported.

169 Other Consumer Services

169.1 General

Report of Postal offenses and requests for information regarding the mistreatment of mail are handled as outlined in the following sections.

169.2 Reporting Postal Offenses

Follow instructions contained in DMM G011 for customer reporting, or in ASM 22 for employee reporting. When criminal or serious postal offenses are indicated or suspected, discuss allegations with the Inspection Service. Report immediately any of the following unlawful acts to the Postal Inspection Service:

a. Theft of mail from any authorized mail receptacle.

b. Obstruction, interception, tampering, or rifling of mail.

c. Possible theft, raising the amount (alteration), or counterfeiting of money orders.

d. Assaults on customers by employees or information concerning assaults on employees.

e. Attempts to manipulate or defeat postal currency changers or postal vending machine equipment.

f. Receipt of obscene correspondence or advertising material from foreign or domestic sources.

g. Presence of narcotics and dangerous drugs in the mail.

h. Libelous, threatening, or extortionate correspondence.

i. Presence of concealable firearms, switchblade knives, motor vehicle master keys, intoxicants, poisons, chemicals, inflammable materials, abortifacients, and contraceptives in the mail.

j. Complaints pertaining to customers' failure to receive merchandise or services ordered by mail.

k. Complaints pertaining to customers alleged to have been defrauded by schemes through use of the mail.

169.3 Requests for Information Regarding the Mistreatment of Mail

Mistreatment of mail is a general term that encompasses loss, rifling, and damage to mail. Encourage customers to report instances of mistreatment of mail, even for cases with no provision for payment of indemnity. The particular form and procedure for reporting mistreated mail depends on such factors as the type and content of the mail and the origin of the complaint or discovery. Exhibit 169.3 summarizes these distinctions and provides references to more detailed explanations. The forms needed to process customer complaints and to make reports regarding mistreated mail include the following:

a. Label 97, *Rifled Parcel.*

b. PS Form 673, *Report of Rifled Parcel*.

c. PS Form 1000, *Domestic Claim or Registered Mail Inquiry.*

d. PS Form 1510, *Mail Loss/Rifling Report.*

e. PS Form 3760, *Parcel Search Request.*

Exhibit 169.3
Mistreated Mail Reference Table

Mail Description				Form Used	Instruction/Reference
A.	Inquiries/Complaints Initiated by Customers				
	1	Government Checks		None	Advise customers to contact the issuing agency.
	2	Serious Losses		None	Report to inspector-in-charge. See 169.2.
	3	Federal Income Tax Related Mail:			
		a	Ordinary and Registered	None	Advise customers to contact the field office of the Internal Revenue Service for their area.
		b	Certified Mail	PS Form 1510	See 169.5.
	4	Mail Exchanged within the United States:			
		a	Ordinary	PS Form 1510	See 169.5.
		b	Registered	PS Form 1000	See DMM.
		c	Insured	PS Form 1000	See DMM.
		d	Certified Mail	PS Form 1510	See 169.5.
		e	COD	PS Form 1000	See DMM.
	5	Mail Exchanged with Canada:			See IMM, Chapter 9.
		a	Ordinary	PS Form 542	
		b	Registered:		
			(1) Loss	PS Form 542	
			(2) Damage/Rifling	PS Form 2855	
		c	Insured		
		d	Certified Mail	None	
		e	COD *	None	
	6	Mail Exchanged with Countries other than Canada:			See IMM, Chapter 9.
		a	Ordinary	PS Form 542	
		b	Registered:		
			(1) Loss	PS Form 542	
			(2) Damage/Rifling	PS Form 542	
		c	Insured:		
			(1) Loss	PS Form 542	
			(2) Damage/Rifling	PS Form 2855	
		d	Certified Mail	None	
		e	COD *	None	
B.	Damages Discovered by Postal Service				
	1	1.Parcels separated from wrapper		PS Form 3760	See 169.3.
	2	2.Parcels, rifled		PS Form 673 Label 97	See 169.3. See 169.3.

**This service is not available.*

169.4 Other Requests for Information (Inquiries and Claims)

Formal inquiries concerning claims, tracers, refunds, or postal offenses must be reported in accordance with instructions contained in DMM P014 and S010 for customer reporting, and ASM 22 for employee reporting. For requests concerning Registered Mail articles, insurance, money orders, and nondelivery of mail, the appropriate forms follow:

a. PS Form 542, *Inquiry About a Registered Article or an Insured Parcel or an Ordinary Article.*

b. PS Form 1000, *Domestic Claim or Registered Mail Inquiry.*

c. PS Form 1510, *Mail Loss/Rifling Report.*

d. PS Form 2855, *Claim for Indemnity — International Registered, Insured, and Express Mail.*

e. PS Form 6401, *Money Order Inquiry.*

169.5 PS Form 1510, Mail Loss/Rifling Report

169.51 General

If a consumer alleges loss, nonreceipt, or theft of mail, or that mail appears to have been tampered with, complete a PS Form 1510, *Mail Loss/Rifling Report.*

169.52 Description

169.521 Use

PS Form 1510 is a five-page form that consists of parts I through VI. It is used to process inquiries for ordinary, Delivery Confirmation, and Certified Mail.

169.522 Initiation

PS Form 1510 may be initiated by either the sender or addressee by telephone or personal visit to any Post Office.

169.523 Time Frame

In cases involving alleged loss, filers should use good judgment to ensure that sufficient time has elapsed for the article to have been delivered.

169.53 Completion

169.531 General Instructions

Postal Service employees complete PS Form 1510, except when firm mailers have been supplied copies of PS Form 1510 for completion. The procedure for filing is as follows:

a. To expedite processing, please print the information on the form using a ballpoint ink pen. Typing is not necessary. Press firmly and print legibly. All of the information required on the form must be entered.

b. Send the top copy to the local Inspection Service Operations Support Group (ISOSG) for your area (see Exhibit 169.53).

c. If the complaint indicates rifling, obtain the envelope or wrapper (if possible) and forward it with PS Form 1510 to the ISOSG that serves the affected area (originating or destinating).

d. If the complaint is made at stations, branches, or the main office, send the remaining parts of the form to the main office, Claims and Inquiry Section.

e. Part VI of PS Form 1510 is not a delivery receipt. If a firm mailer appears to be using the form to verify delivery, notify the local inspector-in-charge.

f. In cases involving multiple riflings or losses, complete a separate PS Form 1510 for each incident.

Note: PS Forms 1510 printed before April 1992 are obsolete and must not be used.

Exhibit 169.53
Distribution of PS Form 1510, Mail Loss/Rifling Report

Serves Eastern Area:
US POSTAL INSPECTION SERVICE
OPERATIONS SUPPORT GROUP
1 BALA PLAZA E 300
BALA CYNWYD PA 19004-9000
Telephone: 610-668-4500

Delaware (DE)
District of Columbia (DC)
Kentucky (KY)
Maryland (MD)
North Carolina (NC)
Ohio (OH)
Pennsylvania (PA)
South Carolina (SC)
Virginia (VA)
West Virginia (WV)

Serves Northeast Area:
US POSTAL INSPECTION SERVICE
OPERATIONS SUPPORT GROUP
TWO GATEWAY CTR 9TH FL
NEWARK NJ 07175-0001
Telephone: 201-693-4500

APO/FPO
Connecticut (CT)
Massachusetts (MA)
Maine (ME)
New Jersey (NJ)
New Hampshire (NH)
New York (NY)
Puerto Rico (PR)
Rhode Island (RI)
U.S. Virgin Islands (VI)
Vermont (VT)

Serves Southern Area:
US POSTAL INSPECTION SERVICE
OPERATIONS SUPPORT GROUP
225 N HUMPHREYS BLVD 4TH FL S
MEMPHIS TN 38161-9001
Telephone: 901-747-7700

Alabama (AL)
Arkansas (AR)
Florida (FL)
Georgia (GA)
Louisiana (LA)
Mississippi (MS)
Oklahoma (OK)
Tennessee (TN)
Texas (TX)

Serves Western Area:
US POSTAL INSPECTION SERVICE
OPERATIONS SUPPORT GROUP
PO BOX 9000
SOUTH SAN FRANCISCO CA 94083-9000
Telephone: 415-794-6300

Alaska (AK)
Arizona (AZ)
California (CA)
Hawaii (HI)
Idaho (ID)
Montana (MT)
Nevada (NV)
New Mexico (NM)
Oregon (OR)
Washington (WA)

Serves Central Area:
US POSTAL INSPECTION SERVICE
OPERATIONS SUPPORT GROUP
222 S RIVERSIDE PLAZA STE 1250
CHICAGO IL 60606-6100
Telephone: 312-669-5650

Canada
Colorado (CO)
Illinois (IL)
Indiana (IN)
Iowa (IA)
Kansas (KS)
Michigan (MI)
Minnesota (MN)
Missouri (MO)
Nebraska (NE)
North Dakota (ND)
South Dakota (SD)
Utah (UT)
Wisconsin (WI)
Wyoming (WY)

17 Public Services

171 Voter Registration, Polling, and Absentee Balloting

171.1 Voter Registration

Voter registration may be conducted on postal premises only with the approval of the postmaster. A postmaster may approve voter registration requests provided that all of the following conditions are met:

a. The registration must be conducted by government agencies or nonprofit civic leagues or organizations that operate for the promotion of social welfare but do not participate or intervene in any political campaign on behalf of any candidate for any public office.

b. Absolutely no partisan or political literature is available, displayed, or handed out. This includes photographs, cartoons, and other likenesses of elected officials and candidates for public office.

c. Voter registration is permitted only in those areas of the postal premises regularly open to the public.

d. The registration must not interfere with the conduct of usual postal business, postal customers, or postal operations.

e. The organization will provide and be responsible for any equipment and supplies.

f. No contributions are solicited.

g. Access to the workroom floor is prohibited.

h. Voter registration activities do not become permanent but are limited to an appropriate period before an election.

171.2 Polling

171.21 Approval and Criteria

Approval to use postal premises as a polling place is given by the Postmaster General or designee. A request to use a postal facility will be considered only if the facility is the only reasonable available place for voting in the area and the following conditions are met:

a. The facility must be used as is and must not be materially altered to accommodate machines, voters, or workers.

b. The Postal Service will not provide any assistance in the installation or removal of items needed for voting or in the polling process itself.

c. Voting must not interfere with normal postal business.

d. Voter entrances and exits must be arranged to ensure the security of the mail.

e. Workroom floor space may not be used for voting.

f. Local law enforcement officials must agree in advance to enforce Postal Service regulations governing conduct on postal premises and all applicable state and local laws during the voting.

g. The state or local government must agree in advance to reimburse any costs incurred by the Postal Service for additional security, utilities, or building operations necessary to allow the use of the facility for voting.

h. There must be an absolute prohibition against the display or distribution of any political literature, badges, insignia, or posters on Postal Service premises, including parking areas. This provision does not deprive employees of their rights as stated in *Employee and Labor Relations Manual* (ELM) 663.1.

171.22 Requests

Submit polling requests to Retail Operations, Headquarters, through the area manager, Customer Service Support, no fewer than 60 days before the election. A request must include complete details addressing each of the above conditions and a statement that there are no suitable nonpostal facilities available. These procedures are intended to provide for the use of postal premises where they are truly needed for voting and, at the same time, to ensure that there is no disruption of postal services.

171.3 Absentee Balloting Materials Not to Be Detained

Except as provided in ASM 274, absentee balloting materials must not be detained or treated as unpaid mail. The materials must be promptly dispatched and delivered to the addressees. Questions about possible abuse or impropriety must be referred to the RCSCs.

172 Selective Service Registration

172.1 Purpose

To assist the Selective Service System (SSS) with its registration effort by providing space in lobbies of classified Post Offices, stations, and branches for registration materials. Contract postal units are not involved.

172.2 Scope

With only limited exceptions, the requirement to register with the SSS applies to all male U.S. citizens and male aliens aged 18 through 25 residing in the United States. That includes undocumented workers, recent parolees, and applicants for asylum. Handicapped men must also register.

172.3 Request for Materials

In the Interagency Agreement with SSS, the Postal Service agrees to stock, provide, and display Selective Service Registration forms and materials. The following is a list of the required registration materials that can be obtained through the Material Distribution Center at Topeka, KS, and should always be available in the lobby.

a. SSS 1M, *Registration Form.*

b. SSS Form 2, *Change Of Information.*

c. SSS Lobby Poster 46, *Read It. Fill It. Mail It.*

d. SSS Display Box 45, Plastic Display Box.

Check stock several times a week to make sure adequate supplies of the SSS 1M, *Registration Form,* are on display at the service table areas or behind the counter.

Note: Order SSS/Postal Service Registration Handbook as needed by writing to:

SELECTIVE SERVICE SYSTEM (OPR)
1515 WILSON BLVD
ARLINGTON VA 22209-2425

SSS Brochure No. 10., *Selective Service,* will not be maintained in our lobbies and will no longer be available through the Material Distribution Centers. Registrants may only request Brochure No. 10 by writing to:

CONSUMER INFORMATION CENTER
PUEBLO CO 81009-0001

172.4 Registration Procedures

There are just a few simple steps in the registration process:

a. Direct the prospective registrant to the counter display or the Display Box 45 at the service table area where he may retrieve an SSS 1M, *Registration Form,* which he uses to register. Or if forms are kept behind the counter, give him an SSS 1M, *Registration Form,* which he uses to register.

b. If asked by the prospective registrant, instruct him to complete the form according to the directions on the form and mail the form (with the proper postage) himself directly to Selective Service's address shown on the SSS 1M.

c. If a prospective registrant has specific questions about registration, he should be directed to call Selective Service's Information Office at 1-888-655-1825. All other inquirers may be directed to 1-847-688-6888.

173 Assistance to Government Agencies

173.1 Criteria

The Postal Service may assist federal agencies in making their services available to the public in areas where there is an unfulfilled need for such services and where they can be provided without detriment to postal operations. Such assistance is provided under terms and conditions, including reimbursability, as the Postal Service and the head of the agency concerned deem appropriate. The services may be provided by retail employees and through the use of postal lobbies.

173.2 Types of Services

A table of services provided by the public service assistance programs of the Postal Service may be found in the ASM, chapter 4. Some of these programs include the following:

a. Sale of migratory bird hunting and conservation stamps.

b. Acceptance of passport applications.

c. Distribution of food coupons.

18 Postage Meters

181 Licensing and Mailings

181.1 Description

Postage meters are devices that can print one or more denominations of postage and display the amount of postage used and the amount remaining. A meter locks when no postage or minimal postage remains. A meter generally must be taken to the licensing Post Office to be reset by payment of additional postage. Avoiding the payment of postage by misusing a meter is punishable by law. DMM P030 contains the general rules governing the use of meters.

181.2 Approved Meters

Postage meters are available only by lease from authorized manufacturers as listed in DMM P030. The Postal Service holds manufacturers responsible for the control, operation, maintenance, and replacement of their meters.

181.3 Licensing

An applicant wanting to be licensed to lease and use a meter must provide an original signed PS Form 3601-A, *Application or Update for a License to Lease and Use Postage Meters,* to the Post Office where the applicant plans to deposit mail, to a meter manufacturer's representative, or to the National Customer Support Center under the Centralized Meter Licensing System (CMLS). The procedures in DMM P030 must be followed for licensing meter customers, including the procedures for transferring and relocating meters.

181.4 Meter Stamps

Only the meter stamp designs authorized in DMM P030 may be used. Meter stamps must be legible and not overlap. Those that are illegible or overlapping do not count when determining postage paid. Fluorescent ink is mandatory for meter imprints on letter size mail. When meter strips are to be printed on tape, Postal Service-approved tape must be used. DMM P030 contains standards for the content of the meter indicia (such as date of mailing and Post Office of mailing).

181.5 Mailings

Mailers must follow the appropriate standards for the class and rate of mail and those in DMM P030 when preparing metered mail for mailing, whether single-piece rate mailings or presorted or automation rate mailings.

182 Setting

182.1 General Standards

Before delivering a meter to the licensee, the meter manufacturer must take the meter to be set, sealed (if applicable), and checked into service by the Post Office where the meter is to be regularly set or examined, unless the

meter is serviced through the on-site meter-setting program. DMM P030 contains the rules for setting meters.

182.2 Procedures

For updated instructions on meter setting, installation, withdrawal, and examination, Post Offices should refer to the *Postal Bulletin* or contact Metering Technology Management at Postal Service Headquarters at the following address:

METERING TECHNOLOGY MANAGEMENT
US POSTAL SERVICE
475 L'ENFANT PLZ SW
WASHINGTON DC 20260-6801

2 Philately

21 Philatelic Policies and Procedures

211 Policy

Employees should familiarize themselves with the national policies governing the release, sale, and discontinuance of postage stamps and postal stationery. These policies are established by Stamp Services at Headquarters. The policies governing philatelic products are also administered by Stamp Services. Uniform application of these policies provides a high degree of integrity to the entire program. All Postal Service employees and contractors must comply with the policies and procedures in this chapter. The guidelines are as follows:

a. Postage stamps and postal stationery items depict the cultural and historical heritage of the United States. They describe the nation's achievements; portray the country's natural wonders; instill pride in America; and focus attention on causes, issues, and interests of national concern. The Postal Service encourages the widespread use of these stamps and stationery items to promote national ideals, progress, and heritage.

b. Stamp products are designed and promoted to expand interest in both the use of the mail and the hobby of philately (stamp collecting) by demonstrating the fun of stamp collecting and historic value of stamps.

c. Postal Service employees should refrain from intentionally creating philatelic rarities.

212 Stamp and Stationery Subjects

212.1 Selection

Subjects for postage stamps and postal stationery items may be proposed by the public through written correspondence to the Citizens' Stamp Advisory Committee (CSAC). The committee is responsible for evaluating the merits of all stamp proposals. CSAC is composed of individuals from outside the Postal Service whose backgrounds reflect a wide range of educational, artistic, historical, and professional expertise. CSAC members are appointed by the postmaster general. After reviewing suggestions submitted by the public, CSAC recommends both subjects and designs for stamps and postal stationery items to the postmaster general, who makes the final selections.

212.2 Criteria for Eligibility

The U.S. Postal Service and the members of the Citizens' Stamp Advisory Committee (CSAC) have set certain basic criteria used in determining the eligibility of subjects for commemoration on all U.S. stamps and stationery. These criteria first were formulated about the time of Postal Reorganization in the early 1970s and have been refined and expanded gradually since then.

The 12 major criteria now guiding subject selection are the following:

a. **Criterion 1.** It is a general policy that U.S. postage stamps and stationery primarily will feature American or American-related subjects.

b. **Criterion 2.** No living person shall be honored by portrayal on U.S. postage.

c. **Criterion 3.** Commemorative stamps or postal stationery items honoring individuals usually will be issued on, or in conjunction with, significant anniversaries of their birth, but no postal item will be issued sooner than 5 years after the individual's death. The Committee will not accept or consider proposals for a subject until at least 3 years after his/ her death. The only exception to the 5-year rule is the issuance of stamps honoring deceased U.S. presidents. They may be honored with a memorial stamp on the first birth anniversary following death.

d. **Criterion 4.** Events of historical significance shall be considered for commemoration only on anniversaries in multiples of 50 years.

e. **Criterion 5.** Only events, persons, and themes of widespread national appeal and significance will be considered for commemoration. Events, persons or themes of local or regional significance may be recognized by a philatelic or special pictorial postmark, which may be arranged through the local postmaster.

f. **Criterion 6.** Stamps or stationery items shall not be issued to honor fraternal, political, sectarian, or service/charitable organizations. Stamps or stationery shall not be issued to promote or advertise commercial enterprises or products. Commercial products or enterprises might be used to illustrate more general concepts related to American culture.

g. **Criterion 7.** Stamps or stationery items shall not be issued to honor cities, towns, municipalities, counties, primary or secondary schools, hospitals, libraries, or similar institutions. Due to the limitations placed on annual postal programs and the vast number of such locales, organizations, and institutions in existence, it would be difficult to single out any one for commemoration.

h. **Criterion 8.** Requests for observance of statehood anniversaries will be considered for commemorative postage stamps only at intervals of 50 years from the date of the state's first entry into the Union. Requests for observance of other state-related or regional anniversaries will be considered only as subjects for postal stationery and again only at intervals of 50 years from the date of the event.

i. **Criterion 9.** Stamps or stationery items shall not be issued to honor religious institutions or individuals whose principal achievements are associated with religious undertakings or beliefs.

j. **Criterion 10.** Semipostal stamps are designed to raise funds for causes determined to be in the national public interest and appropriate. Semipostal stamps are sold for a price above their postage value. The differential between the sales price and the postage value of semipostal stamps consists of an amount (less a deduction for the Postal Service's reasonable costs) to be given to other executive agencies in furtherance of specified causes. The Postal Service issues semipostal stamps in accordance with the Stamp Out Breast Cancer Act and the Semi-postal Authorization Act.

k. **Criterion 11.** Requests for commemoration of universities and other institutions of higher education shall be considered only for stamped cards and only in connection with the 200th anniversaries of their founding.

l. **Criterion 12.** No stamp shall be considered for issuance if one treating the same subject has been issued in the past 50 years. The only exceptions to this rule are traditional themes such as national symbols and holidays.

212.3 Submission

Ideas for stamp and stationery subjects should be submitted at least 3 years in advance of the proposed date of issue. This allows the committee enough time to consider the idea and to design and produce the stamps, if the subject is approved. Send ideas for stamp subjects that meet the criteria to the following address:

CITIZENS STAMP ADVISORY COMMITTEE
C/O STAMP DEVELOPMENT
US POSTAL SERVICE
1735 N LYNN ST RM 5013
ARLINGTON VA 22209-6432

212.4 Approval and Design

Once a subject is approved, the Postal Service relies on design coordinators to help select artists who will then execute the designs. The Postal Service does not review or accept unsolicited artwork from the public.

213 Distribution and Requisition of Accountable Paper

213.1 Stamp Distribution Offices

All Post Offices generally receive initial supplies of new stamp issues without having to requisition them. Stamp products, such as the annual commemorative stamp yearbooks and the *Postal Service Guide to U.S. Stamps,* are generally distributed automatically to stamp distribution offices (SDOs). These distribution destinations must:

a. Establish a program for distributing new stamp products to associate Post Offices and replenishing existing stamp products.

b. Ensure that less-than-bulk quantities of stamps are supplied to all Post Offices so the stamps can be placed on sale in accordance with instructions issued in the *Postal Bulletin.* SDOs requiring additional stamp products should requisition them from their accountable paper

depository. All other Post Offices should requisition stamp products from their SDO or their usual source of supply for less-than-bulk orders.

213.2 Accountable Paper Custodians

The accountable paper custodian or other individual in charge of the stamp stock at each Post Office is responsible for requisitioning and maintaining an adequate inventory of stamps, postal stationery items, and other stamp products required for efficient and effective operation. This individual should periodically evaluate demand and, when necessary, forward a requisition for additional stock to the designated supply source. All requisitioning must be in accordance with the procedures given in Handbook F-1, *Post Office Accounting Procedures,* section 420. When necessary, accountable paper custodians may reduce the quantity of stamps received automatically to reduce costly overstocking and subsequent destruction. To request a change for quantities of stamps, send a memorandum to the designated SDO.

22 Retail Sales Policy

221 General

221.1 Sales Channels

Stamps, postal stationery items, and stamp products are sold at Post Offices and various postal retail facilities described in this section. Most of these facilities have regular stamp windows, and some are designated as philatelic centers. Philatelic centers sell current stamps and philatelic products. Some Post Offices provide specialized philatelic services and sell the full range of stamps and philatelic products offered by the Postal Service. These facilities, as well as Stamp Fulfillment Services (SFS), are referred to collectively as philatelic centers. SFS in Kansas City, Missouri, is a central facility that services mail, telephone, and Internet orders for postage stamps, including philatelic quality stamps and other philatelic products.

221.2 First Day of Issue Sales

Only the Post Office or offices designated as official first day of issue offices or as first day of sale offices may sell new stamps and stationery items on the first day of sale. New issues will be placed on sale nationwide on the next business day following the first day of sale; although, there are special cases where new issues are placed on sale nationwide on the first day of issue.

221.3 Withdrawal From Sale

Notices about the withdrawal of stamp, stationery items, and philatelic products are published in the *Postal Bulletin*. The notices give effective dates for removing the items from sale.

221.4 Stamps for Philatelic Products

Stamps that are withdrawn from sale but are incorporated into philatelic products, such as mint sets or stamp collecting kits, may be sold at philatelic windows, Postal Service stores, philatelic centers, and SFS.

221.5 Exceptions to Sales Policies

Stamp Services may, at its discretion, establish exceptions to the sales policies. Exceptions applicable to Postal Service outlets are announced in the *Postal Bulletin.*

222 Regular Stamp Windows

222.1 Definition

Regular stamp windows are retail stations in Post Offices that accept mail and sell stamps, stamped stationery, and stamp-related products. They also may offer other services, such as money order sales, meter setting, and parcel shipments.

222.2 Sales Policy

222.21 Commemorative Stamps

Commemorative stamps are printed in limited quantities, and Postal Service outlets should strive to sell all commemorative stamp stock. Commemorative stamps may not remain on sale after the official date of withdrawal from sale. Stamp Services announces withdrawal dates in the *Postal Bulletin.*

222.22 Plate Number Blocks and Marginal Markings (All Stamps)

222.221 Description

Plate number blocks are generally located on each corner of a pane of stamps with a plate number or numbers printed in the margin (selvage). Plate number blocks may include as few as four stamps where a single number appears, or as many as 20 where interest extends to other marginal markings. Various kinds of markings may appear on the selvage of stamps printed in sheets in addition to the plate number, such as the Postal Service copyright notice, or other subject-related informational inscriptions or other Postal Service slogans.

222.222 Setting Aside Plate Number Blocks

Retail associates must break panes of stamps for regular sale purposes as follows:

a. Tear stamps from the edge of the pane farthest from the plate number or marginal markings in order to preserve the plate block for collectors. Whenever possible, tear stamps from sheets of a single stamp design on an as-needed basis, one at a time.

b. Set aside quantities of plate blocks or marginal markings as the panes are broken during regular sales transactions, but do not set them aside in advance.

222.223 Minimum Purchase Requirements and Sales Limitations

The following is a list of the minimum purchase requirements and sales limitations for plate number blocks and marginal markings:

a. When the retail associate has a broken pane of stamps from which the plate block or other marginal marking has been sold and when no plate block or other marginal marking has been set aside, the following

minimum purchases must be made by customers wanting the plate block or other marginal marking:

Denomination	Minimum Purchase
1 cent to 50 cents	Full marginal strip of stamps (two rows deep having all marginal markings)
51 cents to 99 cents	Half marginal strip
$1.00 and above	Block of four stamps

Note: *There are no minimum purchase requirements when a retail associate has only full panes of the requested stamp in stock or a broken pane that contains the plate block or other marginal marking.*

b. Limitations to sales are as follows: Each customer for whom a pane is broken is limited to one marginal strip ($0.01 to $0.50), a half marginal strip ($0.51 to $0.99), or a block of four stamps ($1.00 and above) for each stamp subject, per day. It is necessary to place a limit on individual sales of plate blocks and other marginal markings so that the philatelic stock available at Post Office windows may accommodate as many collectors as possible each day.

c. Customers requesting more than the maximum permissible purchase in denominations of the single-ounce, First-Class Mail rate and below or in denominations higher than the single-ounce, First-Class Mail rate should be asked to inquire on another day or to contact SFS (1-800-STAMP-24).

222.23 Coil Stamps

Regular retail windows should not open and break coils of stamps, except for newly issued stamp coils, which may be opened and sold in less-than-full coil quantities, subject to the following limitations:

a. Sales of newly issued coil stamps in less-than-full coils are restricted to a 1-month period beginning with the authorized first day of sale for each particular stamp issue.

b. These sales are further restricted to a single stamp window at each location designated by the postmaster to conduct such sales.

c. Coil stamps bearing fractional denominations must be sold in multiples that reach full cent amounts.

d. Stamps remaining in partial coils after the sales period expires are sold at regular windows for postage.

222.24 Precanceled Stamps

Stamp collectors may buy precanceled postage for philatelic purposes. There is no limitation on the sale to collectors of full coils of each of the precanceled denominations available. Purchases may be made in person or by mail by nonpermit holders for philatelic purposes only. Collectors may not mail matter bearing precanceled postage if they do not have a permit to use precanceled postage at the Post Office where the mail is presented.

222.25 Meter Postage

Customers may buy meter postage and postage-due meter postage for philatelic purposes from Post Offices that have been assigned postage meters. Meter postage and postage-due meter postage may not be issued for any amount less than the First-Class Mail, single-piece rate. Postage and mailing center (PMC) strips may be sold for philatelic purposes at the current, First-Class Mail rate only. Postage validate imprinter (PVI) strips are not meter stamps and may not be sold for philatelic purposes.

223 Dedicated Philatelic Windows

223.1 Definition

A dedicated philatelic window is a lobby window designated to sell stamps, stationery, and other related philatelic products. Other postal services are ordinarily not available at these dedicated philatelic windows, which are to be identified as such, so that customers desiring other services are directed to the appropriate windows. Many of the same items sold at designated philatelic centers are also sold at dedicated philatelic windows. For philatelic centers, see part 224.

224 Philatelic Centers

224.1 Definition

Philatelic centers are retail areas or self-contained facilities separate from the lobby window positions. These centers display and sell, with a few exceptions, all current postage stamps, stamped stationery, and related philatelic products, including commemorative stamps, definitives, regular-issue stamps, coils, international rate stamps, booklets and booklet panes, packets of stamped embossed envelopes, postal cards, message reply cards, and aerogrammes. The SFS is also a philatelic center.

224.2 Sales Policy

224.21 Plate Number Blocks/Marginal Markings (All Stamps)

The sales and disposition policies described in 222.22 apply except as follows:

a. Retail associates may sell to each customer one matched set of four marginal strips for any stamp in stock. There are no limitations on the sale of plate blocks of stamps having denominations greater than 1 dollar.

b. SFS may, however, sell at face value any quantity of marginal strips of stamp issues.

224.22 Coil Stamps

Philatelic centers may open coils of stamps as requested. To guarantee receipt of a plate number on coils, the following minimum purchases are required:

a. Precanceled stamps: 25 stamps.

b. $1 denominations or greater: 5 stamps.

SFS may offer plate numbers on coil strips that vary from the minimums noted above.

224.23 Precanceled Stamps

The sales policy described in 222.24 applies except that coils of precanceled stamps may be opened for the sale of individual stamps to collectors.

224.24 Meter Postage

Customers may buy meter postage and postage-due meter postage for philatelic purposes from Post Offices that are assigned meters. Meter postage and postage-due meter postage may not be issued for any amount less than the First-Class Mail, single-piece rate of postage. PMC strips may be sold for philatelic purposes at the current First-Class Mail rate only. PVI strips are not meter stamps and may not be sold for philatelic purposes.

224.25 Stamp Credit (Accountability)

224.251 Stock Levels

Philatelic centers should maintain a good working level of stamp stock, stationery, and stamp products to meet the needs of collectors and to encourage interest in philately. Therefore, postmasters may maintain a postage stock of up to $125,000 for each philatelic outlet at their office. This stock may be in excess of normal authorized stock limits. This inventory level does not apply to SFS.

224.252 Special Authorization

Special authorization for postage stock exceeding $125,000 requires these steps:

a. If operating requirements require postage stock in excess of $125,000, written notification must be submitted to the appropriate area marketing or retail manager, requesting special authorization.

b. The area marketing or retail manager, with concurrence of the local Inspector in Charge, may authorize postage stock up to $250,000. The total amount of annual sales at the philatelic outlet must be included with any request for authorization to maintain a postage stock in excess of $125,000 for that outlet. Such requests are approved only when the total sales justify the increase in stock level. If approved at the area office level, the request should be forwarded to Headquarters for concurrence by the manager, Stamp Distribution.

224.253 Stamp Credit

Responsibility for stamp credit is as follows:

a. All retail associates working in philatelic centers are fully accountable for their own stamp credit.

b. Postmasters are responsible for providing adequate security equipment for secure storage of these credits at all times.

225 Temporary Philatelic Stations

225.1 Definition

Post Offices may establish temporary stations to provide philatelic services and to sell stamps and stamp products. These stations may include specially constructed counters or mobile retail units. Temporary stations are most frequently located at stamp shows or exhibitions, stamp dedications, state fairs, conventions, parades, or other activity sites of significant public or philatelic interest.

225.2 Requests for Temporary Stations

Requests for temporary Postal Service stations should be made by the sponsors or organizers to the local postmaster.

225.21 First Day of Issue Events

All first day of issue ceremonies are conducted under the direction of Events and Promotions, Public Affairs and Communications, Headquarters. Requests for first day of issue or other special support that must come from the national level should be directed to Events and Promotions. Once a postmaster has agreed to participate in an event, a unilateral withdrawal from such a commitment may not be made without the approval of Events and Promotions. Any such withdrawal must be based upon a showing of good cause.

225.3 Authorization

Temporary philatelic stations may be authorized by postmasters. Postmasters may participate at events where admission fees are charged by the sponsor if the same pictorial postmark used at the event is available on request to those not attending the event.

225.4 Ceremonies

Postmasters and other local officials are encouraged to participate in opening ceremonies for stamp exhibitions or other stamp ceremonies arranged by philatelic groups, whether or not a temporary philatelic station is authorized.

225.5 Announcement and Publicity

225.51 Posters

An announcement of the temporary philatelic station and any event postmark may be posted on the main Post Office lobby bulletin board and may be posted in other Post Offices within a 10-mile radius of the event so that collectors will be advised of the Postal Service's participation. For larger events, the posting may occur throughout the district or as requested by Headquarters. Posters should be placed on display at least 15 days before the event but in no case more than 30 days before the event. All announcements must emphasize the temporary philatelic station. The announcements should mention the name of the event, pictorial or standard postmark (if any), dates and hours open to the public, and the location. Promotional material for the event itself must not be incorporated.

225.52 Press Releases

The postmaster should also announce through press releases to local newspapers, the planned establishment of the temporary philatelic station. The Post Office, however, must not distribute free flyers to homes, sell or distribute tickets, exchange ticket coupons, or authorize the use of Post Office facilities for direct event promotion.

225.6 Participation in Events

225.61 Planning

Postmasters should ensure that detailed planning begins well in advance of the event so that participation brings credit to the Postal Service and provides a wide range of stamps and stamp products to collectors and other customers. Particular attention should be taken in selecting sales personnel who are knowledgeable about philately and who have retail experience. All retail associates should be fully trained in stamp sales and pictorial postmark policies.

225.62 Stamp Stock

The postmaster should secure a wide range of stamp products and current postage, using the *USA Philatelic* catalog as a guide. No stamps, stationery, or products that have been withdrawn from sale may be sold. Sales withdrawals are noted in the *Postal Bulletin.* Postmasters should requisition philatelic stock not already available in their Post Office from their SDO or usual source of supply on PS Form 17, *Stamp Requisition/Stamp Return,* indicating the quantity required to sell at the stamp show, exhibition, or other purpose as described in 225.1. For these events, stamps with denominations greater than $1 may be requisitioned in plate blocks of four, as noted in 222.21. At the conclusion of the event, excess philatelic stock, including remainders from commemorative sheets, should be sold at the regular stamp windows for postage purposes.

225.63 Philatelic Products

Postmasters should display, promote, and sell philatelic products such as the commemorative stamp yearbooks, the *Postal Service Guide to U.S. Stamps,* stamp collecting keepsakes or kits, and topical stamp sets.

225.64 Sales Restrictions

The sales policies about plate blocks and marginal markings are described in 222.22.

225.65 Security and Facilities

Postmasters should ensure that sufficient security for the stamp stock is provided at the event site and that all other facilities are adequate.

225.66 Appearance

Postmasters should ensure that the appearance of the temporary philatelic station brings credit to the Postal Service by using attractive signs and by having enough space for satisfactory service. The hours that the station is open must be posted.

225.67 Prompt Service

At exhibitions where a large number of collectors are expected, postmasters should consider using a speedy line, distributing customer numbers that can be announced, or employing another method that would provide quick service to customers and reduce waiting.

225.68 Sales Report

An event sales report showing both gross and net revenue must be submitted to the district manager or designee within 1 week of the close of every temporary philatelic station.

226 Mail Order

SFS handles mail, telephone, and Internet orders for postage stamps, philatelic quality stamps, and other philatelic products. Customers may request a catalog listing items available by calling 1-800-STAMP-24, or by writing to:

INFORMATION FULFILLMENT
DEPT 6270
PO BOX 219014
KANSAS CITY MO 64121-9014

Note: Post Offices may not fill mail orders for stamps and other philatelic items other than mail orders under the Stamps by Mail program and orders for local philatelic postmarks. Customers must furnish a self-addressed, stamped envelope for return of precanceled stamps. Postmasters may not order precanceled postmarking devices solely to satisfy collector demands.

227 Guidelines for the Purchase and Sale of Local Commemorative Envelopes

This part provides guidelines for postmasters and district managers for the local purchase and sale of commemorative envelopes (covers/cachets). See Exhibit 227 for a Local Purchasing and Sale of Commemorative Envelopes Check-Off Sheet.

227.1 Event Criteria for Producing Commemorative Envelopes

Commemorative envelopes should only be considered for large-scale local events or historical events that have either a broad local appeal or importance. Use the guidelines presented in this part when creating commemorative envelopes in support of local events. Only requests to create commemorative envelopes in support of local events will be accepted.

227.2 Responsibilities for Producing Commemorative Envelopes

227.21 Postmaster Responsibilities

227.211 Request to Produce Commemorative Envelopes

At least 90 days prior to a local event or the required product sales date, postmasters must submit their request to produce commemorative envelopes to their district manager or designee. Postmasters should direct

any exceptions to the 90-day advance notice policy to their district manager or designee.

227.212 **Items to Include With Requests**

Postmasters must justify to their district manager or designee through a cost benefit analysis the expected Return on Investment (ROI). With the exception of work hours, all associated expenses are charged as a negative to revenue; therefore, projected revenue must exceed expense. When submitting a request to the district manager, postmasters should include the following items:

a. A brief description of the local event including the dates, location, and expected number of attendees.

b. A cost benefit analysis with a breakdown of actual costs (including work hours) and revenue projections. The analysis should include costs associated with the event and product development including the following:

(1) Cost of the stamps: the face value of postage stamps included on the product.

(2) Stamp bursting and affixing costs.

(3) Design costs: costs associated with the design of the commemorative envelope.

(4) Licensing costs: costs associated with using design or images on a cachet.

(5) Envelope costs: costs of the actual envelope.

(6) Printing costs: costs associated with printing the cachet.

(7) Price breaks: savings associated with ordering specific quantities of cachets.

(8) Cancellation costs: costs associated with canceling the product, including work hours, postmark device, ink, or any other supplies.

(9) Packaging costs: supplier's cost to package for shipping.

(10) Shipping costs: costs associated with shipping for cancellation and final destination.

(11) Distribution costs: costs associated with distributing product to the retail counter.

(12) Mail order costs: costs associated with filling mail orders including work hours, office space, supplies, etc.

(13) Special event costs: costs associated with setting up and staffing a temporary retail unit at the local event (including work hours).

(14) Accounting costs: costs associated with tracking the product quantities during development and sales.

(15) Promotional costs: costs associated with any advertising or promotional effort.

(16) Destruction costs: costs associated with product spoilage and excess product.

c. Net revenue projections including the following:

(1) Revenue projections: gross and net revenue projections based on expected unit sales.

(2) Breakeven point: minimum product sales to cover all costs associated with the event and product development.

(3) ROI.

Note: See Exhibit 227.212 for a sample Cost Benefit Analysis.

Exhibit 227

Local Purchasing and Sale of Commemorative Envelopes Check-Off Sheet

Postmaster Responsibilities

_____ Submit requests to produce/purchase commemorative envelopes to the district manager and/or designee. Include cost benefit analysis and revenue projections.

_____ Complete an eBuy and obtain all necessary funding approval. Expenses should be reported in Account Indentifier Code (AIC) 524. Purchases made under local purchase authority are subject to the policies and procedures in the *Administrative Support Manual* (ASM) and Handbook AS-709, *Credit Card Policies and Procedures for Local Buying.* Purchases valued at more than $10,000 must be submitted to Supply Management for handling, so allow time for solicitation and award of the contract; in addition, if the purchase is valued at more than $10,000 and a specific supplier will be used due to existing licensing agreements, a noncompetitive justification is required.

_____ Purchase stamps for the commemorative envelopes (at face value) using an eBuy request, or have the supplier include the stamp (face value) cost in its product production cost.

_____ Coordinate the design of the commemorative envelope with the supplier. A commemorative envelope designed and produced by the supplier must be an exclusive Postal Service product. The supplier cannot sell the same cachet or product design to others outside of the Postal Service.

_____ Coordinate the pictorial postmark design with the supplier. Refer to Handbook PO-230, *Pictorial Postmarks*, for guidelines on proper format and use of pictorial postmarks. Handbook PO-230 is available through the Material Distribution Center and online on the Postal Service PolicyNet Web site at *http://blue.usps.gov/cpim.*

_____ Ensure that the supplier clears all rights associated with any design or image incorporated on the commemorative envelope and/or in the pictorial postmark design. The postmaster must request a letter from the supplier stating that all third-party rights have been approved. Postmasters must forward a copy of the supplier letter with the final commemorative cachet design to their district manager or designee.

_____ Coordinate the printing, stamp affixing, and canceling of the commemorative envelope with the approved pictorial postmark. Suppliers may not cancel commemorative envelopes. For large cancellation orders, postmasters may contact the manager, Stamp Fulfillment Services, at 816- 545-1100 for assistance.

_____ Coordinate and manage advertising and promotional efforts. Obtain funding and area advertising specialist approval for any advertising.

_____ Coordinate and manage the temporary station (retail unit) associated with the event. (Temporary stations must have a pictorial postmark available for collectors' requests. The pictorial postmark used on the commemorative envelope product must be the same postmark that is used and/or made available at the temporary station.)

_____ Sales of commemorative covers must be tracked in AIC 083, Local Commemorative Envelopes Sales. Non point-of-sale (POS) offices should track sales manually. POS offices should scan barcodes to properly track sales. (See 227.4, Financial Procedures, for POS instructions.)

_____ Every week, complete and maintain Commemorative Envelopes (Covers/Cachets) Unit Sales Activity Reports.

_____ Following the sales period, destroy remaining quantities. Destruction must be witnessed, and both parties who verified the destruction must sign off on the quantities.

_____ Maintain a summary of the Commemorative Envelopes (Covers/Cachets) Unit Sales Activity Reports as documentation of the destroyed quantities for a period of 4 years.

Supplier Responsibilities

_____ Clear all rights associated with any design or image incorporated on the commemorative envelope cachet and in the pictorial postmark design. The supplier must provide the postmaster with a letter stating that all third-party rights have been approved. **The supplier assumes all risk associated with rights; there must be no risk to the Postal Service.**

_____ Bid the cost associated with the face value of the stamps as well as stamp-affixing costs. Factor in shipping costs associated with transporting the product.

_____ **Do not cancel/postmark** the commemorative envelope.

_____ Ensure that all commemorative envelopes designed and produced by suppliers are exclusive Postal Service products. Suppliers cannot sell the same cachet or product design to others outside of the Postal Service.

Exhibit 227.212
Cost Benefit Analysis

Example

Description of Event:_____

Date(s): _____

Location:_____

Expected Attendance: _____

Costs Associated With Product Development:

Cost of Stamps (face value of postage stamps:_____

Stamp bursting and affixing costs:_____

Design Costs (design of the commemorative envelope:_____

Licensing Costs (use of design/images on a cachet:_____

Envelope Costs (purchase of envelope:_____

Printing Costs (printing the cachet:_____

Cancellation Costs (work hours, postmark device, ink, or other supplies:_____

Packaging Costs (vendor's cost to package for shipping:_____

Shipping Costs (shipping for cancellation and final destination:_____

Distribution Costs (distributing product to the retail counter:_____

Mail Order Costs (work hours, office space, supplies, etc.:_____

Special Event Costs (setup and staffing of temporary retail unit:_____

Accounting Costs (tracking the product during development and sales:_____

Promotional Costs (advertising and/or promotion:_____

Destruction Costs (product spoilage and excess product:_____

Other Costs (specify):_____

_____

_____

Total Costs:_____

Net Revenue Projection:

Revenue Potential (gross revenue based on expected unit sales):_____

Less Total Costs (minus) —_____

Net Revenue Projection (gross revenue minus costs):_____

Breakeven Sales Point

(Total number of individual units needed to be sold to cover all costs associated with event and product development)

Total Costs $_____ ÷ Unit Sales Price $ _____ = Number of Units _____
Example: $14,300 ÷ $5.95 = 2,403 units need to be sold to break even on costs

227.213 **Administrative Responsibilities**

If the district manager approves the request to produce a commemorative envelope, postmasters are responsible for ensuring that the following steps are completed:

a. Step 1: Complete an eBuy and obtain all necessary funding approval.

b. Step 2: Submit all purchases of $10,000 or greater to Supply Management for handling. Allow time for solicitation and award of the contract. In addition, if the purchase is valued at more than $10,000 and a specific supplier will be used due to existing licensing agreements, a noncompetitive justification is required.

c. Step 3: Purchase stamps for the commemorative envelopes (at face value) using an eBuy request, or have the supplier include the stamp's (face value) cost in its product production cost.

d. Step 4: Coordinate the design of the commemorative envelope with the supplier.

e. Step 5: Coordinate the pictorial postmark design with the supplier. Refer to the Handbook PO-230, *Pictorial Postmarks,* for guidelines on proper format and use of pictorial postmarks. The handbook is available through the Material Distribution Center and online on the Postal Service PolicyNet Web site at *http://blue.usps.gov/cpim.*

f. Step 6: Ensure that the supplier clears all rights associated with any design or image incorporated on the commemorative envelope and/or in the pictorial postmark design. The postmaster must request a letter from the supplier stating that all third-party rights have been approved. Postmasters must forward a copy of the supplier letter with the final commemorative cachet design to their district manager or designee.

g. Step 7: Coordinate the printing, the stamp affixing, and the canceling of the commemorative envelope with the approved pictorial postmark. Suppliers may not cancel commemorative envelopes. Postmasters should arrange for the canceling of commemorative envelopes through local Postal Service staff. For large cancellation orders, postmasters should contact the manager, Stamp Fulfillment Services, at 816-545-1100. Stamp Fulfillment Services may be able to cancel the commemorative envelopes depending on their current workload.

h. Step 8: Track all sales of commemorative covers in AIC 083, Local Commemorative Envelopes Sales. Integrated retail terminal (IRT) and manual offices should track sales manually. POS offices should scan barcodes to properly track sales (see 227.4, Financial Procedures, for POS instructions.)

i. Step 9: Coordinate and manage the temporary station (retail unit) associated with the specific event. Temporary stations must have the pictorial postmark available for collectors' requests. The pictorial postmark used on the commemorative envelope product must be the same postmark that is used and/or made available at the temporary station.

j. Step 10: Do not sell the envelope for the event sponsor if an sponsor creates a commemorative envelope for fund-raising purposes.

k. Step 11: Fund all costs associated with any advertising and promotion. Additionally, postmasters and/or district managers are responsible for obtaining approval from the area advertising specialist for any advertisements that are created.

l. Step 12: Include insert cards as an advertising/promotional piece with the commemorative envelope product, if desired. The supplier is responsible for the clearance of rights issues. The postmaster/district manager or a designee must forward the advertising/promotional piece to his or her area advertising specialist for approval.

m. Step 13: Make sure the commemorative envelope that is designed and produced by the supplier is an exclusive Postal Service product. The supplier cannot sell the same product or product design to others outside of the Postal Service.

227.22 Supplier Responsibilities

The supplier's responsibilities are as follows:

a. Clear all rights associated with any design or image incorporated on the commemorative envelope cachet and in the pictorial postmark design. The supplier must provide the postmaster with a letter stating that all third-party rights have been approved. The supplier assumes all risk associated with rights; there must be no risk to the Postal Service.

b. Bid the cost associated with the face value of the stamps as well as stamp-affixing costs. Shipping costs associated with transporting the product should also be factored in.

c. Do not cancel/postmark the commemorative envelope.

d. Ensure that all commemorative envelopes designed and produced by suppliers are exclusive Postal Service products. Suppliers cannot sell the same cachet or product design to others outside of the Postal Service.

Note: See Exhibit 227.22 for a sample Commemorative Envelopes Supplier Agreement.

Exhibit 227.22
Sample Commemorative Envelope Supplier Agreement

The supplier agrees to the following when developing and selling commemorative envelopes to the United States Postal Service:

- Obtain any necessary rights to use any images, designs, names, signatures, and any other intellectual property rights associated with any design or image incorporated on the commemorative envelope cachet, in the pictorial postmark design, and in any other work created under this agreement.
- Indemnify the Postal Service, its officers, agents, and employees acting for the Postal Service against any and all loss, cost, damage, liability, and expenses arising out of or from any claim whatsoever (1) for violation of proprietary rights, copyrights, trademarks, and any other intellectual property rights or rights of privacy or publicity arising out of the creation, delivery, or use of any commemorative envelopes, pictorial postmark designs, or any other works furnished under this agreement, or (2) based upon any libelous or other unlawful matter contained in such envelopes or works.
- Provide the postmaster with a letter stating that all third-party rights have been approved.
- Assume all risk associated with rights. There must be no risk to the Postal Service.
- Do not cancel/postmark the commemorative envelopes for Post Offices.
- Ensure that all commemorative envelopes designed and produced by suppliers are exclusive Postal Service products.
- Do not sell the same cachet or product design to others outside of the Postal Service.
- Bid the cost associated with the face value of the stamps as well as stamp-affixing costs. Shipping costs associated with transporting the product should also be factored in.

_____
Signature of Supplier Representative

_____
Print Full Name (Supplier Representative)

_____
Company Name

_____
Address

_____
Address (continued)

_____
Telephone Number

227.23 District Responsibilities

The district's responsibilities are as follows:

a. Approve or deny postmaster requests based on the justification provided.

b. Maintain a finalized copy of the commemorative envelope design, a copy of the supplier letter stating that all third-party rights have been approved, and a copy of the pictorial postmark. These files should be maintained for a period of 4 years from the date of the event.

Note: Refer to Handbook PO-230 for pictorial postmark guidelines and a copy of the pictorial postmark announcement report. Handbook PO-230 is available through the Material Distribution Center and online via the Postal Service PolicyNet Web site at *http://blue.usps.gov/cpim.*

227.24 Headquarters (Stamp Services) Responsibilities

Stamp Services' responsibilities are as follows:

a. Review pictorial postmark designs and inform postmasters of any concerns associated with the image/design.

b. Place pictorial postmark information in the *Postal Bulletin.*

227.3 Legal Issues and Concerns

227.31 Use of Third-Party Images and Artwork on Philatelic Item

In many cases, a stamp image contains elements that are co-owned by the U.S. Postal Service and by one or more other entities. The same third-party ownership may also exist in artwork reproduced on philatelic items that includes commemorative and/or first-day-of-issue covers/cachets. An example of a stamp series that contains images owned by other entities is *The Art of Disney* stamp series. Suppliers wishing to reproduce the actual stamp art or other Disney artwork on commemorative covers and/or philatelic products must obtain permission from Disney.

227.32 Refuse Purchase Orders Requiring the Postal Service to Obtain Rights

Postmasters cannot accept Purchase Orders that require them to obtain the rights in images, designs, and other intellectual property that are to appear on commemorative covers and other philatelic products. In some instances, suppliers selling philatelic products and commemorative covers to the U.S. Postal Service have not obtained permission from third-party owners for the use of the artwork, and have put a section in their purchase order and/or invoice requiring that the U.S. Postal Service obtain the rights to those drawings, pictures, text, or other elements displayed on the product. Postmasters must read all purchase orders and/or invoices carefully! It is critical that Postal Service staff ascertain whether invoices for commemorative and first-day-of-issue covers from suppliers contain such language. If an invoice for the purchase of commemorative or first-day-of-issue covers/cachets and similar items requires the Postal Service to obtain rights relating to the artwork displayed on them, the postmaster must refuse to sign/accept the purchase order and/or invoice and should decline the purchase.

227.4 Financial Procedures

227.41 Postmaster's Accounting Responsibilities

The postmaster's responsibilities are as follows:

a. Coordinate the approval process with the district office.

b. Coordinate all commemorative envelope and pictorial postmark initiatives.

c. Verify that the total number of saleable and unusable (spoiled) items equals the number of stamps purchased. Both saleable and unusable items must be tracked on a Commemorative Envelopes (Covers/ Cachets) Unit Sales Activity Report and be included in the final destruction.

d. Provide a Unit Sales Activity Report to each participating retail sales unit. The report lists the quantity, sales price, and total value of commemorative envelopes being shipped to the participating Post Office. The total of all Unit Sales Activity Reports must equal the number of stamps purchased. See Exhibit 227.41 for a sample Unit Sales Activity Report.

e. Maintain final Unit Sales Activity Reports and destruction records to determine the overall success of each product for a period of 4 years.

f. Assist unit managers in determining the final disposition for excess commemorative envelopes.

g. Process the purchase of postage stamps for affixing on commemorative envelopes through a retail associate. Retail associates will offset the stamp sale with an entry to AIC 524, Local Commemorative Envelopes Refunds/Expense. A copy of the approved eBuy is the support documentation for the entry into AIC 524.

h. Update the Unit Sales Activity Report for his or her unit on a weekly basis and resolve any differences.

i. Complete a consolidated Unit Sales Activity Report on a monthly basis after the beginning of the commemorative envelope promotion. The participating units will inventory the remaining quantity of envelopes and enter the value of this amount on the Unit Sales Activity Report as ending value. When the ending value is subtracted from the beginning value, the sales amount must match the AIC 083 total for the same time period. The unit must resolve any difference. A copy of the consolidated report must be sent to the district manager or designee.

j. Ensure that the district manager or a designee decides after 90 days about the disposition of the remaining envelopes based on the remaining quantity. The following are three acceptable dispositions:

(1) Continue availability for an additional 90 days at the unit. Verification must be performed weekly, and a Unit Sales Activity Report must be completed to ensure AIC 083 sales equal the value of envelope inventory reductions. The weekly record must be maintained at the unit for support documentation to the final destruction records.

(2) Immediately destroy remaining quantities.

(3) Transfer the existing quantity of envelopes to a philatelic unit within the district. The philatelic unit may continue sales of the envelope. The philatelic unit must maintain a sales record to be included with the final destruction records.

Note: A summary of the Unit Sales Activity Reports is the support documentation for the destruction quantities. Destruction of commemorative envelopes must be witnessed, with both parties verifying and signing off on the quantity destroyed, and at least one person must be an Executive and Administrative Schedule (EAS) employee.

Unit Sales Activity Reports and all other related invoices and/or retail unit forms should be retained for a period of 4 years, filed together with the unit's PS Form 1412, *Daily Financial Report.*

Exhibit 227.41
Sample Unit Sales Activity Report

Commemorative Envelopes (Covers/Cachets)								
Unit Name:					Unit Finance Number:			
Unit Address:					Date			
Item #		**Description:**						
Month	**Items Received**	**Item Price**	**Total Received** (Items Rcvd x Price)	**AIC 083 Sales** (Weekly Unit 1412)	**Units Sold** (Sales / Price)	**Returned**	**Destroyed**	**ON-HAND**
Week # 1								
Week # 2								
Week # 3								
Week # 4								
Week # 5								
							Quantity On Hand	
Month	**Items Received**	**Item Price**	**Total Received** (Items Rcvd x Price)	**AIC 083 Sales** (Weekly Unit 1412)	**Units Sold** (Sales / Price)	**Returned**	**Destroyed**	**ON-HAND**
Week # 1								
Week # 2								
Week # 3								
Week # 4								
Week # 5								
							Quantity On Hand	
Month	**Items Received**	**Item Price**	**Total Received** (Items Rcvd x Price)	**AIC 083 Sales** (Weekly Unit 1412)	**Units Sold** (Sales / Price)	**Returned**	**Destroyed**	**ON-HAND**
Week # 1								
Week # 2								
Week # 3								
Week # 4								
Week # 5								
							Quantity On Hand	
Signature		Date		Signature (Witness)			Date	

227.42 Post Office Accounting Responsibility

All nonworkhour expenses related to commemorative envelopes authorized by the district manager or designee through an eBuy should be charged to the unit's finance number using General Ledger Account 44041.524 as a local purchase.

Locally paid items associated with commemorative envelopes should be charged to AIC 524, and supporting documentation must be maintained.

227.5 POS Instructions for Local Commemorative Envelopes

227.51 Renaming AIC 083

The following steps should be taken for renaming AIC 083, using item numbers 9445 through 9475 and setting the price at the unit level in the back office:

a. Step 1: Log in to the POS system with the role of stock custodian or supervisor.

   Note: Both of these roles allow the user to edit non-accountable items, but only the stock custodian can receive the items into the unit.

b. Step 2: Select *<Non-Retail Roles>.*

c. Step 3: Select *<Back Office>.*

d. Step 4: Select *<Inventory Options>.*

e. Step 5: Select *<Edit Item Parameters>.*

f. Step 6: Enter or scan the desired item number (9445 through 9475).

g. Step 7: Select *<Description and Status>.*

h. Step 8: Key in the new item description and then press *[Enter].*

i. Step 9: Toggle "Yes/No" for returns allowed and for exchange allowed.

j. Step 10: Select *<Accept and Go To Pricing and Tender>.*

k. Step 11: Key in the new selling price and then press *[Enter].*

l. Step 12: Key in the new regular price and then press *[Enter].*

m. Step 13: Select *<Accept and Return to Options>.*

n. Step 14: Select *<Return to Inventory Options>.*

227.52 Receipt of Item Into the Unit

After the steps described in 227.51 are completed, the stock custodian can receive the items into the unit with the description and value they entered in the *<Edit Item Parameters>* workflow. It is important to edit the item number prior to receiving it into the unit. Otherwise, the retail unit will be receiving the designated product as "Local Product" for $0.00.

Use the *<Receive Stock>* workflow as usual, and the items will be entered into the retail product inventory category.

227.53 Retail Product Inventory Report

When viewing the Retail Product Inventory Report, the item is still listed as "local product" and not the name the user gave the item before bringing it into the unit; but, the item number and the value are correct. The report is returning the description directly from the proditem file. When the retail

associate tries to sell the item, either by scanning the item or using the *<Sell by Item Number>* function, the item shows up with the new description and price. If the item description and value have not been edited as described in 227.52, when the retail associate tries to sell the item, either by scanning the item or using the *<Sell by Item Number>* function, the item still shows up as "local product" with a price of $0.00. At the time of the sale, retail associates can access *<Edit Mode>* using the up arrow; and because the item is non-accountable, the retail associates can change the price to the new selling price.

227.54 Default List of Items that Can Be Edited for Local Use

The following list is the default list of items that can be edited for local use:

Item	UPC	Description
9445	400000094458	Local Commemorative Envelope
9446	400000094465	Local Commemorative Envelope
9447	400000094472	Local Commemorative Envelope
9448	400000094489	Local Commemorative Envelope
9449	400000094496	Local Commemorative Envelope
9450	400000094502	Local Commemorative Envelope
9451	400000094519	Local Commemorative Envelope
9452	400000094526	Local Commemorative Envelope
9453	400000094533	Local Commemorative Envelope
9454	400000094540	Local Commemorative Envelope
9455	400000094557	Local Commemorative Envelope
9456	400000094564	Local Commemorative Envelope
9457	400000094571	Local Commemorative Envelope
9458	400000094588	Local Commemorative Envelope
9459	400000094595	Local Commemorative Envelope
9460	400000094601	Local Commemorative Envelope
9461	400000094618	Local Commemorative Envelope
9462	400000094625	Local Commemorative Envelope
9463	400000094632	Local Commemorative Envelope
9464	400000094649	Local Commemorative Envelope
9465	400000094656	Local Commemorative Envelope
9466	400000094663	Local Commemorative Envelope
9467	400000094670	Local Commemorative Envelope
9468	400000094687	Local Commemorative Envelope
9469	400000094694	Local Commemorative Envelope
9470	400000094700	Local Commemorative Envelope
9471	400000094717	Local Commemorative Envelope
9472	400000094724	Local Commemorative Envelope
9473	400000094731	Local Commemorative Envelope
9474	400000094748	Local Commemorative Envelope
9475	400000094755	Local Commemorative Envelope

23 Philatelic Postmarks

231 General

231.1 Definition

A philatelic postmark is an official postal postmark that contains the Post Office name, city, state, ZIP Code, and date (month, day, and year) of the canceling Post Office that accepted custody of the mailpiece(s), except as provided in sections 231.61 and 231.7. Other postal markings are made by validators, obliterators, or special-purpose cancellers. Postmarking for philatelic purposes is provided at the request of collectors or philatelic cover servicers for postmarking outside ordinary mail processing. This service requires special procedures and arrangements so that other Postal Service operations and services are not disrupted. This service may entail hand-stamping requests, either on a hand-back or mail-back basis, and the holding of mail for postmarking.

231.2 Policy

231.21 Publicity

The Postal Service makes philatelic postmarking services widely known to customers and collectors by publicizing them in the *Postal Bulletin.*

231.22 Backdating and Predating

It is Postal Service policy to prohibit backdating of mail except:

a. When Postal Service operating requirements and public demand necessitate that postmarking operations commence before or continue after the date contained in the postmark.

b. When replacements are made for damaged, defective, or missing postmarks.

c. When all requirements for postmarking are met by customers, and postmarks are not applied because of errors of Postal Service personnel.

d. As noted in references to first day of issue, first day of sale, and pictorial postmarks provided in parts 232, 233, and 234.

231.23 Retail Associate Availability and Training

A sufficient number of Postal Service employees should be available to provide speedy service. To provide hand-stamped postmarks of philatelic quality, Postal Service employees should be trained in advance. Refer to section 231.3 for philatelic postmarking procedures.

231.3 Cooperation With Collectors

231.31 Postmarks

Employees should strive to furnish clear and legible postmarks to stamp collectors by ensuring that postmarking machines and hand-stamp devices are properly inked. Postal Service employees must give special attention to mail bearing an endorsement that is of philatelic value or to requests for light

postmarks, and they should avoid canceling stamps by pen or illegible smudging. However, stamps must be postmarked sufficiently to protect Postal Service revenue.

231.32 Special Attention

The Postal Service cannot provide special attention to a philatelic cover if it has been routinely entered into the mailstream by the sender. To avoid being postmarked in the mailstream, items should be trayed or bagged separately and flagged "nonmachineable" before sending to mail processing.

231.33 Postmarking Devices

Postmarking devices may be used only under the supervision of authorized Postal Service personnel.

231.34 Hand-Stamped Postmarks

All hand-stamped postmarks are made with black ink unless authorized by Stamp Services. For more information, refer to Handbook PO-230, *Pictorial Postmarks.*

231.35 Philatelic Covers

Employees should exercise care in handling all philatelic covers to ensure that they are not damaged in mail handling. These covers are generally identifiable by a design (cachet) on the left side of the envelope. To avoid being postmarked in the mailstream, items should be trayed or bagged separately and flagged "nonmachineable" before sending to mail processing.

231.36 Defacing Philatelic Covers

Postal Service employees should ensure that philatelic covers are not over-postmarked; back-stamped, marked "received this date," or otherwise defaced on front or back; used as a top piece in a bundle for destination-package labeling purposes; or bent, folded, mutilated, or damaged by rubber bands.

231.4 Hand-Back and Mail-Back Service

Postmarks should be used to provide the following philatelic services whenever they are available:

a. Hand-back Service

(1) When a customer personally presents an addressed or unaddressed envelope, postal card, or other item described in section 231.63 to a Postal Service employee for postmarking, the Postal Service employee must examine the item to ensure that it is clearly intended for philatelic purposes. Bill payments, tax returns, applications, and/or other date-sensitive mail cannot be handed back. Only after careful examination should a philatelic item be postmarked and handed back to the customer/collector.

(2) The envelope, card, or other item does not enter the mailstream. All such materials requesting postmarking must bear uncanceled postage at the applicable First-Class Mail® rate. If the customer wants to mail the postmarked item, it must be trayed or bagged

separately, and flagged “nonmachinable” to avoid being over-postmarked in processing.

(3) This service may be provided by special die hub or flyer machines only when that particular postmarking machine is readily accessible to the Postal Service employee and only when providing such service does not interfere with other sales or mail processing operations and/or does not inconvenience other customers.

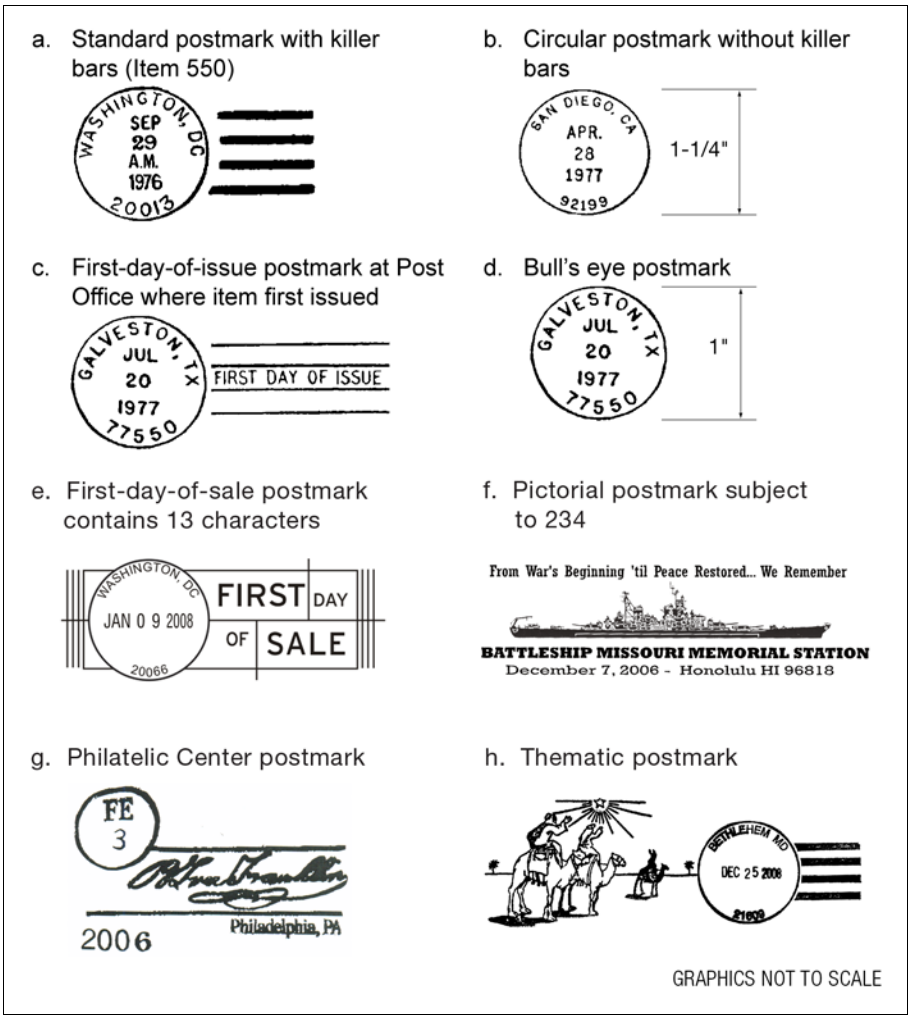
b. Mail-back Service

Mail-back service refers to the pictorial postmarking service for stamp dealers and collectors and it allows envelopes, cards, or other items submitted for servicing to be returned in bulk through the mail. To avoid over-postmarking or getting marred in the mail, stamp dealers and collectors must provide either a self-addressed stamped envelope or box for returning the serviced items. For customers submitting fewer than 50 covers who have not enclosed a self-addressed stamped envelope, the postmaster may choose to furnish a protective cover using a G-10 label. See subchapter 25 for more information pertaining to Philatelic Cover Servicers and Cachet Makers.

231.5 Permissible Postmarking Devices and Hand-Stamped Postmarking for Collectors

The postmark devices shown in Exhibit 231.5 may be used to provide hand-stamped postmarks for collectors.

Exhibit 231.5
Hand-Stamped Postmarks



231.6 Philatelic Postmark Policy

231.61 Date and Place of Postmarking

Post Offices may provide postmarking for philatelic purposes before the actual date of the postmark and may continue after that date when demand, processing capability, or other requirements of the Postal Service dictate. However, under no circumstances may any postmarked materials be released before the date of the postmark. The postmaster or designee may determine that local processing capability requires philatelic postmarking services be performed at an office other than the Post Office of the official postmark. In this case, all materials to be postmarked must be received at or deposited in the Post Office where the postmark is being used or at the office designated by the postmaster or designee.

231.62 Preparation Requirements

Postcards and envelopes submitted through the mail must bear postage at the applicable First-Class Mail first-ounce rate and have complete

addresses, except as provided in section 231.4. Materials submitted for hand-back service need not be addressed.

231.63 Special Materials on Which Postmarks May Be Requested

Photographs, postcards, or other materials having a glossy-coated or hard-calendered surface, or any material that does not readily accept ink, are submitted for pictorial postmarks at the customer's risk. The Postal Service is not responsible for smudged postmarks or offsetting where the impression appears on the preceding item. The following materials may be canceled as indicated:

a. *Plain Cards, Slips of Paper, and Blank Envelopes.* Postal Service employees may not place postmarks for customers on plain slips of paper, plain cards, or blank envelopes without unused postage equaling or exceeding the First-Class Mail first-ounce rate.

b. *Picture Postcards (Maximum Cards).* Picture postcards with the stamp placed on the face of the card rather than on the address side are known as maximum cards. Minimum First-Class Mail postage must be placed on the address side. Postmasters may cancel these cards and hand them back to the person presenting them. For mail-back service, refer to 231.4b.

c. *Posters, Portfolios, and Other Memorabilia.* These items with the stamps placed thereon may be canceled when presented in person for hand-back service. Submittal and return through the mail is not permitted, except as provided in 231.4b.

d. *Previously Canceled Stamps and Multiple Pictorial Postmarks.* Items bearing previously canceled stamps and postmarks are acceptable for additional postmarks when uncanceled postage equaling or exceeding the First-Class Mail first-ounce rate is affixed. Any covers submitted for servicing and return through the mailstream will not be entered into the mailstream until the date appearing on the postmark.

e. *Currency.* Currency bearing unused postage stamps of First-Class value or items bearing currency with stamps affixed or adjacent thereto may be canceled when presented in person for hand-back service. Submittal and return through the mail is not permitted. The Postal Service does not accept responsibility for currency in its possession in conjunction with philatelic services.

f. *Backs of Envelopes.* Post Offices may cancel unused stamps when they are affixed to the reverse side of envelopes bearing already canceled stamps. This service is available only for envelopes presented for hand-back service. They may not be returned through the mail even when outer envelopes are provided. Such a pictorial postmark denotes only that the item was presented to the Post Office for postmarking on that date; it does not denote that the envelope was carried by the Postal Service.

g. *Foreign Postage Stamps.* Unused foreign postage stamps may be postmarked with a U.S. Postal Service postmark only when unused First-Class Mail first-ounce U.S. postage is postmarked with the same stroke.

231.7 Holding the Mail

Postmasters may hold collectors' philatelic items for postmarking on a later or specified date. Postmasters should not hold mail for an event where the date of occurrence is not certain; for an event that the date is subject to change; or for postmarking on a day that the Post Office is closed.

231.8 Machine Postmarks

Post Offices may machine-cancel (using a flyer machine), philatelic items with a regular postmark when the envelopes are unaddressed or when the customer requests hand-back service, as provided in section 231.4. Collectors requesting postmarking of bulk items must provide either a self-addressed stamped envelope or box for returning the serviced items. For customers submitting fewer than 50 covers who have not provided a self-addressed stamped envelope, the postmaster may choose to furnish a protective cover using a G-10 label. See subchapter 25 for more information pertaining to Philatelic Cover Servicers and Cachet Makers.

231.9 Hand-Stamped Postmarks

Circular hand-stamped postmarks with or without killer bars may be provided upon request at Post Offices and/or station or branch offices. These postmarks are available each day that the office is open for business. Postmarking requests must be at the Post Office offering the service on the date of the postmark to qualify for this service.

232 First Day of Issue

232.1 First Day of Issue Sales Policy

For each new stamp or stationery item being issued, a designated Post Office is assigned as the official first day of issue city. For purposes of this section, the word "issue" means postage stamp, stamp booklet, booklet pane, postal card, postal stationery, or stamped envelope.

232.2 Notification

Individual stamp issues are announced through news releases distributed to the press, to the philatelic media, and by print to radio and television. Stamp and postal stationery announcements are periodically updated in the *Postal Bulletin* and on the Web at *www.usps.com.*

232.3 First Day of Issue Postmarks

First day of issue postmarks are provided by designated Post Offices for each new stamp or stationery item being issued. The postmark reflects the official issuance date and location. First day of issue postmarks may be either metal die, rubber composition, or digitally produced in color. In each postmark, the words "First Day of Issue" appear in the design. Requests for first day of issue postmarks must be postmarked no later than 60 days from the issuance date to qualify for servicing. When the conventional first day of issue postmark cannot cancel all the unused stamps on an item presented for postmarking, a Bull's-eye (1-inch) postmark is used.

232.4 Ordering Procedures

All covers must bear addresses to the right side of the envelope and at least 5/8 inch up from the bottom of the envelope. Requests must be postmarked no later than the date specified in the media announcement (see section 232.2) to qualify for postmark service. As a free service, this is limited to 50 postmarks. Postmasters should charge 5 cents per postmark for more than 50 items. Customers who want first day of issue postmarks of new stamps have two ordering options:

a. Collectors may buy stamps from their local Post Office, affix the stamps to their own envelopes, and mail them under separate cover to the postmaster at the city of issuance for postmarking. Envelopes submitted by collectors must be of ordinary letter size and must be properly addressed. Collectors should place a filler (of postal card thickness) in each envelope and turn in or seal the flap of the envelope.

b. Collectors may order first day covers without cachets by mail, telephone, or through the Internet, from Stamp Fulfillment Services. Each first day of issue cover will have an individual item number and is offered through the *USA Philatelic* catalog produced by Stamp Services. Item numbers and ordering instructions are also provided in news releases announcing the new stamps. The price of the Postal Service's first day covers will vary depending upon the denomination and number of stamps affixed. Remittance for mail orders can be made by money order, cashier's check, certified check, or personal check made payable to the U.S. Postal Service or by credit card as indicated in the *USA Philatelic* catalog. Cash must not be sent. Neither postage stamps nor foreign coins and currency are accepted. Any orders containing such remittance will be returned unserviced. First day of issue covers remain on sale for at least 1 year after a stamp is issued.

232.5 Bulk Orders

The Post Office that services first day covers will accept only stamp-affixed envelopes. For bulk affixing and postmarking requests, customers should contact Stamp Fulfillment Services.

232.6 Hand-Stamped Postmarks

Hand-stamped postmarks are applied on covers at the location of the first day ceremony, at the designated first day of issue Post Office, and on covers that cannot be fully postmarked by Postal Service postmarking machines.

232.7 Hand-Back Service

Philatelic materials to be canceled must be presented to the designated first day Post Office or ceremony location.

232.8 Unacceptable Covers

The issuing Post Office must not provide postmarking service on covers submitted through the mail that are unaddressed, bear stamps issued after the requested postmark date, or bear only previously postmarked stamps.

232.9 Postmarking Deadlines and Unofficial First Day Covers

The deadline for submitting covers for first day of issue postmarks is 60 days from the first day of issuance date. Any exceptions must be authorized by Stamp Services.

Stamps acquired at the first day Post Office may be canceled at any Post Office. Envelopes containing new stamps canceled on the first day of sale at a Post Office other than the issuing office are known as unofficial first day covers or first day of sale covers. See part 233 for further information on first day of sale postmarks.

233 First Day of Sale Postmark

233.1 First Day of Sale Postmark Policy

This official postmark offers customers a collectible postmark to commemorate the first day of sale for all new stamps and stationery items. Participation by postmasters/Post Offices in this postmarking service is voluntary; however, postmasters/Post Offices are encouraged to participate. The first day of sale postmark provides postmasters the opportunity to promote the sales of new stamps and stationery products in their communities and it supports the hobby of stamp collecting.

233.2 Notification

All new stamps and stationery items are announced in the *Postal Bulletin* and through news releases distributed to the press and to the philatelic media. No national listing of Post Offices participating in first day of sale postmarking will be maintained.

Customers/collectors will have to contact individual postmasters/Post Offices to find out if they are participating in the postmarking service. Postmasters wishing to promote this program locally will need to contact their local Public Affairs and Communications office for assistance.

233.3 First Day of Sale Postmarks

This postmark is provided by Post Offices nationwide on the first day that new stamps and stationery items are placed on sale. The postmarking device reflects the official first day of sale date and the city, state, and ZIP Code of the postmarking office.

The first day of sale postmark comes in two formats:

a. Size A: Self-inking postmark for Post Offices with 13 or fewer characters in their Post Office name (characters include the comma and spacing between the Post Office name and its state abbreviation).

b. Size B: Rubber composition hand changeable date stamp for Post Offices having over 13 characters in their Post Office name (characters include the comma and spacing between the Post Office name and its state abbreviation).

Customers may request a first day of sale postmark in person or by mail to the postmaster. Requests for first day of sale postmarks must be presented

to the Post Office or postmarked no later than 90 days from the first day of sale date to qualify for service.

233.4 Ordering Procedures

Customers requesting first day of sale postmarks have two ordering options: hand-back service and mail-back service.

233.41 Hand-Back Service

When a customer personally presents a stamped addressed or unaddressed envelope, postcard, or other item described in section 231.63, to a Postal Service employee for postmarking, the item can be postmarked and handed back to the customer.

All such materials must bear uncanceled postage, which includes the new first day of sale stamp, at the applicable First-Class Mail rate. The postage to be postmarked may not include any newly released stamps that may have been issued after the requested official first day of sale postmark date.

This postmark is provided only for philatelic purposes and should not be used to postmark bill payments, tax returns, applications, and/or other date-sensitive mail. Only after careful examination should a philatelic item be postmarked and handed back to the customer/collector.

In most cases, the philatelic envelope, card, or other item does not enter the mail. However, on occasion, a customer may request that their properly addressed and postmarked item be placed into the mailstream. In such cases, this can only be done on the official first day of sale date. Retail associates should ensure that items with first day of sale postmarks are trayed separately and flagged “nonmachinable” when sending to mail processing.

Hand-back service may be provided only when such service does not interfere with other retail sales, mail processing operations, and/or does not inconvenience other customers. Postmasters, at their discretion, may offer hand-back service or make arrangements with a customer for drop-off and pick-up service for items.

233.42 Mail-Back Service

Customers may buy first day of sale stamps at their local Post Office; affix the stamps to their own envelopes, postcards, or other items described in section 231.63; and mail the items under separate cover to a participating postmaster/Post Office for first day of sale postmarking. All requests must include a self-addressed postage-paid envelope to return the first day of sale postmarked items.

All such materials must bear uncanceled postage, which includes the new first day of sale stamp, at the applicable First-Class Mail rate. The item to be postmarked may not include any newly released stamps that may have been issued after the requested official first day of sale postmark date.

This postmark is provided only for philatelic purposes and should not be used to postmark bill payments, tax returns, applications, and/or other date-sensitive mail.

The items with first day of sale postmarks applied must be returned under protective cover. For customers submitting less than 50 covers who have not

enclosed a self-addressed stamped envelope, the postmaster may choose to furnish a protective cover using a G-10 label. See subchapter 25 for more information pertaining to Philatelic Cover Servicers and Cachet Makers.

Mail-back service should not interfere with other retail sales or mail processing operations and should not inconvenience other customers.

233.5 Servicing Fees

As a free service, postmarking is limited to 50 items. For those postmarking requests of more than 50 items, postmasters should charge 5 cents for each postmark.

234 Pictorial Postmarks

Pictorial postmarks may be offered by the Postal Service to commemorate local events celebrated in communities throughout the nation. These postmarks contain the name of a temporary philatelic station, city, state, ZIP Code, and the month, day, and year of the postmark. These postmarks may feature wording and/or graphics relating to fairs, conventions, or other various types of local celebrations. See Handbook PO 230, *Pictorial Postmarks,* for more information.

234.1 Sponsors

Pictorial postmarks are requested by sponsors or organizers of local events and are authorized to be used only at temporary retail stations and at other philatelic outlets.

234.2 Postmarking Methods

Devices used for pictorial celebrations are generally hand stamps, except when volume requires the use of machine postmarking. There is no charge to the sponsor for the production of the hand stamp or for the Postal Service's staffing of the temporary station. For assistance in bulk machine postmarking, postmasters should contact the manager, Stamp Fulfillment Services.

234.3 Criteria

Collectors may obtain pictorial postmarks at a temporary retail station established at an event or at a philatelic outlet, on the actual date of the requested postmark. Additionally, such postmarks may be requested by mail. Mail-in requests must be postmarked no later than 30 days following the requested postmark date to qualify for this service. Any exceptions beyond the 30 days must be approved by Stamp Services.

234.4 Authorization

Postmasters may request authority from the district manager or designee to provide postmarking service at temporary retail stations. Only the standard circular postmark will be provided unless the sponsors or organizers request and receive approval for a pictorial postmark. Organizers must apply to the postmaster for use of a pictorial postmark at least 10 weeks before the event. The sponsors or organizers must also provide a design and finished artwork for the requested postmark.

All temporary retail stations and pictorial postmark designs require the approval of the postmaster and the district manager or designee. Postmasters and district managers and or designees reviewing requests for temporary retail stations and pictorial postmark designs must ensure that all applicable Postal Service requirements are met.

Different postmarks for each day of an event are permitted only for international philatelic exhibitions. Any exceptions must be authorized by Stamp Services.

234.5 Requirements

234.51 Required Information and Dimensions

All postmarks must carry the name of the temporary station (the name of the exhibition or event, followed by the word “Station” or “Sta.”), month, day, year, and city, state, and ZIP Code of the actual location of the temporary philatelic station. Overall dimensions must not exceed 4 inches horizontally and 2 inches vertically.

234.52 Approved Subject Matter

Illustrations, wording, and designs featured on pictorial postmarks must directly reflect the event being commemorated. Postmarks that promote the sale or use of private, nonpostal products or that endorse or involve the ideals, policies, programs, products, campaigns, or candidates of religious, antireligious, commercial, political, fraternal, trade, labor, public interest, or special interest organizations may not be approved.

Postmarks may be approved to recognize events such as meetings, exhibitions, or conventions sponsored by or involving such organizations, provided that their designs do not include words, symbols, or illustrations referring to ideals, policies, programs, products, campaigns, or candidates. If there is doubt about whether a proposed postmark meets these requirements, Stamp Services should be consulted prior to granting approval.

234.53 Publicity

The district manager or designee must submit a PS Form 413, *Pictorial Postmark Announcement/Report,* which includes a reproducible copy of the approved pictorial postmark (actual size), to Stamp Services for publication in the *Postal Bulletin.* All reports should include the date(s) that the temporary retail station is to be open, the sponsor’s name, name of the temporary retail station, the complete mailing address for customers requesting the pictorial postmark by mail, and a reproducible copy of the pictorial postmark. PS Form 413, along with copies of pictorial postmarks, must be submitted to Stamp Services at least 2 months prior to the postmarking date. Any exceptions to the 2-month notice must be approved by Stamp Services. See Handbook PO-230 for more information.

234.54 Equipment

Pictorial and standard postmarks should be applied by rubber hand stamps purchased by the host Post Office or district office.

234.55 Service Limitations

Hand stamping as a free service is limited to 50 postmarks for any individual or group. For those postmarking requests of more than 50 items, postmasters should charge 5 cents for each postmark.

234.56 Use and Disposition of Hand Stamps

Pictorial postmark hand stamps, like other canceling devices, may be used only under the supervision of authorized Postal Service personnel and must be returned by the postmaster to the district manager or designee 6 months after close of the event allowing Post Offices enough time to handle replacement requests. The district manager or designee must destroy the postmarking device upon receipt.

234.57 Special Requests to Retain Hand Stamps

Requests from sponsors to retain pictorial postmark hand stamps for purposes of placement in a museum, historical site, or for any other appropriate use, must be approved by the district manager. Before release to the sponsor, the hand stamp must be defaced in such a way that it can be recognized if used improperly. Copies of the original and defaced postmark designs must be kept on file in the local or district office.

235 Special Mail Processing Postmarks

Special postmarks are cancellations in which a slogan or message publicizes an event. These postmarks are applied by machine to live mail by a mail processing plant. Bulk requests for mail-back service cannot be provided, but postmarks can be provided on addressed envelopes or postal cards that are delivered to addressees through mail delivery. Postmarks of philatelic quality are often not possible. All envelopes must be addressed. Any quantity of envelopes may be submitted, but they are not returned in bulk. See chapter 4 for more information regarding the criteria and use of these mail processing postmarks.

236 Other Special Philatelic Postmarks

236.1 Thematic Postmarks

Thematic postmarks are temporary rubber hand stamps that are available during the holiday season or during other special occasions throughout the year. This service is available at Post Office windows and is generally used for postmarking mail that is entering the mailstream. The hand stamp is a temporary postmark and is void after the seasonal period.

236.2 Philatelic Center Postmarks

Philatelic center postmarks are permanent rubber hand stamps that are permitted for large city Post Offices with philatelic centers or windows. Postmarking service is available only at authorized philatelic centers or windows and is generally used for postmarking mail entering the mail. The use of philatelic center postmarks outside of a philatelic center or window (e.g., tourist sites and museums) must be reviewed and approved by Stamp Services.

236.3 Military Post Offices

Military Post Offices, including Army Post Offices (APOs) and Fleet Post Offices (FPOs), may hand stamp covers both on a hand-back basis and by mail-order request in conformance with all policies and in accordance with all conditions and procedures stated in this section. The officer in charge at each military Post Office may establish the maximum number of covers individual collectors or dealers may submit. Military Post Offices may also provide pictorial postmarks (see part 234) upon request.

236.4 Special Requests

Requests for postmarks at Postal Service facilities that normally do not postmark mail must be made in writing to the appropriate district manager at least 60 days in advance for area manager authorization and to provide for national publicity. These requirements also apply for requests to postmark at offices that are inaccessible to the public or for requests to postmark at any office on dates when mail is not normally postmarked (for example, Sundays and holidays). Cover servicers, as described in subchapter 25, must submit their requests for pictorial postmarking to the manager, Stamp Fulfillment Services.

24 Autographs

241 General

Postal Service employees may, at their discretion, accept or refuse requests for autographs. Employees should exercise fairness in handling such requests. Nothing of value may be accepted or requested in exchange for autographs.

25 Philatelic Cover Servicers and Cachet Makers

251 Authorization

Philatelic cover servicers and cachet makers that regularly submit 50 or more envelopes or other items for identical postmarking may contact SFS and request information on how to become a registered dealer.

252 First Day Cover Service

Philatelic cover servicers may purchase mint stamps by mail from the first day of issue Post Office or from SFS on the date of issuance. Postmarks are provided only when stamp-affixed envelopes are submitted.

253 Mail-Back Service

253.1 Service Charges

Mail-back postmarking service for philatelic cover servicers and cachet makers is subject to a service charge established by SFS, which must be paid by check, credit card, or money order before the mail is processed.

253.2 Payment Requirements

Mail-back service or return under cover in bulk are available to registered dealers only when return postage and all other applicable fees are paid to the postmaster at the place of postmarking. Requests that do not include such payment are held until the proper amount is received.

253.3 Acceptable Items

Mail-back service is generally permitted on first day of issue, pictorial, or standard philatelic postmarks on the following materials: envelopes, postal cards or maximum cards, postal stationery, posters, portfolios, or other memorabilia. The Postal Service will not accept for first day of issue postmarking covers that bear stamp(s) issued after the requested date of the postmark.

254 Damaged or Missing Covers

254.1 Requests for Replacements

Requests for replacement of first day postmarks, pictorial postmarks, and standard philatelic postmarks may be accepted at the appropriate Post Office up to 60 days from the actual postmark date. All claims for nonreceipt of covers submitted for servicing by the Postal Service must be sent to the appropriate Post Office no later than 60 days from the date of postmark or from the date postmarks were last applied. Claims for replacement postmarks filed after that time will not be honored and are returned to the customer with a short explanation on why the request cannot be honored.

254.2 Criteria

Replacements are made for poor quality postmarks, damage to the envelope, or other similar defects. Replacements are not made, however, in cases where envelopes were marked on the back by letter-sorting machine code numbers as they moved through the mail system.

254.3 Procedures

The customer must return the unsatisfactory cover or covers to the appropriate Post Office for replacement. Replacement covers must be returned to the customer in a Postal Service postage-paid envelope so that a stale postmark does not appear in the mailstream. Damaged covers must be disposed of in accordance with Handbook F-1, *Post Office Accounting Procedures,* 450.

254.4 Exceptions

The Postal Service does not replace missing or unsatisfactory die-hub machine postmarks or special die-hub postmarks because these postmarks are made in the course of live mail processing.

254.5 Damaged or Loss of Cachet Covers

The Postal Service is not responsible for damage or loss of cachet covers or of other items of value.

26 Philatelic Products

261 Special Philatelic Products

Special philatelic products produced by the Postal Service for first day Postal Service ceremonies are permitted for major postal events only, such as the opening of a new philatelic center, postal store, or a dedicated philatelic window. All such products must be approved at least 3 months before the event by the appropriate area and Headquarters organizations.

27 Promotions or Presentations

271 General

To obtain canceled or uncanceled stamps, postal stationery items, or philatelic products for information, official Postal Service business, or Postal Service presentations, district managers or postmasters must submit a funded PS Form 7381, *Requisition for Supplies, Services, or Equipment,* or an eBuy request to the appropriate stock source as defined below. The form must identify the delivery address, contact name and telephone number, item number, quantity, description, amount, and the purpose or justification for the promotion or presentation. The postmaster or district manager must also enter account number 52325, Advertising and Sales Promotion, the finance number, and the account identifier code (AIC) 596, Miscellaneous Advertising Expense, on PS Form 7381 or the eBuy request. Account number 52325 shows as a transaction to line 34, Services, on the requesting office's Financial Performance Report (FPR). The postmaster or district manager has the option of obtaining stock locally or through SFS. Stock requested from SFS must be a minimum of $1,000 face value. Either request requires PS Form 7381 or the eBuy request.

272 Obtaining Stock Locally

To obtain stock locally, the postmaster or district manager authorizes and signs the completed PS Form 7381 or eBuy request. The form is submitted to the retail associate servicing the same finance number shown on the PS Form 7381 or eBuy request. The retail associate fulfills items as requested and retains the PS Form 7381 or eBuy request for submission with PS Form 1412-A, *Daily Financial Report,* as support for AIC 596. The retail associate

records the transaction on PS Form 1412-A and enters the amount in AIC 596, with an offsetting entry to AIC 090, Postage Stock Sales, or AIC 092, Philatelic Product Sales. The PS Form 7381 or eBuy request supports the entry to AIC 596. Offices that use standard field accounting procedures should submit the PS Form 7381 or eBuy request to the appropriate district accounting offices. Statement of account offices should retain this form locally. The accounting office verifies the submitted PS Form 7381 or eBuy request for completeness and retains it as support for AIC 596 on the Statement of Account.

273 Obtaining Stock Through Stamp Fulfillment Services

Stock that is not available locally may be available through SFS. To obtain stock through SFS, a minimum $1,000 face value is required. A completed and signed PS Form 7381 or eBuy request for the face value amount of stock requested and appropriate postage and handling fees must be sent to:

MANAGER
STAMP FULFILLMENT SERVICES
8300 NE UNDERGROUND DRIVE PILLAR 210
KANSAS CITY MO 64144-0001

The postmaster makes no entries to the cash book. SFS fulfills and mails the stock to the delivery address on the PS Form 7381 or eBuy request. SFS enters the amount shown on the order form to AIC 596, with offsetting entries to AIC 090 or AIC 092, and AIC 114, Postage Due Invoices, for the postage and handling charges. SFS accesses the Journal Voucher Transfer System through the Financial Accounting Control Tracking System (FACTS) and enters the account number 52325 and the finance number indicated on the PS Form 7381 or eBuy request. The account number shows as a transaction to line 34, Services, on the postmaster's Postal System Financial Report (PSFR). The postmaster or district manager then sees an adjustment to either the prior period or the current period.

28 Copyright of Stamp Designs

281 Policy

The designs of postage stamps, stamped envelopes, stamped stationery, stamped cards, aerogrammes, souvenir cards, and other philatelic items are copyrighted by the U.S. Postal Service in accordance with Title 17 of the United States Code.

282 Permission for Use

No written permission is required to reproduce the copyrighted stamp images in hard copy printed matter for the following purposes:

a. In editorial matter in newspapers, magazines, and journals for news reporting purposes.

b. In advertising matter, circulars, or price lists for the sale of the postage stamps or philatelic items illustrated.

c. In advertising matter, circulars, or price lists for the sale of philatelic magazines, journals, books, philatelic catalogs, philatelic albums containing illustrations of philatelic designs of the stamp images for sale of the postage stamps or philatelic items illustrated.

d. For incidental, background, nonfeatured use in motion picture films. No print or other reproduction from such films, slides, or tapes may be made except for the uses permitted above.

e. Noncommercial, educational uses limited to classroom instruction.

Note: For uses covered above, users must cite the source of the stamp image, the United States Postal Service, and include language such as: "United States Postal Service." All permitted uses covered above must consist of the unaltered, original stamp image as issued by the U.S. Postal Service. Any modification or alteration to the stamp image constitutes an unauthorized use.

For uses not covered above, a license from the Postal Service is required. Further digital or electronic reproduction of stamp images for posting on the Internet or in any other electronic forum is not allowed without a license from the U.S. Postal Service.

283 Reproduction of Designs

Illustrations permitted by 282 may be in color or in black and white and may depict items as uncanceled or canceled. When depicting uncanceled items in color, illustrations must be less than 75 percent or more than 150 percent (in linear dimension) of the size of the design of the philatelic items as issued. Color illustrations of canceled items and black and white illustrations of uncanceled or canceled philatelic items may be any size.

284 Requests for Licenses

The U.S. Postal Service may grant licenses for the use of illustrations of its copyright designs outside the scope of the above permission. Requests for such licenses should be addressed to:

LICENSING
US POSTAL SERVICE
475 L'ENFANT PLAZA SW RM 10-523
WASHINGTON DC 20260-3100

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3 Collection Service — National Service Standards

31 Applicability and General Requirements

311 Applicability

Instructions apply to all city delivery offices. At noncity delivery offices the district manager or designee determines the degree of application.

312 Local Postmark

312.1 Local Postmark Requirement

The local postmark must be made available in every community having a Post Office™. There are no exceptions to this policy.

312.2 Local Postmark Requests

Customers may request a local postmark at the retail counter at all Post Offices, stations, and branches. Customers with significant volumes of mail to be postmarked (50 or more pieces) should contact the postmaster or other managers in advance, to assure that adequate resources are available to provide the local postmark.

313 Collection Requirements

313.1 Collection Schedules

Collection service must function efficiently; therefore, establish collection schedules as follows:

a. Gear frequency of trips to outgoing dispatches.

b. Arrange schedules consistent with requirements of the local community and timely handling of mail at the processing point.

c. Make collections as near as possible to the posted pickup time, but not before posted times for specific trips.

d. Review operation continually to make modifications as justified by changed conditions.

313.2 Motorized Collections

Motorized collections are not to be made earlier than the scheduled time and should be made no later than 20 minutes after the posted time.

313.3 Residential Box Collections

Letter carrier collections from residential collection boxes must not be made before the scheduled time and should be made within 20 minutes after the posted time to the extent practicable.

313.4 Multiple Box Collections

Where boxes are located in multiple units, collections must be scheduled from all boxes in the cluster. An exception is permissible only if mail from one of the boxes receives significantly more expeditious dispatch by being collected separately.

313.5 Collections From Small Offices and Airports

At small offices and airports that do not have Saturday afternoon, holiday, or Sunday collection service, the district manager may authorize service from nearby offices. The district manager should consider highway contract route carriers, rural route carriers, or mail messengers for making collections from the box in front of small offices or at airports not provided collection service. When a holiday falls on a Monday, a collection must be made from all collection boxes on either Sunday or the Monday holiday.

313.6 Platform Collections

Schedule the latest possible acceptance time at the back platform of the mail processing unit for receipt of ZIP Coded mail properly separated to the designated next-day-delivery service area.

313.7 Establishment of and Changes in Collection Schedules and Collection Box Locations

Any decision made under this chapter that affects collection schedules or the locations of collection boxes must take into account and be consistent with the needs of the community affected by the decision, the volume and the type of mail affected, the need for timely processing of the mail, and the need to meet outgoing dispatches.

314 Collection Tests

Conduct tests at least once each quarter at all city delivery offices. Use plastic collection test card D-1148 and PS Form 3702, *Test Mailing Record (Collection and Special Test Mailings).* Administer collection tests in accordance with Chapter 1 of Handbook M-39, *Management of Delivery Services.*

315 Collection Boxes

315.1 Appearance

All collection boxes must have a uniform appearance and identification system nationwide so that customers can readily recognize the type of service provided at each box. All boxes must be maintained in good condition and the collection times decal must be clean and legible. Boxes must be painted in accordance with and have only the decals prescribed by

Corporate Identity Policy at Headquarters, and Handbook MS-22, *Street Letter Box Maintenance.*

315.2 Number and Types

Install a sufficient number of all types of boxes (see 321 through 324) within the city delivery area to handle mail volume according to the following guidelines:

a. Receiving boxes and mail chutes may be installed in public buildings, private buildings open to the public during business hours, or in transportation stations and depots.

b. Cooperative mailing racks may be installed in office buildings.

315.3 Locations

315.31 At Postal Units

Provide a regulation collection box at all CAG A-K offices and at all classified stations, branches, and self-service postal centers. Boxes should also be provided at contract stations and branches. At CAG L offices where a letter box is not supplied, provide a slot in the outer Post Office door.

315.32 In Residential Areas

In residential areas, if collection boxes are about 1 mile apart, the density of these boxes is generally considered adequate. In areas that receive motorized delivery only to curbside boxes, neighborhood delivery and collection box units (NDCBUs), or cluster box units (CBUs), this standard need not be followed unless such service is mixed with other methods.

315.33 In Business Areas

In business areas, install boxes where the greatest mail volume is generated and where boxes are convenient to the greatest number of business places.

315.4 Removal or Relocation

If a box generates fewer than 25 pieces a day, conduct a review to determine if the box should be retained. Factors to consider include the volume of mail collected and the character of mail collected, such as sales orders, daily reports, or other types of mail.

316 Collection Times Decals

A correct and legible collection times decal displaying all scheduled collections must be affixed on all collection boxes; on all regular collection boxes, the collection times decal must indicate the location of the nearest Express Mail® collection box. All collection boxes that do not display a last pickup time decal must have a collection times decal clearly marked to indicate the location of the nearest collection box with a last pickup time decal where 5:00 p.m. (or later), Sunday, and holiday services are available. Those collection boxes displaying a time decal indicating the last pickup time between 5:00 p.m. and 6:30 p.m. must indicate the location of the nearest collection box with a last pickup between 6:30 p.m. and 8:00 p.m. All collection boxes displaying a last pickup time decal must indicate the location of the nearest processing facility, or other location, that provides the

last collection and dispatch on weekdays and that also provides Saturday, Sunday, and holiday service. For further instructions on last pickup time decals, see section 322.

317 Collection Box Record

Maintain a record showing location of each collection box/point and times of collection in the computer-generated listings. Use the computer-generated listings to record information on maintenance of collection boxes (such as painting, lubrication of locks, anchoring, date of replacement of decals) and other collection box information.

32 Types of Collection Boxes

321 Local Delivery

321.1 Identification

These boxes must be clearly marked with Label 162, *Local Delivery,* and must show sufficient information so that customers will know what constitutes local delivery in the particular area where the box is located. This normally means delivery to the ZIP Code™ areas of the origin Post Office.

321.2 Location

Provide separate designated boxes at city delivery offices where the collections are taken to an area mail processing center for distribution. The minimum requirement for the location of these boxes is at the main office, classified stations and branches, and SSPCs.

321.3 Number of Boxes

Local management must decide where there is a need for local delivery boxes in addition to the minimum number required under 321.2.

321.4 Collection Schedule

Since these boxes will be located in clusters with other types of collection boxes, the schedule times should be the same as for the adjacent boxes. These collection times should be augmented as necessary to ensure that local mail deposited in these boxes meets established delivery service standards.

322 Boxes Displaying Last Pickup Time Decals — 30-Minute Time Increments

322.1 General

Boxes that generate a daily average of 100 or more pieces on weekdays and boxes needed to meet the requirements in 322.2 regardless of volume must display a last pickup time decal.

322.2 Last Pickup Between 5:00 p.m. and 6:29 p.m. (Monday Through Friday)

322.21 Decals

These boxes should display 5:00 p.m., 5:30 p.m., or 6:00 p.m. decals, as appropriate.

322.22 Locations

Locate these boxes as follows:

a. Where needed in business areas or on main thoroughfares so that customers do not have to travel more than approximately 2 miles from their homes to a box displaying a last pickup time decal.

b. In front of main offices, classified stations and branches, and SSPCs.

322.23 Collection Schedules

322.231 Monday Through Friday

These boxes should have at least two collections daily, with the last collection scheduled at 5:00 p.m. or later.

322.232 Saturday

Saturday schedules should include as many collections as necessary, with the last collection from each box scheduled as late as possible in the day, but in no case earlier than 1:00 p.m.

322.233 Sunday and National Holidays

Sunday and holiday pickups should be at least once a day, as late as possible, to ensure that the mail will connect with dispatches of value to meet established standards.

322.3 Last Pickup Between 6:30 p.m. and 8:00 p.m. (Monday Through Friday)

322.31 Decals

These boxes should display 6:30 p.m., 7:00 p.m., 7:30 p.m., or 8:00 p.m. decals, as appropriate.

322.32 Location

These boxes will be located at those offices where processing, either incoming or outgoing, is scheduled at the central processing plant during these evening hours or where the office has a late evening dispatch to the area processing plant. These boxes may be located in front of main offices, classified stations, classified branches, SSPCs, and where needed in business areas or main thoroughfares.

322.33 Number of Boxes

Local management must decide where the volume of mail justifies the placement of this type of box in addition to those located at postal units.

322.34 Collection Schedules

322.341 Monday Through Friday

These boxes should have as many collections as necessary, with one collection shortly after 5:00 p.m. and the last collection scheduled between

6:30 p.m. and 8:00 p.m. Schedules should provide a late evening deposit point for interested customers to ensure next day delivery within the local area service plan.

322.342 **Saturday**

Saturday schedules should include as many collections as necessary, with the last collection from each box scheduled as late as possible in the day, but in no case earlier than 3:00 p.m.

322.343 **Sunday and National Holidays**

Sunday and holiday pickups should be at least once a day, as late as possible, to ensure that the mail will connect with dispatches of value to meet established standards. The last collection should be no earlier than 3:00 p.m.

323 Residential

323.1 Identification

These boxes do not display last pickup time decals. However, as specified in 316, the collection times decal affixed thereon must indicate the location of the nearest collection box with a last pickup decal where 5:00 p.m. (or later), Sunday, and holiday services are available.

323.2 Location

These boxes should be located throughout residential areas as needed and to ensure that the density standard in 315.32 is met. They are not required in areas that receive motorized delivery only to curbside boxes, NDCBUs, or CBUs. If such service is mixed with other delivery methods, the standard in 315.32 should be followed.

323.3 Number of Boxes

Local management must decide where the volume of mail justifies the placement of residential boxes.

323.4 Collection Schedules

323.41 Monday through Saturday

These boxes should have a posted pickup time approximately 20 minutes prior to the expected arrival time of the carrier serving the route in the area. If the foot or motorized carrier normally passes these boxes on return to the delivery unit, the pickup should be scheduled at that time so that the latest possible collection is made.

323.42 Sunday and National Holidays

Normally, full collection service from these boxes is not a requirement; however, this service may be provided where local management is convinced there is a need.

324 Motorist Mailchute/Post Type Boxes

Whenever these boxes are in use, identify them to reflect the level and type of service intended as outlined in 321 through 323.

325 Express Mail Collection Boxes

325.1 Identification

Mark these boxes with the appropriate Express Mail decals and Express Mail Collection Times decal/customer information as prescribed in Handbook MS-22, *Street Letter Box Maintenance.*

325.2 Location

Separate, designated boxes may be provided at all offices that accept Express Mail Next Day Service shipments. The minimum requirements for the location of these boxes will be determined by the district manager or designee.

325.3 Number of Boxes

The district manager or designee must decide where there is a need for Express Mail boxes (locations inside buildings and street locations) in addition to determining the need for local or area-wide collection service.

325.4 Collection Schedules

Collection schedules must be set in order to provide the latest possible collection consistent with local acceptance and dispatch capabilities. Express Mail shipments deposited into Express Mail collection boxes before the posted collection time receive a Next or Second Day Express Mail service guarantee. There is no product differentiation between shipments placed in an Express Mail collection box and those accepted at a retail counter.

326 Mail Deposit and Collection

326.1 Collection Times

Mail is collected in residential and business areas served by city carriers at times scheduled to connect with mail dispatches. Mail is also collected in business areas at frequent intervals to keep boxes from becoming overloaded and to provide an even flow of mail to the Post Office.

326.2 Ordinary Deposit of Mail

326.21 Post Office Lobby

Letterdrops are provided in lobbies of all Post Offices for the ordinary deposit of mail.

326.22 Collection Boxes

Collection boxes for the ordinary deposit of mail are placed at convenient points in areas served by city carriers, at noncity delivery offices in front of Post Office quarters, and in nonpersonnel rural units.

326.23 Rural Boxes

Mail on which postage is paid may be deposited for collection in mailboxes on rural routes.

326.24 Vertical Improved Mail Mailrooms

At vertical improved mail (VIM) mailrooms, mail may be deposited in bundle mail drops where provided. Otherwise, it may be left with the carrier on duty when the VIM call window is open.

326.3 Deposit of Mail With Employees

The following types of mail may be handed for dispatch to employees on duty in mobile units or transfer offices and at airport mail facilities:

a. First-Class Mail® on which postage is paid.

b. Package Services mail presented by representatives of manufacturers or distributors of medicines or serums when endorsed: "Emergency — This package has been weighed and bears necessary postage. Any additional postage found to be due is guaranteed by sender." Package Services mail, except that described, and mail to be sent Registered Mail™, Insured Mail, or COD is not mailable with the employees identified above.

c. Unless acceptance is prearranged and previously authorized by the postmaster, only parcels that may be opened for postal inspection in the presence of the mailer to determine mailability of contents may be accepted at airport mail facilities.

326.4 Mailchutes and Receiving Boxes

326.41 Use

326.411 Determination of Installation

Mailchutes and receiving boxes may be placed, at the expense of the owner, in public buildings, railroad stations, hotels, and business or office buildings of not less than four stories, and apartment houses of not less than 40 residential apartments. Buildings with receiving boxes must be open to the general public, without restrictions, during the hours specified for mail collections. If the owner of a building does not want to install a mailchute and receiving box, a receiving box may be installed only if the postmaster determines it is necessary and approves its installation.

326.412 Purpose

Mailchutes and receiving boxes are intended for the deposit of First-Class Mail. Standard Mail® may not be deposited in mailchutes and receiving boxes.

326.42 Installation, Specification, and Maintenance

Requests for the installation of mailchutes and receiving boxes must be approved by the postmaster, and he or she must be furnished the contract and specifications for any proposed chute and box. The specifications for mailchutes and maintenance procedures are covered in Publication 16, *Mail Chutes, Receiving Boxes, and Auxiliary Collection Boxes: Regulations and Specifications.*

326.5 Bulk Mailings

Mailings under permit indicia or in bulk must be deposited at times and places designated by the postmaster. These mailings are prohibited from

deposit in collection boxes, mailchutes, receiving boxes, or other mail receptacles because they must be verified to ensure proper acceptance.

326.6 Separation of Mail by Sender

Customers with large mailings at single piece First-Class or Standard Mail rates should separate and rubber band them into bundles labeled “Local” and “Out of Town.” These labels may be obtained from the Post Office.

327 Plant Load Operations

327.1 Definitions

327.11 Plant Loading

Plant loading is an operation in which the Postal Service receives mail at a mailer’s plant and transports it to bypass handling that otherwise would be required at one or more Postal Service facilities. Plant loads are authorized when they benefit the Postal Service (see 327.3).

327.12 Expedited Plant-Load Shipment

An expedited plant-load shipment is an operation in which an authorized plant-load mailer receives additional authorization to transport a plant-loaded shipment at the mailer’s expense to destination Postal Service facilities where the mail is deposited. Under an expedited plant-load shipment authorization, the Postal Service verifies the mail for presort and postage at the mailer’s plant, and postage is calculated from and paid at the Post Office where the mailer is authorized to plant load. DMM D020 contains mailer instructions for obtaining an expedited plant-load shipment authorization.

327.13 Collection

Collection of mail is an operation in which the Postal Service transports mail from a mailer’s plant or other authorized non-Postal Service location to the local Post Office or other designated local acceptance point. Unlike plant-loaded mail, collection of mail generally does not include any mail that bypasses a handling or requires a postage statement, except under 327.2.

327.14 Mailer’s Plant and Mailings

327.141 Mailer’s Plant

The mailer’s plant is the non-Postal Service location where the mail is loaded onto transportation provided by the Postal Service.

327.142 Detached Mail Unit

A detached mail unit is an area in a mailer’s facility where Postal Service employees perform mail verification, acceptance, dispatch, and other Postal Service functions.

327.143 Plant-Loaded Mailings

Plant-loaded mailings consist of mail from one mailer or the combined mailings of two or more mailers loaded into one or more vehicles and accepted by the Postal Service at the mailers’ plants when:

a. A single postage statement is prepared and submitted by the mailers if required for each mailing;

b. Proper postage is affixed to each item; or

c. An alternative method of paying postage using a permit imprint (e.g., manifest mailing) is used, and a single postage statement and a manifest covering the mailing are prepared and submitted by the mailer.

327.144 Mixed Classes of Mail

A mailer may combine Periodicals, Standard Mail, and Package Services in a single vehicle if the mailings are physically separated, a postage statement is submitted for each mailing, and the service standards are met for each class of mail combined in the vehicle or trailer.

327.15 Transportation Service Area

327.151 First-Class Mail

The service area for plant-loaded First-Class Mail is the service area of the sectional center facility (SCF) in which the mailer's plant is located.

327.152 Periodicals

The service area for plant-loaded Periodicals is the service area of the SCF or area distribution center (ADC) in which the mailer's plant is located.

327.153 Standard Mail and/or Package Services

The service area for plant-loaded Standard Mail and/or Package Services is the service area of the bulk mail center (BMC) or auxiliary service facility (ASF) in which the mailer's plant is located.

327.154 Intra-District Area Plant Loads

Intra-district area plant loads are vehicle movements of mail that originate at the mailer's plant and destinate to a Postal Service facility located within the district serving the mailer's plant. Handling at the local Post Office or other local acceptance facility is bypassed.

327.155 Inter-District Area Plant Loads

Inter-district area plant loads are vehicle movements of mail that originate at the mailer's plant and destinate to a mail processing facility located outside the service area of the district serving the mailer's plant. Handling at one or more intermediate processing facilities is bypassed.

327.16 Transportation Equipment

327.161 Highway Transportation Vehicle

A *highway transportation vehicle* is a vehicle owned or leased for any term by the Postal Service or provided by a Postal Service highway transportation contractor for the transportation of mail exclusively over highways.

327.162 Rail Transportation Vehicle

A *rail transportation vehicle* is a vehicle provided by a Postal Service rail transportation contractor for the transportation of mail in whole or in part by rail.

327.17 Transportation Definitions

327.171 Bobtailing

Bobtailing is the movement of a tractor without a trailer to or from a mailer's plant.

327.172 **Deadheading**

Deadheading is the movement of a highway or rail transportation vehicle to or from a mailer's plant without transporting any mail.

327.173 **Waiting/Holding**

Waiting/holding occurs when a vehicle waits or is held at a mailer's plant for mail to be loaded into the vehicle.

327.174 **Spotting**

Spotting is the activity of a driver with a truck-tractor, other than delivery or pick-up, to reposition trailers to and from loading docks on the mailer's premises.

327.2 Procedures for Authorization of Plant Loads

327.21 Filing Application

A mailer requesting plant load must complete PS Form 3815, *Plant-Load Authorization Application, Worksheet, and Agreement,* and submit it to the postmaster of the Post Office serving the mailer's plant. The local postmaster reviews the application, completes the applicable sections, and forwards it to the district manager, Customer Service and Sales.

327.22 Action by District

327.221 **General**

Each district manager, Customer Service and Sales, must establish a cross-functional district plant-load committee to review plant-load applications and to address plant-load issues within the district.

327.222 **Intra-District Area**

After either approving or disapproving the application, the district manager sends a copy to the area manager, Distribution Networks, as information only. The district manager approves an application for an intra-district area plant load if:

a. It meets the requirements listed in 327.31.

b. The manager, Distribution Networks, confirms that transportation equipment is available in accordance with 327.312.

327.223 **Inter-District Area**

After recommending either approval or disapproval of the application, the district manager forwards the application to the area manager, Distribution Networks, for action. The district manager should recommend approval of an application for an inter-district area plant load if:

a. It meets the requirements of 327.32.

b. The manager, Distribution Networks, confirms that transportation equipment is available in accordance with 327.322.

327.23 Action by Area Manager, Distribution Networks

327.231 **Intra-District Area**

The area manager, Distribution Networks, reviews information copies of intra-district area plant-load applications authorized by district managers, Customer Service and Sales, to ensure compliance with Postal Service standards and to ensure the most efficient transportation is used. Any noncompliance with Postal Service standards must be brought to the attention of the district manager who approved the application.

327.232 Inter-District Area

The area manager, Distribution Networks, who serves the area where the mailer's facility is located approves an application for an inter-district area plant load if it meets the requirements in 327.32. The authorizing manager must notify the destination area manager, Distribution Networks, of the approval in writing. The application is denied if the requirements of 327.32 are not met.

327.24 Notification of Action to Mailer

327.241 General

Each official who acts on an application must do so within 5 business days of receipt. The district manager must promptly notify the mailer in writing of the final action taken. If the application is denied, the manager must notify the mailer, specifying the reasons for the denial and informing the mailer of the right to appeal the denial.

327.242 Appeal Rights

The applicant has appeal rights. The applicant must be notified in the letter of denial of the right and procedures to appeal the decision. If an application for plant load is denied and it is subsequently determined that the denial is due to errors in the original application, the mailer may appeal to the authorizing official in writing. The authorizing official may require the mailer to complete a new application or submit the corrected information in writing as an addendum to the original. The mailer may also appeal to and work with the authorizing officials to correct any deficiency and thus justify reconsideration and/or approval of the plant-load application within applicable standards and guidelines.

327.243 Appeal to Higher Authority

If the area manager, Distribution Networks, or district manager, Customer Service and Sales, denies the application, the mailer may appeal in writing through their local postmaster to the vice president, Area Operations, for the area office in which the mailer's plant is located. A mailer may also appeal a decision of a field manager to revoke an existing plant-load agreement. Such an appeal must be filed with the postmaster, within 10 business days of the date of the mailer's receipt of the notice of denial. The mailer must specify in the appeal the reasons why the application should be approved. The postmaster sends the file and all pertinent information relating to the respective appeal to the vice president, Area Operations, for review. The decision of the vice president, Area Operations, must be promptly transmitted in writing to the mailer and copied to the district manager, Customer Service and Sales. The decision of the vice president, Area Operations, is final and binding.

327.25 Commencement of Operations

The application is approved if it meets all the requirements in 327.3 for either intra-district or inter-district area plant loads. If the application is approved and the mailer wants plant-load operations, the mailer must enter into a plant-load agreement with the Postal Service. Plant-load operations may begin as specified in the agreement once the application is approved and the mailer enters into the agreement.

327.26 Failure to Meet Requirements

The local postmaster of the Post Office serving the mailer's plant is responsible for monitoring plant-load operations and coordinating with the district plant-load committee. If a mailer fails to meet the terms agreed to in the plant-load agreement, the district plant-load committee must reevaluate the plant-load authorization. See Handbook PO-512, *Plant Loading Authorization and Procedures Guidelines.*

327.3 Requirements for Approval of Plant-Load Applications

327.31 Intra-District Area

327.311 General

An application for an intra-district area plant load is approved by the district manager if transportation equipment is available (see 327.312) and a net cost-savings to the Postal Service is demonstrated under Alternative 1 (see 327.313a), Alternative 2 (see 327.313b), or Alternative 3 (see 327.313c).

327.312 Transportation Availability

As determined by the manager, Transportation and Networks, transportation equipment must be available to transport the mail to the BMC, ASF, ADC, or SCF in the service area of the mailer's plant. Authorizations will not be granted unless transportation is available.

327.313 Net Cost-Savings

The plant load must result in a net cost-savings to the Postal Service. A net cost-savings can be demonstrated under Alternative 1, Alternative 2, or Alternative 3.

a. *Alternative 1: Minimum Volume and Maximum Mileage.* The volume of mail to be plant loaded must be at least 50 percent of a vehicle's capacity by weight or cube (a minimum of 23,000 pounds or 1,600 cubic feet), and the mileage from the mailer's plant to the destination Postal Service facility must be 150 miles or less in one direction. For mail verified and accepted at a mailer's plant, the Postal Service may allow mailings verified at the plants of two or more mailers located in the same service area to be combined to meet the minimum volume requirement. For mail verified and accepted at the business mail entry unit of the origin office the minimum volume requirement must be met by the mailings of only one mailer. (See 327.423 for requirements for verification at a Postal Service facility.)

b. *Alternative 2.* If the mailer does not meet the criteria in Alternative 1, a net cost-savings to the Postal Service must be demonstrated using the cost analysis worksheet on PS Form 3815.

c. *Alternative 3.* If the mailer does not satisfy the criteria in either Alternative 1 or Alternative 2, the plant-load criteria may still be met if the local origin Postal Service facility is operating at or near its mail processing capacity for the type of mail to be plant loaded. The local manager, Transportation and Networks, after consulting with In-Plant Support, determines whether a facility is at or near its mail processing capacity and recommends whether to approve the plant load in such cases.

327.314 **Periodic Review**

Intra-district plant-load authorizations must be reviewed every 4 years to determine whether a net cost-savings to the Postal Service is still demonstrated under Alternative 1 (see 327.313a), Alternative 2 (see 327.313b), or Alternative 3 (see 327.313c). If the plant load is authorized under Alternative 3 and it is subsequently determined that the local origin facility is operating below its mail processing capacity for the class or type of mail to be plant loaded, the district manager must rescind the plant-load authorization.

327.32 Inter-District Area

327.321 **General**

An application for an inter-district area plant load is approved by the area manager, Distribution Networks, if transportation equipment is available (see 327.322) and a net cost-savings to the Postal Service is demonstrated under Alternative 1 (see 327.313a), Alternative 2 (see 327.313b), or Alternative 3 (see 327.313c).

327.322 **Transportation Availability**

Transportation must be available to transport the mail to the BMC, ASF, ADC, or SCF outside the Postal Service facility's service area in which the mailer's plant is located. The application must not be granted until additional transportation is obtained.

327.323 **Net Cost-Savings**

The plant load must result in a net cost-savings to the Postal Service. A net cost-savings can be demonstrated under Alternative 1 (see 327.323a), Alternative 2 (see 327.323b), or Alternative 3 (see 327.323c).

a. *Alternative 1: Minimum Volume and Maximum Mileage.*

(1) *Minimum Volume:* The volume of mail to be plant loaded must be at least 60 percent of a vehicle's capacity by weight or cube (a minimum of 28,000 pounds or 2,000 cubic feet). Mailings of two or more mailers located in the same service area may be combined in the same vehicle to make up the minimum volume.

(2) *Maximum Mileage for Highway*

(a) If the plant-loaded transportation is by highway and bypasses the origin SCF and at least one BMC, ASF, or ADC, the distance from the mailer's plant to the destination Postal Service facility must be 275 miles or less in one direction.

(b) If the plant-loaded transportation is by highway and bypasses only the origin SCF, the distance from the mailer's plant to the destination Postal Service facility must be 150 miles or less in one direction.

(c) There is no mileage criterion for plant-loaded transportation by railroad or water.

b. *Alternative 2.* If the mailer does not meet the criteria in Alternative 1, a net cost-savings to the Postal Service must be demonstrated using the cost analysis worksheet on PS Form 3815.

c. *Alternative 3.* If the mailer does not satisfy the criteria in either Alternative 1 or Alternative 2, the plant-load criteria may still be met if the local origin Postal Service facility is operating at or near its mail processing capacity for the type of mail to be plant loaded. The local manager, Transportation and Networks, after consulting with In-Plant Support, determines whether a facility is at or near its mail processing capacity and recommends whether to approve the plant load in such cases.

327.324 **Periodic Review**

Inter-district plant-load authorizations must be reviewed every 4 years to determine whether a net cost-savings to the Postal Service is still demonstrated under Alternative 1 (see 327.323a), Alternative 2 (see 327.323b), or Alternative 3 (see 327.323c). If the plant load is authorized under Alternative 3 and it is subsequently determined that the local origin facility is operating below its mail processing capacity for the class or type of mail to be plant loaded, the area manager, Distribution Networks, must rescind the plant-load authorization.

327.4 Verification and Collection of Postage

327.41 General

All plant-loaded mail must be verified, and postage and fees must be collected in accordance with DMM and POM standards, Handbook DM-109, *Business Mail Acceptance,* and Handbook DM-103*, Official Mail.*

327.42 Verification of Intra-District Area Plant Loads

327.421 **General**

Intra-district area plant-loaded mail must be verified at the mailer's plant or at a Postal Service facility within the origin district as authorized by the district manager.

327.422 **Verification at the Mailer's Plant**

Intra-district area plant-loaded mail must be verified at the mailer's plant by a clerk qualified to do verification and acceptance unless authorization to verify mail at a Postal Service facility within the origin district is granted under 327.421.

327.423 **Verification at Postal Facility**

Authorization to verify mail at the origin BMC, ASF, or SCF may be granted only if all the following conditions are met:

a. There is no detached mail unit (DMU) at the mailer's plant.

b. The mailer is located within the service area of the BMC, ASF, or SCF where verification is to take place.

c. Each vehicle contains the mail of only one mailer.

d. Each vehicle contains no more than five mailings and each mailing is physically separated.

e. If a postage statement must be completed, the original must accompany the corresponding mailing in the vehicle. Where a single mailing is contained in more than one vehicle, a separate original

postage statement must accompany each vehicle for the segment of the mailing contained in each vehicle.

f. If an alternative method of paying postage using permit imprint (e.g., manifesting) is used, an original of the proper postage statement and a manifest must accompany each vehicle if there is only one mailing in the vehicle or one manifest for each mailing segment in the vehicle. Where a single mailing is contained in more than one vehicle, a separate original postage statement and manifest must accompany each vehicle for each mailing segment.

g. The mailer must keep a plant-load control log containing the following information:

(1) Copy of each postage statement (sequentially numbered) accompanying plant-loaded mail.

(2) Number for each vehicle transporting mail.

(3) Name of BMC, ASF, or SCF verifying mail.

h. The mail can be physically verified at the BMC, ASF, or SCF. Therefore, the mailing cannot be shrinkwrapped, stretchwrapped, or otherwise prepared so that a presort verification cannot be done.

327.424 **Placarding Requirements for Verification at Postal Facility**

If mail is to be verified at the origin BMC, ASF, or SCF, the following requirements apply:

a. Before the vehicle leaves the mailer's plant, the mailer must place on the inside wall near the right rear door of the trailer a distinctive placard provided by the Postal Service to indicate that verification is required. The manager, Distribution Networks, or designee must notify the driver not to pick up the trailer unless this placard is in the vehicle. The mailer may place a second placard on the outside of that trailer door.

b. The requirements of this section and corrective actions must be met to ensure revenue protection and collection of postage and fees prior to the vehicle being transported from the mailer's plant, except as provided for official mail in the DMM. Exhibit 327.424 provides an example of a placard that can be used for this purpose. Reproduce the placard locally as required.

Exhibit 327.424
Sample Verification Required Placard

ATTENTION

THE MAIL CONTAINED IN THIS VEHICLE
HAS NOT BEEN ACCEPTED
BY THE POSTAL SERVICE

PLEASE VERIFY
UNDER NORMAL
ACCEPTANCE PROCEDURES
BEFORE ENTRY
INTO THE MAIL STREAM

(PLEASE DESTROY THIS NOTICE AFTER MAIL HAS BEEN ACCEPTED)

POSTMASTER

327.425 **Corrective Action**

If intra-district area plant-loaded mail fails verification at a Postal Service facility, the mailer has the following two options:

a. The mailer pays the applicable next-higher rate on that portion of the mailing estimated to be improperly prepared.

b. Within 24 hours, the mailer either transports the mailing or arranges for the Postal Service to transport the mailing to the mailer's plant, at the mailer's expense (see 327.6). The mail must be reworked to comply with the applicable mailing standards for its class. If the mailer does not transport the mail or request transportation for it within 24 hours, the mailer must reimburse the Postal Service for detention in accordance with 327.6.

327.43 Verification of Inter-District Area Plant Loads

Inter-district plant-loaded mail must be verified, sealed, placarded, and recorded in accordance with Handbook PO-512 at the mailer's plant in a Postal Service-approved DMU by a clerk qualified to do verification procedures.

327.431 **Detached Mail Unit Requirements**

The DMU must be separate from the mailer's activities, in an enclosed, secure, and safe work area with a telephone. The Postal Service must approve the DMU work area.

327.44 Payment of Postage and Fees

The mailer must pay postage and fees for plant-loaded mail to the origin Post Office before the vehicle is transported from the mailer's plant, except under 327.4 and DMM E060.

327.5 Preparation Requirements for Plant-Loaded Vehicles

327.51 Intra-District Area Plant Loads

Intra-district area plant-loaded vehicles must fill 50 percent or more of a vehicle's capacity by weight or cube (a minimum of 23,000 pounds and/or 1,600 cubic feet).

327.52 Inter-District Area Plant Loads

327.521 **Vehicles Containing One Mailing**

Mailers must prepare inter-district area plant-loaded vehicles containing one mailing under the following requirements for the proper class or type of mail:

a. First-Class Mail and Periodicals

(1) When there is enough mail to fill 60 percent or more of a vehicle's capacity by weight or by cube (a minimum of 28,000 pounds and/or 2,000 cubic feet) addressed to the same SCF service area, the mailer must prepare a direct vehicle for that SCF.

(2) When, after making up all possible SCF vehicles, there is enough mail to fill 60 percent or more of a vehicle's capacity by weight or by cube (a minimum of 28,000 pounds and/or 2,000 cubic feet) addressed to the same area distribution center (ADC) service area, the mailer must prepare a direct vehicle for that ADC.

(3) The Postal Service transports all mail remaining, using appropriate transportation to the facility selected by Distribution Networks.

(4) The mailer agrees to withhold all mail for local processing and to comply with local instructions for loading and dispatching of mail in accordance with Handbook PO-512.

b. Standard Mail and Package Services

(1) When there is enough mail to fill 60 percent or more of a vehicle's capacity by weight or by cube (a minimum of 28,000 pounds and/or 2,000 cubic feet) addressed to the same SCF service area, the mailer must prepare a direct vehicle for that SCF.

(2) When, after making up all possible SCF vehicles, there is enough mail to fill 60 percent or more of a vehicle's capacity by weight or by cube (a minimum of 28,000 pounds and/or 2,000 cubic feet) addressed to the same BMC or ASF service area, the mailer must prepare a direct vehicle for that BMC or ASF.

(3) All mail remaining after all the above preparation requirements are met is transported by the Postal Service, using appropriate transportation, to the Postal Service facility selected by Distribution Networks.

(4) The mailer agrees to withhold all mail for local processing and to comply with local instructions for loading and dispatch of mail in accordance with Handbook PO-512.

327.522 **Vehicles Containing Two or More Mailings**

Mailers must prepare inter-district plant-loaded vehicles containing two or more mailings under the following requirements for the proper class or type of mail:

a. Two or more mailings, which independently have *insufficient* volume to require destination vehicles to be prepared, may be combined to make up an origin vehicle without meeting the requirements of 327.522b.

b. Two or more mailings, which independently have *sufficient* volume to require destination vehicles to be prepared, must meet the preparation requirements described below when combined.

(1) When there is enough mail to fill 60 percent or more of a vehicle's capacity, by weight or by cube (a minimum of 28,000 pounds and/or 2,000 cubic feet), addressed to the same ADC service area, the mailer must prepare a direct vehicle for the ADC.

(2) When there is enough mail to fill 60 percent or more of a vehicle's capacity, by weight or by cube (a minimum of 28,000 pounds and/or 2,000 cubic feet), addressed to the same BMC or ASF service area, the mailer must prepare a direct vehicle for that BMC or ASF.

(3) The mailer agrees to withhold all mail for local processing and to comply with local instructions for loading and dispatch of mail in accordance with Handbook PO-512, *Plant Loading Authorization and Procedures Guidelines.*

(4) The Postal Service transports the remaining mail, using appropriate transportation, to the facility selected by Distribution Networks.

327.53 Determination of Vehicle Makeup Requirements

The makeup requirements for plant-loaded vehicles are determined by the destination of the mailings accepted by the Postal Service at the mailer's plant. When possible, mailers should combine mailings in vehicles as a means of preparing the finest destination vehicles possible.

327.54 Corrective Action

If a plant-loaded mailing does not meet the vehicle preparation requirements for plant-loaded vehicles as required in 327.521 or 327.522, the mailer has the following two options:

a. Rework the mailing so that it complies with the applicable make-up requirements for plant-loaded vehicles as required in 327.521 or 327.522. If the mailing is verified for presort, mail makeup, and postage payment purposes and is accepted as mail at the mailer's plant, and then it is determined that the vehicle preparation requirements were not met, the mailer must choose this option and rework the mailing. Vehicles must not be dispatched from the plant until the vehicle preparation requirements are met.

b. Transport the mailing at the mailer's expense to a Postal Service facility within the origin service area designated by the origin postmaster and with the capability to process that class of mail. If this option is chosen, all applicable postage statements must be submitted with the mailings. The origin postmaster must notify the designated Postal Service facility in advance that the mailer has chosen to transport the mail at its own expense and is to enter the mailing at that facility. The mailing must be verified at the designated Postal Service facility for proper presort and mail makeup for the class of mail and for postage payment purposes. The designated Postal Service facility completes the Post Office part of the postage statements after the mailing is verified and accepted and returns the postage statements to the origin Post Office, where postage and fees must be paid.

327.6 Reimbursement for Non-Postal Services

327.61 General

The Postal Service must obtain reimbursement from mailers for non-Postal services furnished by the Postal Service in providing plant-load service only as authorized in 327.62 through 327.65.

327.62 Detention of Trailers

327.621 General

The Postal Service must obtain reimbursement under 327.622 through 327.625 from plant-load mailers when trailers furnished by the Postal Service to provide plant-load service are detained by some action by the mailer.

327.622 **Request to Detain Trailers**

The procedure for making a request to detain trailers is as follows:

a. Plant-load mailers wanting to detain trailers longer than the time necessary to load them must make a request to the Postal Service at least 24 hours in advance of the requested date and time of the trailer's arrival. The mailer must agree to reimburse the Postal Service for the cost of detaining the trailers. The Postal Service must approve the request to detain trailers before the trailers are sent to the mailer's plant.

b. If the mailer does not submit a timely request to detain trailers but detains them longer than the time necessary to load them, the mailer must reimburse the Postal Service for the cost of detaining the trailers.

327.623 **Nonreimbursable Detention Period**

Mailers who submit timely requests to detain trailers may do so for 24 hours without reimbursing the Postal Service for detention. The nonreimbursable period begins when the trailer arrives at the mailer's plant or at the date and time at which the mailer requested the trailer to arrive, whichever is later. The nonreimbursable period ends 24 hours later, excluding Saturdays, Sundays, and holidays. For example, if a trailer arrives at 5:00 p.m. on Friday, the nonreimbursable period ends 5:00 p.m. on Monday, or 5:00 p.m. on Tuesday if Monday is a holiday.

327.624 **Determination of Reimbursable Detention Period**

The guidelines for determining the reimbursable detention period are:

a. If the plant-load mailer has submitted timely requests to detain trailers, the reimbursable detention period begins at the expiration of the nonreimbursable detention period.

b. If the mailer did not submit timely requests to detain trailers, the reimbursable detention period begins at the date and time the trailer arrives at the plant, or at the date and time at which the mailer requested the trailer to arrive, whichever is later.

c. The reimbursable period ends when the trailer is ready for dispatch and the mailer so notifies the Postal Service.

327.625 **Calculation of Reimbursement**

Reimbursement is made for each 24 hours, or fraction thereof, of the reimbursable detention period that a trailer is detained. After the nonreimbursable detention period ends, Saturdays, Sundays, and holidays are included in the calculation of detention reimbursement. Calculations for highway and rail transportation reimbursement are made as follows:

a. Reimbursement for each highway transportation trailer is equal to the actual daily cost to the Postal Service for leasing the trailer, multiplied by the number of detention periods determined per 327.624.

b. Reimbursement for each rail transportation trailer is equal to the demurrage or detention charge payable by the Postal Service under the rail transportation contract under which the trailer is furnished to the Postal Service by the railroad.

327.63 Bobtailing, Deadheading, and Waiting/Holding Charges

Plant-load mailers must reimburse the Postal Service for bobtailing, deadheading, or waiting/holding when the Postal Service incurs such charges due to some action by the mailer. Reimbursement is equal to the service charge paid by the Postal Service under the transportation contract.

327.64 Damage Charges

Plant-load mailers are responsible for reimbursement to the Postal Service for charges, including but not limited to, damages to Postal Service-furnished equipment caused by the mailer or the mailer's employees or agents.

327.65 Spotting Charges

The Postal Service allows free of charge to the mailer one round trip per vehicle to the mailer's plant for loading and from the mailer's plant as a dispatch to a destination Postal Service facility. In cases where a mailer makes an advance request to detain vehicles, the mailer may also accrue a spotting charge for the transportation expenses to position the vehicle for preloading at the mailer's plant.

327.66 Nonreimbursable Charges

327.661 Detention

The Postal Service does not obtain reimbursement from plant-load mailers for the detention of trailers that results from an action by the Postal Service.

327.662 Other Operations

The Postal Service does not obtain reimbursement from plant-load mailers where bobtailing, deadheading, or waiting/holding charges are incurred by an action by the Postal Service.

327.7 Mailer Expedited Plant-load Shipment

327.71 Definition

Under an expedited plant-load shipment authorization, the Postal Service verifies the mail for presort and proper preparation at the mailer's plant. Postage is paid at the Post Office where the mailer is authorized plant-load privileges. The mailer then transports the expedited plant-load shipment at the mailer's expense to destination Postal Service facilities where the shipment is deposited and accepted into the mailstream. Only plant-load mailers authorized to do so may transport expedited plant-load shipments at their own expense under the conditions in 327.72 through 327.76.

327.72 Authorization

The procedure for obtaining authorization for expedited plant-load shipments is as follows:

a. An authorized plant-load mailer may seek authorization to transport expedited plant-load shipments by submitting a written request to the district manager. The mailer's request must describe, for each destination where mail is to be transported, the material to be deposited as an expedited plant-load shipment (e.g., the class, characteristics, and quantity), the frequency of mailing, and whether the request is for one or for a series of mailings. No form is provided for this purpose.

b. The district manager reviews the mailer's request, ensures the availability of Postal Service resources (e.g., detached mail unit staff), provides the mailer with a written decision, and sends a copy of the written decision to the manager, Distribution Networks. If the request is approved, the authorization is for a mailing or group of mailings and for a time period not to exceed 2 years, after which a new request must be submitted. If the request is denied, a written response explaining the reasons for denial must be provided to the mailer. A denial is a classification decision and may be appealed under DMM G020.

c. The mailer's request for expedited plant-load shipment authorization may be approved when it is in the best interest of the Postal Service, and the mailer is in compliance with the requirements for a plant-load operation.

d. If the expedited plant-load shipment request is approved for more than one-time use, the plant-load agreement must be amended by attaching a completed and signed PS Form 8026, *Expedited Shipment Agreement for Plant-Load Mailings,* and including additional documents detailing the material to be prepared as expedited plant-load shipments.

327.73 Mailer Responsibilities

Mailers who are authorized to have mail verified in their plant and paid for at the Post Office serving the mailer's plant (plant-load authorization) and to transport that mail at their own expense for deposit at a destination Postal Service facility (or facilities) must adhere to the following requirements before expedited plant-load shipments can be deposited at destination Postal Service facilities:

a. The Postal Service facility (or facilities) where the mail is to be deposited must be one that processes the class of mail to be deposited.

b. All the mailpieces in each expedited plant-load shipment must have a destination within the service area of the Postal Service facility where the shipment is deposited and accepted. For example, if an expedited plant-load shipment is deposited at a BMC, all the pieces in the shipment must be for addresses within the service area of that BMC.

c. The mailer must contact each Postal Service facility where the expedited plant-load shipment is to be deposited to arrange and obtain approval for a time and location to deposit the expedited plant-load shipment.

d. When a vehicle contains more than one expedited plant-load shipment to be drop-shipped to a single destination Postal Service facility, each shipment must be physically separated. When a vehicle contains mailings or mailing segments for more than one destination facility, the mail must be physically separated by destination, and then within each destination the mail must be physically separated by mailing or mailing segment.

e. When the vehicle used for expedited plant-load shipment also contains other material carried as freight, the mailer must load all freight in the nose (front) of the vehicle, clearly marked and separated from the expedited plant-load shipment. The mailer must ensure that the separation method prevents the freight and expedited plant-load shipment from becoming mixed in transit.

f. When the vehicle is loaded to make multiple stops, the mailer must ensure that only the appropriate shipment is removed at each stop, that no other material (mail or freight) is added, and that any remaining shipments are kept separate from any other freight remaining on the vehicle.

g. The mailer must present the required postage statements and documentation to the DMU for each mailing.

h. At destination, the mailer must provide the necessary documentation (provided by the Postal Service) to prove the required postage was paid for the expedited plant-load shipment.

i. The mailer must meet the requirements that apply to any presort or automation-based rates claimed on the mailing being prepared as an expedited plant-load shipment.

Note: Expedited plant-load shipments are not eligible for destination entry rates.

327.74 Verification and Collection of Postage

All expedited plant-load shipment mailings must be verified and have postage and fees collected according to the class of mail as required in this manual, Handbook DM-109, and Handbook DM-103, before it is loaded into mailer-supplied transportation and dispatched. The plant-load requirements in 327.421, 327.422, 327.43, and 327.44 must be met.

327.741 Detached Mail Unit Responsibilities

When mailers are authorized to transport an expedited plant-load shipment at their own expense to a destination Postal Service facility, the detached mail unit (DMU) employees assigned to the mailer's plant must verify those mailings as they would all other mail to be accepted under the plant-load authorization. Before the dispatch of an expedited plant-load shipment, DMU employees must complete the following additional activities for mail loaded onto mailer-provided transportation:

a. Complete a PS Form 8017, *Expedited Plant Load Shipment Record,* for each destination. Place the form on the inside rear sidewall of the vehicle. Completion of more than one PS Form 8017 is required for mail to be deposited at multiple Postal Service facilities.

b. Ensure that any material carried as freight on the same vehicle as an expedited plant-load shipment is confined to the nose (front) of the vehicle, is separated by a clearly marked separation, and is loaded to avoid becoming mixed with the expedited plant-load shipment in transit.

327.742 **Destination Postal Facility Responsibilities**

Destination Postal Service facilities have the following responsibilities:

a. Upon receipt of PS Form 8017, verify that the office receiving the shipment is the correct destination office. Check that PS Form 8017 is complete, signed, and round-stamped by the origin Post Office.

b. After the shipment is unloaded, complete the applicable blocks on PS Form 8017.

c. Retain PS Form 8017 for 1 year.

d. Additional mail for downstream Postal Service facilities must not be loaded onto the mailer's vehicle by any Postal Service facility at which the mailer has deposited an expedited plant-load shipment.

327.75 Liability

The mailer assumes all liability and responsibility for any loss or damage to the expedited plant-load shipment before it is deposited in and accepted at a destination Postal Service facility regardless of whether a third party is used to transport it. The Postal Service is not liable or responsible for any loss or damage to an expedited plant-load shipment, except after accepted and deposited at a destination Postal Service facility as provided for in the DMM.

327.76 Refunds

The Postal Service does not refund postage for any failure to provide service caused in whole or in part by any event occurring before the shipment is deposited in and accepted at a destination Postal Service facility, except in accordance with the provisions of the refund standards in DMM P014.

327.8 Transportation

327.81 Selection of Mode of Transportation

Distribution Networks selects the least-costly mode of transportation that meets the service objectives for the class of plant-loaded mail. The mode of transportation is not changed at the request of the mailer.

327.82 Mailer Transportation

Mailers may transport their own plant-loaded mail when there is a highway contract between the Postal Service and the mailer or when the mailer is authorized to transport plant-verified mail under 327.8 and has entered into an Expedited Plant-load Shipment Agreement with the Postal Service. The awarding of a highway contract to the mailer or authorization under 327.8 is not a factor in deciding whether to authorize the plant load. Any net cost-savings that result from an expedited plant-load shipment authorization may not be included in the cost-savings analysis for initial plant-load authorization or renewal.

327.83 Holding, Storing, or Delaying Dispatch

The Postal Service does not hold, store, or otherwise delay the dispatch of plant-loaded mail except as provided for under the plant-load agreement with the mailer.

327.84 Relocation of Trailers

The Postal Service does not relocate trailers at a mailer's plant.

327.85 Service Objectives

The service objectives that apply to the class of mail being transported apply to the plant-loaded mail. Plant-loaded mail may have deferred service objectives, if provided for in the plant-load agreement.

4 Mail Processing Procedures

41 Introduction

Once mail is collected and brought to the processing facility, it must be distributed, transported, and delivered to its final destination. This process begins with mail preparation, which entails dumping, culling, facing, traying, and canceling the collected mail. Once this is accomplished, the mail is ready for distribution or sorting. After mail preparation and distribution, the mail is routed and dispatched to a destinating processing facility where it is finalized and sent to the carrier unit for delivery.

42 Responsibilities

421 Headquarters

Network Operations Management has the following responsibilities:

a. Coordinate mail processing for interarea receipt and distribution to ensure optimal service and efficiencies.

b. Prepare and issue instructions, procedures, policies, guidelines, and directives pertaining to manual, mechanized, and automated mail processing and equipment.

c. Review and approve proposed changes in the makeup and labeling of mail processed at area distribution centers (ADCs), automated area distribution centers (AADCs), Air Mail® centers/facilities (AMC/Fs), and bulk mail centers (BMCs) concurrent with area input.

d. Approve with Address Management all ZIP™ Code assignments and requests for ZIP Code changes and realignments.

e. Prepare and issue instructions, procedures, policies, guidelines, and directives pertaining to inter-intra transportation using all modes.

f. Maintain list of all network processing facilities as shown in the national labeling lists (see DMM® L).

Note: ZIP Code assignments to facilities change depending on class or shape of mail.

422 Area Offices

Operations Support in the area offices will review all plans submitted by the customer service processing facilities, air mail centers/facilities (AMC/Fs),

and bulk mail centers (BMCs) for completeness and compatibility with long-range mail processing and delivery needs of the area. In-Plant Support reviews and approves all operating plans, deployment plans, metro plans, equipment plans, sort plans, long-range Remote Barcoding System (RBCS) plans, and review of staffing and scheduling (Site Meta) plans developed and submitted by the P&DC/Fs. Distribution Networks (DNs) in the area office will review all the plans mentioned in the preceding sentence that are submitted by the AMC/Fs, PDC/Fs, customer service facilities and BMCs. In addition, Areas have these responsibilities:

a. Monitor, evaluate, and direct, when necessary, mail processing in the area to ensure complete and continuing compliance with Headquarters guidelines and policies.

b. Distribution Networks determines and implements managed mail processing (MMP) distribution requirements for facilities in the area's service area and resolve field differences pertaining to transportation needs for the intraarea and interarea movement of mail.

c. Develop and issue guidelines to facilitate the development of schemes, schedules, and unit operating plans.

d. Provide an effective reporting system for communicating ongoing, workable mail processing programs to Headquarters, other areas, and local managers.

e. Keep a copy of all approved plans on file. Submit plans that require ZIP Code assignments or changes to Address Management, Customer Service Support.

f. Determine requirements for installations in the area regarding the type and extent of mail distribution and the schemes and methods used. Submit plans that require changes to the Distribution Network for any class of mail to Logistics, Network Operations Management, Headquarters.

423 Area Distribution Networks

423.1 General

The area DN manager administratively reports to area manager, Operations Support. It is responsible for preparing all authorized National Air and Surface System (NASS) dispatch and routing instructions. Distribution Networks perform the following functions when designing dispatch and routing guidelines:

a. Develops logistical plans for movement of mail from an originating customer service facility to the destinating processing facility as noted in the area's internal labeling instructions and as specified by Headquarters' Distribution Networks. Internal labeling instructions specify what appears on placards and labels. A master file of this list is kept in the area office.

b. Selects transportation for routing mail between and within area boundaries.

c. Provides a minimum of two dispatches for tie-out purposes. Processing facilities must execute the respective dispatch, labeling, and transfer operations as described in the operating plan.

d. Provides extra trips and emergency transportation as required.

e. Determines the authorized distribution and routing of all classes of mail originating in the area, and issues appropriate instructions.

f. Authorizes or approves the authorization of any intraarea distribution changes.

g. Establishes and implements service improvement programs. Reviews ODIS and EXFC scores to target service problems. Evaluates the relationships of sort programs to the existing transportation network. Ensures that transportation is giving our customers the best possible service. Ensures that all processing facilities are in compliance with the established and approved transportation network.

h. Develops PVS requirements for inter-plant, AMC/AMF, and BMC Rail Yard Transportation.

423.2 Feedback Requirements

For any system to be effective, an open exchange of information must take place. This exchange of information will help develop plans for the movement of mail from an originating mail processing facility to the destinating mail processing facility that is noted in the labeling instructions and specified by the Postal Service™ distribution network. Distribution Networks (DN) is responsible for thoroughly explaining all NASS dispatch reports to personnel at mail processing facilities. Mail processing facilities are responsible for notifying DN of operational changes, errors in NASS reports, and suggestions to improve service and/or cost performance.

424 Processing and Distribution Center/Facility

424.1 Definition

Designated associate offices, stations, and branches will send outgoing mail to the processing and distribution center/facility (P&DC/F) or customer service facility for processing and dispatch. Processing and distribution facilities report directly to the area office on mail processing matters. Processing and distribution center/facilities will provide instructions on preparation of collection mail, dispatch schedules, and sort plan requirements to associate offices and mailers. Labeling instructions for all classes and categories of mail are issued by Network Operations Management, Headquarters.

424.2 Operating Plan Review

P&DCs review all standard operating plans submitted by their P&DFs for completeness and compatibility with the long-range mail processing and delivery needs of the center's area of responsibility. The P&DC submits appropriate plans in a complete package to the area office. ADCs and AADCs for all classes of mail are proposed by the Vice President, Area Operations, for approval by Network Operations Management,

Headquarters. Generally, P&DC/Fs are selected to function as ADCs or AADCs. They must have sufficient work space and automated and mechanized processing capability to handle the managed mail processing volume destinating in their area. Separations of mail by specific ZIP Code areas for these ADCs/AADCs are mandatory at each originating processing facility. Incoming and outgoing mail distribution is performed following area guidelines and must be completed by the cutoff times listed in the operating plan for each processing facility. ADCs and AADCs are ultimately determined by the vice president, Network Operations Management, Headquarters, Area Distribution Networks has a major role in determining what the ZIP Code range shall be for each ADC and AADC. These ZIP Codes are based on optimum transportation capabilities and established service commitments. Area manager, Network Operations Management, should submit recommendations to the Vice President, Network Operations Management, Headquarters.

424.3 Area Distribution Centers

Area distribution centers (ADCs) for all classes of mail are shown in the national labeling lists (see DMM L). Generally, facilities selected to function as ADCs must have sufficient work space and mechanized processing capability to handle all First-Class Mail, Periodicals, and Standard Mail® that is addressed to destinations in the ADC service area. Separations of mail by specific ZIP Code areas for these ADCs are mandatory at point of origin.

424.4 Automated Area Distribution Centers

Automated area distribution centers (AADCs) for all classes of mail are shown in the national labeling lists. Generally, facilities selected to function as AADCs must have sufficient work space and automated processing capability to handle all First-Class Mail, Periodicals, and Standard Mail that is addressed to destinations in the AADC service area. Separations of mail by specific ZIP Code areas for these AADCs are mandatory at point of origin.

424.5 Associate Office Distribution Responsibilities

An associate office (AO) reports directly to and receives instructions from the district office, in cooperation with the P&DCs, on mail processing matters. The postmaster of an AO is responsible, along with Customer Service and Sales, for keeping mailers advised of the correct makeup and labeling of all classes of mail.

425 Air Mail Center/Facility

An air mail center/facility (AMC/AMF) is a postal facility located at or adjacent to an airport. The AMC/AMF core operations are: assignment of mail to flights; receipt and dispatch of mail to/from air carriers; acceptance and sortation of mail to/from plants; performance measurement/quality control of air carrier operations; and management of functions specific to airport operations (customs, con-con, etc.). The AMC/AMF operation includes billing of mail tendered to air carriers, transit handling of mail between air and highway transportation routes, and supervising the transfer of mail between air carrier flights. Some AMC/AMFs act as international and military

exchange and concentration centers, Priority Mail® outgoing concentration centers, and destinating ADCs. Some larger AMC/AMFs have fixed mechanization, which is used for both incoming and outgoing SCF distribution to air carriers. Some facilities may perform distribution normally associated with P&DC/AMFs.

426 Bulk Mail Centers

The bulk mail center (BMC) network consists of 21 strategically located, highly mechanized, and automated facilities that serve as centralized processing and transfer points for designated geographic areas. BMCs receive and process originating and destinating mail volumes of Periodicals, Standard Mail, Package Services, and in some cases Priority Mail destinating within their own service area.

43 ZIP Codes and the ZIP+4® System

431 ZIP Codes

ZIP Codes are 5-digit geographic codes that identify postal delivery areas within the United States and its possessions and territories to simplify distribution and delivery of mail by the U.S. Postal Service (see 439). The following definitions apply:

a. A *postal area ZIP Code* is a 5-digit ZIP Code assigned to postal facilities, box sections, caller service, vertical improved mail (VIM) units (buildings), military installations, and delivery areas.

b. A *Post Office™ box ZIP Code* is a 5-digit ZIP Code assigned exclusively to Post Office boxes.

c. A *unique ZIP Code* is any 5-digit ZIP Code assigned exclusively to a single firm, government agency, etc.

d. A *firm ZIP Code* is a 5-digit ZIP Code shared by customers who use prebarcoded FIM A (courtesy reply) or FIM C (business reply) mail, which facilitates automated distribution.

432 ZIP+4®Code

ZIP+4 codes are 5-digit ZIP Codes followed by a hyphen and 4 additional digits. The 5-digit ZIP Code identifies postal delivery areas. The first 2 digits after the hyphen denote a delivery sector, which may be several blocks, a group of streets, several office buildings, or a small geographic area. The last two numbers denote a delivery segment, which may be one floor of an office building, one side of a street, specific departments in a firm, or a group of Post Office boxes. In the case of Business Reply Mail Accounting System (BRMAS), qualifying BRM customers can be assigned ZIP+4 codes that represent a specific BRM mailpiece at a specific postage rate.

433 Placement

The ZIP Code is an integral part of a mailing address. It should appear on the last line (of both the destination and return addresses) following the name of the city and state. The Postal Service may apply a ZIP Code, ZIP+4 code, and/or finest depth of sort representative barcode to mailpieces with incorrect or nonexistent ZIP Code information to facilitate processing.

434 Employee Training

All orientation and training of employees involved with mail processing should include full explanation of the ZIP Code, ZIP+4 code, and barcode systems and their use. All mail distribution systems depend on ZIP Codes, ZIP+4 codes, and/or barcodes to process mail correctly and efficiently.

435 Boundaries

Area ZIP Code boundaries must be as permanent as the present and foreseeable needs of the population permit and should coincide with natural physical boundaries or major highways.

436 Unique

Unique ZIP Code assignments must provide service benefits to the customers and service/cost benefits to the Postal Service.

437 Planning

Long-range ZIP Code planning (at least 10-year, and preferably 20-year, projections) must be completed to determine availability of ZIP Codes for future mail processing and delivery needs.

438 Delivery Point Sequence (DPS)

A DPS code is an 11-digit barcode that is applied by the mailer or the U.S. Postal Service. This barcode permits the automation processing system to sort the mail in delivery sequence order.

439 ZIP Code Authorization and Assignment

439.1 Definitions

The following definitions are helpful in understanding the ZIP Code system:

a. *Address Management System (AMS).* An integrated database located at the San Mateo Integrated Business Solutions Center and maintained by the local AMS office. It is the official source of address information.

b. *Delivery ZIP Code.* A ZIP Code assigned to postal geographic delivery areas. It may serve box sections, vertical improved mail (VIM) units, and military installations.

c. *Post Office Box ZIP Code.* A ZIP Code assigned exclusively to Post Office boxes and/or general delivery.

d. Post Office Discontinuances:

(1) *Close.* An action in which Post Office operations are permanently discontinued without providing a replacement facility in the community. Replacement services are provided by a neighboring Post Office, highway contract carrier, rural route carrier, or city delivery carrier.

(2) *Consolidate.* An action that converts an independent Post Office into a contracted community Post Office, classified station, or classified branch.

e. *Shared ZIP Code.* A ZIP Code that is shared by customers who primarily use prebarcoded FIM A (courtesy reply mail (CRM)) or FIM C (business reply mail (BRM)). Shared ZIP Code mail can be distributed beyond a 5-digit level.

f. *Unique ZIP Code.* A ZIP Code that is assigned exclusively to a single firm or government agency. Unique ZIP Code mail will be distributed only to a 5-digit level.

439.2 Assignment Criteria for New ZIP Codes

439.21 Delivery ZIP Code

The Postal Service will not assign ZIP Codes solely to provide community identity.

439.211 Establish Delivery ZIP Code

Before any ZIP Code can be authorized or assigned, the manager of the district AMS office must prepare a long-range study of ZIP Codes in his or her district and keep it on file. The AMS manager is responsible for monitoring delivery growth patterns, facilities planning, and any other factors that will alter the existing ZIP Code boundaries. The long-range study requires input from delivery managers for growth patterns to be planned and established. This includes 5-year and 20-year projections in areas that could be affected. This planning approach will stabilize delivery ZIP Code areas and assist in reducing constant changes in schemes. Any plan of action must not compromise the integrity or stability of the 5-digit ZIP Code system (see PS Form 5401, *Documentation to Establish a Delivery ZIP Code* (Exhibit 439.211)).

Establishment of delivery ZIP Code geographic boundaries should minimize the number of customer addresses affected and should be consistent with current and future mail processing needs. District officials should consider municipal boundaries and customer interests in all zone splits. If a ZIP Code that is being considered for adjustment crosses municipal boundaries, consult municipal offices before submitting the proposal, and consider all reasonable solutions. This consultation must be documented on PS Form 5401 (see Exhibit 439.211).

Note: Do not transfer any portion of a delivery area smaller than a ZIP+4 segment from one carrier or delivery unit to another.

Exhibit 439.211 (p. 1)
PS Form 5401, Documentation to Establish a Delivery ZIP Code

Documentation
To Establish A ***Delivery ZIP Code***

UNITED STATES POSTAL SERVICE

Please print or type the required information in the spaces indicated.

General Information

1. Post office name:			State:
2. Name of existing facility:			
3. Current ZIP Code:	4. AZI:	5. Proposed ZIP Code(s):	6. Was the proposed number previously assigned? ☐ Yes ☐ No
7. Proposed facility name:			If yes, how long has it been unassigned?
8. Number of inactive 5-digit ZIP Codes remaining in the 3-digit area: ***Note***: *Pending requests are considered active.*			
Completed by:	Title:	Phone:	

Mail Distribution Issues (Functions 1 and 4)

1. Please mark any equipment for which Mail Processing will provide a separation for the new ZIP Code.

☐ Optical character reader ☐ Flat sorting machine
☐ Barcode sorter ☐ Manual sorting case
☐ Other: _____

2. Where is the mail currently processed (facility name)?

	Letters Facility	Flats Facility	Parcels Facility
Primary automation			
Primary manual			
Secondary automation			
Secondary manual			
Other			

3. Where is the mail for the proposed ZIP Code to be processed (facility name)?

	Letters Facility	Flats Facility	Parcels Facility
Primary automation			
Primary manual			
Secondary automation			
Secondary manual			
Other			

PS Form **5401** *November 1999* *Page 1*
Documentation To Establish A Delivery ZIP Code

Exhibit 439.211 (p. 2)
PS Form 5401, Documentation to Establish a Delivery ZIP Code

Projected Growth Rates (*Unsplit* delivery area)

Provide 5-, 10-, and 20-year projected growth rates (if the existing delivery area is not split). Also provide and attach additional documentation to support the projected growth rate.

☐ Newspaper Articles ☐ Growth Projections By City and County
☐ Dodge Reports ☐ Other: _____

ZIP Code:	**Present ZIP Area**		**Projected Growth Rates**					
	(If left unchanged)		5-Year Growth		10-Year Growth		20-Year Growth	
	Res.	Bus.	Res.	Bus.	Res.	Bus.	Res.	Bus.
Carrier Deliveries								
Routes								
Scheme Items								
PO Boxes								
ZIP+4 Sectors								
ZIP+4 Segment								
Growth Rate	*		*		*		*	

* Annual Growth

	Present ZIP Area		**Proposed Areas** (Include new "present" area)					
ZIP Code: ➔	1.		1.		2.		3.	
	Res.	Bus.	Res.	Bus.	Res.	Bus.	Res.	Bus.
Carrier Deliveries								
Routes								
Scheme Items								
PO Boxes								
ZIP+4 Sectors								
ZIP+4 Segment								
Growth Rate	*		*		*		*	

* Annual Growth

PS Form **5401** *November 1999* *Page 2*
Documentation To Establish A Delivery ZIP Code

Exhibit 439.211 (p. 3)
PS Form 5401, Documentation to Establish a Delivery ZIP Code

Projected Growth Rates (*split* delivery area)

Provide 5-, 10-, and 20-year projected growth rates (If the existing delivery area is split).

ZIP Code:	**Proposed ZIP Area**		**Projected Growth Rates**					
	(New "Present")		5-Year Growth		10-Year Growth		20-Year Growth	
	Res.	Bus.	Res.	Bus.	Res.	Bus.	Res.	Bus.
Carrier Deliveries								
Routes								
Scheme Items								
PO Boxes								
ZIP+4 Sectors								
ZIP+4 Segment								
Growth Rate	*		*		*		*	

* Annual Growth

ZIP Code:	**Proposed ZIP Area**		5-Year Growth		10-Year Growth		20-Year Growth	
	Res.	Bus.	Res.	Bus.	Res.	Bus.	Res.	Bus.
Carrier Deliveries								
Routes								
Scheme Items								
PO Boxes								
ZIP+4 Sectors								
ZIP+4 Segment								
Growth Rate	*		*		*		*	

* Annual Growth

ZIP Code:	**Proposed ZIP Area**		5-Year Growth		10-Year Growth		20-Year Growth	
	Res.	Bus.	Res.	Bus.	Res.	Bus.	Res.	Bus.
Carrier Deliveries								
Routes								
Scheme Items								
PO Boxes								
ZIP+4 Sectors								
ZIP+4 Segment								
Growth Rate	*		*		*		*	

* Annual Growth

PS Form **5401** *November 1999* *Page 3*

Documentation To Establish A Delivery ZIP Code

Exhibit 439.211 (p. 4)
PS Form 5401, Documentation to Establish a Delivery ZIP Code

Additional Delivery ZIP Code Information

1. Indicate the number and percentage of customers who will have to change their 5-digit ZIP Code or delivery address.

Residential customers:

Number:	Percentage: %

Business customers:

Number:	Percentage: %

2. Attach a narrative statement of justification explaining why the new ZIP Code area is necessary.

3. If any new facilities are planned for the near future in either the current or proposed ZIP Code, state their type, location, and estimated date of completion.

Type	Location	Date

4. Indicate explicitly which delivery unit(s) will administer and/or house the carriers for the proposed ZIP Code. (Include the physical addresses.)

5. Does the current 5-digit ZIP Code serve more than one municipality? ☐ Yes ☐ No
List the names of all municipalities served by the current 5-digit ZIP Code.

6. Is the proposed ZIP Code split being made along municipal boundaries? ☐ Yes ☐ No
If no, state the reason(s).

7. Will these municipalities continue to share the ZIP Codes after the proposed split is approved?
☐ Yes ☐ No
If yes, list the municipalities and the ZIP Codes serving them.

Municipality	ZIP	Municipality	ZIP

PS Form **5401** *November 1999* *Page 4*
Documentation To Establish A Delivery ZIP Code

Exhibit 439.211 (p. 5)
PS Form 5401, Documentation to Establish a Delivery ZIP Code

8. Is this proposal the result of a request initiated by the municipality? ☐ Yes ☐ No

9. Have municipal officials been asked to comment on the proposed boundaries? ☐ Yes ☐ No

10. Please submit the two maps described below. (Identify municipal boundaries when they are an issue in the proposal.)

    Maps (outline in color):
    - Current 5-digit ZIP Code area boundaries (indicate location of all delivery units).
    - Proposed 5-digit ZIP Code area boundaries (indicate the location of all current and/or proposed delivery units). Use natural and/or constructed boundaries when practical. Also consider current and future municipal boundaries and community-identity issues.
      ***Note**: Clear-cut and easily discernible boundaries are important.*

11. Attach a complete narrative description of existing boundaries as they relate to the current delivery area. This narrative should advise whether the centerline of the streets involved is the actual boundary or is included within the boundary.

12. Attach another complete narrative description of the proposed boundaries as they will relate to the areas involved if the change is approved. Normally, ZIP Code boundaries should include both sides of a street or highway unless the centerline is the county or state boundary. If the boundary line is the centerline of a street, explain why. Describe how your district will manage customer impact (for example, describe customer, municipal, and congressional notification and media coverage). If you need more space, use the narrative statement of justification.
    ***Note**: Centerline boundaries may or may not be efficient for delivery and scheme reasons.*

13. Include a 20-year plan of the ZIP Code.

14. Include a current matrix for all ZIP Codes served from delivery units involved in this split.

15. Include a completed PS Form 1362, *Status Change Report*.

PS Form **5401** *November 1999* *Page 5*
Documentation To Establish A Delivery ZIP Code

439.212 **Split Delivery ZIP Code**

The AMS manager should consider splitting the delivery ZIP Code in the following instances:

a. Sectors: When 70 of the available 100 sectors have been assigned. When high growth is experienced in a delivery ZIP Code, monitor the impact of the growth upon sector and segment assignments. Under no circumstance will delivery ZIP Code boundaries overlap into another delivery ZIP Code (i.e., overlaid delivery ZIP Code).

b. Scheme Items: When manual scheme items approach 800 items.

c. Routes: When the number of regular routes exceeds 55. Carrier routes should not cross delivery ZIP Code boundaries due to added mail handing costs. Route adjustments must be made prior to the ZIP Code split to ensure that a carrier route will not cross the new delivery ZIP Code boundary unless absolutely necessary.

d. Delivery Points: When the number of delivery points exceeds 25,000.

Note: When contemplating a delivery ZIP Code split, all of the criteria listed above must be considered as a whole and projected 20 years.

439.22 Post Office Box ZIP Code

Each proposed Post Office (PO) box ZIP Code must receive a thorough review and analysis at the district AMS level. Before submitting a request, the district manager must review the criteria in 439.21 to determine whether the current ZIP Code or another delivery ZIP Code in the facility can absorb the proposed PO boxes. Also, the AMS manager should consider coding a box section with a common ZIP+4 code when there is no duplication of the last 2 digits of the box numbers within the section. Use PS Form 5402, *Documentation to Establish a Post Office Box ZIP Code* (see Exhibit 439.22), to aid in the district analysis process. When a thorough review and analysis at the district level supports a proposal for a PO box ZIP Code, the AMS manager must fully justify the request by using the required documentation. (PS Form 5401 (see Exhibit 439.211) will be required to determine if a delivery ZIP Code can absorb the proposed PO boxes.)

Exhibit 439.22 (p. 1)
PS Form 5402, Documentation to Establish a Post Office Box ZIP Code

UNITED STATES POSTAL SERVICE

Documentation
To Establish A ***Post Office Box ZIP Code***

Please print or type the required information in the spaces indicated.

General Information

1. Post office name:			State:
2. Name of existing facility:			
3. Current ZIP Code:	4. AZI	5. Proposed ZIP Code(s);	6. Was the proposed number previously assigned? ☐ Yes ☐ No
7. Proposed facility name:			If yes, how long has it been unassigned?
8. Number of inactive 5-digit ZIP Codes remaining in the 3-digit area: *Note: Pending requests are considered active.*			
Completed by:	Title:	Phone:	

Mail Distribution Issues (Functions 1 and 4)

1. Please mark any equipment for which Mail Processing will provide a separation for the new ZIP Code.
   - ☐ Optical character reader
   - ☐ Flat sorting machine
   - ☐ Barcode sorter
   - ☐ Manual sorting case
   - ☐ Other: _____

2. Where is the mail currently processed (facility name)?

	Letters Facility	Flats Facility	Parcels Facility
Primary automation			
Primary manual			
Secondary automation			
Secondary manual			
Other			

3. Where is the mail for the proposed ZIP Code to be processed (facility name)?

	Letters Facility	Flats Facility	Parcels Facility
Primary automation			
Primary manual			
Secondary automation			
Secondary manual			
Other			

4. Will this ZIP Code be a service improvement only? ☐ Yes ☐ No
5. Will this ZIP Code eliminate one or more piece handlings? ☐ Yes ☐ No

PS Form **5402** *November 1999* *Page 1*
Documentation To Establish A Post Office Box ZIP Code

Exhibit 439.22 (p. 2)
PS Form 5402, Documentation to Establish a Post Office Box ZIP Code

Savings Worksheet

Demonstrate on the charts below how the ZIP Code would generate mail distribution savings by either using a more efficient operation or by bypassing a handling. Express savings or cost avoidance in dollars.

Function 1 (Mail Processing Savings)

Completed by:	Title:	Phone:

Present Mail Distribution Operation No./Desc.	Average Daily Volume Expected	Average Pieces Per Hour (PPH) for Operations	Daily Work Hours (PPH x Volume)
			A.
Proposed Operation No.	**Expected Average Daily Volume**		
			B.

Function 4 (Delivery Unit Savings)

Present Mail Distribution Operation No./Desc.	Average Daily Volume Expected	Average Pieces Per Hour (PPH) for Operations	Daily Work Hours (PPH x Volume)
			A.
Proposed Operation No.	**Expected Average Daily Volume**		
			B.

Add the current daily work hours in Box A. Add the proposed daily work hours in Box B. Subtract Box B from Box A to get daily work hours saved.

	Function 1	Function 4	Total
Daily Work Hours Saved (A minus B)			
Clerk Hourly Rate			
Average Daily Savings			

PS Form **5402** *November 1999* *Page 2*

Documentation To Establish A Post Office Box ZIP Code

Exhibit 439.22 (p. 3)
PS Form 5402, Documentation to Establish a Post Office Box ZIP Code

Additional Post Office Box ZIP Code Information

1. Number of post office boxes presently installed that will change to the new ZIP Code. ☐

2. Number of new post office boxes to be installed using the new ZIP Code: ☐

3. Will new post office box numbers conflict with any other post office box section in this finance number? ☐ Yes ☐ No

   If yes, list the ZIP Code(s) and the conflicting ranges.

Zip Code	Range	ZIP Code	Range

4. Indicate the number and percentage of customers who will have to change their 5-digit ZIP Code or delivery address.

Residential customers:		Business customers:	
Number:	Percentage: %	Number:	Percentage: %

5. Will existing caller service box numbers be assigned to the new ZIP Code? ☐ Yes ☐ No

6. How will customers be notified of their new mailing address, including the ZIP+4 code?

7. Describe how your district will notify major mailers and other groups (e.g., local congressman, city officials, media, etc.) impacted by the change.

8. If any new facilities are planned for the near future in either the current or proposed ZIP Code, state their type, location, and estimated date of completion.

Type	Location	Date

PS Form **5402** *November 1999* *Page 3*
Documentation To Establish A Post Office Box ZIP Code

Exhibit 439.22 (p. 4)
PS Form 5402, Documentation to Establish a Post Office Box ZIP Code

9. Indicate explicitly which delivery unit(s) will administer and/or house the post office box sections for the proposed ZIP Code. (Include physical address.)

10. Attach a narrative statement of justification explaining why the new post office box ZIP Code is necessary.

11. Include a 20-year plan of the ZIP Code.

12. Include a current matrix for all ZIP Codes served from this delivery unit.

13. Include a completed PS Form 1362, *Status Change Report*.

PS Form **5402** *November 1999* *Page 4*
Documentation To Establish A Post Office Box ZIP Code

439.23 Shared ZIP Code

439.231 Review and Analysis

Each proposed shared ZIP Code assignment must be thoroughly reviewed and analyzed at the district level. The district manager must fully justify the shared ZIP Codes to satisfy delivery, distribution, and customer requirements. PS Form 5403, *Documentation to Establish a Shared ZIP Code* (see Exhibit 439.231), will aid in the district analysis process. District In-Plant Support will review the proposal and determine the impact the assignment of the shared ZIP Code will have on all affected operations. They will also identify any time savings that will be realized by a shared ZIP Code assignment.

Exhibit 439.231 (p. 1)
PS Form 5403, Documentation to Establish a Shared ZIP Code

UNITED STATES POSTAL SERVICE

Documentation
To Establish A ***Shared ZIP Code***

Please print or type the required information in the spaces indicated.

General Information

1. Post office name:		State:
2. Name of existing facility:		
3. Current ZIP Code:	4. Proposed ZIP Code(s);	5. Was the proposed number previously assigned? ☐ Yes ☐ No
6. Proposed firm name:		If yes, what is the current average daily volume?
7. Number of inactive 5-digit ZIP Codes remaining in the 3-digit area: ***Note**: Pending requests are considered active*		
Completed by:	Title:	Phone:

Mail Distribution Issues (Functions 1 and 4)

1. Please mark any equipment for which Mail Processing will provide a separation for the new ZIP Code.
   - ☐ Optical character reader
   - ☐ Flat sorting machine
   - ☐ Barcode sorter
   - ☐ Manual sorting case
   - ☐ Other: _____

2. Average daily volume this firm expects to receive in the Shared ZIP Code.

Barcoded	*First-Class*	
Nonbarcoded	*First-Class*	

3. Total volume this firm expects to receive in the shared ZIP Code. ☐

   The volume that will be transferred to the proposed ZIP Code is approximately _____% of the total existing ZIP Code volume.

4. Will this ZIP Code be a service improvement only? ☐ Yes ☐ No

5. Will this ZIP Code eliminate one or more piece handlings? ☐ Yes ☐ No

6. Complete the savings worksheet on next page.

PS Form **5403** *November 1999* *Page 1*
Documentation To Establish A Shared ZIP Code

Exhibit 439.231 (p. 2)
PS Form 5403, Documentation to Establish a Shared ZIP Code

Savings Worksheet

Demonstrate on the charts below how the ZIP Code would generate mail distribution savings by either using a more efficient operation or by bypassing a handling. Express savings or cost avoidance in dollars.

Function 1 (Mail Processing Savings)

Completed by:	Title:	Phone:

Present Mail Distribution Operation No./Desc.	Average Daily Volume Expected	Average Pieces Per Hour (PPH) for Operations	Daily Work Hours (PPH x Volume)
			A.
Proposed Operation No.	**Expected Average Daily Volume**		
			B.

Function 4 (Delivery Unit Savings)

Present Mail Distribution Operation No./Desc.	Average Daily Volume Expected	Average Pieces Per Hour (PPH) for Operations	Daily Work Hours (PPH x Volume)
			A.
Proposed Operation No.	**Expected Average Daily Volume**		
			B.

Add the current daily work hours in Box A. Add the proposed daily work hours in Box B. Subtract Box B from Box A to get daily work hours saved.

	Function 1	Function 4	Total
Daily Work Hours Saved (A minus B)			
Clerk Hourly Rate			
Average Daily Savings			

PS Form **5403** *November 1999* *Page 2*

Documentation To Establish A Shared ZIP Code

Exhibit 439.231 (p. 3)
PS Form 5403, Documentation to Establish a Shared ZIP Code

Additional Shared ZIP Code Information

1. Where did the firms entering the new shared ZIP Code come from?
   ☐ One previous ZIP Code ☐ More than one previous ZIP Code

2. Are the previous ZIP Codes within the same finance number?: ☐ Yes ☐ No
   Describe the mail flow that will be used to make this distribution.

3. Explain what ZIP Codes will be removed from the automated mailstream to allow for the shared ZIP Code or what new equipment will be utilized to process the mail for the Shared ZIP Code.

4. Print the proposed name(s) and address(es) the firm will be using with the shared ZIP Code. (Attach additional sheet if necessary.) **Note**: An address line is required and must be standardized. (see Publication 28, *Postal Addressing Standards*.)

Name	Address

5. Explain how and when the firms will implement their 5-digit ZIP Code. Include when new envelopes and letterhead will be printed and when supplies on hand will be exhausted.

6. Attach a completed PS Form 1362, *Status Change Report*.

7. Attach a narrative statement of justification explaining why the new shared ZIP Code is necessary.

8. List any other shared ZIP Codes in this district.

PS Form **5403** *November 1999* *Page 3*
Documentation To Establish A Shared ZIP Code

439.232 **Address and Mail Type Requirements**

All shared ZIP Code participant mail should be prebarcoded FIM A (CRM) or FIM C (BRM) letter mail and meet the pre-barcoded mail specifications in DMM C840. A standardized mailing address is required on all mail utilizing a shared ZIP Code (see Publication 28, *Postal Addressing Standards).*

439.233 **Revenue Assurance**

Postage due mail must be kept separate from other mail. Therefore, a shared ZIP Code may be used on BRM only when it has been specifically approved for BRM.

439.24 Unique ZIP Code

439.241 **Review and Analysis**

The district AMS manager must thoroughly review and analyze each proposed unique ZIP Code assignment. The AMS manager must fully justify unique ZIP Codes and should assign them only when ZIP+4 codes will not satisfy delivery, distribution, and customer requirements. Use PS Form 5404, *Documentation to Establish a Unique ZIP Code* (see Exhibit 439.241), to aid in the district analysis process.

Exhibit 439.241 (p. 1)
PS Form 5404, Documentation to Establish a Unique ZIP Code

UNITED STATES POSTAL SERVICE

Documentation
To Establish A ***Unique ZIP Code***

Please print or type the required information in the spaces indicated.

General Information

1. Post office name:		State:
2. Name of existing facility:		
3. Current ZIP Code:	4. Proposed ZIP Code(s);	5. Was the proposed number previously assigned? ☐ Yes ☐ No
6. Proposed firm name:		If yes, what is the current daily volume?
7. Number of inactive 5-digit ZIP Codes remaining in the 3-digit area:		
***Note**: Pending requests are considered active.*		
Completed by:	Title:	Phone:

Mail Distribution Issues (Functions 1 and 4)

1. Please mark any equipment for which Mail Processing will provide a separation for the new ZIP Code.
   - ☐ Optical character reader
   - ☐ Barcode sorter
   - ☐ BMC (Complete parcel post worksheet)
   - ☐ Other: _____
   - ☐ Flat sorting machine
   - ☐ Manual sorting case
   - ☐ SPBS (Complete parcel post worksheet)

2. Average daily volume the firm expects to receive in the Unique ZIP Code.

Barcoded First-Class Letters		Barcoded Periodicals	
Barcoded Standard A Letters		Nonbarcoded Periodicals	
Barcoded First-Class Flats		Small Parcels	
Barcoded Standard A Flats		Standard B*	
Nonbarcoded First-Class Letters		Priority*	
Nonbarcoded Standard A Letters			
Nonbarcoded First-Class Flats			
Nonbarcoded Standard A Flats			

** Complete Package Worksheet on pages 3 and 4.*

3. Total volume the firm expects to receive in the unique ZIP Code. ☐

   The volume that will be transferred to the proposed ZIP Code is approximately _____% of the total existing ZIP Code volume.

4. Will this ZIP Code be a service improvement only? ☐ Yes ☐ No
5. Will this ZIP Code eliminate one or more piece handlings? ☐ Yes ☐ No
6. Is this proposed unique ZIP Code for package returns?. ☐ Yes ☐ No
   If yes, complete Package Worksheet on pages 3 and 4.
7. Complete the savings worksheet, on the next page, for all requests.

PS Form **5404** *November 1999* *Page 1*
Documentation To Establish A Unique ZIP Code

Exhibit 439.241 (p. 2)
PS Form 5404, Documentation to Establish a Unique ZIP Code

Savings Worksheet

Demonstrate on the charts below how the ZIP Code would generate mail distribution savings by either using a more efficient operation or by bypassing a handling. Express savings or cost avoidance in dollars.

Function 1 (Mail Processing Savings)

Completed by:	Title:	Phone:

Present Mail Distribution Operation No./Desc.	Average Daily Volume Expected	Average Pieces Per Hour (PPH) for Operations	Daily Work Hours (PPH x Volume)
			A.
Proposed Operation No.	**Expected Average Daily Volume**		
			B.

Function 4 (Delivery Unit Savings)

Present Mail Distribution Operation No./Desc.	Average Daily Volume Expected	Average Pieces Per Hour (PPH) for Operations	Daily Work Hours (PPH x Volume)
			A.
Proposed Operation No.	**Expected Average Daily Volume**		
			B.

Add the current daily work hours in Box A. Add the proposed daily work hours in Box B. Subtract Box B from Box A to get daily work hours saved.

	Function 1	Function 4	Total
Daily Work Hours Saved (A minus B)			
Clerk Hourly Rate			
Average Daily Savings			

PS Form **5404** *November 1999* *Page 2*

Documentation To Establish A Unique ZIP Code

Exhibit 439.241 (p. 3)
PS Form 5404, Documentation to Establish a Unique ZIP Code

Parcel Worksheet

Completed by:	Title:	Phone:

Shape/Type

☐ Standard A ☐ Standard B

☐ Barcoded (As defined in DMM C840)? ☐ Yes ☐ No

☐ Nonmachinable (NMO) Can it be processed on a mechanized NMO sorter? ☐ Yes ☐ No

Will it be used for more than one product type? (e.g., size, weight, or different endorsement) If yes, provide details: ☐ Yes ☐ No

Ancillary Service Endorsements (Please list all that apply)

Postage rating will be performed at: ☐ BMC ☐ P&D ☐ DDU ☐ AO/PO
Explain procedures (e.g., pieces mixed with postage paid vs. those that must be rated)

Firm is willing to pick up mail at: ☐ BMC ☐ P&D ☐ DDU ☐ AO/PO

Bulk Mail Center (BMC) Data

BMC performing distribution:	Average daily volume expected in Unique ZIP Code:

Is inbound parcel volume entered directly to secondary? ☐ Yes ☐ No

Current ZIP Code is sorted to:
- ☐ Primary ACL (OTR/ERMC)
- ☐ Direct secondary slide/OTR/ERMC
- ☐ Mixed secondary slide (if checked, select below)
  - ☐ Sacked
  - ☐ Containerized

Mail is dispatched to: ☐ P&D ☐ DDU ☐ AO/PO

PS Form **5404** *November 1999* *Page 3*
Documentation To Establish A Unique ZIP Code

Exhibit 439.241 (p. 4)
PS Form 5404, Documentation to Establish a Unique ZIP Code

Parcel Post Worksheet *(continued)*

If approved the following changes to distribution will be necessary:

PPSM: ☐ Primary OTR/ERMC is available

☐ Lowest ADV OTR that will be moved to secondary: [ ] pieces

SPSM: ☐ Direct secondary slide/OTR/ERMC is available.

☐ Lowest ADV ZIP Code that will be mixed to accommodate: [ ] pieces

Transportation

Current transportation to DDU/AO/PO can transport OTRs/ERMCs? ☐ Yes ☐ No

Is transportation currently in place going directly to the firm? ☐ Yes ☐ No

Can current transportation accommodate additional/new volume? ☐ Yes ☐ No

Cost to modify or add new contract requirements? $

Delivery Unit (Function 4)
DDU/AO/PO

Does facility have a platform/dock area to accommodate OTRs/ERMCs? ☐ Yes ☐ No
If not, what modifications must be made?

How will mail be delivered from office to the firm?

Savings: List LDC 40C daily hours presently used for Parcel Post Distribution [ ] hrs.

Proposal will: Reduce these by [ ] hrs.
or
Cost avoidance [ ] hrs.
(For new expected volume)

PS Form **5404** *November 1999* *Page 4*
Documentation To Establish A Unique ZIP Code

Exhibit 439.241 (p. 5)
PS Form 5404, Documentation to Establish a Unique ZIP Code

Additional Unique ZIP Code Information

Completed by:	Title:	Phone:

1. Does the firm currently rent post office boxes in this facility? ☐ Yes ☐ No
   If yes, how many? ☐
   Will the unique ZIP Code replace all of these post office boxes? ☐ Yes ☐ No
   If no, explain.

***Note**: A firm may not use the unique ZIP Code on its business reply mail unless the ZIP Code has been specifically approved for business reply mail.*

2. Explain why ZIP+4 codes in the current ZIP Code will not meet distribution requirements.

3. Explain why a shared ZIP Code will not meet distribution requirements.

4. Will the firm print barcodes on its preprinted envelopes? ☐ Yes ☐ No

5. Print the address that will be used with the unique ZIP Code. **Note**: An address line is required and must be standardized. (See Publication 28, *Postal Addressing Standards*.)

6. Is the letter of agreement from the firm attached? ☐ Yes ☐ No

7. Explain how and when the firm will implement the unique ZIP Code. Include when new envelopes and letterhead will be printed and when supplies on hand will be exhausted.

8. List all unique ZIP Codes currently approved for the firm at this plant.

9. Attach a completed PS Form 1362, *Status Change Report*.

PS Form **5404** *November 1999* *Page 5*
Documentation To Establish A Unique ZIP Code

439.242 **Elimination of One Piece Handling**

All unique ZIP Code assignments must eliminate one piece handling for a majority of a firm's mail. Local In-Plant Support will review the proposal to determine the impact that the assignment of the unique ZIP Code will have on affected operations. They will also identify any time savings that will be realized by assigning the unique ZIP Code.

439.243 **Addressing Requirements**

A standardized mailing address is required on all mail that uses a unique ZIP Code (see Publication 28).

439.244 **Revenue Assurance**

Postage due mail must be kept separate. Therefore, a unique ZIP Code may be used for postage due mail or for non-postage due mail but never for both.

439.245 **Mail Acceptance by Firm**

When a firm is assigned a unique ZIP Code, it agrees to accept all mail bearing the unique ZIP Code in bulk without additional separation by the Postal Service. Officials of the firm must agree to this arrangement in writing, and this letter of agreement must be included in the proposal package.

439.3 Postal Facility Status Change and Boundary Realignment

439.31 Postal Facility Status Change

439.311 **General**

Establishing, closing, and consolidating independent Post Offices, classified stations, classified branches, and community Post Offices (CPOs) may result in 5-digit ZIP Code changes.

439.312 **Establishment**

Establishment of new, independent Post Offices requires concurrence by the vice president of Area Operations and approval by the chief operating officer. Vice presidents of Area Operations or their designees have the authority to approve the establishment of classified stations and branches where needed within the service areas of Post Offices. However, approval authority may not be delegated below the district manager of Customer Service and Sales.

439.313 **Post Office Discontinuance**

When the manager of Post Office Operations receives approval from the district manager to study an independent Post Office, classified station, classified branch, or CPO for discontinuance, any proposed 5-digit ZIP Code change is reviewed by the Post Office review coordinator.

ZIP Code retention requests must be approved in writing by the Office of Address Management prior to the 60-day posting of a proposal to close or consolidate a Post Office. Retention of a ZIP Code must be based on operational justifications.

Names of discontinued Post Offices are maintained by the Office of Address Management and listed in an appropriate manner in Publication 65, *National Five-Digit ZIP Code and Post Office Directory.*

439.32 Boundary Realignments

439.321 General

Managers should look for reasonable solutions to external municipal requests and implement them when an establishment or realignment of ZIP Codes becomes operationally necessary. Districts will work proactively to resolve areas of concern and/or minimize conflicts between postal and municipal boundaries. Realignments that stem from external requests should be handled as outlined in *ZIP Code Boundary Review Process*. Do not transfer any portion of a delivery area smaller than a ZIP+4 segment from one carrier or delivery unit to another.

439.322 Minor Realignments

District managers may approve and implement requests for minor ZIP Code boundary realignments within their districts as necessary throughout the year. A minor realignment is defined as an area affecting less than 25 percent of the total delivery points of the losing ZIP Code or 500 possible deliveries of the losing ZIP Code (whichever is less). ZIP Code boundaries must not be realigned to cross state boundaries. City delivery carrier routes should be adjusted if boundary changes would result in the carrier serving more than one ZIP Code. When ZIP Code boundaries are adjusted, consider matching municipal boundaries where operationally feasible.

439.323 Reporting

The district AMS office must notify the Office of Address Management of all minor boundary realignments. For minor realignment information to be published in the *Postal Bulletin,* a PS Form 1362, *Status Change Request/ Report* (see Exhibit 439.323), containing the minimum number of district signatures indicated on the form must be submitted by the district manager to the Office of Address Management.

Exhibit 439.323 (p. 1)
PS Form 1362, Status Change Request/Report

Status Change Request/Report
(Check all that apply)

A. Post Office Undergoing Status Change *(Complete for ALL requests)*

1. Name
2. State
3. ZIP Code
4. Finance Number
5. District
6. County/Parish
7. Area

☐ **B. Establish A New ZIP Code**

1. New ZIP Code
2. ZIP Code Type ☐ Delivery Area — Will PO become multicoded? ☐ Yes ☐ No
   ☐ PO Box — Number of mailboxes in new ZIP:
   ☐ Unique — Will mail be received in bulk? ☐ Yes ☐ No
   ☐ Shared — Shared ZIP for what mail type? ☐ BRM ☐ CRM
3. Facility Name
4. Last Line Name for ZIP Code
5. Number of Unused ZIP Codes in This 3-Digit Area
6. Average Daily Work Hours/ Average Daily Dollar Amount:
   ☐ Saved ☐ Avoided *(Hours)* *($ Amount)*
7. Service Improvement Only ☐ Yes ☐ No
8. Requested Effective Date

☐ **C. Establish a New Facility**

1. Facility Name
2. Facility Type
   Classified: ☐ Station ☐ Branch ☐ Other:
   Contract: ☐ Station ☐ Branch
3. Effective Date

☐ **D. Establish Delivery in a Previous Non-Delivery Office**

1. ZIP Code
2. Effective Date

☐ **E. Discontinue a Post Office**

1. ☐ Consolidate ☐ Close
2. Effective Date
3. Retain as Place Name? ☐ Yes ☐ No
4. Retain ZIP Code? ☐ Yes ☐ No

5. New Administrative Post Office
a. Name *(City, State, and ZIP Code)*
b. County
c. Finance Number
d. Discontinuance Contact Name

6. Facility From Which Mail Will be Delivered *(Check all that apply)*
☐ Main Office ☐ Classified Station ☐ Classified Branch ☐ GPO
b. New Last Line for Addresses *(City, State, and ZIP Code)*
c. Discontinuance Contact Name

☐ **F. Discontinue ZIP Code**

1. ZIP Code
2. Effective Date

☐ **G. Amend/Rescind Postal Bulletin**

1. PB Number
2. Date
3. Explain *(Continue on reverse)*

☐ **H. Other Status Changes/Comments**

(Continue on reverse if necessary)

ZIP Code Translation Table

From	To	From	To

☐ **I. Approval and Date** *(Signature and Date)*

ALL requests MUST HAVE this section completed.

District Mgr., AMS	District Mgr., CSS	HQ Mgr., P&DC Ops.
District Mgr., OPS	BMC Mgr.	HQ Mgr., Priority Mail Network
District Mgr., P&D	PMPC Mgr.	HQ Mgr., BMC Ops.
	Area Mgr., DPS	Mgr., Address Mgmt.

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PS Form 1362, Status Change Request/Report

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439.4 Required Documentation

439.41 Delivery ZIP Code

With each request for a delivery ZIP Code, the district manager must include a completed PS Form 1362 (see Exhibit 439.323) and PS Form 5401 (see Exhibit 439.211). Proposals for delivery ZIP Code changes or assignments should be processed and submitted throughout the year by the district of the territory in question. The district manager may submit delivery ZIP Code requests for approval on any date throughout the year.

The official implementation date to establish a delivery ZIP Code is July 1 of each year. It is recommended that delivery ZIP Code proposals be received in the area office by November 15 of the preceding year, and they must be received by the Office of Address Management no later than January 15 of the year of the proposed establishment. Proposals received at the Office of Address Management after January 15 will be deferred to the following year. Submission of all required information and materials by the above dates is essential to provide sufficient time for area and Headquarters review, public notification, and AMS file maintenance. All submitted materials must be originals.

439.42 Post Office Box ZIP Code

With each request for a PO box ZIP Code, the district manager must include a completed PS Form 1362 (see Exhibit 439.323) and PS Form 5402 (see Exhibit 439.211). The district manager may submit PO box ZIP Code requests for approval on any date throughout the year.

AMS managers should be familiar with the *Metro Plan* in order to assess the proper lead time necessary to prepare ZIP Code proposals for new PO box sections. When existing customers will be required to change their ZIP Codes or PO box numbers, allow 30 to 45 days between the announcement of an approved PO box ZIP Code and the implementation date.

439.43 Shared ZIP Code

With each request for a shared ZIP Code, the district manager must include a completed PS Form 1362 (see Exhibit 439.323) and PS Form 5403 (see Exhibit 439.231). The district manager may submit shared ZIP Code requests for approval on any date throughout the year.

439.44 Unique ZIP Code

With each request for a unique ZIP Code, the district manager must include a completed PS Form 1362 (see Exhibit 439.323) and PS Form 5404 (see Exhibit 439.241). The district manager may submit unique ZIP Code requests for approval on any date throughout the year. A letter of agreement from the firm or agency requesting the unique ZIP Code must be included in the proposal package. The letter must do the following:

a. State that the firm or agency agrees to accept all mail addressed to the unique ZIP Code in bulk.

b. Provide the address(es) to be used with the unique ZIP Code. All addresses must conform to the standards in Publication 28.

c. Describe how and when the firm or agency plans to implement the unique ZIP Code.

d. Describe expected volume, including whether this is new volume or mail currently being received at a different address.

e. Agree to return all missorts and accountable mail in a timely manner.

f. Agree that the firm or agency will forward their own mail.

g. Agree that the ZIP Code will not be transferred to another firm or agency.

439.45 Postal Facility Status Change

The AMS manager must report all ZIP Code status changes (including those resulting from Headquarters-approved Post Office closings or consolidations, a change of branch name, etc.) on PS Form 1362 (see Exhibit 439.323) and send it to the Office of Address Management. The effective date for postal facility changes is 30 days after the announcement is published in the *Postal Bulletin.*

439.46 Boundary Realignment

With each request for a proposed boundary realignment affecting more than 25 percent of the total possible deliveries of the losing ZIP Code or over 500 possible deliveries of the losing ZIP Code (whichever is less), the district manager must include a completed PS Form 1362 (see Exhibit 439.323) and PS Form 5401 (see Exhibit 439.211). In the justification, the district manager must indicate whether he or she plans to survey customers after receiving approval for a potential realignment.

439.5 Authorization and Approval

439.51 General

All actions requiring PS Form 1362 (see Exhibit 439.323) will require the signature of the district AMS manager; the manager of Operations Programs Support; the manager of Processing and Distribution; and the district manager of Customer Service and Sales. All actions requiring the ZIP Code establishment documents (see Exhibit 439.211, Exhibit 439.22, Exhibit 439.231, and Exhibit 439.241) must include all pertinent information on those documents. The proposal (including all letters, forms, and documentation) must be sent to the area manager of Delivery Programs Support for review and concurrence. The area office will forward the proposal to the Office of Address Management for final review and approval. All proposals being sent to the Office of Address Management must be addressed as follows:

OFFICE OF ADDRESS MANAGEMENT
UNITED STATES POSTAL SERVICE“
6060 PRIMACY PKWY STE 201
MEMPHIS TN 38188-0001

439.52 District

The district manager, the plant manager, and district officials must not assign or change ZIP Codes without area and Headquarters review and approval. The district manager may not announce a 5-digit ZIP Code change until he or she has received written approval of the ZIP Code from the Office of Address Management.

Exception: See 439.32 for information on authorization for boundary realignments.

439.53 Bulk Mail Center (BMC)

The BMC manager must review and approve each request for a unique ZIP Code that includes volumes in the machinable Standard Mail or Package Services categories before the district manager can submit the request to the area.

439.54 Priority Mail Processing Center (PMPC)

The PMPC manager must review and approve each request for a unique ZIP Code that includes volumes in the Priority Mail category before the district manager can submit the request to the area.

439.55 Areas

Managers of Delivery Programs Support are administratively responsible for coordination and review of 5-digit ZIP Code proposals within their areas of responsibility. Area officials must not assign or change ZIP Codes without the review and approval of the Office of Address Management.

439.56 Headquarters

The Office of Address Management is responsible for accurate and timely announcements of ZIP Code changes to internal Postal Service operations employees and customers by submitting articles to the *Postal Bulletin* entitled "Post Office Changes" and by notifying other Headquarters departments (e.g., notifying Business Mail Acceptance of all 3-digit ZIP Code changes).

Note: Shared and unique ZIP Code changes are not announced in the *Postal Bulletin;* however, the Office of Address Management will notify other Headquarters departments if approval of a shared or unique ZIP Code will have an effect on their operations.

The Office of Address Management will return approved or denied ZIP Code proposals to the appropriate area office for distribution to the district. Proposals that are denied will contain the reason for denial. If the requesting party wishes to appeal the decision, a letter of appeal may be sent through the district and area offices to the Office of Address Management. Address Management will review the appeal information and hold meetings internally and/or with the requesting party (as necessary) to make a final decision. Address Management is the final authority for the resolution of an appeal.

439.6 Implementation

439.61 General

Once headquarters approval is received for a ZIP Code assignment or change, the district manager must notify all district officials to proceed with implementation.

Exception: See 439.32 for information on authorization for boundary realignments.

439.611 District Level

At the district level, the AMS manager must coordinate implementation with the manager of Marketing, managers of Post Office Operations, postmasters, and In-Plant Support to ensure maximum cooperation of customers and local government officials.

439.612 **Local Mail Processing Managers**

The local mail processing managers must ensure that all distribution operations are prepared to respond to the use of the new ZIP Code.

439.613 **ZIP Code System Stability**

To foster stability in the ZIP Code system and correspond to production of Publication 65, the implementation date for delivery ZIP Code changes is July 1 of each year. The district manager must notify residents and local mailers between May 15 and June 1 that the ZIP Code has changed and will be effective July 1. The Office of Address Management can provide assistance with the notification effort. Residents should be asked to notify their correspondents of the change (including boundary realignments).

439.614 **File Maintenance**

The AMS manager must perform file maintenance in a timely manner between the April and May product cycle. The Office of Address Management will publish specific dates each year. The AMS database must be updated to ensure that duplicate addresses do not exist within a finance number for these new ZIP Codes.

439.615 **Effective Date**

Following Headquarters approval, PO box, shared, and unique ZIP Codes become effective as determined by the district manager.

439.62 Three-Digit Realignment

When a district is planning a 3-digit realignment, the AMS office must notify the area office and the Office of Address Management in writing by July 1 of the year before the expected implementation date. This requirement ensures that all affected postal operations managers are notified of the proposed 3-digit realignment in a timely manner. The Office of Address Management will advise the district throughout the process to ensure that all deadlines are met.

The actual proposal package must be received at the area office by October 15 and by the Office of Address Management no later than December 15 of the year before the expected implementation date. Proposals received by the Office of Address Management after the deadlines will be deferred to the following year.

Note: Except for the aforementioned dates, specific guidelines for 3-digit realignments are the same as the guidelines for delivery ZIP Code realignments found throughout this part.

44 Mail Preparation

441 Mail Preparation Operations

Mail preparation operations are supported and greatly affected by the following factors:

a. The makeup and handling of incoming collection mail from stations and branches, associate offices, collectors, and firms.

b. The platform handling of such mail upon its arrival at the mail processing facility. The mail preparation operations encompass the following:

(1) The staging of mail for subsequent processing based on class of mail, mail type, and postage payment method.

(2) The culling, facing, and canceling of different types of mail at the mail preparation unit.

(3) The staging of culled, faced, and canceled mail at mail preparation units for transport to applicable distribution and dispatch operations. Since the performance of the tasks described above significantly affects downstream operations, it is essential that each mail processing installation establish and maintain a carefully structured and well-managed mail preparation system. A well-run mail preparation operation is integral to the creation of a cost-efficient, service-effective mail processing system and, therefore, must be considered a high-priority management objective.

442 Mail Preparation Units

A mail preparation unit is where specific tasks are performed that facilitate the flow of mail to distribution or dispatch operations. Mail preparation operations in medium and large automated/mechanized P&DC/Fs are structured around a series of well-defined units. Each unit has primary responsibility for handling and processing a specific type and/or category of mail. The physical configuration, equipment usage, and staffing levels applicable to a particular type of operation (e.g., flats processing belt) may vary from plant to plant, depending upon differences in facility design, floor layout, volume, and mail mix. Pouches, sacks, hampers, and containers of originating mail from all sources should be separated according to shape (e.g., letters and flats), configuration (e.g., bundles, loose, faced), postage payment method (stamps, permit imprint, and meter), and staged or directed to the next unit or processing operation. (See Handbook PO-415, *Mail Preparation,* for further instructions.)

443 Endorsing, Canceling, and Postmarking

443.1 Endorsing

Only authorized endorsements (e.g., First-Class Mail®, Insured Mail, Certified Mail™, Military Ordinary Mail (MOM) labels) may be placed on mailpieces by postal employees. Endorsements of any type are never to be placed on philatelic mail.

443.2 Canceling

Render all stamps, except precanceled stamps, unusable at the point of origin. This is to be accomplished by cancellation or strike-through with daubers on stamps placed where canceling equipment is unable to reach. On philatelic mailpieces, only authorized cancellations must appear on the

item; no other marking of any type shall be placed on philatelic mail by a postal employee.

443.3 Postmarking

443.31 General

Postmark mail on the address side as follows:

a. Postmark showing full name of Post Office, two-letter state abbreviation, and ZIP Code. For Standard Mail, omit postmark if name of mailing office is shown in return address.

b. Postmark the following types of mail showing the full name of Post Office, two-letter state abbreviation, ZIP Code, and date of mailing:

(1) Express Mail®.

(2) Registered Mail™ and COD.

(3) Parcel Post® (ordinary).

c. Postmark the following types of mail showing full name of Post Office, two-letter state abbreviation, ZIP Code, date of mailing, and a.m. or p.m.:

(1) First-Class Mail (except Registered Mail).

(2) Priority Mail.

(3) Postal cards and post cards.

(4) Business reply mail and meter reply cards, labels, and envelopes.

(5) Special handling.

443.32 Local Postmarking

Local postmarking will be available at each Post Office. The rules for local postmarking are the same as the procedures in 443.31.

443.33 Backstamping

Backstamping of mail is permitted on missent mail only. *Missent mail* is defined as mail received at Post Office “A” when it should have been sent to Post Office “B” (e.g., mail originated in Albany, NY, addressed to Tampa, FL, but was received in San Francisco, CA). Backstamping of forwarding mail is no longer required; the date will be applied by the Computerized Forwarding System II (CFS) unit when applying the new address label.

443.34 Backdating

Backdating the postmark on mail is expressly forbidden -except where a specific written authorization is granted to provide philatelic treatment on a new stamp issue beyond the issuance date. Such authority is extended only when public demand for a specific first-day issue exceeds daily cancellation capability. Backdating causes several major problems. It jeopardizes the integrity of the postmark as a legal basis for determining individual or contractual compliance with statutory deadlines, and it decreases the value of cancellation dates as the basis for measuring service standard achievement.

444 Equipment and Supplies

444.1 General

Network Operations Management has authority to approve the kind and use of canceling and postmarking supplies. All canceling machine dies, die hubs, and hand postmarking and stamp canceling equipment must be Postal Service-furnished or approved before being used at postal installations.

444.2 Canceling Equipment

Canceling and postmarking equipment is used only for those purposes and functions for which specific authorization has been granted.

444.3 Altering or Substituting Equipment

Equipment may not be altered or substituted without prior written authorization.

444.4 Black Ink

For canceling and postmarking purposes, use only Postal Service-supplied black ink.

445 Requisitioning Procedures

All requisitions for postmarking and canceling equipment and repair parts must be prepared and submitted in conformance with established procedures and policies as set forth in Handbook AS-701, *Material Management,* and Publication 247, *Supply and Equipment Catalog.*

45 Distribution

451 Outgoing and Incoming Distribution

Outgoing mail is mail received in local collections and from associate offices involved in the area mail processing plan. *Incoming mail* is received from other P&DCs from around the country for delivery in a given service area. Outgoing and incoming mail must be processed according to the established operating plan. This processing must be completed by established clearance times. Committed overnight mails must be distributed to ensure next-day delivery from the office of origin. The P&DC of origin will not dispatch mail without the required ADC ZIP Code distribution or without properly formatted and barcoded labels. After distribution, letter mail must always be dispatched in trays to facilitate automated sortation at the destination processing facility.

452 Authorized Distribution

452.1 General

The vice president, Area Operations, has the following responsibilities with regard to authorized distribution:

a. Determines the authorized distribution and routing of all classes of mail originating in the area, and issues appropriate instructions.

b. Determines requirements for installations in the area regarding the type and extent of mail distribution and the schemes and methods used.

c. Authorizes or approves the authorization of any intraarea distribution changes.

452.2 Outgoing Distribution

Changes in the interarea distribution of managed mail for 2- and 3-day delivery must be coordinated between the areas involved, with concurrence from In-Plant Operations at Headquarters.

453 Distribution Priorities

Distribute mail by these priorities:

a. Express Mail.

b. Priority Mail.

c. First-Class Mail.

d. Periodicals.

(1) Newspaper treatment Periodicals (marked “NEWS”).

(2) All other Periodicals (marked “PER”).

e. Standard Mail.

f. Package Services.

454 Centralized and Decentralized Distribution

Generally, the distribution of mail should be centralized at the main Post Office or central distribution facility. Plant managers and district managers may approve decentralization of distribution to delivery units (stations and branches) under the following conditions:

a. It provides same-day delivery of preferential mail not attainable through the main Post Office or the central mail facility.

b. Daily mail receipt of presorted First-Class Mail, Periodicals, and Standard Mail can be transported directly to the branch or station.

c. Space is not available at the main Post Office or at the centralized distribution point.

d. Station and branch clerks can be gainfully utilized during slack periods.

e. Distribution of mail at delivery units is more economical.

455 Types of Distribution

455.1 Manual Distribution

Manual distribution is hand sorting of mail by ZIP Code or address into manual letter or flat mail cases, trays, containers, sacks, or pouches in order to group mail or packages of mail for a common destinating area.

455.2 Mechanized Distribution

Mechanized mail distribution increases operational efficiency through the use of sorting machines and enhances the use of employee skills. Distribution of mail on mechanized equipment such as multiposition letter sorting machines (MPLSMs), single-position letter sorting machines (SPLSMs), parcel sorting machines (PSMs), and sack sorting machines (SSMs) is based on ZIP Code, except for incoming secondary sort schemes.

455.3 Automated Distribution

Automated distribution of letter and flat mail is the optimal system and is preferred over both manual and mechanized methods. The finest depth of sort is received using the available automated equipment (e.g., multiline optical character reader (MLOCR), mail processing barcode sorter (MPBCS), delivery barcode sorter (DBCS), carrier sequence barcode sorter (CSBCS), etc.). Letters are processed and sorted on the MLOCRs by scanning the address on the letter, applying a base depth of sort barcode, and directing it to its proper destinating stacker. Letters processed by MPBCSs, DBCSs, and CSBCSs are sorted by reading the barcode printed on the envelope and directing it to its proper stacker. The Remote Barcoding System (RBCS) handles letters that could not be read by the MLOCR. Images of this nonreadable mail are electronically sent to a remote keying site where mail clerks key in the proper information to enable a modified barcode sorter or output subsystem (OSS) to apply the barcode that represents the best depth of sort for the address provided.

456 Managed Mail Processing

Managed mail processing (MMP) is a distribution system where First-Class Mail, for a specific ZIP Code span, is massed at an automated/mechanized facility for distribution and dispatch. Initially, originating Post Offices identify and distribute First-Class Mail for a state or a specific ZIP Code span that is to be transported to ADCs/AADCs in destination geographic areas beyond the range of overnight delivery. Processing at origin points requires separation of mail to destination distribution centers by automated, mechanized, or manual case distribution. ADCs/AADCs will, to the extent possible, use machines and process destinating managed mail on Tour II. This, however, does not preclude performance of necessary distribution on other tours to achieve service standard commitments. The prime objective and benefit of MMP is the reduction in secondary workload at origin Post Offices, primarily on the evening tour. Leveling of workloads results with the addition of day tours instituted at ADCs/AADCs. Sectional center facility workloads and total piece handlings are reduced because of expanded mechanized mail processing at

ADCs. Greater use of mail sorting equipment is reflected in improved productivity and makeup of directs.

457 Scheme Distribution

457.1 General

Scheme distribution is a systematic plan to move all classes of mail from originating office to destination office. ZIP Code, ZIP+4 code, Delivery Point Sequence (DPS) code, and general schemes are used to distribute mail to proper separations.

457.2 City Schemes

A city scheme is an official published list of elements of address for the distribution of incoming mail.

458 National Color Code Policy for Standard Mail

458.1 Purpose of this Policy

This policy is to ensure the timely processing, dispatch, and delivery of Standard Mail within established service standards.

a. All outgoing, area distribution center (ADC), or sectional center facility (SCF) Standard Mail, regardless of where received (e.g., associate office, processing and distribution center, or other location) must be coded with the color that represents the day the mail is scheduled to be cleared.

b. All other destinating Standard Mail must be coded with a delivery color that represents the scheduled day of delivery. Once you apply the color code, it remains on the mail until it is taken out for delivery. Apply the delivery color code as outlined in the specific facility portions of this policy.

458.2 General Policies

a. Distribute all Standard Mail within the framework of the approved operating plan. The application of color codes to Standard Mail is based on the arrival of the mail being used in conjunction with the facility critical entry time. “Arrival at the facility” means the day and time the mail arrives on the Postal Service’s property.

b. If Standard Mail is mixed with a higher class of mail (e.g., First-Class Mail) in such a manner as it loses its identity, the Standard Mail must be considered upgraded and treated as the higher class of mail.

c. If a holiday falls on a scheduled delivery day, apply the normal color code to allow for proper sequencing in any downstream operation.

d. Color coding must not be the sole indicator used in evaluating what mail constitutes a plan failure (as related to mail condition reporting). Compliance with approved operating plan parameters must be the determining factor.

e. There are no prohibitions against management agreements being made below the national level which accelerates the color coding and/ or delivery expectations for any Standard Mail versus this policy.

f. Remove color-code tags used to identify Clearance Day targets in outgoing, ADC, and SCF operations before you dispatch the mail to downstream operations. Keep the Delivery Day color codes with the mail until it is taken out for delivery, unless we note otherwise in this policy.

g. Anytime Standard Mail is sent back upstream (backflowed) for delivery point sequence (DPS), automated processing, or other processing, the mail must retain the original color coding and delivery schedule as if it had remained in the downstream unit.

h. Offices should make every effort to adhere to mailer-requested, in-home delivery dates. Do not deliver such mail earlier than the date the mailer has requested.

458.3 Color-Coding Procedures

Apply color codes to Standard Mail as outlined in the following sections, which are arranged by facility type and sortation level.

458.31 Bulk Mail Centers

458.311 Application of Color Codes

The application of color codes to Standard Mail is based on the arrival of the mail being used in conjunction with the facility critical entry time. “Arrival at the facility” means the day and time the mail arrives on Postal Service property. Bulk mail centers (BMCs) must develop local procedures to ensure that they maintain the correct color code for all mail, based on its arrival on the premises, even when such mail is entered into the various mechanized sorting systems.

458.312 Outgoing Standard Mail

Code all outgoing Standard Mail with the 1-day color code that represents the day the mail is scheduled to be cleared. After you finish processing the mail, remove the Clearance Day tags used in outgoing operations before you dispatch to downstream operations and facilities.

458.313 Area Distribution Center or Sectional Center Facility Function

If a BMC and another processing center share responsibility for performing the functions of an area distribution center (ADC) or sectional center facility (SCF) (i.e., a 115/185 operation) or the BMC is a designated ADC or SCF, code the mail with a 1-day color code indicating the day the operation should be cleared. Such color-code tagging must be consistent with the arrival of the mail on Postal Service premises, and not when it is extracted or identified from a mechanized operation. After processing is completed, the Clearance Day tags used in the ADC/SCF operation are to be removed prior to dispatch to downstream operations. If any further distribution is performed below the ADC/SCF level in the BMC, then the portion of these instructions applicable to a P&DC, Delivery Unit, etc., are to be applied, as appropriate. (See Exhibit 458.313.)

Exhibit 458.313
One-Day Clearance Matrix

In accordance with 458.313, the following color-coding procedures must apply:

Receipt Day	Color Code	Clearance Day
Saturday	White	Sunday
Sunday	Blue	Monday
Monday	Orange	Tuesday
Tuesday	Green	Wednesday
Wednesday	Violet	Thursday
Thursday	Yellow	Friday
Friday	Pink	Saturday

When Standard Mail is discovered in a facility, after its initial receipt, without color codes or incorrectly identified with multiple color codes, and it cannot be reasonably determined what the color code should be, the following procedures must be followed:

a. If the mail is identified with multiple color codes, then the oldest color code is assumed to be correct, even if the clearance/delivery date has passed.

b. If mail is observed without any color code at all, then it is to be color coded with the same clearance/delivery color code as the oldest mail in the unit at the time of its discovery.

c. If mail is observed without any color code at all, and there is no other mail in the unit at the time of its discovery, then it is to be color coded with today's clearance/delivery color code and treated as if it were delayed.

458.32 Processing and Distribution Centers, Processing and Distribution Facilities, Mail Processing Facilities/Centers, and Customer Service Mail Processing Facilities

The following color-coding procedures must apply in each of the designated operations:

a. The application of color codes to Standard Mail is based on the arrival of the mail being used in conjunction with the facility critical entry time. Arrival at the facility is defined as the day/time the mail arrives on Postal Service property. These facilities must develop local procedures to ensure that they maintain the correct color code for all mail, based on its arrival on the premises, even when such mail is entered into mechanized sack sorting systems.

b. All outgoing mail, ADC, SCF/Incoming Primary Mail, and carrier route mail must be color coded as shown in Exhibit 458.320b to indicate scheduled clearance 1 day after receipt at the facility.

Exhibit 458.320b
One-Day Clearance Matrix

Receipt Day	Color Code	Clearance Day
Saturday	White	Sunday
Sunday	Blue	Monday
Monday	Orange	Tuesday
Tuesday	Green	Wednesday
Wednesday	Violet	Thursday
Thursday	Yellow	Friday
Friday	Pink	Saturday

After processing is completed, the Clearance Day tags used in outgoing, ADC, SCF/Incoming Primary, and carrier route operations are to be removed prior to dispatch to downstream operations/facilities.

c. The SCF/Incoming Primary Standard Mail addressed in paragraph b must be totally finalized and processed by the identified Clearance Day.

d. Facilities which process 3-digit (SCF) Standard Mail only to the 5-digit level must color code that 3-digit Standard Mail for clearance 1 day after receipt at that facility, as listed in Exhibit 458.320b. This mail must then be dispatched without color codes, and the proper delivery color code must be applied upon receipt at the facility that performs the secondary distribution.

e. All Standard Mail which must subsequently receive incoming secondary distribution at the facility performing the ADC or SCF operation must receive a 2-day color code based upon its arrival or upon its extraction and identification from its initial distribution-operation (either the ADC or SCF operation) using the 2-day matrix in Exhibit 458.320e:

Exhibit 458.320e
Two-Day Delivery Matrix

Arrival/Extraction Day	Color Code	Delivery Day
Saturday	Orange	Tuesday
Sunday	Orange	Tuesday
Monday	Green	Wednesday
Tuesday	Violet	Thursday
Wednesday	Yellow	Friday
Thursday	Pink	Saturday
Friday	Blue	Monday

f. In situations wherein ADC and SCF mail is, for operational reasons, commingled in the same processing operation, all such mail extracted for the local SCF must be color coded for a scheduled delivery day, also using the 2-day delivery matrix in Exhibit 458.320e.

g. When Standard Mail with mailer-requested, in-home delivery dates is retained at the facility for DPS processing, a delivery color code reflecting the last requested in-home delivery date may be affixed,

provided the mail is segregated and the in-home delivery day identification placards accompany the mail.

h. When Standard Mail is discovered in a facility, after its initial receipt, without color codes or incorrectly identified with multiple color codes, and it cannot be reasonably determined what the color code should be, the following procedures must be followed:

(1) If the mail is identified with multiple color codes, then the oldest color code is assumed to be correct, even if the clearance/ delivery date has passed.

(2) If mail is observed without any color code at all, then it is to be color coded with the same clearance/delivery color code as the oldest mail in the unit at the time of its discovery.

(3) If mail is observed without any color code at all, and there is no other mail in the unit at the time of its discovery, then it is to be color coded with today's clearance/delivery color code and treated as if it were delayed.

458.33 Delivery Distribution Centers/Units

a. The application of color codes to Standard Mail is based on the arrival of the mail being used in conjunction with the facility critical entry time. Arrival at the facility is defined as the day/time the mail arrives on Postal Service property. These facilities must develop local procedures to ensure that they maintain the correct color code for all mail, based on its arrival on the premises.

b. All outgoing mail received from a mailer and destinating carrier route mail must be color coded as shown in Exhibit 458.33b to indicate scheduled clearance 1 day after receipt at the facility.

Exhibit 458.33b
One-Day Clearance Matrix

Receipt Day	Color Code	Clearance Day
Saturday	White	Sunday
Sunday	Blue	Monday
Monday	Orange	Tuesday
Tuesday	Green	Wednesday
Wednesday	Violet	Thursday
Thursday	Yellow	Friday
Friday	Pink	Saturday

After processing is completed, the Clearance Day tags are to be removed prior to dispatch.

c. All other destinating Standard Mail received at Delivery Distribution Centers/Units (DDC/DDU), including drop shipment mailings, must be color coded as shown in Exhibit 458.33c for 2-day delivery.

Exhibit 458.33c
Two-Day Delivery Matrix

Receipt Day	Color Code	Delivery Day
Saturday	Orange	Tuesday
Sunday	Orange	Tuesday
Monday	Green	Wednesday
Tuesday	Violet	Thursday
Wednesday	Yellow	Friday
Thursday	Pink	Saturday
Friday	Blue	Monday

d. DDCs and DDUs which perform secondary distribution for delivery units must also apply a 2-day delivery color code as shown in Exhibit 458.33c to that mail upon its arrival from upstream facilities.

e. Whenever a delivery day color code is applied, it must remain on the mail until the mail is taken out by the carrier at the delivery unit.

f. When Standard Mail is discovered in a DDC/DDU, after its initial receipt, without color codes or incorrectly identified with multiple color codes, and it cannot be reasonably determined what the color code should be, the following procedures must be followed:

(1) If the mail is identified with multiple color codes, then the oldest color code is assumed to be correct, even if the clearance/ delivery date has passed.

(2) If mail is observed without any color code at all, then it is to be color coded with the same clearance/delivery color code as the oldest mail in the unit at the time of its discovery.

(3) If mail is observed without any color code at all, and there is no other mail in the unit at the time of its discovery, then it is to be color coded with today's clearance/delivery color code and treated as if it were delayed.

458.34 Delivery Units, including Post Offices, Stations, and Branches

458.341

a. All outgoing mail received from a mailer must be color coded as shown in Exhibit 458.341a to indicate scheduled clearance 1 day after receipt at the facility.

Exhibit 458.341a
One-Day Clearance Matrix

Receipt Day	Color Code	Clearance Day
Saturday	White	Sunday
Sunday	Blue	Monday
Monday	Orange	Tuesday
Tuesday	Green	Wednesday
Wednesday	Violet	Thursday
Thursday	Yellow	Friday
Friday	Pink	Saturday

After processing is completed, the Clearance Day tags used in outgoing operations are to be removed prior to dispatch.

b. Delivery units not performing secondary distribution will receive Standard Mail from the distribution facility with a color code attached. This color code must remain on the mail until taken out for delivery.

c. Delivery units doing secondary distribution, receiving Standard Mail requiring secondary distribution (including drop shipment mailings and mail received directly from mailers) must apply the 2-day delivery color code shown in Exhibit 458.341c upon receipt of the mail. This color code must remain on the mail until taken out for delivery.

Exhibit 458.341c
Two-Day Delivery Matrix

Receipt Day	Color Code	Delivery Day
Saturday	Orange	Tuesday
Sunday	Orange	Tuesday
Monday	Green	Wednesday
Tuesday	Violet	Thursday
Wednesday	Yellow	Friday
Thursday	Pink	Saturday
Friday	Blue	Monday

d. Delivery units receiving mailer-prepared carrier route presort mail from upstream postal facilities or directly from mailers must apply the 2-day delivery color code shown in Exhibit 458.341c upon receipt of the mail. This color code must remain on the mail until taken out for delivery.

e. If a holiday falls on a scheduled delivery day, the delivery color code must remain unchanged and must be used to properly sequence the mail on the next delivery day. On the day following the holiday, the mail color coded for the holiday is not considered delayed, but is worked prior to the mail with the current day's color.

f. Delivery units may receive Standard Mail with a mailer-requested delivery date later than the scheduled color-coded day. This mail is to be color coded or re-color coded at the delivery unit to match the last requested in-home delivery date, to comply with the mailer's request.

g. Delivery units may receive Standard Mail with a mailer-requested delivery date earlier than the color-coded delivery day. Although this mail must remain color coded for delivery as outlined in these procedures, all reasonable efforts should be made to deliver this mail within the mailer's requested delivery window.

h. Delivery units may receive Standard Mail with a mailer-requested delivery date that has already passed. Although this mail must remain color coded as outlined in these procedures, the decision regarding the delivery or disposition of this mail must be consistent with the current national policy on this subject.

i. When Standard Mail is discovered within a delivery unit (function 2, function 4, and individual zones may be considered as separate units) after its initial receipt, without color codes or incorrectly identified with

multiple color codes, and it cannot be reasonably determined what the color code should be, the following procedures must be followed:

(1) If the mail is identified with multiple color codes, then the oldest color code is assumed to be correct, even if the clearance/ delivery date has passed.

(2) If mail is observed without any color code at all, then it is to be color coded with the same clearance/delivery color code as the oldest mail in the unit at the time of its discovery.

(3) If mail is observed without any color code at all, and there is no other mail in the unit at the time of its discovery, then it is to be color coded with today's clearance/delivery color code and treated as if it were delayed.

46 Dispatch and Routing Concepts

Distribution Networks is required to provide a minimum of two dispatches for each destination made:

a. An advance dispatch is used to send a volume of mail to the destination office as an accommodation to allow advance distribution and prevent an excessive volume of mail from being received at the critical entry time.

b. A dispatch of value, or a "last chance" routing, reflects the latest time at which mail can be dispatched from the originating facility and arrive at the destinating facility prior to the appropriate critical entry time.

c. Additional dispatches will be provided when justified by volume or other operational considerations. NASS produces a variety of reference and operation reports. NASS products used by a facility depend on type, size, and function. The supervisor, Networks at DN, with the manager of In-Plant Support Operations Support at the mail processing facility, will determine the type of NASS reports to be used. The manager of Distribution Networks at the area, together with the plant manager of the P&DC, is responsible for resolving all disagreements between transportation, distribution networks, and mail processing facilities.

d. Further information is available in Postal Service, Handbook M-22, *Dispatch and Routing Policies.*

47 Platform Operations

471 General

Each postal facility must organize its platform operations to provide unloading, loading, and dock transfer to meet service requirements and eliminate delays caused by careless platform handling. Platform operations should be organized to provide a safe and efficient environment. The mishandling of one pouch, tray, outside mail piece, sack, pallet, or container

on the platform (dock) negates the value of sophisticated distribution plans and could cause thousands of individual pieces of mail to be delayed.

472 Contract Mail Handling Facilities

Contract mail handling facilities, such as those in the hub and spoke program (HASP), that dispatch or receive vehicles with mail, including mail transport equipment, must follow these requirements:

a. Transportation schedules must be posted as specified by the Postal Service or as appropriate under the contract.

b. Vehicles must be properly loaded and documented.

c. Data input to postal computer systems must be performed as directed by the Postal Service (such data entry capabilities must be cleared through the postal contracting officer (CO) and computer application program manager). Contract employees designated to request access to a postal computer system must complete PS Form 1357, *Request for Computer Access,* as well as any other clearance documents specified by the postal application program manager and postal Inspection Service.

d. If the facility dispatches or receives vehicles in the postal seal program, the facility manager or contract representative must identify a seal control officer and follow security requirements in 476.

e. Timely sorting, distribution, loading, and dispatch to meet postal schedules.

473 Transportation Schedules

473.1 Overall Responsibility

Area Office Distribution Networks (DN) prepares and distributes schedules for all modes of transportation within an assigned area. Transportation schedules are available and provided in a computer based application, where possible.

473.2 Postal Vehicle Service (PVS)

The local Transportation and Networks office prepares schedules of PVS trips and, when instructed to do so by Area Office Distribution Networks, intra-city contract transportation.

473.3 Highway Contract Transportation

Area Office Distribution Networks (DN) develops contracts and distributes schedules for all Highway contract routes (HCRs).

473.4 Rail and Intermodal Contract Transportation

Rail and intermodal contracts are awarded by postal headquarters after development with Area Office Distribution Networks (DN). Area Office Distribution Networks distributes information and training for rail contracts and rail management information systems.

473.5 Posting Schedules

Each processing and distribution center/facility (and individual Post Offices as designated by Area Office Distribution Networks) must use and maintain a current, correct, and integrated list of arrivals and departures in time sequence. This list must be in electronic or hard copy format and must:

a. Clearly identify the transportation plans (highway contract route and trip number, PVS and trip number, drop shipment appointment, etc.).

b. If hard copy, be posted in the platform area. (This includes posting at Post Office stations and branches and intermediate stops on the route of travel.)

c. Be updated as necessary to reflect current schedules.

473.6 Maintaining Files

Each processing and distribution center/facility and Post Office must maintain a current file of the individual schedules of those routes serving the facility. The file must be in electronic or hard copy form. Documents that require retention, such as PS Form 5397, *Contract Route Extra Trip Authorization,* PS Form 5500, *Contract Route Irregularity Report,* and PS Form 5398-A, *Contract Route Vehicle Record,* must be retained as specified in the *Administrative Support Manual,* and Handbook PO-513, *Mail Transportation Procurement Handbook.*

473.7 Schedule Changes

It is the responsibility of the processing and distribution plant manager and Post Office postmaster to request changes in transportation to meet service requirements as follows:

a. A Post Office that is not a processing and distribution center/facility sends such requests through its local transportation and networks manager, who reviews, coordinates, and forwards requests in writing to the Area Office Distribution Networks.

b. A processing and distribution center/facility directs requests in writing to its Area Office Distribution Networks and sends a copy to its plant manager.

c. Box delivery requests are sent through the local District Postal Operations Manager (DPOM), who reviews, coordinates, and forwards requests in writing to the Area Office Distribution Networks. Area Office Distribution Networks reviews schedule change requests, coordinates with other local, Area, and headquarters offices as necessary, and considers service and budget impacts prior to approval.

473.8 Schedule Errors

All offices must report any errors in transportation schedules promptly to their Area Office Distribution Networks. This includes errors in any printed report or electronic program, such as the Highway Contract Support System (HCSS), Transportation Information Management and Evaluation System (TIMES), yard control or vehicle information system, National Air and Surface System (NASS), and any change in air and surface schedules. Plants must

notify their Area Office Distribution Networks of all changes in or corrections of postal vehicle service (PVS) schedules.

473.9 Extra Trips

All offices must record in the appropriate computer system, such as TIMES, extra trips dispatched or received. In addition, offices must complete required postal forms. For example, for highway contract service, complete PS Form 5397, *Contract Route Extra Trip Authorization*. For rail dispatches, complete PS Form 5186, *Mail Movement Routing Instructions,* either from the Rail Management Information System or the manual version.

474 Loading

474.1 Instructions

Managers responsible for transportation must produce detailed loading instructions for each platform operation. Platform personnel must load trucks and trailers in accordance with prepared instructions, prescribed regulations, and/or special contract provisions.

474.2 Diagrams

Highway contract route (HCR) trucks and trailers must be loaded according to diagrams on file and special instructions issued by Area Office Distribution Networks. Preferential mail must be placed in the vehicle so that it can be readily identified and unloaded at intermediate stops and at final destination.

474.3 Managing the Vehicle Load

The purpose of proper loading is to ensure safe and damage-free transport of the load. Drivers who transport overloaded vehicles are susceptible to accidents, and may be ticketed and have substantial points assessed against their license. In some cases the Postal Service may make a court appearance regarding the citation. Other cases may require communication with the state Department of Motor Vehicles. In both instances, the overloaded vehicle causes an unnecessary and unproductive use of resources. Drivers who load their own vehicles to an overloaded condition are responsible and may be liable for any fine. Platform managers, supervisors, and employees must ensure that vehicles are loaded in a safe and legal manner:

a. For trips with special loading plans, loading diagrams should be posted at dock doors.

b. In each vehicle fitted with side wall (“E”) tracks, the load is secured with shoring straps and/or load bars.

c. Whenever containers with drop-down pins are loaded in a vehicle with floor pin stake pockets, each container’s pin is fitted in a floor pocket and container brake applied.

d. Pallets are arranged in a pinwheel fashion, where pallets are loaded side by side in the vehicle with the long (48-inch) side of one next to the short (40-inch) side of the other, and the next row is loaded in the opposite direction. This interlocks the pallets and helps prevent them

from sliding and the load shifting. See Exhibit 474.3 for an example of pinwheeling pallets.

e. Bedloaded sacks are brick-laid stacked in an orderly manner with the string side of the sack facing forward.

f. Bedloaded trays are stacked with heaviest trays on the bottom and each successive level placed in a crisscross manner.

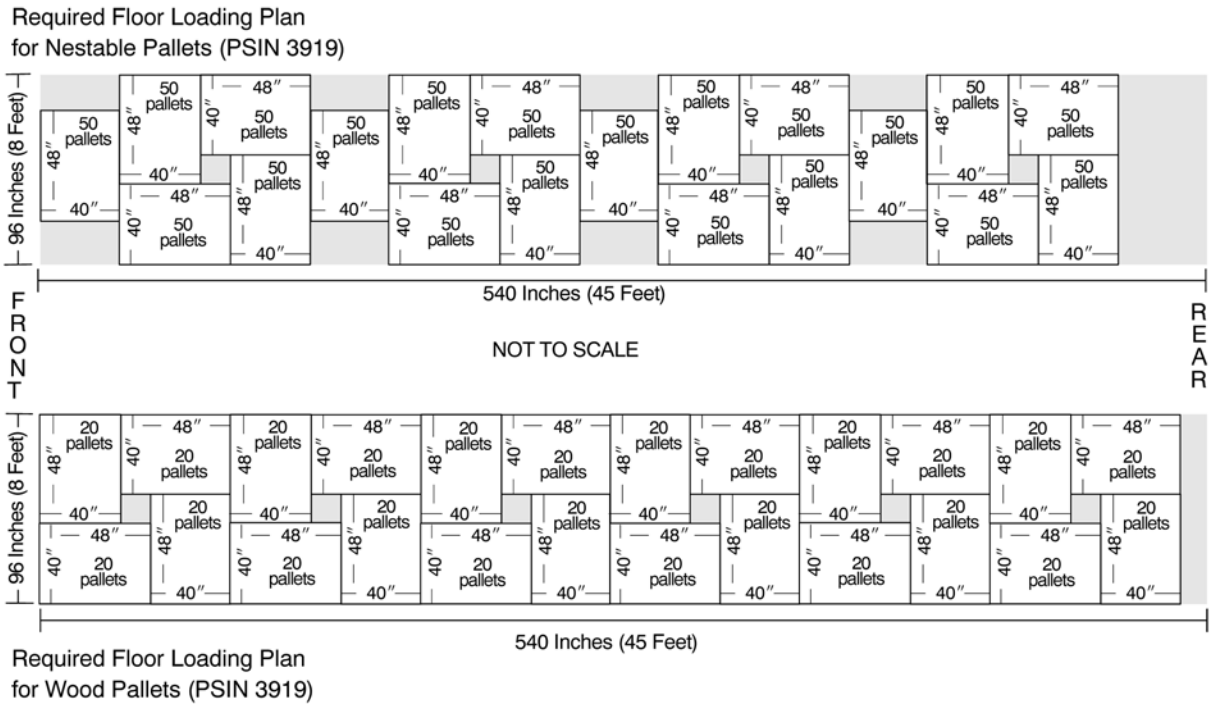
g. Bedloaded parcels and nonmachineable outsides are brick-laid stacked in an orderly manner with heaviest parcels and outsides on the bottom.

h. Mixed loads are arranged with bedloaded trays stacked along the side walls and sacks loaded in the middle across the vehicle.

i. Vehicles are not to be loaded with more weight than the vehicle's specifications provide or law permits.

j. Additional cargo restraint methods are used for potentially unstable loads.

Exhibit 474.3
Safely Loading Pallets into Trailers



474.4 Cost for Overweight Vehicles

If a Postal Service facility overloads a Highway Contract Route (HCR) vehicle resulting in a fine to the contractor, the contracting officer for that HCR may use his or her discretion and reimburse the contractor for the cost of the fine and charge that cost to the facility that overloaded the vehicle. If a contractor overloads their own vehicle resulting in a fine to the contractor, the contracting officer for that HCR may hold the contractor responsible for the fine or in special circumstances may use his or her discretion and reimburse the contractor for the cost of the fine. In some cases a law enforcement official requires excess weight be taken off the vehicle before continuing on its journey. This situation delays the mail and adds additional cost for the extra vehicle and driver. If possible, the office that loaded the vehicle provides the means to remove, transfer, and transport the excess load. If not possible, the nearest able plant or Post Office provides the resources and notifies the origin office of the incident.

474.5 Attaching Seals

The designated platform employees at a loading point must:

a. Identify vehicles that must be sealed (see 476.1).

b. Complete PS Form 5398-A and insert appropriate copy into vehicle (see 476.8).

c. Apply numbered tin band and twisted wire seals (see 476.6 and 476.7).

475 Visual Aids on the Platform (Dock)

475.1 General

Visual aids provide platform employees easy and efficient access to information concerning the proper loading and dispatch of trips, as well as information about arriving trips. Visual aids enhance platform operations by providing ready information so employees can expedite unloading and transfer of mail to inplant operations, and can expedite the proper loading, sequencing, and dispatch of outbound mail. Platform supervisors should ensure accurate visual aids are displayed in an appropriate place on the platform.

475.2 Inbound Trips

475.21 Arrival Schedules

For inbound operations, receiving offices must have visual aids showing a profile of trip arrivals, including pertinent information such as route, trip, scheduled arrival time, and transfer information for cross dock items to meet outbound dispatches. When appropriate, post transfer information with separate times depending on mail make-up, such as separate transfer times for both direct and working pallets, for direct and working rolling stock, and for loose sacks. This instruction is not applicable when using electronic arrival profiles (such as the Transportation Information Management and Evaluation System (TIMES) or the Transportation Routing Information Panel System (TRIPS)) or for BMCs and offices with mechanized unloading-to-machine distribution.

475.22 Special Instructions

For each inbound trip with a distinct load configuration, receiving offices must have visual aids showing the separations (or other unique features) expected on the trip, the content of the separations, and special handling instructions. Hard copy visual aids are not applicable when information is available through electronic devices, such as computer monitors mounted on the platform.

475.3 Outbound Trips

475.31 Loading Diagrams

For each outbound trip, dispatching offices must have visual aids showing the individual and/or inclusive ZIP Codes to be dispatched, and other unique features, such as for tailgating mail. Hard copy visual aids are not applicable when information is available through electronic devices, such as computer monitors mounted on the platform.

475.32 Dispatch Schedule

For each outbound trip, dispatching offices must have visual aids showing a profile for outbound dispatches, including route, trip, scheduled departure

time, and other unique features. This instruction is not necessary when sufficient instruction is provided by electronic dispatch tools, such as TIMES and TRIPS.

476 Sealing Program and Procedures

476.1 General Requirement

All dispatching offices under the seal program, including contract mail handling facilities, must seal each outbound highway contract vehicle, rail intermodal vehicle (trailer, container, RoadRailer, or other rail or Postal Service-furnished vehicle), or rail boxcar, with numbered tin band seals, Item 0817A, and twisted wire seals, and complete PS Form 5398-A, *Contract Route Vehicle Record.* If an electronic transportation system such as TIMES is used, the tin band seal number must be entered in the appropriate place manually, or by scanning the barcode on the seal. Dispatching and receiving offices must have tin band seals, twisted wire seals, seal imprinters, and sealing and cutting tools.

Note: Twisted wire seals are never used alone when dispatching mail (excluding Mail Transport Equipment, see 476.2c). They are always used in addition to numbered tin band seals and PS Form 5398-A.

476.2 Exemptions and Exceptions

In some cases, the seal system is not used at all or is used with minor deviations:

a. Unstaffed Offices. Do not use the seal system for dispatches of mail to offices where vestibule exchange occurs, or where postal personnel are not normally on duty to accept delivery. Complete PS Form 5398-A and enclose it to verify that the load was in good condition and identify who closed it. Annotate PS Form 5398-A "**SEAL NOT REQUIRED**" to verify a seal was not used.

b. Empty Vehicles. Do not seal empty trailers, vans, or rail vehicles.

c. Mail Transport Equipment. Do not use a numbered tin band seal on dispatches of mail transport equipment. Use a twisted wire seal. If appropriate for additional security, use PS Form 5398-A and annotate it "**SEAL NOT REQUIRED**."

d. Contract Vehicles. Small highway contract routes utilizing equipment with automobile type locks that are not sealable are exempt from the sealing requirements. Contract vehicles secured with contractor's padlocks are exempt from using twisted wire seals, but must use the numbered tin ban seal.

e. Foreign Mail. Seal outbound international ocean containers with tin band seals and record serial numbers on the waybill documents. Do not use PS Form 5398-A.

f. Outbound Military Mail. Seal all outbound military mail containers dispatched overseas via surface transportation with both twisted wire seals and numbered tin ban seals. Do not use PS Form 5398-A.

g. Inbound Military Mail. Inbound military mail containers are sealed with only the numbered tin band seal. Do not use twisted wire seals.

h. Postal Vehicle Service (PVS). PVS drivers must use cargo compartment door locks and, where applicable, postal padlocks, in accordance with PVS policy.

476.3 Disseminating Instructions

Dispatching offices must furnish necessary instructions to offices that receive sealed vans and are not familiar with the seal program. The instructions must include procedures for removing, verifying, and filing numbered seals and forms.

476.4 Necessary Supplies

The sealing program uses the following basic supplies:

a. Item 0817A, Numbered Tin Band Seal.

b. PS Form 5398-A, *Contract Route Vehicle Record.*

c. Security seal imprinter.

d. Twisted wire seal (12-inch section of 8-gauge steel wire band).

e. Twisted wire sealing and cutting equipment (see 476.7).

476.5 Security

476.51 Numbered Seals

The manager at each postal facility or contract mail handling facility where numbered tin band seals are used must keep seals under lock and key. The manager designates a supervisor and a clerk as seal control officer and alternate seal control officer, respectively. The reserve stock of seals is under the exclusive control of the seal control officer and his/her alternate. The following guidelines also apply:

a. Seals may be shipped from the factory in bulk quantities of several hundred or several thousand.

b. Seals are issued to dock personnel in units of 100 or in units of a two-day supply, whichever is less.

c. Seals must not be given to contract employees under any circumstances.

476.52 Sealing Discrepancies

476.521 General Rule

Any employee who notices a sealing irregularity (e.g., a discrepancy in a seal number or a broken or missing seal) must initial the related PS Form 5398-A and notify his/her supervisor. The supervisor must:

a. Verify the irregularity and initial the PS Form 5398-A.

b. Immediately report the irregularity by telephone both to the dispatching facility and to the appropriate Postal Inspector-in-Charge.

c. Investigate the discrepancy to the best extent possible.

d. Follow up the telephone report with a written report to both offices.

e. Retain the seal and related form until the investigating postal inspector authorizes its release.

476.522 **Special Cases**

For a discrepancy involving either a double trailer or a relay driver (a driver other than the one who drove the first segment of the route), the employee discovering the discrepancy must determine the name of the driver and enter it on the PS Form 5398-A.

476.6 Multidoor Vehicles

Special requirements for multidoor vehicles depend on whether or not the side doors are used en route:

a. Unused Side Doors. Side doors of highway contract vehicles that are never used must be permanently sealed by applying a twisted wire seal and a numbered tin band seal to the unused doors. The numbered tin band seal is recorded on PS Form 5398-A and placed in the local contract file. When sealing or removing the regular numbered seal from the rear doors of the vehicle, make a visual check to see that both the tin band seal and the twisted wire seal are intact on unused side doors. It is not necessary to verify the number of the special seal in every instance, but checks must be made at least quarterly.

b. Used Side Doors. Multidoor vehicles with side doors that are used en route require numbered seals on both doors. Use one PS Form 5398-A for the side door, and another for the rear door. When unloading mail, remove only the seal on the door being opened. Verify the seal number on the other door.

476.7 Twisted Wire Seals

476.71 Applying Wire Seals

Twisted wire seals require approximately 90 seconds to affix and are fastened as follows:

a. Insert a 12-inch section of 8-gauge steel wire rod halfway through the hasp of the door to be sealed.

b. Bend the wire rod double and insert one end into a special twisting tool.

c. Rotate the tool to catch the other end of the wire, thus twisting the wire into a tight knot that can be removed only with a bolt cutter.

d. Twist the seal against the door hasp so that it cannot be untwisted with a screwdriver or a pair of pliers.

476.72 Removing Wire Seals

Cut the seals with at least a 14-inch bolt cutter. For personal safety, make the cut close to the hasp. To prevent the possibility of tire damage, do not let used seals fall to the ground. Place used seals in the appropriate waste receptacle.

476.8 PS Form 5398-A

476.81 Applicability

PS Form 5398-A must be completed by all facilities (including BMCs) for each highway contract route vehicle, rail intermodal vehicle, and rail boxcar that is sealed with a numbered tin band seal. See 476.2 for exceptions.

476.82 Automatic Imprinting

A security seal imprinter is used to automatically record the date, name, and ZIP Code of the dispatching facility and the serial number(s) of the tin ban seal(s) on the PS Form(s) 5398-A. The imprinter can accommodate three tin band seals.

476.83 Dispatching Entries

The dispatching employee must write certain entries on the PS Form 5398-A. These include:

a. Name of the employee sealing the vehicle.

b. Destination of the next facility to be served by the vehicle. (This may be an intermediate stop en route.)

c. Driver's name. ***Exceptions:*** It is not necessary to show the driver's name when sealing:

(1) a rail intermodal vehicle or boxcar, or

(2) the first trailer of a double trailer trip (e.g., pups/twins) to the same destination. The driver must be identified on the PS Form 5398-A for the second trailer. See also 476.522.

d. Departure Time and Date. When sealing rail vehicles in advance of the dispatch, or when sealing the first vehicle in a tandem dispatch, write the sealing time and date rather than the departure time and date.

e. Registered Mail is not identified or recorded on PS Form 5398-A.

476.84 Defective Seals

When sealing vehicle doors, dispatching employees who discover defective seals should submit them to their supervisors with the numerical sequence of those seals listed on a PS Form 5398-A.

476.85 Distribution

PS Form 5398-A is a three-part form: two soft (tissue) copies and a hard (index) copy. Copies are distributed and used as follows:

a. First soft copy. Retain at dispatching facility.

b. Second soft copy. Give to vehicle driver for use:

(1) as a gate pass at facilities where access is controlled by security force personnel, and

(2) as a bill of lading at truck weigh stations or at en route inspections by regulatory agencies.

c. Hard (index) copy. Place in open-ended envelope attached to the inside wall of the vehicle's cargo compartment. Do this immediately prior to closing and sealing the cargo doors.

476.86 Receiving Entries

Any employee who breaks the seal at the point of destination must process the PS Form 5398-A as follows:

a. Enter name of employee breaking seal.

b. Identify any discrepancies (see 476.52).

c. Submit forms and seals for retention.

476.87 Retention

PS Forms 5398-A and related numbered seals must be filed and kept at the receiving facility for 15 days.

476.9 Registered Mail

Detailed procedures for Registered Mail are in Handbook DM-901, *Registered Mail.* The following are included in Registered Mail procedures for transportation contract operations:

a. Contract drivers who are not under the security seal program are required to sign for Registered Mail.

b. A postal employee must be assigned to receive and record all Registered Mail from contract drivers.

c. A Nil-Bil system that will account for registered remittances. This requires a Registered Mail pouch even if no remittance is being sent that day.

477 Mail and Empty Mail Vehicle Arrivals

477.1 Recording Arrivals

All mail and empty mail vehicle arrivals (whether via scheduled transportation or extra trips) on contract or Postal Vehicle Service (PVS) must be recorded in the appropriate electronic system (such as the Transportation Information Management and Evaluation System (TIMES)) or on the appropriate form (see below). Complete the data entry or forms as required, and include additional remarks to explain deviations. Appropriate forms are:

Source of Mail	Record Trip Arrivals in Electronic System	Record Trip Arrivals on
Main office collection runs at CAG A-G offices	As available	PS Form 3968, *Daily Mail Collection Record*
Stations and branches via PVS	As available	Locally designed form
Stations and branches via highway contract route	As available	PS Form 5398, *Transportation Performance Record*
Associate offices via highway contract route	As available	PS Form 5398, *Transportation Performance Record*
Processing plants via highway contract route	TIMES (Transportation Information Management and Evaluation System)	PS Form 5398, *Transportation Performance Record*

Source of Mail	Record Trip Arrivals in Electronic System	Record Trip Arrivals on
AMF or airport	TIMES	Locally designed form or as required by Area Office Distribution Networks
Bulk mail center via highway contract route	TIMES, yard control system	PS Form 5398, *Transportation Performance Record,* PS Form 4460, *Vehicle Record/Trip Ticket* (card)
Bulk mail center via rail	TIMES, yard control system, and/or Rail Management Information System (RMIS)	PS Form 5398, *Transportation Performance Record,* PS Form 4460, *Vehicle Record/Trip Ticket* (card), PS Form 5186, *Mail Movement Routing Instructions*
Bulk mail center via PVS	TIMES, yard control system	PS Form 5398, *Transportation Performance Record,* PS Form 4460, *Vehicle Record/Trip Ticket* (card)
Local private mailers	Drop Shipment System for destination entry vehicles, TIMES, RMIS for rail vehicles, other as available	After verification, PS Form 8125, *Drop Shipment Clearance Document*, PS Form 8015, *Plant Load Vehicle Log*, or locally -designed forms, if warranted
Private mailers from other than local area	Drop Shipment System for destination entry vehicles, RMIS for rail vehicles, other as available	After verification, PS Form 8125, *Drop Shipment Clearance Document*, PS Form 8015, *Plant Load Vehicle Log*, or locally designed forms, if warranted

477.2 PS Forms 4460 and 5398

Receiving offices must record the arrival time and the unloading time for all trips (including extra highway route trips) as follows:

a. BMCs use PS Forms 4460 and 5398 to record times. Other offices use PS Form 5398.

b. Although most offices maintain PS Forms 4460 and 5398 at the platform, BMCs and certain large Post Offices may find it advantageous to maintain the forms at some other place, such as a vehicle operations office.

477.3 PS Form 5201, Mail Van Inspection

The purpose of PS Form 5201 is to show the condition of vehicles when received into the possession of the Postal Service. A properly completed PS Form 5201 records preexisting damage that should not be charged to the Postal Service. PS Form 5201 should be prepared for all arriving rail or leased vehicles, whether loaded or empty. Drivers picking up rail or leased

vehicles should verify an inspection form provided by the location supplying the vehicle, or complete PS Form 5201 at the time of acceptance. A PS Form 5201 completed on departure from a facility may record damage caused to the vehicle while in the possession of the Postal Service. If requested by a driver at departure, complete PS Form 5201 and provide a copy to the driver. Follow instructions issued by the Area Office Distribution Networks to complete PS Form 5201.

477.4 Unloading

477.41 Instructions

All receiving facilities must have detailed unloading instructions for each platform operation. The detail necessary depends on the size and complexity of the office. The instructions should be posted as visual aids or easily available to platform employees. Always include instructions pertaining to the unloading of drop shipments that must be checked to ensure proper quantity and documentation of mail, and for business mail that must pass through acceptance procedures before processing. Also include procedures for handling surface preferential mail (periodicals), particularly tailgated surface preferential mail and Registered Mail. In some cases it is necessary to identify docks, belts, slides, and staging areas by number with visual aids, because this helps employees place specific mail items in the proper place.

477.42 Removing Seals

The designated platform employees at an unloading point must:

a. Remove all numbered seals and twisted wire seals (see 476.72).

b. Complete the appropriate parts of PS Form 5398-A (see 476.86).

c. Identify any discrepancies (see 476.52).

d. After verifying PS Form 5398-A against the actual seal number, dispose of numbered and twisted wire seals in a trash receptacle to prevent the possibility of vehicle tire damage.

e. File PS Form 5398-A in an appropriate place for at least 15 days.

477.43 From Air Facilities

477.431 Responsible Employees

All employees who are responsible for the dispatch and receipt of mail at airport mail centers (AMCs) and facilities (AMFs) or local air stop points must be thoroughly familiar with the air contract data collection system, required forms contained in Handbook PO-507, *Air Contract Administrative Procedures,* and procedures for air contract performance measurement, including scanning procedures.

477.432 Air Taxis

Use appropriate forms. See Handbook PO-509, *Air Taxi Contract Administration.*

477.5 Platform Transfers

477.51 Registered Mail

Registered mail must be handled according to Registered Mail procedures. In 1997, new procedures were issued by the Chief Postal Inspector and the

Vice President, Operations Support, to area vice presidents. Among other changes, these procedures:

a. Required contract drivers not under the seal program to sign for Registered Mail.

b. Assigned a postal employee to receive and record all Registered Mail from the contract drivers.

c. Implemented a Nil-Bil system to account for registered remittances, requiring a registered pouch even on days no remittance is sent.

477.52 Preferential Mail

Preferential mail must be given expeditious handling on platforms.

477.53 Transfer Failures

If a transfer failure is caused by poor supervisory judgment, local management must take immediate corrective action. If the transfer failure results from the late operation of a highway contract and is not caused by legitimate reasons:

a. Processing plants, administrative offices, large installations, and other postal facilities complete PS Form 5500, *Contract Route Irregularity Report,* and distribute copies as instructed on the Form.

b. Offices that do not use PS Form 5500 report irregularities to the appropriate administrative official of the contract involved using Postal Service routing slip, Supply Item O-13, or other appropriate communication.

c. If a transfer failure causes delay to a highway contract route (HCR) trip, a PS Form 5466, *Late Slip,* should be issued to the HCR driver.

477.54 Missent Mail

Notify responsible Post Offices, processing facilities, and BMCs of receipt of missent pouches, sacks, containers, and outside pieces of all classes of mail. Follow up to ensure problems have been corrected.

478 Mail and Empty Mail Vehicle Departures

478.1 Recording

All mail and empty mail vehicle departures (whether via scheduled transportation or extra trips) must be recorded in the appropriate electronic system (such as TIMES or other vehicle information system) or on the appropriate form (see table below). Complete the data entry or forms as required, and include additional remarks to explain deviations. Appropriate forms are:

Mail / Vehicle Destination	Record Departures in Electronic System	Record Departures on Form
Stations and branches via PVS	As available	Locally designed form
Stations and branches via highway contract route	As available	PS Form 5398, *Transportation Performance Record*

Mail / Vehicle Destination	Record Departures in Electronic System	Record Departures on Form
Associate offices via highway contract route	As available	PS Form 5398, *Transportation Performance Record*
Processing plants via highway contract route	TIMES (Transportation Information Management and Evaluation System)	PS Form 5398, *Transportation Performance Record*
AMF or airport	TIMES	Locally designed form or as required by Area Distribution Network Office
Bulk mail center via highway contract route	TIMES, vehicle information system	PS Form 5398, *Transportation Performance Record,* PS Form 4460, *Vehicle Record/Trip Ticket (card)*
Bulk mail center via rail	TIMES, vehicle information system, and/or Rail Management Information System (RMIS), Equipment Inventory Reporting System (EIRS) for mail transport equipment (MTE)	PS Form 5398, *Transportation Performance Record,* PS Form 4460, *Vehicle Record/Trip Ticket (card),* PS Form 5186, *Mail Movement Routing Instructions* for rail
Bulk mail center via PVS	TIMES, yard control system	PS Form 5398, *Transportation Performance Record,* PS Form 4460, *Vehicle Record/Trip Ticket* (card)
Rail Yard or Leased Vehicle Supplier	RMIS for rail vehicles, TIMES, vehicle information system, other as available	PS Form 5398, *Transportation Performance Record,* PS Form 4460, *Vehicle Record/Trip Ticket* (card), PS Form 5201, *Mail Van Inspection* Report, or locally designed forms, if warranted
Private mailers	RMIS for rail vehicles, TIMES, vehicle information system, EIRS for MTE, other as available	PS Form 5398, *Transportation Performance Record,* PS Form 5201, *Mail Van Inspection* Report, After verification, PS Form 8125, *Drop Shipment Clearance Document,* or locally designed forms, if warranted

478.2 PS Form 5201, Mail Van Inspection

A PS Form 5201 completed on departure from a facility may record damage caused to the vehicle while in the possession of the Postal Service. If requested by a driver at departure, complete PS Form 5201 and provide a copy to the driver. Follow instructions issued by the Area Office Distribution Networks to complete PS Form 5201. PS Form 5201 is initiated on vehicle arrival to document preexisting damage. See 477.3 regarding arrivals.

478.3 Scheduling Extra Trips

478.31 Postal Vehicle Service (PVS) Trips

Extra PVS trips are costly and should not be scheduled unless necessary to prevent delay of mail.

478.32 Highway Contract Route Trips

No office may request or schedule extra highway contract route trips unless necessary to prevent serious delay of preferential mail or justified because of mail volume. The following guidelines apply:

a. Each highway contract route extra trip must have PS Form 5397, *Contract Route Extra Trip Authorization*, completed as certification for payment.

b. The office authorizing the extra trip must issue PS Form 5397 and complete the appropriate sections.

c. A copy of PS Form 5397 will be retained for at least one year in the office that issues PS Form 5429, *Certification of Exceptional Contract Service Performed*. PS Form 5429 must be retained at least 7 years. Record retention periods are also contained in the *Administrative Support Manual* and Handbook PO-513, *Mail Transportation Procurement Handbook.*

d. Destination offices should be notified of extra trips in advance by telephone or electronic mail, and furnished PS Form 5397.

e. Destination offices review and complete the appropriate sections of PS Form 5397 for destination office. If the extra trip ends at the destination office, the destination office distributes copies of the completed PS Form 5397 as instructed on the form. If the extra trip is operating round-trip, the destination office should dispatch any available volumes on the return leg of the extra trip.

f. PS Form 5429, *Certification of Exceptional Contract Service Performed,* is completed by the office designated as Administrative Official (AO) for the highway contract route. The AO summarizes PS Forms 5397 onto PS Form 5429 at the end of each postal accounting period. The AO distributes copies of PS Form 5429 as required on the form, including sending the completed PS Form 5429 to the postal Accounting Service Center for payment to the highway contract route contractor. PS Form 5429 must be retained at least 7 years.

478.4 To Air Facilities

Extra trips to air facilities are scheduled and documented in accordance with the requirements for the type of surface transportation used. Postal vehicle service trips are scheduled and operated in accordance with PVS requirements. Highway contract trips are scheduled in accordance with 478.32. See 477.3 regarding inspecting vehicles using PS Form 5201, *Mail Van Inspection.*

479 Special Mailer Preparation

479.1 General Explanation

Special mailer preparation offers benefits to both cost and efficiency. Mailers who prepare their mail in special ways do so for the following reasons:

a. To qualify for automation rates.

b. To reduce handling within the Post Office and thus expedite service. Platform employees must recognize specially prepared mail and handle it in a manner that takes advantage of the mailer preparation and expedites its movement through the processing plant to delivery. Some examples of specially prepared mail are cross dock pallets; mail in specialized cartons and containers; trayed, prebarcoded, and carrier route sequenced mail; and ZIP Code sequenced (riffle) mail.

c. To qualify for destination entry discounts under plant-verified drop shipment.

479.2 Cross Dock Pallets

Mailers may prepare pallets with mail all for a certain processing plant or delivery office. These pallets do not need to be broken until they reach the plant or office that processes mail with the specific ZIP Codes identified for the pallet. Cross dock pallets should therefore be moved from the delivery vehicle to the outbound trip intact. As a safeguard, contents on the pallet should be visually checked against the pallet label.

479.3 Specialized Cartons and Containers

Mailers may be provided specialized cartons and containers for loading mail. These cartons and containers are then loaded and unloaded with mechanized equipment, making the loading and unloading process faster. In some cases, mailers may be provided rolling containers for use within the closed loop of the processing plant's service area and the mailer's plant. Rolling containers are costly, their use must be monitored, and mailers should not keep them for a prolonged period of time. They should be promptly loaded and returned. An alternative to costly rolling containers is pallet-based cardboard box containers. They may be provided by the mailer or, if appropriate, postal facility. Rolling containers (or pallet-based containers) replace bedloading and expedite the loading and unloading of vehicles. Platform personnel should unload containers and promptly move them to the next operation.

479.4 Trayed Mail

Depending on the degree of makeup and the manner in which postage is paid, platform personnel must develop a system (with the approval of the manager responsible for plant operations) that ensures trayed mail is handled expeditiously. Platform supervisors should utilize any or all of the following tags or labels to assist in the correct routing of trayed mail:

Label/Tag	Used for
LABEL 204	First-Class Presorted — All for ZIP Code on Face
LABEL 205	First-Class Presorted — All for First 3 Digits of ZIP Code
TAG 13	Mailer Prepared Scheduled Mail
TAG 23	Presorted First-Class Sack, Green
TAG 24	Presorted First-Class Sack, 5-Digit
TAG 25	Presorted First-Class Sack, 3-Digit
TAG 57	Political Campaign Mailing
TAG 122	Carrier Presorted Mail

479.5 ZIP Code Sequence (Riffle) Mail

ZIP Code sequence or riffle mail consists of letters and flats that have been customer-sequenced by ZIP Code, state, or otherwise (processing category, outgoing or incoming schemes). Platform personnel should familiarize themselves with mail arriving at the platform to locate, identify, and correctly route riffle mail. A local method of identifying the pallets, containers, trays, or sacks of riffle mail must be established.

479.6 Destination Entry Mail (PVDS-Plant Verified Drop Shipment)

479.61 General

Plant verified drop shipments (PVDS) are considered freight until such time as they are actually deposited at the destination facility where they will be accepted as mail. Mailers (or their agents) may request specific dates for appointments and unloading of destination entry mail at postal facilities. Mailers must request appointments in advance by using either the drop shipment appointment system (DSAS) or by calling the local drop shipment appointment control center or local drop shipment coordinator (depending on locale, the appropriate drop shipment appointment control center/ coordinator may be the one serving the destination entry location, as opposed to serving the mailer plant origin). Conditions for unloading product from the mailer's or mailer's agent's vehicle are that the load must be in good condition, clearly identified, all mail properly prepared, and all official forms and paperwork present and properly completed. Some general provisions follow. For specific procedures, see separately published guidelines for drop shipment mail.

479.62 Prior Authorization

Prior clearance is required before accepting drop shipment mail. An appointment or reservation is generally needed, and electronic authorization or specific clearance documents must be presented along with mail being deposited. Prior to being issued a PVDS authorization the mailer must have either an existing Postal Service detached mail unit (usually established with

a plant load authorization), or a postage payment agreement, specifying how PVDS postage is to be verified. PS Form 8125, *Drop Shipment Clearance Document,* is required to accompany each shipment and be presented to the Postal Service with mail being deposited.

479.63 Plant-Verified Drop Shipment Seal

The mailer's vehicle may be sealed with the blue plastic seal used specifically for drop shipments. If a seal is present, the employee breaking the seal must verify the number against the seal number recorded on accompanying documents. If the seal number disagrees with the number on PS Form 8125, *Drop Shipment Clearance Document,* contact the mail acceptance office.

479.7 Staging for Scheduled Delivery

Mailers of nonpreferential Periodicals and Standard Mail may request specific delivery dates for their mail, provided that they furnish the mail to Post Offices sufficiently in advance of the scheduled delivery date. General delivery commitments are dependent upon level of presort and place of deposit as described in 458. The requested delivery date should be no earlier than normal service commitments would indicate.

48 Safety

481 General

Safety is a major concern in all elements of mail processing. Managers are responsible for ensuring that safety programs are aggressive, continually updated, and involve both management and employees.

482 Work Areas

Work area supervisors are directly responsible for safety in their area of operation and for the safety of personnel under their supervision. Supervisors must be constantly on the alert for conditions that may jeopardize the safety and health of the work environment and must take immediate steps to correct any unsafe procedure or condition.

483 Fire Hazards

Good housekeeping practices must be continually observed so that unsafe conditions or fire hazards do not develop.

484 Training

Supervisors are responsible for conducting safety and health training programs. Safety personnel monitor the training programs and provide technical assistance, including distributing safety materials and demonstrating safety methods and equipment. Supervisors are also responsible for continued on-the-job training through weekly safety talks to all personnel and through daily contact, as appropriate.

49 Congressional and Political Campaign Mail

491 Congressional Mail

491.1 General

491.11 Basic Information

See DMM E050 for basic information on mail sent under the congressional frank.

491.12 Identification

Franked mail is identified by the facsimile signature of the member of Congress in the upper right corner of the envelope or franked label, followed by “M.C.” standing for member of Congress, or “U.S.S.” for U.S. Senate.

491.13 Postage Payment

Postage for franked mail is paid in lump sums by the U.S. Treasury to the Postal Service. Franked mail, therefore, must not be returned for collection of postage. Franked mail sent from Washington, DC, is counted and reported by the House of Representatives and Senate with the Washington, DC, Post Office and the Post Office Accounting Office at Postal Service Headquarters. Procedures for accounting for franked mailings entered outside Washington, DC, are found in 491.5.

491.14 General Types of Mailings

Mailings under the congressional frank include both individual piece mailings sent by First-Class Mail and mass mailings. Mass mailings may be sent as First-Class Mail or Standard Mail. All franked mail is treated and handled according to the class of mail and special service indicated on the outside of the mailpiece.

491.15 Standard Mail Mailings

Standard Mail franked mailings consist of newsletters, meeting notices, and other printed matter. The mailpieces may bear individual names and addresses or simplified addresses. While individual pieces are rated as Standard Mail, the overall mailing may be sent by Priority Mail or Express Mail drop shipment.

491.16 Simplified Address

491.161 General

Simplified address congressional mailings are a common form of congressional mass mailing that have unique characteristics and requirements. As such, detailed instructions are provided in the following sections on the features and handling of this type of mailing.

See DMM A040.4.0 for the regulations concerning simplified address mailings under the congressional frank.

491.162 Definition

Simplified address congressional mailings are prepared without individual names and addresses for general distribution to delivery customers within a congressional district or a state.

491.163 **Distribution**

Distribution of simplified address congressional mailings is as follows:

a. Complete distribution may be made to all carrier route, Post Office box, and general delivery customers within a ZIP Code.

b. Selective distribution may be made to specified city, rural, and highway contract routes; Post Office box sections; or general deliveries.

c. Selective distribution may be made within city, rural, and highway contract routes when a route is split between congressional districts.

d. Selective distribution may be made to either residential or business deliveries within city routes.

491.164 **Simplified Address Format**

Simplified address congressional mailings are addressed "Postal Customer — Local" or "Postal Patron — Local" on the first line, with the congressional district identified in the second line and the state in the third line for a U.S. Representative, or with only the state identified in the second line for a U.S. Senator. The simplified address Standard Mail mailings are endorsed "Presorted Standard Mail" or "PRSRT STD." either directly below or to the left of the frank. As these pieces are sent at the Enhanced Carrier Route Walk-Sequence Saturation rate, they are also marked "ECRWSS" in this same area or directly above the simplified address.

491.2 Handling of Mass Congressional Mailings

491.21 Preparation and Deposit

491.211 **Packaging**

The packaging of mass congressional mailings varies as follows:

a. Individually addressed First-Class Mail and Standard Mail mailings are presorted, labeled, and packaged in trays or No. 3 gray sacks according to Postal Service requirements.

b. Simplified address congressional mailings are presorted, labeled, and packaged in trays or No. 3 gray sacks according to Postal Service requirements.

c. The packages bear facing slips addressed to the destination office. The facing slips generally are prepared in the following format:

Destination City, State, ZIP Code
STD LTRS Carrier Route #
Origin City, State, ZIP Code

ECRWSS[1]
RESIDENTIAL STOPS ONLY[2]
CONGRESSIONAL DISTRICT #____
PIECES[3] ____

NOTE: Other Address Options:

1 "RESIDENTIAL CUSTOMERS" if the mail is meant to be delivered to residential customers only;

2 "BUSINESS STOPS" or "BUSINESS CUSTOMERS" if the mail is for business customers only;

[3] "The facing slip reads "POSTAL CUSTOMER" if the mail is for delivery to all customers; or

d. PS Tag 11, *Congressional Mail,* "Postmaster — Open and Distribute" is used on all sacks or trays of congressional mail. This tag helps identify the franked congressional mailing as it moves through the mailstream.

491.212 **Pouches**

Standard Mail congressional mass mailings may be sent by Priority Mail or Express Mail drop shipment and are pouched and labeled accordingly.

491.213 **Deposit**

Most bulk congressional mailings are sent from Washington, DC. Members of Congress may, however, dispatch these mailings from Post Offices other than Washington, DC. See 491.51 for procedures for local deposit of franked Standard Mail mailings.

491.22 Processing and Delivery

491.221 **Responsibilities**

Responsibilities for processing and delivery of congressional franked mailings are as follows:

a. Managers, Customer Service Support, at Customer Service and Sales districts, are responsible for appointing a congressional mailings coordinator to serve, when necessary, as a liaison with plants, delivery units, congressional offices, and Government Relations, Postal Service Headquarters, to resolve any problems with these mailings. The Manager, Customer Service Support, must notify plants, Post Offices, and Government Relations of the individual assigned the congressional mailings coordinator role.

b. Congressional mailings coordinators are responsible for coordinating with plants, delivery units, congressional offices, and Government Relations as necessary on matters related to congressional mailings.

c. Plant managers are responsible for proper processing of franked congressional mailings.

d. Postmasters and their subordinate unit managers at destination Post Offices are responsible for proper delivery of franked congressional mailings.

491.222 **Opening at Delivery Unit**

All sacks and trays identified as congressional mail must be opened at the delivery unit to determine the contents and class of mail. The contents must be examined to ensure that there are no apparent problems with the mailing, such as delayed time-value pieces, shortages, etc. See 491.224 and 491.226, respectively, for instructions regarding delays and excess or insufficient quantities.

491.223 **Selective Delivery**

On city routes, if the facing slip for carrier route presorted, simplified address mailings specifies either "Residential Stops Only" or "Residential Customers Only" or "Business Stops Only" or "Business Customers Only", carriers must deliver the mail to those points only. Where a carrier route is split between congressional districts, supervisors must instruct carriers and substitutes

concerning the boundary between the congressional districts. To determine the boundaries, supervisors should refer to U.S. Census Bureau congressional district maps and other information used for collection of *Congressional District Deliveries Report* data (see 491.4). When simplified address congressional mailings are received on routes split between congressional districts, the carriers must take care to deliver them to the proper points. District Address Management System managers can assist in answering congressional district boundary questions.

491.224 **Delays**

Managers must give immediate attention to any delayed, time-value congressional mailings, such as town meeting notices. Plants or delivery units must notify the district congressional mailings coordinator of the delayed mailings. The district congressional mailings coordinator must notify the appropriate Government Relations representative, Postal Service Headquarters. The name and number of the Government Relations representative can be obtained by calling the office of the senior vice president, Government Relations and Public Policy, Postal Service Headquarters, at 202-268-2505. Records must be kept as indicated in 491.3.

491.225 **Bulk Drop Delivery**

Simplified address congressional mailings are prepared to include enough pieces for customers at bulk drop points. Carriers delivering to bulk drop points must leave enough pieces for the individual customers at these points. If the facing slip for the packages of mail for carrier routes serving these bulk drop customers specifies residential or business customers only, carriers must leave only enough pieces for such customers. If there are not sufficient pieces received to cover bulk drop customers, carriers must inform their supervisors, who then must initiate actions as described in 491.226.

491.226 **Excess and Insufficient Quantity**

The following procedures should be followed if a delivery unit receives an incorrect number of pieces of a simplified address congressional mailing:

a. Carriers and clerks must notify the supervisor of the number of excess pieces or shortage of pieces needed for coverage on each route.

b. In the case of excess pieces, carriers or clerks must not deliver more than one piece to the same addressee. However, a sufficient number of pieces must be left for individual customers at bulk drop points. See 491.225 for procedures governing delivery to bulk drop points.

c. In the case of shortage, carriers or clerks must make all possible deliveries until the supply is exhausted, noting where delivery ended.

d. The supervisor will transfer excess pieces, as available within the office, to routes that receive an insufficient number of pieces.

e. Postmasters or other delivery unit managers will then contact the district congressional mailings coordinator to report the number of excess pieces or additional pieces needed for coverage, by specific route, Post Office box section, or general delivery indicator.

f. The district congressional mailings coordinator will take necessary action to transfer pieces for offices reporting excess to those needing additional pieces.

g. The district congressional mailings coordinator will also advise the local congressional office of any substantial excess or shortage of pieces received, by ZIP Code and carrier route, Post Office box section, or general delivery indicator. Assurance of total coverage must be given to the congressional office. The coordinator will obtain and follow instructions for disposal of the excess pieces from the congressional office. Excess pieces of simplified address congressional mailings must not be mailed to Postal Service Headquarters or to the Washington, DC, Post Office.

h. If the situation cannot be resolved, the congressional mailings coordinator must immediately contact the appropriate Government Relations representative at Postal Service Headquarters.

i. Where there is substantial difference between the number of pieces received and the number required for carrier routes, Post Office box sections, or general delivery, the congressional mailings coordinator must advise the district address management office. That office will compare the information provided by the coordinator with the *Congressional District Deliveries Report* database for the congressional district(s) involved and make any necessary corrections to the database.

j. Records must be kept as indicated in 491.3.

491.3 Recordkeeping

491.31 Postmasters or Subordinate Unit Managers

Postmasters or subordinate unit managers must keep records of any congressional mailings received at their offices, with particular attention to those received too late for timely delivery. These records must be kept for 6 months and must contain the following information:

a. Name of member of Congress.

b. Description/sample of mailpiece.

c. Number of pieces by carrier route and box section.

d. Requested date of delivery, if applicable.

e. Date and time received for delivery.

f. Date delivered.

g. Other actions taken.

491.32 Congressional Mailings Coordinator

When contacted with problems, congressional mailings coordinators must keep records. These records must be kept for 6 months and must contain the following information:

a. Delivery unit and manager requesting assistance and date of request.

b. Name of member of Congress.

c. Description of situation or problem.

d. Description/sample of mailpiece.

e. Name of Headquarters Government Relations representative contacted, if applicable, and date of contact.

f. Name of congressional staff member contacted, if applicable, and date of contact.

g. Other actions taken.

491.4 Congressional District Deliveries Report

491.41 Delivery Statistics

The Postal Service provides delivery statistics to members of Congress to allow them to prepare Enhanced Carrier Route Standard Mail using a simplified address. This data is provided through the *Congressional District Deliveries Report.* The *Congressional District Deliveries Report* provides carrier route, Post Office box, and general delivery information by 5-digit ZIP Codes within congressional districts.

491.42 Database Responsibility

Compiling and maintaining the *Congressional District Deliveries Report* database is the responsibility of the address management function within the Customer Service and Sales districts.

491.43 Congressional District Maps

Headquarters Address Management will provide current copies of applicable U.S. Census Bureau congressional district maps and other information to the address management offices within Customer Service and Sales districts. Also, the district address management office will, if necessary, obtain additional information from local election boards or other sources. This is done as needed and follows redistricting as a result of the decennial census or by legislative or judicial action. The address management offices within Customer Service and Sales districts must then enter the data for their Post Offices, stations, and branches into their address management system database.

491.44 Delivery Statistics Accuracy

491.441 Up-to-Date Information

All unit managers must provide their respective address management office with up-to-date carrier route, Post Office box, and general delivery statistics by congressional district. All personnel involved in establishing the congressional district delivery information within the address management system database must ensure the accuracy of this data. They must pay particular attention to accurate assignment of route data within congressional districts. Some offices may serve more than one congressional district and must list routes appropriately under the correct congressional district. Routes may be split among congressional districts, and the precise number of deliveries in each congressional district must be provided. Post Office box figures will be listed in the congressional district in which the facility is located.

491.442 Database Changes

If inaccuracies in the database become apparent, the district address management offices must immediately make the necessary database corrections.

491.5 Accounting for Franked Mail Entered at Post Offices Outside Washington, DC

491.51 Mass Mailings

Members of Congress occasionally enter mass mailings at local Post Offices outside Washington, DC. Members or their vendors must submit a PS Form 3615, *Mailing Permit Application and Customer Profile,* to the entry Post Office when the first franked bulk mailing is made there. The proper postage statements are also required for all such mailings entered locally. For billing purposes, these postage statements are to be entered into the Official Mail Accounting System (OMAS) side of the permit system. If the office processing these mailings is not on the permit system, forward the statements to the District Finance Office for input by the OMAS coordinator.

491.52 Individual Piece Mailings

491.521 General

Members of Congress make daily individual piece mailings from their state and district offices. Both the U.S. Senate and House of Representatives maintain systems for accounting for individual-piece franked mail entered by the member's state and district offices. The Postal Service accepts these methods for billing the Senate and House of Representatives for this mail.

491.522 Notification of Problems

Advise Government Relations, Headquarters, of any problem in serving a state or district office of a member of Congress.

491.523 Detention of Mail

Except in situations involving mail security (see ASM 274), franked mail must not be detained, even though there may be indications of abuse of franked mailing privileges. The mail must be promptly dispatched and delivered to the addressee. Report any indications of abuses to the rates and classification service center (RCSC). The RCSC must refer cases of abuse to the proper agency for investigation and action.

491.6 Handling Mail With Ancillary Service Endorsements

491.61 General

When making individual piece mailings, members of Congress may request services for undeliverable-as-addressed mail. In handling mail with these endorsements, employees must follow DMM F030 as well as this instruction.

491.62 Proper Address Correction Placement

Members of Congress use mechanical or automated equipment to update their mailing lists. Markings or endorsements that deface the original address prevent the return mail from being machine read. Consequently, the mailpiece must be processed manually at a high cost, or it may not be processed at all. Therefore, supervisors must ensure that all clerks, carriers, and other employees involved in handling mail with ancillary service endorsements do not mark on, strike through, or place a handstamp or forwarding label on the old address. All forwarding labels must be placed to the right and slightly below the original address. Other markings,

endorsements, and stamps also must be placed to the right of the address block.

491.63 Return of Address Correction Requested/Return Postage Guaranteed Mail

Undeliverable-as-addressed franked mail bearing a Washington, DC, return address and ancillary service endorsement must be sent to:

MANAGER DISTRIBUTION OPERATIONS
WASHINGTON DC P&DC
900 BRENTWOOD RD NE
WASHINGTON DC 20066-9702

Such mail must not be returned to the individual member of Congress responsible for the mailing.

491.7 Orange Bag Service — Expedited Congressional Mail

491.71 General

Orange bag service is an expedited mail service from members' Washington, DC, offices to either postal installations or the members' district or state offices. Orange bag service pouches bypass the outgoing primary processing operation at the Washington, DC, Post Office. Orange bag service is designed to provide overnight delivery of pouches addressed to a postal installation, if the pouches are available for collection from the House of Representatives and Senate Post Offices by 2:00 p.m. Every effort is also made to provide overnight delivery of pouches addressed to members' district or state offices, if they are available for collection from the House of Representatives and Senate Post Offices by 2:00 p.m. Orange bag service pouches collected at 5:00 p.m. from the House of Representatives and Senate Post Offices are to receive second-day delivery.

491.72 Types of Pouches

The two types of orange bag service pouches are as follows:

a. Orange bag service pouches addressed to postal installations. They contain franked First-Class Mail addressed to constituents and are intended to be opened and distributed. Congressional offices will attach PS Tag 11, *Congressional Mail,* to the pouch reading "Congressional Mail – Postmaster Open and Distribute." The congressional offices will also attach an appropriate air contract transportation (ACT) tag and label showing the appropriate destination processing facility.

b. Orange bag service pouches addressed to the members' district or state offices. These contain congressional mail going to the members' district or state offices only and are to be delivered to the congressional offices unopened. Members of the House of Representatives use their own plastic orange bags with the words "US HOUSE OF REPRESENTATIVES - PRIORITY MAIL - OFFICIAL USE ONLY" printed on them for firm direct purposes. The congressional offices will attach a PS Tag 11-A, *Congressional Mail,* to the pouch reading "Congressional Mail - Do Not Open - All for Firm on Pouch Label." The congressional offices will also attach an appropriate ACT

tag and a destination label reading as follows: city, state, ZIP Code on the first line; street address on the second line; and name of member of Congress on the third line.

Some congressional offices will place the date and time of dispatch on the back of the destination label in an attempt to monitor the orange bag service performance.

491.73 Material Used for Expedited Dispatch

The following material is required for the expedited orange bag service and can be obtained from the House of Representatives or Senate postal operations offices:

a. No. 2 orange pouches and plastic House of Representatives orange pouches.

b. Labels that identify the destination processing facility or Post Office, as appropriate for each type of pouch discussed in 491.72a and 491.72b.

c. Congressional mail PS Tag 11, *Congressional Mail,* and PS Tag 11-A, *Congressional Mail,* which are attached to the pouches to ensure proper handling as described in 491.72a and 491.72b.

d. Metal or plastic pouch seals, designed to lock the hasp over the metal staple on the pouch, ensuring that the pouch reaches its destination unopened.

e. Air contract transportation plastic cards and tags containing barcoded origin and destination information for billing and routing purposes.

491.74 Procedures

491.741 Congressional Offices

Congressional offices have the following responsibilities in preparing congressional mail:

a. Insert the destination label into the label holder on the orange pouch.

b. Make up individual letters, facing the same way, into mail bundles secured with rubber bands to prevent loose letters in the orange bag service pouch.

c. Distribute mail to the proper pouches. Pouches must not weigh more than 70 pounds.

d. Close and seal the pouches.

e. Ensure that the pouches reach the designated collection point before the scheduled Postal Service pickup.

491.742 Postal Service

The Postal Service has the following responsibilities for congressional mail:

a. Create the appropriate pouch labeling for all orange bag service destinations, based on Postal Service transportation and distribution networks.

b. Provide necessary training and instructions for mail makeup and pouch labeling.

c. Furnish all needed pouches, labels, tags, rubber bands, and other supplies.

d. Make scheduled collections of the pouches.

e. Dispatch the pouches to the required destinations on the next available transportation to meet service requirements.

f. Process and deliver these pouches in an expedited manner.

492 Political Campaign Mail

492.1 Introduction

492.11 General

The American electorate votes on numerous political offices and issues. Citizens cast ballots every 4 years for president, every 2 years for one-third of the U.S. senators and all members of the House of Representatives, and at varying frequencies for governorships and other state, county, and local offices and referenda measures. During the period preceding local, state, and national primaries, special elections, and general elections, the Postal Service accepts and delivers many political campaign mailings, frequently in large quantities. These mailings are made up by individual candidates and their campaign organizations, as well as by local, state, and national committees of political parties.

492.12 Postal Service Responsibility

The Postal Service is responsible for providing information to assist in the knowledgeable preparation and deposit of political campaign mailings, as well as for the proper acceptance, processing, delivery, and recording of these mailings.

492.13 Nonprofit Standard Mail Rates

Section 3626(e) of Title 39, U.S. Code, as enacted by Public Law 95-593, permits certain Standard Mail matter to be mailed by a “qualified political committee” at the Nonprofit Standard Mail rates prescribed for qualified nonprofit organizations (see DMM 703.1.0). Also see 492.23 for a definition of “qualified political committee” and 492.73 for the standards on what mail may be sent at the Nonprofit Standard Mail rates by qualified political committees.

492.2 Definitions

492.21 Political Campaign Mailings

Any material accepted for mailing at First-Class Mail or Standard Mail postage rates that is mailed for political campaign purposes by a registered political candidate, campaign committee, or committee of a political party is classified as a political campaign mailing. This type of mailing normally uses the address of a candidate’s campaign committee or the committee of a political party as the return address. Do not confuse political campaign mailings with official mailings by members of Congress under congressional franking privileges. See 491 for a discussion of congressional mail.

492.22 Registered Political Candidate or Party

An individual or organization recognized as such by the appropriate governmental election control authority is considered to be a registered political candidate or party.

492.23 Qualified Political Committee

Section 3626(e)(2) of Title 39, U.S.C., defines a qualified political committee for the purpose of eligibility for Nonprofit Standard Mail rates as follows:

a. The term qualified political committee means:

(1) A national committee of a political party.

(2) A state committee of a political party.

(3) The Democratic Congressional Campaign Committee.

(4) The Democratic Senatorial Campaign Committee.

(5) The National Republican Congressional Committee.

(6) The National Republican Senatorial Committee.

b. The term national committee means the organization that, by virtue of the bylaws of a political party, is responsible for the day-to-day operation of that political party at the national level.

c. The term state committee means the organization that, by virtue of the bylaws of a political party, is responsible for the day-to-day operation of that political party at the state level.

492.3 Premailing Assistance

492.31 General

Experience has shown that there will be no cause for criticism if all mailers of political campaign material are fully informed of postal requirements for prompt delivery and are assured of proper and equal handling of their mailings.

492.32 Responsibilities

Managers, Marketing, Customer Service districts, are responsible for ensuring proper premailing assistance to all committees of political parties, candidates for political office, and/or the candidates' campaign committees within their jurisdictions. Individuals designated by managers, Marketing, as directly responsible for contacting the committees of political parties, political candidates, and campaign organizations must follow the requirements in 492.36.

492.33 Identification of Candidates

Managers, Marketing, Customer Service districts, or their designees, must identify all candidates for election to political office who will be campaigning within their district's jurisdiction, as follows:

a. *Presidential Candidates.* Contact state campaign headquarters. Determine locations of mailings and notify appropriate Postal Service personnel for necessary follow-up.

b. *Congressional Candidates.* Identify all candidates for election to the Senate and House of Representatives and their principal campaign

offices and notify appropriate Postal Service personnel for necessary follow-up.

c. *State Candidates.* In statewide elections, identify gubernatorial and other candidates and their principal campaign offices and notify appropriate Postal Service personnel for necessary follow-up.

d. *Local Candidates.* Coordinate efforts with postmasters to identify all candidates and/or campaign organizations in those areas holding local elections for county, city, township, borough, parish, and other local offices, and ensure necessary follow-up.

492.34 Political Campaign Information Sources

State and local boards of election and offices of secretaries of state and county clerks generally can provide information on the names and headquarters of committees of political parties; candidates for federal, state, and local offices; and their campaign organizations.

492.35 Equal Assistance

Equal assistance must be provided to all committees of political parties, candidates, and candidates' campaign committees, including those that do not represent major parties.

492.36 Premailing Contact Requirements

Make contact with the committees of political parties, candidates, and the candidates' campaign organizations at the earliest opportunity to provide information on mail preparation requirements, mail handling procedures, and other matters discussed in 492.37. On-site assistance can be helpful, particularly with campaign volunteers, to identify problems in mail preparation and sack or tray labeling before deposit of the mailings. Emphasize the need to deposit the mailings at the earliest possible date before election day, particularly to candidates or organizations planning to avail themselves of the destination bulk mail center drop shipment rates. Keep records of all contacts, including a general summary statement covering the information provided to the candidates and campaign organizations.

492.37 Mail Preparation and Handling Information

At a minimum, provide committees of political parties, candidates, and the candidates' campaign organizations with information on the following:

a. Rates and fees, including automation and destination entry drop shipment rates.

b. Mailing permits and authorizations. See applicable sections of the DMM for instructions on obtaining permits and authorizations for mailing at various rates. National and state political committees may be given instructions on filing for Nonprofit Standard Mail rates as a qualified political committee, as found in DMM 703.1.0 and Publication 417, *Nonprofit Standard Mail Eligibility,* section 3-1. Instructions on obtaining authorization to mail at the Nonprofit Standard Mail rates at additional offices are also found in DMM 703.1.0 and Publication 417 section 3-2.

c. Restrictions on what may be mailed at the Nonprofit Standard Mail rates for state and national political committees (see 492.73). See also Publication 417 section 5-4, and chapter 6.

d. Preparation, makeup, and handling of mailings, including an explanation of ancillary service endorsements and address information products and services.

e. Availability and use of mailing supplies and equipment.

Note: PS Tag 57, *Political Campaign Mailing,* identifies campaign mailings during processing and distribution. PS Tag 57 is available from the Material Distribution Centers and is reusable. If mail is trayed and strapped, mailers should affix PS Tag 57 to the strap on the end of the tray near the tray label with a wire twist tie. If local postal instructions permit trays to be tendered without strapping, then PS Tag 57 should be affixed to the tray with a rubber band double looped through the handhold of the tray on the end near the tray label. Care should be taken to remove the tags from the trays after the campaign mail has been processed.

f. Business reply mail.

g. Disposition of undeliverable pieces.

h. Time frames for depositing mailings.

492.4 Processing and Delivery

492.41 General

All managers involved in processing and delivering political campaign mailings must ensure that each mailing is handled promptly and with equal care and attention.

492.42 Area Political Campaign Mail Coordinators

Area Vice Presidents must appoint an Area Political Campaign Mail Coordinator and provide the name, address, and phone number of the individual assigned that responsibility to each district manager under their jurisdiction and to the Vice President, Delivery and Retail, at Headquarters.

492.43 Late Deposit

Inform mailers attempting to deposit political campaign mailings that may be too late for delivery by the election date under Postal Service delivery objectives of the potential for late delivery. Document and maintain this advice. See 492.5.

492.44 Reports of Delays

Give immediate attention to any reported delay in processing or delivering political campaign mailings and fully document inquiries made and subsequent action taken (see 492.53).

492.45 Handling of Undeliverable as Addressed Mail

If a significant amount of a campaign mailing is received that is undeliverable as addressed, postmasters must inform the applicable campaign office before any action to dispose of such mail. Postmasters should also

coordinate any such situations with their Area Political Campaign Mail Coordinator.

492.5 Recordkeeping

492.51 General

Detailed records provide the basis for a documented and factual explanation of any complaints alleging improper handling of political campaign mailings. Maintain premailing assistance and processing and delivery records for a period of 6 months.

492.52 Premailing Assistance Records

Individuals designated to provide premailing assistance to committees of political parties, candidates, and the candidates' campaign organizations must keep records of all contacts, including a summary statement concerning the information provided to such mailers.

492.53 Processing and Delivery Records

Managers, Business Mail Entry, and postmasters must keep documented records of all political campaign mailings that are deposited or received at their offices, with particular attention to those deposited or received too late for timely delivery.

At a minimum, these documented records must include the following:

a. The name of the mailer.

b. A sample, photocopy, or description of the mailing.

c. The date and time the mailing was received for dispatch or delivery.

d. The election day deadline and, if applicable, the date of requested delivery.

e. If applicable, the approximate number of pieces not delivered before the election day deadline and/or the date of requested delivery and the reasons why delivery was not timely.

f. The approximate volume of any Standard Mail consigned to waste upon instruction by the mailer.

492.6 Answering Requests for Information

492.61 General

Answer requests for information concerning political campaign mailings as provided in regulations implementing the Freedom of Information Act (see Handbook AS-353, *Guide to Privacy and The Freedom of Information Act).* Do not compile information not regularly compiled for Postal Service use to respond to requests.

492.62 Field Managing Counsel Assistance

If uncertain regarding the disclosure of information concerning political campaign mailings, consult the Field Managing Counsel.

492.63 Questionable Requests

Promptly report to the Postal Inspection Service any questionable attempts to obtain information concerning political campaign mailings not properly subject to disclosure.

492.7 Revenue Protection

492.71 Nonprofit Standard Mail Rates

Qualified political committees may mail qualifying matter at the Nonprofit Standard Mail rates of postage. See 492.23 for definitions of qualified political committees. See also DMM 703.1.0 and Publication 417 for general information on how to apply for authorization to mail at these rates and the matter that is eligible for them.

492.72 Mailings Ineligible for Nonprofit Standard Mail Rates

Individual candidates and their campaign committees do not qualify to mail at the Nonprofit Standard Mail rates. Also, qualified political committees may mail only their own matter at these rates. Qualified political committees may not make cooperative mailings at the nonprofit rates involving matter on behalf of, or produced for, individual candidates or political organizations that do not qualify for Nonprofit Standard Mail rates. Such cooperative mailings must be paid at the applicable Regular or Enhanced Carrier Route Standard Mail rates. See PS Form 3602-EZ, PS Form 3602-N, PS Form 3602-NZ, PS Form 3602-R, and PS Form 3602-C for the certifications required of Nonprofit Standard Mail mailers. Also see Publication 417 sections 5.3 and 5-4.

492.73 Application of the Cooperative Mail Rules

492.731 General

Qualified political committees are subject to the cooperative mailing requirements. However, unlike cases involving cooperative mailings between an authorized nonprofit organization and a commercial organization, there is often an ongoing relationship between the qualified political committee and the committee's candidate. A political candidate may be connected to the authorized political committee mailer by being a member of and/or financial contributor to the political party represented by the committee. The committee is, of course, interested in promoting, encouraging, and supporting the candidate's election. Postal laws and regulations do not prohibit the candidate from contributing to the committee or the committee from supporting the candidate. The concern under postal laws and regulations is whether the political candidate's financial contribution to the authorized political committee is in return for the mailing or mailings that support the candidate.

Example — Proper use of contributed funds

Politician A is a member of the qualified political committee. The qualified political committee plans to include in a mailpiece information supporting politician A's candidacy for office and has asked the candidate for a biographical sketch. The candidate provides the information and makes a contribution to the qualified political committee. The qualified political committee will retain authority to accept or reject information provided by the

candidate, and the contribution by the candidate is not a contribution to pay for the mailing. This is not considered to be a cooperative mailing since the qualified political committee retained discretion over the decision to mail and the contents of the mailing.

492.732 **Maintaining Committee Control**

The following rules must be followed to ensure that the authorized political committee maintains control:

a. *Mailings.* An authorized political committee may mail election-related materials, including but not limited to candidate endorsements and sample ballots, at the Nonprofit Standard Mail rates if the materials are exclusively those of the authorized political committee. An authorized political committee may make political mailings in support of its candidates, provided that no monies contributed by the candidate to the qualified committee shall be specifically earmarked for use in making the political mailing or in return for the political mailing.

Example — Committee discretion retained

Politician B, a candidate for a statewide political office, mails a check to authorized political committee C, the state committee for his party. Politician B encloses a note with the check that says: "This check is for my pro rata share of a sample ballot." Committee C has mailed a sample ballot to state residents for the past five elections. However, committee C makes the decision on whether to send sample ballots on an election-by-election basis. Committee C has not had any discussions with politician B on this subject, nor has it reached an understanding with politician B that sample ballots will be produced and mailed. Committee C deposits politician B's check into its general fund to be used for committee expenses. Committee C will not return the check even if it decides not to mail sample ballots. Committee C later decides to mail sample ballots for the election in which politician B is a candidate. Notwithstanding politician B's contribution, this is not considered to be a cooperative mailing because committee C retained discretion whether or not to mail the sample ballots.

b. *Contributions.* A candidate may make or solicit contributions to a qualified political committee, provided that the committee retains absolute discretion over how the funds are spent. If the candidate or other nonqualified entity pays the preparation, printing, or postage costs for the mailing in return for the qualified political committee's agreement to make the mailing, that mail matter is not eligible for the Nonprofit Standard Mail rates.

c. *Mailing Support.* A political candidate may provide suggested copy, pictures, biographical information, or similar assistance requested by a qualified political committee that is preparing a mailing in support of the candidate. The qualified political committee may also ask a candidate to review a proposed mailpiece for accuracy. However, the qualified political committee must have final authority over the decision to mail the political matter and the contents of that matter.

Example — Improper candidate funding

Authorized political committee D announces the creation of a "Candidate's Coordinated Mailing Fund." Contributions to the fund will be used exclusively for mailings supporting candidates. Candidates E, F, G, and H contribute to the fund, and committee D makes a multicandidate endorsement for candidates E, F, G, H, and I. This would be considered a cooperative mailing. It would not be a cooperative mailing if (a) the fund created is not announced as one that will be used exclusively for mailings, and (b) committee D retained absolute discretion about whether to make the mailings at all.

492.733 Endorsements on Mail

Mailings by qualified political committees often bear endorsements such as "Paid for by [committee] and authorized by [candidate]." These endorsements are often required by federal or state law. The presence of these endorsements alone does not disqualify the mailing from being sent at the Nonprofit Standard Mail rates. The presence of factors discussed in the preceding sections of this chapter is required to find the mailing ineligible for the special rates.

492.74 Identification

The name and return address of the qualifying organization must appear either on the outside of the mailpiece or in a prominent location on the material being mailed at the Nonprofit Standard Mail rates.

5 Mail Transportation

51 Introduction

511 Objectives

The objectives of mail transportation policy are to collect, transport, and deliver mail expeditiously and to meet or exceed the service and quality standards established by the Postal Service™. Two conditions follow from this policy:

a. When selecting transportation modes, efficient delivery of the mail is given priority.

b. Whenever feasible, containerization and other modern methods of transporting mail are used.

512 Responsibilities

512.1 Headquarters

512.11 Operations Support Department

The vice president, Operations Support, directs and establishes national policies and programs to meet Postal Service transportation needs.

512.12 Logistics

512.121 Manager

The manager, Logistics, has the following responsibilities:

a. Implement Postal Service transportation policies.

b. Develop and monitor transportation programs.

c. Define contract requirements for air and rail transportation and international water transportation.

512.122 Managers of Distribution Networks

Distribution Networks establish and manage transportation systems in their assigned geographical area to meet the daily requirements for effective and reliable movement of mail. Managers, Distribution Networks, report directly to the manager of Operations Support in each of the 10 areas. Each Distribution Networks has the following responsibilities:

a. Direct the application of Postal Service transportation policy.

b. Develop and monitor transportation programs.

c. Solicit, negotiate, and award contracts for air taxi and highway transportation and related services.

512.2 Bulk Mail Centers (BMCs)

BMCs furnish their Distribution Networks office with a copy of the master route file report for all highway contract routes. Each time the master route file is updated, the BMC submits a new report to the manager, Distribution Networks. The master route file must be corrected as service changes occur.

52 Air Transportation Service

521 Authorization

The Postal Service is authorized to contract for domestic air transportation of mail under 39 U.S.C. 5402(b), and Section 1601(b)(1)(D) of the Airline Deregulation Act of 1978, Public Law 95-504, 92 Stat. 1745. The Postal Service contracting procedures and methods are formalized in the *Purchasing Manual*. Air transportation services must be procured under these rules.

522 Types of Service

522.1 System

System service is air transportation service generally over an air carrier's entire network as described in the *Official Airline Guide* (OAG). Suppliers carry mail for which uniform compensation is provided with a boarding priority after passengers and their baggage. Freight can be boarded only following the loading of mail.

522.2 Segment

Segment service is air transportation service between specific origin and destination pairs within specified time frames; suppliers carry mail at an agreed rate of compensation, with a boarding priority after passengers and their baggage. The supplier is not guaranteed minimum compensation, nor is the supplier required to guarantee a minimum lift capacity.

522.3 Network

Network service provides transportation between a defined number of cities and may operate through a common hub on a designated schedule. This type of service can be rendered either by aircraft totally dedicated to the Postal Service or by dedicated space shared with passenger and freight traffic. Network rates are established by contract between the carrier and the Postal Service Logistics office. Rates are stated as dollars per trip identified as line haul and terminal handling charges.

522.4 Air Taxi

Air taxi service is air transportation service provided by certificated federal acquisition regulations (FAR) part 125, 127, or 135 carriers within specified time frames between specific origin and destination pairs where the entire aircraft is dedicated exclusively to mail transportation at an agreed rate of compensation.

523 Contract Administration

Policies and procedures governing the transportation of mail by contract air carriers are outlined in Handbook PO-507, *Air Contract Administrative Procedures*; Handbook PO-509, *Air Taxi Contract Administration;* and subsequent management instructions.

524 Performance Monitoring

Performance monitoring procedures are established to ensure compliance with contract requirements. These procedures serve as a guideline for identifying mishandlings and other service failures that affect the delivery or security of the mail. Ramp and/or transfer clerks are responsible for recording mishandlings of mail by air suppliers.

525 Irregularities Reporting

Irregularities are documented on site by recording all pertinent elements from the air contract transportation (ACT) tag routing label, pouch, or tray slide label. To report irregularities for domestic air mail, complete and adjudicate PS Form 2759, *Report of Irregular Handling of Mail.*

526 Certification and Payment

The air contract data collection system (ACDCS) and air contract support system (ACSS) automate the certification and payment of mail to air suppliers under each type of contract. The Postal Service provides documentation to the air supplier, identifying mail assigned to a contract, contract data, and weight. The origin records are automatically transmitted to the St. Louis Accounting Service Center (ASC) on a frequency determined by the ASC. In locations where ACDCS/ACSS is not available, PS Form 2756, *Dispatch Record/Certification of Air Taxi Service Performed*, must be prepared by the administrative official, with a copy given to the supplier.

53 Highway Contract Service

531 Authorization

The Postal Service is authorized to contract for surface transportation of mail under 39 U.S.C. 5005. Regulations for procuring transportation contracts by highway are contained in the *Purchasing Manual* (see 4.5.8 and Appendix B for Contract Clauses). Procedural guidance regarding highway contracts is contained in Handbook PO-513, *Mail Transportation Procurement Handbook.*

532 Types of Service

532.1 General

There are three types of highway transportation contracts: regular, temporary, and emergency. Under each of these contract arrangements, service is procured for either transportation or box delivery. Transportation

contracts provide service between postal facilities, mailer plants, and similar facilities. Box delivery routes are similar to rural delivery service and provide home or business delivery of mail.

532.2 Regular

Generally, *regular* highway transportation contracts are competitively awarded. Contracts are normally awarded for a term of 4 years and are renewable by mutual agreement. An indemnity clause makes the Postal Service liable for the indemnity payment in the event the contract is terminated (for other than default) by the Postal Service before its scheduled expiration date.

532.3 Temporary

Temporary highway transportation contracts are similar to regular contracts, except they carry no indemnity provisions and they may not exceed 2 years in term. A one-time renewal term is allowed, not to exceed 2 years.

532.4 Emergency

Emergency highway transportation contracts are entered into to meet unusual needs when an emergency occurs that interrupts normal transportation services, such as a catastrophic event, strikes or labor disputes, death of a supplier and the estate will not continue service, suspension or removal of a supplier, or generation of unexpected mail volume. No emergency contract may remain in effect for more than 6 months without the approval of the next higher level of contracting authority. Emergency contracts may not be renewed.

533 Contract Administration

533.1 Contracting Officer

The contracting officer has sole authority for committing the Postal Service contractually; that is, to award, amend, terminate, or otherwise alter the contract provisions. The contracting officer also has final authority to approve or deny access to mail or equipment and route recommendations.

533.2 Administrative Official

Administrative officials are not authorized to award, agree to, amend, terminate, or otherwise change the provisions of the contract. Administrative officials are responsible for ensuring supplier compliance with the operational requirements of highway contract routes and administering functions related to performance of that service. Specifically, administrative officials are responsible for the following:

a. Supervising the supplier's operations daily to ensure contract compliance, including necessary recordkeeping.

b. Screening all suppliers, subcontractors, and contract employees who will have access to the mail.

Note: The contracting officer makes the final determination for either approving or denying access to mail and equipment.

c. Investigating irregularities and complaints regarding service on the route and taking corrective action as warranted under 535 and reporting to the contracting officer any full or partial trips not performed, including the miles of service omitted and the reasons for omission.

d. Recommending establishment, discontinuance, or modifications in existing routes. This is accomplished by completing PS Form 5407, *Highway Contract Route Survey/Service Change,* and forwarding it to the contracting officer, who has final authority on such matters.

534 Performance Monitoring

The contracting officer is responsible for monitoring supplier performance to ensure that the supplier provides all the services and equipment required under the terms of the agreement. To do this, the contracting officer appoints an administrative official to record contract performance on a day-to-day basis. This is generally the postmaster or manager of the facility where the highway contract route originates. The contracting officer outlines the duties and responsibilities of the administrative official and provides guidance and instruction for properly completing forms and otherwise documenting contract performance. The contracting officer may request additional performance reports, if necessary.

535 Irregularities Reporting

535.1 PS Form 5500

Use PS Form 5500, *Contract Route Irregularity Report,* to report contract route irregularities, including safety deficiencies involving highway contract route (HCR) vehicles and equipment, or a driver's unsafe work practices and procedures while on postal premises. Administrative officials, SCF postmasters, and supervisory officials at large installations other than Post Offices™ are authorized to issue PS Form 5500 to suppliers serving their facilities.

535.2 Administrative Officials' Actions

535.21 Review

Administrative officials review the irregularities reported and the supplier's comments in section 2 of PS Form 5500, consult with the supplier, and take appropriate corrective action.

535.22 Conference

535.221 Persistent Irregularities

If irregularities persist or become more serious, the administrative official arranges a conference with the supplier and the contracting officer (or a representative). At this conference, the administrative official informs the supplier of the number and gravity of the irregularities, of the need for immediate correction, and the serious consequences that will ensue if they are not corrected immediately.

535.222 **Memorandum for the File**

Following this conference, the administrative official writes a memorandum for the file, recording all pertinent statements made by each of the parties during the conference and sends a copy of this memorandum to the supplier and the contracting officer.

535.23 Written Warning

If the conference does not improve the service, the administrative official warns the supplier that the case will be forwarded to the contracting officer for appropriate attention if service does not improve within 3 days. This warning is confirmed by a letter advising the supplier that failure to correct the irregularities may cause termination of the contract for default. A copy of the letter must be sent to the surety or sureties, if any.

535.24 Recommendation

If service still has not improved by the end of the 3-day period, the administrative official forwards the complete file to the contracting officer. The memorandum transmitting the file must briefly describe the irregularities and recommend appropriate action.

536 Certification and Payment

536.1 General

When a contract has been signed by the supplier, the contracting officer (CO) forwards the signed contract to the St. Louis Accounting Service Center (ASC). The ASC makes payments for service performed only after a certified copy of the contract is filed by the CO to the ASC.

536.2 Omitted Service Deductions

The contracting officer, by considering circumstances and past records, must decide whether deductions should be made for service omitted. Deductions are not made for omitted service caused by catastrophes or acts of God. If deductions are warranted, the contracting officer prepares orders on PS Form 7440, *Contract Route Service Order*, as follows: “Deduct $___ for service not performed as shown below. Such amount to be deducted from the supplier’s pay for HCR transportation pay cycle ___ .” List service omitted and dates.

54 Rail Transportation Service

541 Authorization

In accordance with the provisions of 39 U.S.C. 5005, the Postal Service is authorized to contract with railroads for mail transportation whenever rail transportation meets delivery standards and is more economical than other modes of transportation. The type of service, frequency, and points served by rail carriers are specified by the Postal Service and set by contract.

542 Types of Service

542.1 Trailer and Container-on-Flatcar Service

542.11 Railroad Responsibilities

All major railroads provide trailer-on-flatcar (TOFC) service and container-on-flatcar (COFC) service between points on their respective systems. They also provide interline with other railroads for trailers destined to points beyond their system. Rail transportation services are almost universally provided as door-to-door service. The Postal Service is responsible for providing only a small percentage of the transportation to the origin ramp and from the destination ramp. The Postal Service, when appropriate, will accomplish the pickup or delivery by postal vehicle service or highway contract service. Otherwise, the railroads are responsible for providing inbound and outbound drayage as well as maintaining a pool of trailers at selected sites. This mail service is authorized by contract arranged by solicitation (request for proposals).

542.12 Trailer Dispatch

Providing a trailer to the rail carrier after the cutoff time usually causes a 24-hour delay to the mail. Therefore, managers at facilities that dispatch rail trailers must know the contracted cutoff times. At the rail ramp, trailers are loaded onto flatcars. The flatcars are then made up in appropriate blocks by destination. In most cases, solid trains of TOFC/COFC are operated; occasionally, however, flatcars are transported in regular freight trains. In any case, the railroads are responsible for maintaining service as specified in the contract.

542.2 Passenger Train Service

The National Railroad Passenger Corporation (Amtrak) is the primary railroad carrying passengers nationwide. Amtrak also transports U.S. Mail® on selected trains. Due to the unique service Amtrak provides, this contract is a sole-source procurement. All terminal handling operations are provided by Amtrak and are included in the line haul rate.

543 Contract Administration

543.1 Contracting Office

National Mail Transportation Purchasing, Headquarters, is the contracting office for all rail transportation contracts. The contracting office is responsible for executing all contracts and amendments.

543.2 Administrative Official

Administration of rail contracts is delegated to Modal Operations and Requirements and the local distribution networks manager, primarily to ensure that rail contracts provide the service to which they are committed.

544 Performance Monitoring

All officers and employees of the Postal Service involved in rail transportation functions are responsible for monitoring railroad contract service

performance. Administrative officials are responsible for periodic reviews and spot audits of certifications for accuracy and adherence to prescribed procedures. When appropriate, reports and recommendations are submitted to the contracting office regarding service performance.

545 Irregularities Reporting

545.1 Rail Management Information System

Irregularities for freight rail traffic are identified and reported through the Rail Management Information System (RMIS). Irregularity reports are generated electronically, based on data that is manually collected and inputted through keyboards at BMC control centers (see 546.6).

545.2 Contracting Office's Actions

The contracting office may assess fines against railroads, divert traffic, or terminate a contract for various contract failures. Primarily, these failures include failure to transport mail according to the contract and failure to observe contracted transportation schedules.

545.3 PS Form 5179, Notification/Record of Mail Irregularity, Amtrak

For irregularities concerning Amtrak, prepare PS Form 5179, *Notification/ Record of Mail Irregularity,* and distribute it according to the instructions on the form.

546 Certification and Payment

546.1 General

The RMIS generates reports that are used for monitoring performance and recording and certifying payment of all services performed by railroads other than Amtrak under the terms of the contracts, including detention charges. On a weekly basis, the rail carriers are provided Report No. LAB 440P3, *RMIS Car Van Line Report*, and Report No. LAB 330, *Detention/Misuse Report*, by the St. Louis ASC. After a review, the rail carrier certifies the accuracy of the form and returns the certification to the ASC to initiate payment.

546.2 Noncontract Service

There are times in mail transportation service when trailers are transported over routes or segments for which there are no rates in effect. This can be caused by the origin office giving incorrect routing instructions or the origin rail carrier misrouting a trailer. The following instructions establish a standard operating procedure to make payment when there are no rates in effect:

a. All claims for line haul irregularity payments from the rail carrier must be submitted to the origin Distribution Networks for review. Claims for accessorial charges, such as detention, must be submitted to Distribution Networks where the claim applies.

b. After reviewing the documentation, Distribution Networks prepares and submits an electronic PS Form 5994, *Payment Adjustment Authorization for Railroad Service Performed.*

546.3 Electronic Data Interchange

Electronic data interchange (EDI) is the electronic interchange of data between computers. This is the preferred means by which routings are passed to the rail carriers and by which the rail carriers transmit car locator messages (CLMs) to the Postal Service. In cases of conflicting routing instructions between EDI and handwritten instructions, the EDI transmission is considered correct. Usually, this form of communication results in correctly rated payments to the rail carrier.

546.4 PS Form 5186, Mail Movement Routing Instructions

In addition to the EDI transmission, PS Form 5186, *Mail Movement Routing Instructions*, is prepared by the dispatching office. To minimize incorrect routing instructions caused by the Postal Service, offices capable of generating electronic routing slips, which mirror the EDI transmission, must do so. All other offices must use National Air and Surface System (NASS) products to manually prepare PS Form 5186. NASS products are provided by Distribution Networks.

546.5 Misroutings

546.51 Misroutings Caused by Rail Carrier

546.511 Rail Payment Certification

In the event a rail carrier mishandles a trailer of mail, an adjustment may be needed to facilitate rail payment certification.

546.512 Receipt of Claim

Upon receipt of a claim and substantiating documentation from the railroad for misrouted trailers for which there is not a rate in the contract, the origin Distribution Networks must investigate the claim to ascertain that it is correct and prepare a file, complete with documentation and verification of arrival at destination, for the contracting office's signature. Distribution Networks will forward the documentation file to the contracting officer and prepare an electronic PS Form 5994, *Payment Adjustment Authorization for Railroad Service Performed*. After reviewing the documentation and making a positive determination of its applicability, the contracting officer will approve the electronic PS Form 5994 and file a screen print of it and the applicable documentation. The St. Louis ASC will complete payment to the railroad upon receipt of the electronic PS Form 5994.

546.513 Contract Segment Rate

If the claim includes contract segments for which a rate is in the contract, but the Postal Service was instrumental in causing the misrouting, Distribution Networks will prepare the PS Form 5994 based on the contract rates and send the PS Form 5994 directly to the St. Louis Accounting Service Center (ASC). The documentation will be kept on file at Distribution Networks.

546.514 **Carrier Misrouted Mail**

If the rail carrier misrouted the trailer and was provided a correct routing by the Postal Service, the carrier providing transportation will be paid for service provided. The carrier who misrouted the trailer will be held responsible for excess costs in accordance with MI PO-540-2001-4, *Rail Payments Manual Processing.*

546.52 Exceptional Misroutings

If a misrouting occurs that is not covered by the procedures contained in these instructions, the processing office should contact the contracting officer (or designee) for further instructions.

546.6 Noncompliance Deductions

546.61 Penalty Actions

Fines and deductions for irregularities and omissions in handling and transporting U.S. Mail may be assessed against railroads as specified in the contracts. The purpose of these penalty actions is to maintain proper standards of service and to ensure that necessary remedial action is taken.

546.62 Procedures

The RMIS provides reports that describe noncompliant activities on a trailer-by-trailer basis. The ASC provides a copy of these reports to the contracting officer, the rail carrier, the origin and destination Distribution Networks, and the bulk mail centers in those areas where the movement originated or destinated. The railroad may request relief from the contracting officer, with appropriate documentation, for fines over $500 or involving certain holidays. To allow for Postal Service error and *force majeure*, the railroad is given 10 percent relief automatically on all remaining fines. The remainder after this process is completed is assessed against pending revenue without further consideration. Distribution Networks monitors the reports for repeated or serious offenses and recommends actions, up to and including termination, to the contracting officer. The contracting officer will review the recommendation and make a final determination.

55 Water Route Service

551 Authorization

The Postal Service is authorized under 39 U.S.C. 5005 to enter into contracts with carriers for the transportation of mail by water routes. The U.S. Code lists transportation of mail by surface water carrier and addresses transportation of mail by vessel separately in chapter 56, which primarily concerns water transportation of mail to international destinations. Regulations for procuring transportation contracts by water are contained in the *Purchasing Manual* (see 4.5.8 and Appendix B for Contract Clauses). Policies regarding water contracts are contained in Handbook PO-513, *Mail Transportation Procurement Handbook.*

552 Types of Service

552.1 Domestic Inland Water Contract

The Postal Service contracts for the transportation of mail in vessels over rivers, lakes, bays, and, in some cases, seas, when necessary to effect the timely and cost-effective delivery of mail. Domestic inland water contracts serve points within the 48 contiguous states or between points within Alaska, Hawaii, or U.S. territories and possessions. Typically, such contracts involve the use of small boats and ferries rather than ships. Domestic inland water contracts may include provisions that require box delivery, collection, and other services similar to those furnished by highway suppliers or rural carriers. Domestic inland water contracts may serve homes on rivers, islands, or other points accessible by surface water transportation only, or may traverse bodies of water as a means of providing the most efficient type of service.

552.2 Domestic Offshore Water Contract

A contract for the transportation of mail in vessels between points in the 48 contiguous states and points in Alaska, Hawaii, or U.S. territories and possessions is identified as a domestic offshore water contract. Domestic offshore water contracts typically provide for mail to be transported in seagoing containers in vessels across oceans.

552.3 International Ocean Contract

Contracts with United States or foreign-flag carriers are used to transport mail by vessel from points in the United States or its territories and possessions to points in foreign countries. These contracts are for service in which mail is loaded into containers and sealed by Postal Service employees, then transported by steamship (ocean carrier) companies across oceans to postal administrations in foreign countries.

552.4 International Ocean Per Pound Service

When volumes cannot be generated that make container service economical and service oriented, container loads may be tendered to U.S. and foreign-flag steamship companies for transportation in accordance with a fixed schedule of rates established in chapter 4 of the *Purchasing Manual,* or a rate negotiated by the appropriate Postal Headquarters organization.

553 Contract Administration

553.1 Domestic Inland Water Contracts

The administration of domestic inland water contracts is the same as that covering highway transportation contracts as set forth in 533. Service is solicited by contracting officers and contracts are entered into by the contracting officer. Administrative officials for domestic inland water contracts are assigned in the same manner as for highway contracts.

553.2 Domestic Offshore Water Contracts

Domestic offshore water contracts are solicited for and awarded by contracting officers. Domestic offshore water contracts are similar to international ocean contracts because, in most cases, service is provided by carriers that also serve international ocean transportation routes. Administrative officials for domestic offshore water contracts are assigned in the same manner as for highway contracts.

553.3 International Ocean Contract

International ocean contracts are solicited and awarded by National Mail Transportation Purchasing. The contracting officer assigns administrative functions to administrative officials, usually the managers of the foreign exchange facilities.

553.4 International Ocean Per Pound Service

Postal employees authorized to dispatch international mail may tender properly processed and documented mail to carriers who provide ocean-going service that meets the service needs of the Postal Service and agree to accept payment at the prescribed rates.

554 Performance Monitoring

The contracting officer is responsible for monitoring supplier performance to ensure that the supplier provides all the services and equipment required under the terms of the agreement. To do this, the contracting officer appoints an administrative official to record contract performance on a day-to-day basis. This is generally the postmaster or manager of the facility where the water contract route originates. The contracting officer outlines the duties and responsibilities of the administrative official and provides guidance and instruction for properly completing forms and otherwise documenting contract performance. The contracting officer may request additional performance reports, if necessary.

555 Irregularities Reporting

555.1 PS Form 5500, Contract Route Irregularity Report

Use PS Form 5500, *Contract Route Irregularity Report*, to report contract route irregularities, including safety deficiencies involving water contract route (WCR) vehicles and equipment or a driver's unsafe work practices and procedures while on postal premises. Administrative officials, SCF postmasters, and supervisory officials at large installations other than Post Offices are authorized to issue PS Form 5500 to suppliers serving their facilities.

555.2 Administrative Officials' Actions

555.21 Review

Administrative officials review the irregularities reported and the supplier's comments in section 2 of PS Form 5500, consult with the supplier, and take appropriate corrective action.

555.22 Conference

555.221 Irregularities

If irregularities persist or become more serious, the administrative official arranges a conference with the supplier and the contracting officer (or a representative). At this conference, the administrative official informs the supplier of the number and gravity of the irregularities, the need for immediate correction, and the serious consequences that will ensue if the irregularities are not corrected immediately.

555.222 Memorandum for File

Following this conference, the administrative official writes a memorandum for the file recording all pertinent statements made by each of the parties during the conference and sends a copy of this memorandum to the supplier and the contracting officer.

555.23 Written Warning

If the conference does not improve the service, the administrative official warns the supplier that the case will be forwarded to the contracting officer for appropriate attention if service does not improve within 3 days. This warning is confirmed by letter, advising the supplier that failure to correct the irregularities may cause contract termination for default. A copy of the letter must be sent to the surety or sureties, if any.

555.24 Recommendation

If service still has not improved by the end of the 3-day period, the administrative official forwards the complete file to the contracting officer. The memorandum transmitting the file must briefly describe the irregularities and recommend appropriate action.

556 Certification and Payment

556.1 General

When a contract has been signed by the supplier, the contracting officer forwards the signed contract to the St. Louis ASC. The ASC makes payments for service performed only after a certified copy of the contract is filed by the contracting officer to the ASC.

556.2 Omitted Service Deductions

The contracting officer, by considering circumstances and the terms of the contract, must decide whether deductions should be made for service omitted. If deductions are warranted, the contracting officer prepares orders on PS Form 7440, *Contract Route Service Order.* Such amounts will be deducted from the supplier's pay.

56 International and Military Mail Transportation Service

561 International Mail

561.1 Authorization

International mail consists of all civilian letters and cards (LC), printed matter (AO), and Parcel Post (CP) exchanged between the United States and foreign administrations. Foreign mail refers to the exchange of mail between civilian postal administrations. The Acts of the Universal Postal Union (UPU) and the Postal Union of the Americas, Spain, and Portugal (PUASP) govern the international exchange of letter-class mail and printed matter. Policy covering distribution, routing, and transportation of international mail is the responsibility of International and Military Mail Operations, Logistics, Headquarters.

561.2 Types of Service

561.21 Airmail

All classes of international mail (LC, AO, CP) are transported by air under the following guidelines:

a. The foreign postal administration authorizes this type of service.

b. The specified airmail postage rates are paid (see 521 and the *International Mail Manual* (IMM) for authorizations and rates).

561.22 Surface Mail

All classes of international mail (LC, AO, CP) are given surface transportation when the surface postage rates are paid (see IMM for rate information).

561.23 International Surface Air Lift™

See IMM 293.

561.24 International Priority Airmail

See IMM 292.

561.3 Transportation Selection

561.31 Airmail

International airmail is offered to American flag air carriers at a rate established by the Department of Transportation (DOT). Where no American-flag service exists or the existing service is inadequate, mail can be offered to a foreign-flag air carrier. Instructions governing the distribution and routing of international airmail to the appropriate U.S. gateway exchange office and from there to the foreign postal administrations are published by International Network Operations. Detailed explanations and procedures for handling international airmail appear in Handbook T-5, *International Mail Operations.*

561.32 Surface Mail

International surface mail is transported by both American- and foreign-flag carriers at a pound rate or under contract for full container loads. The regulations governing both loose bag (pound rate) shipments and container

contracts appear in the *Purchasing Manual*. Instructions governing the surface transportation of international mail, including distribution to the appropriate U.S. surface international exchange office and from there to foreign postal administrations, appear in Handbook T-5, *International Mail Operations.*

562 Military Mail

Military mail is that mail addressed to or between the United States, its territories and possessions, and overseas military Post Offices (APOs and FPOs). The military postal system is an extension of the domestic postal system, regarding postage rates, mail acceptance, handling, and domestic transportation. Detailed instructions on the handling and dispatch of military mail are included in Handbook T-7, *Distributing, Dispatching, and Transporting Military Mail by Air.*

57 Mail Transport Equipment

571 Policy Overview

Mail transport equipment (MTE) is a system of containers (including sacks and pouches, trays, wheeled containers, pallets, etc.) used to contain mail during processing or transportation within or between facilities by the Postal Service, its customers, or contractors. The design, procurement, and use of each item requires servicewide implementation of concepts that help achieve the safe, secure, and timely movement of mail with a minimum of handling and effort.

572 Organizational Goals

572.1 General

The efficient management of mail transport equipment is essential to achieve the U.S. Postal Service's goals, particularly in the areas of safety, customer service, productivity, and energy conservation.

572.2 Safety

Safety is a primary concern of the Postal Service, and all employees have an ongoing obligation to ensure a safe work environment. Newer, more efficient mail transport equipment reduces the need for bending or lifting. When properly utilized (including the avoidance of overloading), this equipment also allows large amounts of mail to be moved easily with greater stability and reduced risk of injury. Defective mail transport equipment is to be promptly removed from service for repair or disposal. See 583 for further guidance.

573 Principles of Containerization

573.1 Policy

It is the policy of the Postal Service to containerize all classes of mail wherever possible. Containerization increases operational efficiency, reduces damage to the mail, and minimizes employee injuries.

573.2 Planning

573.21 General

Planning is as essential to efficient, cost-effective containerization as are the containers themselves. Containers produce cost savings when they are used properly and if they are available when and where they are needed.

573.22 Operations

Operational planning ensures the most efficient use of appropriate containers within or between specific operations. It considers the efficient use of existing transportation to return the containers for reuse. Excess mail transport equipment must not be stored at facilities. Container planning procedures are contained in Handbook PO-502, *Container Methods.*

574 Mail Transport Equipment Types

574.1 Multipurpose Containers

Multipurpose containers are designed to transport all types of mail between designated facilities. Handbook PO-502 contains detailed descriptions, authorized applications, and procedures for using multipurpose containers. There are three types of multipurpose containers:

a. *General purpose mail containers* (GPMCs). GPMCs are nestable (i.e., they can be stored in an L-shaped configuration), four-sided, transport and distribution containers.

b. *Eastern region mail containers* (ERMCs). The ERMC is an adaptation of the GPMC. With the addition of a plastic liner, a full height web door, tow bar, and coupler pin, it becomes a versatile container for smaller, bulk loaded items. The ERMC can be equipped with a removable tow pin for use with BMC tow conveyors.

c. *Post-Con Containers*. The Post-Con was replaced by the GPMC and ERMC and is no longer procured. Although there are still some Post-Cons in use, the container is now considered obsolete.

574.2 Tray Containers

574.21 Description

There are three distinct types of tray containers. Each has wheels. The tray cart (two sizes) consists of an angle iron framework with fixed shelves. The A-frame container has fixed inward-sloping shelves. The letter tray transport (two sizes) makes use of runners rather than shelves to secure letter trays in place.

574.22 General Uses

Tray containers have the following general uses:

a. Tray carts are designed to be used in conjunction with four-sided plastic letter trays, managed mail trays (MM trays), and flats trays. They are suited for use as a staging unit for trayed mail or as a mail transport unit.

b. Letter tray transporters have two main functions: storing and transporting four-sided plastic letter trays.

c. A-frame containers were originally designed for use with three-sided plastic letter trays, but the height between the shelves makes them equally well suited for use with four-sided plastic letter trays and MM trays.

Note: See Handbook PO-502 for detailed descriptions, authorized applications, and procedures for using tray containers.

574.3 Bulk Mail Containers

574.31 Description

In-house containers are four-wheeled, flatbed platforms with end frames. They are equipped with a tow pin assembly, a code card holder, and a chalkboard for routing information. Over-the-road containers (OTRs) are large heavy-duty aluminum containers designed to move bulk business mail and Parcel Post. This container has two doors. One is full length and is designed for use with automatic container unloaders or for safe manual unloading of bulk items. The other is half length and opens from the top of the container. OTRs are equipped with a handbrake, a front maneuvering bar, chalkboard, and a tow pin. OTR Amtrak containers are similar to OTR containers but are equipped with a longer tow pin for securing the containers to the floors of Amtrak railroad baggage cars. The tops of Amtrak containers are painted red, and they bear the warning “FOR AMTRAK USE ONLY.” Amtrak containers are also equipped with two safety latches to prevent accidental opening of the full length door during transit.

574.32 General Uses

In-house containers are used only in bulk mail centers (BMCs). They are useful for staging and transporting sacks, nonmachinable outsides (NMOs), and other equipment. Use OTRs to transport bulk mail between a bulk mail center and the processing and distribution centers, stations, and branches within the BMC service area. Amtrak containers are to be used only to carry First-Class Mail® and Periodicals shipped via Amtrak and between Amtrak stations and the primary shipping/receiving postal facilities. They will not be used as substitutes for OTR or multipurpose containers. Empty excess Amtrak containers should be transported via Amtrak to Springfield, Massachusetts, for staging.

Note: Detailed descriptions, applications, and procedures for using bulk mail containers may be found in Handbook PO-502.

574.4 Platform Trucks/Trailers

574.41 Description

There are several sizes of platform trucks and trailers. All are low, wooden platforms mounted on wheels and casters with a detachable pipe frame at each end to restrain loads.

574.42 General Uses

All platform trucks and trailers are designed for the in-plant movement of bulk mail.

574.5 Hampers

574.51 Description

Hampers consist of a high strength steel wire frame mounted on a wooden base and with a canvas liner installed on the frame. Large hampers move on six casters. The two center casters are stationary. Directional control is achieved by using the corner casters, which rotate 360 degrees. The smaller hampers have four casters. Hampers are available in two sizes and are stackable and nestable.

574.52 Authorized Uses

The following are the only authorized uses for hampers:

a. Both the 1046 and 1033 hampers may be used to distribute parcel post, irregular parcel post, and bundles.

b. The 1046 hamper may be used to distribute letter and flat bundles if the receiving operation is equipped with a mechanized hamper dumper.

c. The 1046 hamper may be used to dispatch letter and flat bundles between offices if more efficient containers are not available.

d. Both sizes of hampers may be loaned to mailers for use in preparing mail, provided that the mailers adhere to the restrictions of this section. Hampers may not be used for any other purpose than transporting mail between a mailer's plant and the dispatching postal facility. Hampers and all other MTE lent to mailers may not be used to store or transport nonmail (unfranked/unaddressed) materials within or between a mailer's work areas. Local postal management must ensure strict compliance with this requirement.

e. Hampers may be used in collection vehicles if they can be safely loaded and unloaded (e.g., by use of a hydraulic tailgate, dock leveler, or a scissors lift).

f. When more suitable equipment is not available, hampers may be used to distribute, store, and work empty MTE such as sacks, pouches, and MM trays.

g. Hampers may be used by carriers in the delivery units to transport mail to their vehicles so long as care is taken to place the emptied hampers in secure storage, protected from exposure to the elements and excessive dirt. Trays and flats must be loaded on top of parcels.

Note: Handbook PO-502 contains detailed descriptions, applications, and procedures for platform trucks, trailers and hampers.

574.6 Special Purpose Containers

Collection box inserts, CON-CON containers, wiretainers, and utility carts are special purpose containers. The following is a description of each:

a. *Collection box inserts* are tapered, lightweight, corrugated fiberboard or corrugated plastic tote boxes with handholds on either side and sturdy wire rims installed at the top. They are used to catch mail deposited in collection boxes and to make preliminary sortings of collection mail into other containers.

b. *CON-CON containers* are lockable Registered Mail® containers that are rectangular and are made of heavy-duty plastic. The CON-CON base is blue, and the top is red. Each container is serially numbered and equipped with a label holder on the top. They are made in three sizes. CON-CON containers are used only for the dispatch of Registered Mail.

c. *Wiretainers* are made of rigid steel wire mesh material. Three types were purchased for Postal Service use: collapsible, noncollapsible, and noncollapsible with casters. All are stackable and equipped with a side door for manual loading or unloading. These containers make efficient use of vehicle capacity when stacked.They are used in SCF (incoming mails), in opening units (Operation 115) as a distribution unit, and as a mail transport container for the dispatch of Operation 115 outgoing mail, parcel post, and nonmachinable outsides (NMOs).

d. *Utility carts* are wheeled, chrome- or zinc-plated, grocery style carts with a canvas liner installed on the frame. The basket of the container is hinged and can be tilted to various angles. The cart is nestable when the basket is in the vertical position. They are especially useful on the sweep side of letter sorting machines (LSMs), in the separation of bundled mail, irregular parcels and pieces (IPPs), or in culling operations. Because of their size, their use is limited in distribution operations. They are not suited for dispatching.

574.7 In-Plant and Surface Trays

574.71 Description

In-plant and surface trays include four-sided plastic letter trays (two types), four-sided plastic flat trays, three-sided flat trays, small parcel and bundle trays, and multipurpose, four-sided corrugated plastic trays. All except the three-sided flat tray (which is solid fiberboard) are constructed of durable, crack- and shatter-resistant corrugated or hard plastic. All have convenient handholds at either end to facilitate safe manual handling.

574.72 General Uses

The following are general uses for the trays listed above:

a. Four-sided plastic or corrugated plastic MM trays are suited for preparing, transporting, and staging mail. This tray remains very stable when stacked. There are three types in service: the corrugated plastic MM tray, the solid plastic trays with memory tabs, and solid plastic trays without memory tabs. Trays with metal memory tabs are for use in facilities with exclusively automated sorting. Trays with memory tabs must remain in those facilities.

b. Four-sided plastic flats trays are suitable for use as an in-plant mail transport unit and as mail staging units. They have limited applications in mail preparation operations.

c. Three-sided flats trays are designed for use as flats distribution receptacles in conjunction with multipurpose containers equipped with optional shelves.

d. Small parcel and bundle trays are designed to be used with the small parcel and bundle sorter (SPBS), and to transport small parcels and bundles between in-plant locations. The SPB tray is made of corrugated plastic, has a maximum capacity of 70 pounds, and measures 17-3/4 inches by 20 inches at the top, and 14-3/4 inches by 16-2/5 inches on the bottom.

e. Multipurpose, four-sided corrugated plastic trays are designed for use with automated flat sorting machines.

Note: Handbook PO-502 contains detailed descriptions, authorized use, and procedures for in-plant and surface trays.

574.8 Pallets

574.81 Description

Pallets are rigid plastic, wooden, or presswood platforms on which mail is stacked for movement as a single unit. Three types of pallets are used. The standard Postal Service pallet is made of molded wood fiber (presswood) and permits four-way forklift entry. The hardwood pallet also permits four-way entry, but it is stackable rather than nestable. The plastic pallet is nestable, offers a longer life span, and also permits four-way entry. All pallets are 48 by 40 inches in size and are listed in the Equipment Inventory Reporting System (EIRS) inventory.

574.82 General Uses

Pallets generally contain plant-loaded mail that has been verified and accepted at the mailer's plant. Pallets are also used for drop shipments of Periodicals and Standard Mail®.

Note: Handbook PO-502 contains detailed descriptions, authorized uses, and procedures for pallets.

574.9 Sacks and Pouches

574.91 Sacks

Sacks are containers made of sewn fabric, usually nylon, polyester, canvas, or plastic, with an opening at one end. A cord or drawstring is threaded through the metal grommets or openings in the fabric. A metal or plastic cord fastener provides a positive closure for the sack and doubles as a label holder. The metal grommets are also used to hang the sack on the hooks of a sack rack. See Handbook PO-502 for detailed descriptions.

Note: Handbook PO-502 contains detailed descriptions, authorized uses, and procedures for sacks.

574.92 Pouches

Pouches are also made of sewn nylon, cotton, or polyester fabric with an opening at one end. Unlike sacks, however, pouches have a leather neck strap with a closing latch and eye attached near the opening. Metal grommets are provided for hanging the pouch on the hooks of a pouch rack. A label holder is riveted to the inside of the opening above the neck strap.

575 Locks and Closures

575.1 Locks

575.11 General Uses

Locks are used for sealing Registered Mail and other valuable mail shipments. They are also used for locking surface pouches of First-Class Mail.

575.12 Description

The Postal Service uses LA and rotary locks. The LA lock is U-shaped, and made of brass or steel. The words “U.S. Mail” are engraved on the front of the lock. The rotary lock is oval and made of brass. The words “Registered U.S. Mail” are engraved on the front of the lock. The back of the lock is engraved with the accountable serial number.

575.13 Specific Uses

Handbook PO-502, *Container Methods*, contains information concerning the authorized uses of rotary and LA locks and associated security guidelines.

575.2 Closures

575.21 Purpose

A twist closure device is used to ensure that a pouch does not open inadvertently after it has been closed. The device is placed through the staple of the pouch and keeps the hasp from springing open. Pouches currently being manufactured have a twist staple and do not require the closure device.

575.22 Description

Twist closure devices are made of plastic and are configured in a horseshoe-shaped design. Unlike seals, they are not accountable and are not assigned a special serial number.

58 Mail Transport Equipment Handling Policy

581 Loan of Mail Transport Equipment

581.1 Policy

Mail transport equipment (MTE) owned by the Postal Service may not be furnished to, or retained by, a mailer for the mailer's internal operating use or personal convenience. The use of MTE is a courtesy extended by the Postal Service to convey mail to and from postal installations.

581.2 Uses and Abuses

MTE may be used *only* to transport mail. Any other use of MTE (e.g., storing or transporting records, equipment, waste, or other MTE) is specifically prohibited. Foreign-owned MTE may not be used to transport U.S. Mail or for storage of U.S. Mail transport equipment. Accordingly, MTE may not be used to transport foreign mail that is not intended for dispatch through the U.S. Postal Service. Specific applications are outlined in Handbook PO-502.

581.3 Private Mailer Usage

Postmasters or BMC managers may authorize the loan of MTE to private mailers for the following purposes, when it is advantageous to the Postal Service:

a. Delivering mail to local Post Offices, branches, or stations.

b. Shipping mail to designated mail deposit points for entry into the postal system.

581.4 Responsibilities

581.41 Authorized Lenders

Postmasters, BMC managers, and other officials authorized to lend mail transport equipment must do the following:

a. Advise borrowers of their responsibilities and liabilities.

b. Periodically review the use and care of lent MTE.

c. Ensure that lent MTE is not abused and is returned to the Postal Service within a reasonable time.

581.42 Authorized Borrowers

Borrowers are responsible for the following:

a. Proper use, care, and return of MTE.

b. Financial liability for the loss or destruction of MTE. Private mailers are financially liable to the Postal Service for the current replacement cost of mailbags and other equipment that is lent to them and is lost, damaged, or destroyed while in their custody.

582 Mail Found in Supposedly Empty Equipment

582.1 Finder's Responsibility

Any postal facility finding mail in supposedly empty mail transport equipment should first forward the mail to its destination (see 582.2) and also notify the originating office (if it can be identified) on PS Form 5049, *Mail Found in Supposedly Empty Equipment*. Those facilities receiving complaints about their operations on PS Form 5049 must review their operations and initiate appropriate steps to avoid a recurrence.

582.2 Written Reports

Whenever a delay exceeds 90 days, a letter of explanation to the addressee must accompany all First-Class Mail, Express Mail®, or other classes of mail that is time dated or of obvious value. Whenever Express Mail, registered, insured, or COD shipments are found, a detailed written report must be sent to the originating office so that a check can be made against the index record of claims.

583 Defective or Damaged Mail Transport Equipment

583.1 Damaged Wheeled Containers

583.11 Policy

Damaged containers are hazardous. They must not be used until they have been repaired and are judged to be in safe operating condition. All unsafe or unserviceable equipment should be red tagged by tying a PS Form 4707, *Out of Order* (tag), on the equipment. Red tagged equipment must be removed from service and dispatched without delay to the appropriate repair center.

583.12 Common Defects

Any one of the following common container defects render a container unsafe and immediately unserviceable:

a. Broken or torn metal.

b. Broken or improperly operating latches.

c. Missing critical parts (e.g., latches, casters, fasteners).

d. Broken welds.

e. Misaligned shelves or tray supports.

f. Missing safety decals.

g. Missing or improperly operating hinge restrainers.

h. Missing or broken tow pin chains.

i. Damaged tow pin housing or bushing.

j. Defective brakes.

k. Any other defect that may cause the container to be unsafe in any of its applications.

583.13 Condemning and Scrapping Wheeled Containers

Only authorized maintenance personnel at a Postal Service repair center may scrap or condemn containers. The decision to repair, condemn, or scrap a container is based on the following formula:

Repair Cost (labor, parts, etc.) / Replacement Cost

Example: Repair Cost ($350) divided by Replacement Cost ($410) = 85%

If the figure obtained is greater than 80 percent, the container should be condemned and scrapped rather than repaired. The local purchasing and materials service center can provide the current replacement cost. Specific disposal instructions are contained in Handbook AS-701, *Material Management*.

583.2 Defective Sacks

583.21 Policy

Defective canvas and nylon sacks, other than those that are clearly beyond repair or salvage, must be packed and labeled as described in 584 and dispatched to the nearest mail transport equipment or concentration center. Defective plastic sacks must be disposed of locally.

583.22 Defective Criteria

583.221 Canvas Sacks

Any one of the following defects renders canvas sacks unserviceable:

a. Holes larger than 2 1/2 inches in diameter.

b. Tears longer than 3 inches.

c. Cords or fasteners that cannot be closed.

d. Grommets that are torn so the sack cannot be hung on a rack.

e. Seam rips of 2 inches or more.

f. Sacks that are unfit for service due to paint, grease, oil, or mildew damage.

g. Large abrasions or a number of holes in a small area.

583.222 Nylon Sacks

Any of the following defects renders nylon sacks unserviceable:

a. Holes larger than a dime.

b. Tears longer than 1/2 inch.

c. Seam rips.

d. One or more grommets missing.

e. Missing or excessively worn neck straps.

f. Missing or damaged label holders, hasps, or staples.

g. Missing rivets or hardware.

583.223 Plastic Sacks

The following defects render plastic sacks unserviceable:

a. Holes, rips, or tears longer than 2 inches.

b. Missing or broken drawstrings or closure devices.

583.23 Condemning Sacks

Postal installations are authorized to destroy defective sacks that cannot be repaired or salvaged. All defective plastic sacks must be destroyed. Customers may not condemn sacks. Mail transport equipment center managers and all postal installations participating in the Equipment Inventory Reporting System will report all condemned sacks on a weekly basis. If sizable quantities are involved, send a report to the serving area mail transport equipment specialist (AMTES) and to the manager, Mail Transport Equipment, Headquarters.

583.3 Defective Fiberboard or Plastic Trays, Lids, and Sleeves

583.31 Common Defects

Minor tears in fiberboard trays or sleeves may be repaired with filament or other suitable tape. Do not perform major repairs such as regluing. Trays, lids, or sleeves damaged to the point where they cannot be used to properly transport mail in a secure, damage free condition should be condemned.

583.32 Condemning Fiberboard or Plastic Trays and Lids

All postal installations must destroy defective trays and lids and should not ship defective trays and lids to other facilities.

Note: Mailers are not authorized to destroy any Postal Service equipment.

584 Sack/Pouch Processing

584.1 Procedure

584.11 Primary Procedure

Processing empty equipment must be accomplished at the point where it is generated. To ensure that this can be done, the Postal Service has adopted the “crumpling and stuffing” method for empty sack/pouch processing. Empty the sack, examine it, and immediately place it inside other like equipment at the dumping site. Designate a sack rack with sufficient capacity to provide for separation of each type of sack normally generated, including defective sack, at the dumping area. Each separation should have the appropriate headers and empty equipment labels in place. When the sack/pouch is full, label, close, and dispatch it in accordance with the local processing and distribution center or BMC supply plan. Provisions must also be made in the supply plan for collecting and dispatching those sacks/pouches not normally received by a particular dumping area. Serviceable sacks should not accumulate for more than 1 day. See Exhibit 584.11 for a breakdown of facility responsibilities for processing sacks.

Exhibit 584.11
Mailbag Responsibilities of Installations

Associate/Station Office	Processing and Distribution Centers*	BMCs	Mail Transport Equipment Centers
Examination. ■ Examine empty mailbags for serviceability and loose mail content.	**Examination.** ■ Examine empty equipment for serviceability and loose mail content. ■ Examine empty mailbag shipments. If improperly packed or labeled, send PS Form 5049 to offending office.	**Examination.** ■ Examine empty mailbags for serviceability and loose mail content.	**Examination.** ■ Examine empty mailbag shipments. ■ If improperly packed or labeled, complete PS Form 5049. ■ For repeat offenses, return shipments to offender.
Requisitions. ■ Order from processing and distribution centers.	**Requisitions.** ■ Order mailbags from DN. ■ Fill mailbag and mailbag label requested from associate/branch offices.	**Requisitions.** ■ Order from DN.	**Requisitions.** ■ Order needed mailbags from DN. ■ Fill orders from all facilities and mailers in the area. Send mailbags or divert inbound shipments of surplus mailbags.
Inventory. ■ Keep accurate records. ■ Do not maintain more than a 5-day supply.	**Inventory.** ■ Keep accurate records. ■ Do not maintain more than a 5-day supply.	**Inventory.** ■ Keep accurate records. ■ Do not maintain more than a 5-day supply.	**Inventory.** ■ Keep accurate records. ■ Store surplus mailbags.
Packing/Labeling. ■ Surplus U.S. If full bundles of single type cannot be made up in 5 working days, label bundles SHORT. ■ Defective U.S. ■ Foreign-owned — see section 584.7.	**Packing/Labeling.** ■ Surplus U.S. If not used to fill requisition, consolidate short bundles received. (Do not dump; simply enclose short bundles in like-type mailbag to make full bundle.) ■ Defective U.S. ■ Foreign-owned — see section 584.7.	**Packing/Labeling.** ■ Surplus U.S. ■ Foreign-owned — see section 584.7.	**Packing/Labeling.** ■ Label empty U.S. mailbags to Post Offices where required.
Dispatching. ■ Make sure empty mailbag bundles are properly labeled. ■ Dispatch empty mailbags to servicing P&DC regularly, unless otherwise instructed.	**Dispatching.** ■ Make sure empty mailbag bundles are properly packed and labeled. ■ If sufficient volumes are generated, separate each type in trailers for dispatch to mailers or facilities, as directed by DN. ■ Dispatch empty mailbags as directed by DN.	**Dispatching.** ■ Make sure empty mailbag bundles are properly labeled. Make sure bundles of mail are not dispatched with bundles of empty mailbags. ■ Forward bundles of empty mailbags to Post Offices as labeled. ■ Forward bundles coded 001, 002, or 003 to the designated MTEC, unless otherwise instructed by DN. ■ Whenever possible, make up full vans of 002 bundles (#2 sacks).	**Dispatching.** ■ Divert inbound shipments of surplus mailbags to fill requisitions. ■ Expedite outgoing shipments as directed by DN.

***Concentration Centers.** *Headquarters designates certain mail processing facilities as concentration centers. These centers have the same responsibilities as processing and distribution centers. In addition, they consolidate trailer load lots of empty mailbags for shipment to mailbag depositories, or specific mailbag types to large mailers.*

584.12 Alternate Procedure

If space or other considerations do not permit the use of this procedure, devise an alternative procedure. This alternative must be reflected in the supply plan for each affected operation. A processing procedure must be established that becomes a routine part of the unit's daily scheduled activities. The processing of mail is not complete until all empty sacks/pouches have been processed and dispatched for reuse.

584.2 Examination

Supervisors must ensure that as each sack/pouch is dumped it is properly and thoroughly examined to ensure that mail is not left inside and to ensure that the destinating label is removed. Display Poster 113-B, *Examine Empty Sacks and Pouches,* at each opening unit. Training package 52326100 is to be included in clerk/mailhandler craft orientation. Handbook PO-502, *Container Methods,* contains approved examination methods.

584.3 Bundling

All sacks/pouches must be bundled only in the same type of equipment (e.g., No. 1 canvas sacks in a No. 1 canvas sack, No. 2 canvas sacks in a No. 2 canvas sack). No postal units may dispatch mixed bundles of serviceable equipment. Only full and short (less than full) bundles are authorized. Improperly packed sacks/pouches waste money in processing and rehandling costs, create false inventories, and reduce customer confidence. All managers must aggressively monitor this procedure to maintain the integrity of the finished product. Standard packing instructions are listed in Handbook PO-502.

584.4 Labeling Empty Bundles of Serviceable Sacks/Pouches

Each bundle of empty sacks or pouches must be individually labeled. The label must clearly indicate the following:

a. The point of origin.

b. The contents, by specific size and type.

c. Whether the quantity is a full or a short bundle.

584.5 Packing Empty Bundles of Defective Sacks/Pouches

All defective sacks/pouches must be stuffed in a defective No. 1 canvas sack. Bundles of defective sacks/pouches must not exceed 70 pounds. See 583.2 for the criteria used to determine the serviceability of sacks/pouches.

584.6 Labeling Empty Bundles of Defective Sacks/Pouches

Each bundle must be individually labeled and clearly indicate the point of origin. The second line of the label must read "MIXED DEFECTIVES" and be overprinted with a red "D" or a red line.

584.7 Empty Foreign-Owned Equipment

584.71 General

Foreign postal administrations generally send mail, air and surface, to the United States in their own equipment, which consists primarily of sacks.

Once the United States Postal Service® processes this mail, we are obligated to promptly return all empty equipment (known as sac vides) to the origin postal administration. Failure to do so can result in significant reimbursement expenses to the foreign postal administration for the Postal Service.

The following instructions are to be followed regarding the handling and return of all foreign-owned mail sacks. International exchange offices (for air and surface mail) are responsible for processing and returning empty foreign-owned sacks.

584.72 Receiving Offices

Postal facilities, other than air or surface exchange offices, may receive a very small amount of foreign-owned mail sacks which need to be returned to the origin postal administration.

584.73 Delivery Offices

All offices receiving mail from other countries must ensure that they retrieve from the addressee all sacks used to send this mail (if received as an M-bag, i.e., if all of the contents are for one addressee). If foreign mail is received as a direct sack, the sack must be returned to the Post Office. Under no circumstances are the foreign sacks to be retained by an addressee or the delivery office. All foreign equipment is to be sent immediately to the serving processing and distribution center.

584.74 Processing and Distribution Centers

All processing and distribution centers, other than those that have a direct mail exchange with Mexico or Canada, must forward all foreign-owned equipment, both air and surface, to the serving international air exchange office by the normal transportation used for international airmail.

584.75 Bulk Mail Centers

Bulk mail centers, other than those that are international surface exchange offices or have a direct mail exchange with Mexico or Canada, should not receive foreign-owned equipment. If they do, the facility that forwarded the equipment should be notified of the error immediately. The equipment, both air and surface, must be forwarded to the serving international air exchange office by the normal transportation used for international airmail.

584.76 Airport Mail Centers (AMCs) and Airport Mail Facilities (AMFs)

AMCs or AMFs, other than those that are designated international airmail exchange offices, should not receive foreign-owned equipment, but if they do, the facility that forwarded the equipment should be notified of the error immediately. The equipment, both air and surface, must be forwarded to the serving international air exchange office by the normal transportation used for international airmail.

584.8 Returned Empty U.S. International Mail Sacks

584.81 Receipt at Nonexchange Offices

Postal facilities (other than offices that exchange international air or surface mail directly with Canada and Mexico) that are not exchange offices should

not receive returned empty U.S. equipment from abroad, but if they do, the equipment should be worked as indicated in 584.82. International and Military Mail Operations, International Business, at headquarters must be notified as well so that they can take the necessary steps to prevent future occurrences.

584.82 Processing of Empty Equipment

All returned Postal Service equipment must be verified to ensure proper make-up. Any improperly prepared (i.e., mixed) bundles must be reworked and processed by the receiving facility before distribution can be made. When sorting empty sacks, examine them to ensure that they do not contain mail. If items are found in the sacks, postmark them on the back and endorse them: "FOUND IN SUPPOSED EMPTY MAIL SACK RETURNED FROM ABROAD" on the address side. Deliver these mail pieces to the appropriate outbound distribution unit. The sacks must then be processed promptly and sorted according to type.

584.83 Disposition of Empty Equipment

Contact the local Mail Transport Equipment Coordinator or Area Mail Transport Equipment Specialist (AMTES) for a destination for excess sacks and trays.

584.84 Returned Plastic Sacks

Returned U.S. foreign blue plastic and international gray (ISAL) plastic sacks must be sorted by type and condition into three separate categories: sacks with the drawstrings still attached, sacks with the drawstrings removed, and sacks that are not reusable. Coordinate the disposition of blue and gray plastic sacks as indicated in 584.83. Those sacks that are not in a reusable condition must be recycled or disposed of in conjunction with the local environmental coordinator.

585 Inventory Control

585.1 Processing and Distribution Center and BMC Supply Plans

585.11 Purpose

The plant supply plan concept has been developed to improve the management of MTE at the plant level. These plans increase the control and availability of MTE through the application of sound planning principles and specific management responsibilities. Definitive instructions for all levels of processing and distribution center and BMC management are provided in these plans. The instructions detail the proper processing and handling of MTE generated at opening units, other district and BMC operations, stations, and branches.

585.12 Implementation

Handbook PO-502, *Container Methods,* contains instructions for implementing plant supply plans.

585.13 Review

Once established, facility supply plans must be reviewed and updated as necessary, but not less frequently than annually.

585.14 Definitions

The following inventory terms play a vital role in developing a processing and distribution center and BMC supply plan and are used extensively in the Equipment Inventory Reporting System (EIRS):

a. Daily Usage -the amount of a particular equipment type that a facility requires in order to operate for 1 day.

b. Net Difference -the difference between the amount of a particular equipment type that a facility actually uses and the amount it receives.

c. Balance on Hand -the available amount of a particular equipment type when the inventory is taken.

585.2 Inventory Levels

The processing and distribution center and BMC supply plan concept, with EIRS reporting guidelines, establish the following inventory parameters:

a. *Associate Offices, Stations, Branches.* Maximum of a 1-day supply (daily usage) of any equipment type.

b. *Private Mailer.* Maximum of a 7-day requirement.

c. Processing and Distribution Facility:

(1) Deficit Item — maximum of a 1-day supply plus six times the net difference of any type. In an equation format:

1 x (Daily Usage + 6 x [Net Difference]) = maximum inventory level.

(2) Surplus Item — maximum of a 1-day supply of any equipment type.

586 Functional Responsibilities and Relationships

The manager, Logistics, establishes policy for managing all aspects of mail transport equipment.

587 U.S. Postal Service Headquarters

587.1 Responsibilities

The manager, Logistics, provides management support and instructions on the operation, transportation, distribution, delivery, inventory, storage, and reporting of MTE. The position of area mail transport equipment specialist (AMTES) has been established to ensure compliance with all aspects of this policy at the field level. Those who fill AMTES positions will have offices at the 10 distribution networks offices. These positions (one in each area) will provide guidance to the DNs and other field units in all aspects of the MTE Program.

587.2 Distribution

587.21 EIRS

Nationwide distribution of empty MTE depends on information contained in the Equipment Inventory Reporting System (EIRS), various customer-oriented programs, and P&D/BMC supply plans. To provide for effective MTE distribution, Mail Transportation Equipment must maintain a liaison with

Headquarters Purchasing, all DNs, mail transport equipment centers (MTECs), mailbag and container and equipment repair centers, and MTE concentration centers.

587.22 Empty MTE Dispatch

Headquarters Mail Transport Equipment coordinates the dispatch of empty MTE between areas with the AMTESs. The AMTES, in turn, coordinates the dispatch of MTE with the transportation and equipment specialists within his or her area of responsibility.

587.3 Inventory

587.31 Adequate Supply

Headquarters Logistics must ensure that an adequate national inventory of MTE exists and is properly managed. EIRS is designed to assist in this function. Based on DN recommendations, Headquarters establishes which postal organizations and mailers will submit reports to the EIRS.

587.32 Review of Storage and Repair

Headquarters Mail Transport Equipment reviews the inventory of MTE stored in the MTECs (postal or contract installations). Headquarters Mail Transport Equipment also directs the various repair centers to expedite the repair and return to service of specific MTE.

587.4 Storage

Mail Transport Equipment must evaluate the locations of major storage facilities based on area requirements and transportation patterns. Any recommendation to adjust the locations will be made through the manager, Mail Transport Equipment. Headquarters Mail Transport Equipment determines which equipment is appropriate for storage in the MTECs.

587.5 Reporting

The manager, Mail Transport Equipment, establishes the procedures for the reporting of empty MTE inventories. Headquarters specialists monitor the reports of the EIRS. When necessary, those specialists instruct field personnel on the use of the system.

587.6 Audits

Headquarters personnel may make unannounced visits to audit MTE operations, inventory levels, and processing operations at mailer and Postal Service facilities. Headquarters Logistics will establish guidelines for all MTE audits.

587.7 Supply and Operating Plans

The AMTES will combine the plans from the P&DCs and BMCs in their areas into an area Operating and Supply Plan for their respective geographical areas. A copy of this plan and each annual update is to be forwarded to Headquarters Mail Transport Equipment.

588 Distribution Networks Offices

588.1 Responsibilities

The DN manager, through the AMTES and designated MTE personnel, manages the daily MTE levels within the area. The Networks Management Specialists assist in planning the transportation and distribution of empty MTE in the area. Additionally, the Networks Planning Staff lends assistance in planning, implementing, and maintaining P&DC/BMC supply plans.

588.2 Transportation

DN coordinates the transportation of empty MTE and monitors the actual dispatch/arrival of all large quantities of empty MTE within its area.

588.3 Distribution

Based on P&DC and BMC supply plan data, DN maintains the flow of MTE between offices within the DN area. DN redistributes MTE within its area in response to P&DC/BMC supply plans, inventory reports, equipment requirements, and internal emergency equipment requests. Headquarters Mail Transport Equipment manages the distribution of excess MTE beyond area boundaries.

588.4 Inventory

DN enters inventory standards for each EIRS facility within its area for all appropriate equipment types into the EIRS. The standards are based on P&DC/BMC supply plans. In addition, DN enters equipment movements, receipts, audit reports, and, when necessary, facility MTE status into the EIRS. DN monitors all transactions and equipment inventory reports.

588.5 Reporting

The DN manager must monitor the data input concerning MTE in his or her area. Designated MTE personnel must ensure that the designated reporting facilities enter their equipment transactions into the EIRS accurately and promptly. Include unassembled MTE in EIRS reports.

588.6 Audit

DN managers must ensure that comprehensive MTE inventory audits are conducted at all offices, BMCs, warehouses, and large mailers (i.e., those mailers that report directly into EIRS and those that appear in the P&DC/BMC supply plan) within their area under the guidelines provided in Handbook PO-502.

588.7 Operating and Supply Plans

588.71 DN Responsibilities

DN generates its own area operating and supply plan based on those of the field offices within its area.

588.72 Shortages or Surplus

Each plan must indicate shortages or surpluses of equipment by both equipment type and quantity. Sources of supply and redistribution of any

excess MTE must be documented to the extent possible. The plan must provide for each facility's or customer's needs and for the redistribution of inventory to effectively meet those needs without causing a shortage or a surplus of MTE.

588.73 Plan Review

All plans need review and updating at least semiannually. If major changes occur in customer operations, mailing patterns, or other procedures, the plans must be changed immediately.

588.8 Plant Management Responsibilities

588.81 MTE Coordinator

Plant managers will appoint an MTE coordinator to manage the plant MTE needs on a daily basis, coordinate MTE activities within the plant, prepare the plant operating and supply plan, and forward completed plans to the serving DN for review.

588.82 Correcting Deficiencies

Plant managers must act to correct deficiencies in the program as indicated by data supplied by DN or Headquarters.

588.83 Management Oversight

Plant managers and staff must oversee management of the MTE program. Particular emphasis should be directed toward eliminating "rainbow" equipment and processing "unworked equipment" as soon as possible.

588.84 Transportation

The plant MTE coordinator manages the transportation of empty MTE and monitors the actual dispatch/arrival of empty MTE within the plant area.

588.85 Distribution

588.851 Use of EIRS

Plant MTE coordinators must first attempt to satisfy the MTE needs of their plant by using EIRS to review the plant's MTE balances by location and arranging the redistribution of MTE inventory within the plant area as necessary. If coordinators cannot meet the needs of the plant internally, they will contact the serving DN to request additional MTE from other sources. The coordinators will also contact the serving DN for destinations for surplus MTE.

588.852 Within the DN Area

DN will attempt first to supply needs from within its area and will advise the plant MTE coordinator of the action taken.

588.853 AMTES

If DN cannot locate enough MTE to meet the needs of its service area, it will contact Headquarters Mail Transport Equipment, through the AMTES, for assistance. The AMTES will also seek destinations for surplus MTE from Headquarters Mail Transport Equipment.

588.854 Disputes

Disputes will invariably arise concerning MTE needs. The AMTES, through the DN manager, is authorized to function as the final arbitrator in disputes

arising between plant management and designated MTE personnel within the area of the AMTES.

588.86 Reporting

The plant manager is responsible for all MTE reporting in the operating area. The MTE coordinator must ensure that the designated reporting facilities enter their equipment transactions into the EIRS accurately and promptly. Unassembled or staged equipment and equipment stored in warehouses must be included in the EIRS report of the facility responsible for the equipment/warehouse.

588.87 Audits

In addition to the audits specified in 588.6, each manager must regularly audit the EIRS to determine if each facility is entering the information on a timely basis.

588.88 Operating and Supply Plans

588.881 Purpose

Postal facility and mailer operating and supply plans are essential to the success of the decentralized management of MTE resources. See Handbook PO-502, *Container Methods,* for instructions on how to prepare them.

588.882 Central Plan

The plant manager must combine individual facility/mailer operating and supply plans into a master plan for the plant area. The central plan will help control requirements and surpluses. It will also help maximize inventory turnaround, minimize stock outages, and promote cost-effective usage of MTE within the plant's area.

588.883 Training

DNs and the AMTESs will coordinate or provide necessary MTE awareness and EIRS training for the personnel in their area.

588.884 Hoarding

MTE is a valuable and essential postal resource. It is managed by different organizations within the Postal Service, but this resource is owned by the entire organization. It is therefore imperative that facility managers plan carefully and use only that portion of the total available MTE that their facilities and customers need so that all facilities have enough on hand to meet their needs. Managers must not overstock this equipment.

588.885 Customer Services Equipment Coordinator

The district manager of Customer Service and Sales must appoint a representative from his or her staff to act as the customer services equipment coordinator (CSEC). This representative will work with the MTE coordinator and act as a permanent representative of his or her office for MTE.

588.886 Reporting

The plant manager must ensure that MTE levels are accurately reported into the EIRS.

588.887 **Audits**

The plant manager provides data required for each audit, as specified in Handbook PO-502. If necessary, the manager will provide the appropriate personnel to participate in the audit.

588.888 **Other**

The plant manager must inform the DN manager whenever a mailer who customarily uses large quantities of empty MTE relocates to the area, moves from the area, or significantly changes his or her distribution pattern.

589 Operational Managers

All operational managers must ensure that containers are used properly, efficiently, and safely.

59 Equipment Inventory Reporting System

591 Purpose

The Equipment Inventory Reporting System (EIRS) tracks available quantities of MTE. It enables the Postal Service to control and manage mail transport equipment by redistributing MTE from areas with a surplus to areas with a deficit. EIRS also provides audit capabilities for Postal Service Headquarters and the Inspection Service. Detailed instructions on the operation of the EIRS are contained in Handbook PO-510, *Equipment Inventory Reporting System (EIRS).*

592 Description

EIRS is a computerized information system designed to help manage and identify available quantities of MTE. Reporting facilities enter data through use of a CRT (cathode ray tube) terminal. This information is immediately available to AMTESs, DNs, and Headquarters. In addition to its interactive capability, the system also generates hard-copy reports for AMTESs, DNs, and Headquarters.

593 Report Descriptions

593.1 Move Orders Report

The Move Orders Report supplies AMTESs and DNs with a list of MTE being shipped to and from their service areas. The Move Orders Report lists MTE in-transit to an EIRS origin and lists MTE shipped from an EIRS origin.

593.2 Inventory Alert Report

The Inventory Alert Report depicts either a shortage or surplus of MTE. This report is generated only under either of the following conditions:

a. The inventory level (balance on hand) for an equipment type exceeds the maximum inventory standard for that equipment type.

b. The balance on hand for an equipment type is less than the daily usage.

593.3 Failed/Late Report

The Failed/Late Report is generated only when an EIRS facility does not report all inventory items as scheduled.

593.4 Audit Change Report

The Audit Change Report is generated when a field audit has been performed. This report outlines discrepancies between the inventory reported by the audited facility and the inventory reported by the audit team.

593.5 "As Needed" Reports

593.51 Inventory Standards Report

The Inventory Standards Report shows the reporting standards for each equipment type (i.e., maximum, daily usage, net requirement, and reorder point) for each EIRS facility within a DN area.

593.52 Current Status Report

The Current Status Report prints the latest inventory data input by an EIRS facility within a DN area. The report provides the following instructions for each reported equipment type:

a. Inventory date.

b. Quantities received.

c. Quantities shipped.

d. Quantities defective and condemned.

e. Serviceable balances on hand.

f. Quantities filled but not released.

g. Net requirements.

h. Quantities in transit.

i. Forecast for the next week.

j. Daily usage.

k. Maximum inventory level.

593.53 Totals by Equipment Type Report

This report lists available MTE balances by DN area for each equipment type. When requested by Headquarters, this report also prints national totals for each equipment type.

593.54 Transaction History Report

This report permits the requestor to obtain specific historical data.

593.55 Forecast Summary Report

This report displays a 52-week forecast for a single origin, or it will summarize all forecast information within a DN area by equipment type.

593.56 Equipment Value Report

This report displays the current inventory value at specific locations.

594 Responsibility

594.1 Headquarters

Headquarters Mail Transport Equipment is responsible for all policies governing the implementation, use, and modification of EIRS.

594.2 Area Mail Transport Equipment Specialists

The area mail transport equipment specialists are responsible for the validity of information contained within EIRS and for ensuring compliance with all policies governing EIRS use established by Headquarters. The AMTES also has the following responsibilities:

a. Review standards and forecast data for accuracy.

b. Review move orders and move order receipts.

c. Conduct MTE audits.

d. Recommend to Headquarters the addition or deletion of facilities within EIRS.

594.3 Distribution Networks (Area Offices)

Distribution Networks must guarantee the validity of information contained within EIRS and ensure compliance with policies governing EIRS use established by Headquarters. DNs must also do the following:

a. Establish, maintain, and update standards for all EIRS reporting facilities in their area of responsibility.

b. Enter forecast information.

c. Ensure proper, complete, and timely reporting by all EIRS facilities in their area of responsibility.

d. Enter move orders and move order receipts on a daily basis.

e. Recommend to Headquarters the addition or deletion of EIRS reporting facilities.

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6 Delivery Services

61 Conditions of Delivery

611 Delivery, Refusal, and Return

611.1 Conditions

The following conditions govern delivery, refusal, and return:

a. *Delivery to Addressee.* The addressee may control delivery of his or her mail. In the absence of a contrary order, the mail is delivered as addressed. Mail addressed to several persons may be delivered to any one of them.

b. *Mail Refused When Offered for Delivery.* The addressee may refuse to accept a piece of mail at the time it is offered for delivery. The addressee should endorse the piece "Refused."

c. *Mail Refused After Delivery.* After delivery, an addressee may mark a piece of mail "Refused" and return it within a reasonable time if the mail or any attachment is not opened, except for mail listed in 611.1c(1) and 611.1c(2). Mail that may not be refused and returned unopened under this provision may be returned to the sender only if enclosed in a new envelope or wrapper with a correct address and new postage. The following may not be returned postage free:

   (1) Pieces sent as Registered Mail‘, Insured Mail, Certified Mail‘, COD, Return Receipt for Merchandise Mail, or other signature mail may not be refused and returned postage free after delivery.

   (2) Mail sent to an addressee in response to the addressee's sales promotion, solicitation, announcement, or other advertisement, and that is not refused when offered to the addressee, may not be refused and returned postage free after delivery.

d. *Mail Withheld From Delivery.* An addressee may request his or her postmaster, in writing, to withhold from delivery for a period not exceeding 2 years any foreign letter or printed matter bearing a specified name or address appearing on the outside. Such mail is marked "Refused" by the Post Office™ and treated as undeliverable.

e. *Mail of Unknown Addressee.* When a person claiming to be the addressee of certain mail is unknown to the delivery employee, the mail may be withheld, pending identification of the claimant.

f. *Refused Mail as Undeliverable.* Matter refused by the addressee under 611.1b and 611.1c is treated as undeliverable (see 681.5).

g. *Remailing of Matter Returned to Sender.* The mailer must not remail undelivered or refused mail returned to him or her unless it is enclosed in a new envelope or wrapper with a correct address and new postage. Returned shortpaid mail does not have to be placed in a new envelope. The necessary additional postage may be affixed to the original mailpiece.

h. *Mail Bearing Exceptional Address Format.* Mail with an exceptional form of address is always delivered as addressed and may not be forwarded. Only if the address is incorrect or incomplete, or if the mail cannot be delivered for another reason, is it treated as undeliverable (see 68). First-Class Mail® that is undeliverable as addressed is returned to the sender.

611.2 Delivery to Persons With Similar Names

Unless persons with similar names adopt some means to distinguish their mail, a postmaster must use judgment in making delivery.

611.3 Mail Delivered to Wrong Person

A person receiving mail not intended for him or her must promptly return it to the Post Office, endorsed “Opened by Mistake” with his or her signature if the letter is opened; otherwise, it should be endorsed “Not for..., Not at this address,” or words to the same effect.

611.4 Checks Issued by Federal Government

611.41 Recipient

Federal government checks are delivered to the addressees, to persons who customarily receive their mail, or to other persons authorized in writing to receive their mail. Delivery must not be made to an attorney, claim agent, or broker even though the addressee requests such delivery unless the check is addressed in care of the attorney, claim agent, or broker.

611.42 Delivery Alert

If convenient, the addressee can be alerted by ringing the bell or knocking on the door. The carrier is not required to await a response.

611.43 Immediate Return of Check

Delivery is withheld and the check returned to the sender immediately if it is known that the addressee:

a. Is deceased or both parties of a jointly addressed check are deceased.

b. Has moved without filing a change of address, even though the new address may be known.

c. Has enlisted in the military service.

d. Is under guardianship.

611.44 Treasury Checks Without Delivery Dates

Treasury checks enclosed in envelopes that do not show a date of delivery are delivered on the first scheduled delivery after receipt.

611.45 Treasury Checks With Delivery Dates

Treasury checks enclosed in envelopes that show a date of delivery are delivered on that date or the first scheduled delivery after that date. In emergency or other infrequent situations, customers receiving delivery service may request predelivery of their mail at the office of delivery if withdrawal of the mail does not interfere with carriers' delivery schedules or the efficiency of other postal operations.

611.5 Checks Issued by State and Local Governments

State and local government checks are handled in accordance with instructions printed on the envelopes. Certain checks have instructions not to transfer or forward. If undeliverable as addressed, such checks must be returned to the sender immediately.

611.6 Mail Marked "In Care Of" Another

Mail marked "In Care Of" another is delivered to the first of the two persons named who may call for it, or to the address of the person in whose care it is directed in the absence of instructions from the addressee.

611.7 Restricted Delivery

Registered Mail, Certified Mail, numbered Insured Mail, and COD Mail that the sender has restricted delivery to the addressee only may not be delivered to any other person, except under DMM® S916.

611.8 Mail Marked "Personal"

Mail bearing the word "Personal" is delivered in the same way as other mail for the addressee.

611.9 Holding Mail at Addressee's Request

611.91 Ordinary Mail

Ordinary mail, except First-Class Mail bearing return address of sender specifying a holding period, is held at the office of address at the request of the addressee for up to 30 days. Under unusual conditions, mail may be held longer if the postmaster considers it practical and customers arrange for periodic pickup of the accumulated mail. Customers may authorize the holding of their mail by signing PS Form 8076, *Authorization to Hold Mail.*

611.92 Express Mail®

Express Mail shipments are held at the office of address at the addressee's written request for a specified day or days, for example, Saturdays, Sundays, or holidays (see DMM F030.4.4).

612 Delivery of Addressee's Mail to Another

612.1 Delivery to Addressee's Agent

612.11 Designation of Agent

Unless otherwise directed, an addressee's mail may be delivered to his or her employee, a competent member of the addressee's family, or any person

authorized to represent the addressee or who customarily receives the addressee's mail. A person or a number of persons may designate another to receive their mail. Designation of another person to receive mail should be in writing, but no special form is furnished or required.

612.12 Commercial Mail Receiving Agency

The procedures for the establishment of a commercial mail receiving agency are as follows:

a. An addressee may request mail delivery to a commercial mail receiving agency (CMRA). The CMRA accepts delivery of the mail and holds it for pickup or re-mails it to the addressee, prepaid with new postage.

b. Each CMRA must register with the Post Office responsible for delivery to the CMRA. Any person who establishes, owns, or manages a CMRA must provide PS Form 1583-A, *Application to Act as a Commercial Mail Receiving Agency* (see Exhibit 612.13), to the postmaster (or designee) responsible for the delivery address. The CMRA owner or manager must complete all entries and sign the PS Form 1583-A. The CMRA owner or manager must furnish two items of valid identification; one item must contain a photograph of the CMRA owner or manager. Social Security cards or credit cards and birth certificates are unacceptable as identification. The following are acceptable identification:

   (1) Valid driver's license or state non-driver's identification card.

   (2) Armed forces, government, university, or recognized corporate identification card.

   (3) Passport, alien registration card, or certificate of naturalization.

   (4) Current lease, mortgage, or Deed of Trust.

   (5) Voter or vehicle registration card.

   (6) Home or vehicle insurance policy.

   The identification presented must be current. It must contain sufficient information to confirm that the applicant is who he or she claims to be and is traceable to the bearer. The postmaster (or designee) may retain a photocopy of the photograph identification for verification purposes and must list and record sufficient information to identify the two types of identification on PS Form 1583-A (block 10). Furnishing false information on the application or refusing to give required information is reason for denying the application. When any information required on PS Form 1583-A changes, the CMRA owner or manager must file a revised application (write "revised" on the form) with the postmaster.

c. The postmaster (or designee) must verify the documentation to confirm that the CMRA owner or manager resides at the permanent home address shown on the PS Form 1583-A; witness the signature of the CMRA owner or manager; and sign the PS Form 1583-A. The postmaster must provide the CMRA with a copy of the DMM regulations relevant to the operation of a CMRA. The CMRA owner or manager must sign the PS Form 1583-A acknowledging receipt of the regulations. The postmaster must file the original of the completed PS Form 1583-A at the Post Office and provide the CMRA with a duplicate copy.

d. A CMRA is authorized to accept the following accountable mail from their customers for mailing at the Post Office: Insured Mail, COD, Express Mail, Certified Mail, and Delivery Confirmation® Mail. The sender (CMRA customer) must present accountable mail items not listed to the Post Office for mailing.

Exhibit 612.12 (p. 1)
PS Form 1583-A, Application to Act as a Commercial Mail Receiving Agency

United States Postal Service

Application to Act as a Commercial Mail Receiving Agency

See Privacy Act Statement on Reverse

1. Date

TO: POSTMASTER

In registering with the Postal Service to act as an agency to receive delivery of mail of others, the agent agrees to the following: (1) the Commercial Mail Receiving Agency (CMRA) must have on file a Form 1583, *Application for Delivery of Mail Through Agent,* for each addressee or firm receiving mail at the agency; (2) a CMRA must represent its delivery address as a private mailbox; (3) the CMRA is not authorized to accept Registered Mail from their clients for mailing, the post office is the only acceptable mailing point; (4) the CMRA must be in full compliance with *Domestic Mail Manual* (DMM) D042.2.5 through D042.2.7 and other applicable postal requirements to receive delivery of mail from the Postal Service; and (5) when any information required on this form changes, the CMRA owner or manager must file a revised application with the postmaster.

NOTE: The CMRA owner or manager must execute this form in duplicate in the presence of the postmaster or designee. The CMRA owner or manager retains the signed duplicate copy and signs in this space

to signify receipt and understanding of applicable DMM regulations regarding delivery of mail to a CMRA by the Postal Service. This application may be subject to verification procedures by the Postal Service to confirm that the CMRA owner or manager resides at the permanent home address listed below, and that identification presented in box 10 is valid. Failure to comply with DMM D042.2.5 through D042.2.7 and all other applicable Postal Service requirements may subject the agency to withholding of mail until corrective action is taken.

2. Name of Commercial Mail Receiving Agency (CMRA) *(Corporation or Trade Name)*

3. Name of CMRA Owner/Manager

4. Street Address of CMRA *(Number, street, city, state, and ZIP Code)*

5. P.O. Box Address of CMRA *(Include city, state, and ZIP Code)*

6. CMRA Telephone Number

( )

7. Permanent Home Address of CMRA Owner/Manager *(Number, street, city, state, and ZIP Code)*

8. Home Telephone Number of CMRA Owner/Manager

( )

9. Agency Manager or Contact *(Name and telephone number)*

( )

WARNING: The furnishing of false or misleading information on this form, or omission of material information, may result in criminal sanctions (including fines and imprisonment) and/or civil sanctions (including multiple damages and civil penalties). (18 U.S.C. 1001)

10. Two types of identification are required. One must contain a photograph of the CMRA owner or manager. Social Security cards, credit cards, and birth certificates are unacceptable as identification. The postmaster or designee must write in type of identifying information.

a.

b.

Acceptable identification includes: valid driver's license or state non-driver's identification card; armed forces, government, university or recognized corporate identification card; Passport, alien registration card or certificate of naturalization; current lease, a mortgage, or Deed of Trust; voter or vehicle registration card; or a home or vehicle insurance policy. A photocopy of your identification may be retained by postmaster or designee for verification.

11. Signature of CMRA Owner or Manager and Date

12. Signature of Postmaster or Designee and Date

PS Form **1583-A,** August 2000

This form on Internet at www.usps.com

Exhibit 612.12 (p. 2)
PS Form 1583-A, Application to Act as a Commercial Mail Receiving Agency

Privacy Act Statement

"Privacy Act Statement: The collection of this information is authorized by 39 USC 403 and 404. This information will be used to authorize the delivery of the intended addressee's mail to another. The Postal Service may disclose this information to an appropriate government agency, domestic or foreign, for law enforcement purposes; where pertinent, in a legal proceeding to which the USPS is a party or has an interest; to a government agency in order to obtain or provide information relevant to an agency decision concerning employment, security clearances, contracts, licenses, grants, permits or other benefits; to a congressional office at your request; to an expert, consultant, or other person under contract with the USPS to fulfill an agency function; to the Federal Records Center for storage; and for the purpose of identifying an address as an address of an agent to whom mail is delivered on the behalf of other persons. Information concerning an individual who has filed an appropriate protected court order with the postmaster will not be disclosed in any of the above circumstances except pursuant to the order of a court of competent jurisdiction. Completion of this form is voluntary; however, without the information, the mail will be withheld from deliver to the agent and delivered to the addressee, or, if the address of the addressee is that of the agent, returned to the sender."

PS Form **1583-A,** August 2000 *(Reverse)*

612.13 Procedures for Delivery to CMRA

Mail delivery to a CMRA requires the following:

a. The CMRA owner or manager and each addressee must complete and sign PS Form 1583, *Application for Delivery of Mail Through Agent* (see Exhibit 612.13). Spouses may complete and sign one PS Form 1583. Each spouse must furnish two items of valid identification. If any information that is required on PS Form 1583 is different for either spouse it must be entered in the appropriate box. A parent or guardian may receive delivery of a minor's mail by listing the name(s) of each minor on PS Form 1583 (block 12). The CMRA owner or manager, authorized employee, or a notary public must witness the signature of the addressee. The addressee must complete all entries on PS Form 1583. The CMRA owner or manager must verify the documentation to confirm that the addressee resides or conducts business at the permanent address shown on PS Form 1583. The address is verified if there is no discrepancy between information on the application and the identification presented. If the information on the application does not match the identification, the applicant must substantiate to the CMRA that the applicant resides or conducts business at the address shown. A document from a governmental entity or recognized financial institution or a utility bill with the applicant's name and current permanent address may be used for such purpose. If the applicant is unable to substantiate the address, the CMRA must deny the application. Furnishing false information on the application or refusing to give required information is reason for withholding the addressee's mail from delivery to the agency and returning it to the sender. When any information required on PS Form 1583 changes, the addressee must file a revised application (write "revised" on form) with the CMRA. The addressee must furnish two items of valid identification; one item must contain a photograph of the addressee. Social Security cards or credit cards and birth certificates are unacceptable as identification. The following are acceptable identification:

(1) Valid driver's license or state non-driver's identification card.

(2) Armed forces, government, university, or recognized corporate identification card.

(3) Passport, alien registration cards, or certificate of naturalization.

(4) Current lease, mortgage, or Deed of Trust.

(5) Voter or vehicle registration card.

(6) Home or vehicle insurance policy.

The identification presented must be current. It must contain sufficient information to confirm that the applicant is who he or she claims to be and is traceable to the bearer. The CMRA owner or manager may retain a photocopy of the photograph identification for verification purposes. The CMRA owner or manager must list and record sufficient information to identify the two types of identification on PS Form 1583 (block 8) and write the complete CMRA delivery address used to deliver mail to the addressee on PS Form 1583 (block 3).

b. The CMRA must provide the original of completed PS Forms 1583 to the postmaster. This includes revised PS Forms 1583 submitted by an addressee based on information changes to the original PS Form 1583 (write "revised" on form). The CMRA must maintain duplicate copies of completed PS Forms 1583 on file at the CMRA business location. The PS Forms 1583 must be available at all times for examination by postal representatives and postal inspectors. The postmaster must file the original PS Forms 1583 for each CMRA, alphabetically by the addressee's last name, at the station, branch, or Post Office. The postmaster files the original PS Forms 1583 without verifying the address of residence or firm shown on PS Forms 1583. The postmaster is required to verify only when the postmaster receives a request from the Postal Inspector in Charge, or when there is reason to believe that the addressee's mail may be, or is being, used for unlawful purposes.

c. In delivery of the mail to the CMRA, the addressee and the CMRA agree to the following:

(1) When the agency relationship between the CMRA and the addressee terminates, neither the addressee nor the CMRA will file a Change-of-Address Order with the Post Office. The CMRA must write the date of termination on its duplicate copy of PS Form 1583. The CMRA must notify the Post Office of termination dates through the quarterly updates (due on January 15th, April 15th, July 15th, and October 15th) of the alphabetical list of customers cross-referenced to the CMRA addressee delivery designations. The alphabetical list must contain all new customers, current customers, and those customers who terminated within the last 6 months, including the date of termination. The CMRA must retain the endorsed duplicate copies of PS Forms 1583 for 6 months after the termination date. PS Forms 1583 filed at the CMRA business location must be available at all times for examination by postal representatives and the Postal Inspectors.

(2) The re-mail of mail intended for the addressee (customer) is the responsibility of the CMRA. This includes at least a 6-month period after the termination date of the agency relationship between the CMRA and the addressee. Mail that is re-mailed by the CMRA requires new postage. This re-mailing obligation need not be fulfilled if the CMRA customer provides written instructions to the CMRA that the mail (or specific types of mail) not be re-mailed upon termination of the relationship. This instruction may be provided in an internal service agreement between the customer and CMRA or by a separate document. Written instructions from the customer regarding the handling of this mail must not stipulate that the CMRA refuse or return it to sender, or hold this mail and return it to the Post Office, or redeposit it in the mails without new postage during the 6-month re-mail period. At the end of the 6-month re-mail period, the CMRA may return to the Post Office only First-Class Mail, Priority Mail®, Express Mail, accountable mail, or Parcel Post™ received for the former addressee (customer). The CMRA must return this mail to the Post Office the

next business day after receipt with this endorsement: "Undeliverable, Commercial Mail Receiving Agency, No Authorization to Receive Mail for this Addressee." This mail is returned to the Post Office without new postage. The CMRA must not deposit return mail in a collection box. The CMRA must give the return mail to the letter carrier or return it to the Post Office responsible for delivery to the CMRA. Upon request, the agent must provide to the Postal Service™ all addresses to which the CMRA re-mails mail.

(3) If mail is re-mailed by the CMRA to the address of a former customer during the 6-month re-mail period and returned by the Postal Service endorsed "Moved, Left No Address," then the CMRA may return that mail to the Post Office with the approval of the postmaster or station manager.The approval is subject to evidence that the mail was re-mailed with new postage to the former customer at (a) the address provided when the relationship was terminated and/or (b) the verified home or business permanent address provided on the customer's PS Form 1583. Upon approval, the CMRA may return to the Post Office only First-Class Mail, Priority Mail, Express Mail, accountable mail, and Parcel Post received for the former customer. The CMRA must return this mail to the Post Office the next business day after receipt without new postage, and the Post Office will return it to the sender.

(4) A CMRA may not refuse delivery of mail if the mail is for an addressee who is a customer or former customer (within the last 6 months). The agreement between the addressee and the CMRA obligates the CMRA to receive all mail, except restricted delivery, for the addressee. The addressee may authorize the CMRA in writing on PS Form 1583 (block 5) to receive restricted delivery mail for the addressee.

(5) If the CMRA has no PS Form 1583 on file for the intended addressee, the CMRA must return that mail to the Post Office responsible for delivery with this endorsement: "Undeliverable, Commercial Mail Receiving Agency, No Authorization to Receive Mail for this Addressee." This mail is returned to the Post Office without new postage. The CMRA must return misdelivered mail the next business day after receipt.

(6) The CMRA must not deposit return mail in a collection box. The CMRA must give the return mail to the letter carrier or return it to the Post Office responsible for delivery to the CMRA.

(7) A CMRA must represent its delivery address designation for the intended addressees by the use of "PMB" (private mailbox) or the alternative "#" sign. Mailpieces must bear a delivery address that contains the following elements, in this order:

Preferred Format

(a) Line 1: Intended addressee's name or other identification. Examples: JOE DOE or ABC CO.

(b) Line 2: PMB and number or the alternative # sign and number. Examples: PMB 234 or #234.

(c) Line 3: Street number and name or Post Office box number or rural route designation and number. Examples: 10 MAIN ST or PO BOX 34 or RR 1 BOX 12.

(d) Line 4: City, state, and ZIP Code™ (5-digit or ZIP+4®). Example: HERNDON VA 22071-2716.

Examples of acceptable four-line format addresses are:

JOE DOE
PMB 234
RR 1 BOX 12
HERNDON VA 22071-2716

or

JOE DOE
#234
10 MAIN ST STE 11
HERNDON VA 22071-2716

Alternate Format

(a) Line 1: Intended addressee's name or other identification. Examples: JOE DOE or ABC CO.

(b) Line 2: Street number and name or Post Office box number and PMB and number or the alternative # sign and number. Examples: 10 MAIN ST PMB 234 or #234 or PO BOX 34 PMB 234 or #234.

(c) Line 3: City, state, and ZIP Code (5-digit or ZIP+4). Example: HERNDON VA 22071-2716.

Exception: When the CMRA's physical address contains a secondary address element (e.g., rural route box number, "suite," "#," or other term), the CMRA customer must use "PMB" in the three-line format. In this case, the following must be used:

JOE DOE
10 MAIN ST STE 11 PMB 234
HERNDON VA 22071-2716

and

JOE DOE
RR 12 BOX 512 PMB 234
HERNDON VA 22071-2716

It is also not permissible to combine the secondary address element of the physical location of the CMRA address and the CMRA customer private mailbox number (e.g., 10 MAIN ST STE 11-234). The CMRA must write the complete CMRA delivery address used to deliver mail to each individual addressee or firm on PS Form 1583 (block 3). The Postal Service may return mail without a proper address to the sender endorsed "Undeliverable as Addressed, Missing PMB or # Sign."

Exhibit 612.13 (p. 1)
PS Form 1583, Application for Delivery of Mail Through Agent

United States Postal Service®
Application for Delivery of Mail Through Agent
See Privacy Act Statement on Reverse

1. Date

In consideration of delivery of my or our (firm) mail to the agent named below, the addressee and agent agree: (1) the addressee or the agent must not file a change of address order with the Postal Service™ upon termination of the agency relationship; (2) the transfer of mail to another address is the responsibility of the addressee and the agent; (3) all mail delivered to the agency under this authorization must be prepaid with new postage when redeposited in the mails; (4) upon request the agent must provide to the Postal Service all addresses to which the agency transfers mail; and (5) when any information required on this form changes or becomes obsolete, the addressee(s) must file a revised application with the Commercial Mail Receiving Agency (CMRA).

NOTE: The applicant must execute this form in duplicate in the presence of the agent, his or her authorized employee, or a notary public. The agent provides the original completed signed PS Form 1583 to the Postal Service and retains a duplicate completed signed copy at the CMRA business location. The CMRA copy of PS Form PS 1583 must at all times be available for examination by the postmaster (or designee) and the Postal Inspection Service. The addressee and the agent agree to comply with all applicable Postal Service rules and regulations relative to delivery of mail through an agent. Failure to comply will subject the agency to withholding of mail from delivery until corrective action is taken.

This application may be subject to verification procedures by the Postal Service to confirm that the applicant resides or conducts business at the home or business address listed in boxes 7 or 10, and that the identification listed in box 8 is valid.

2. Name in Which Applicant's Mail Will Be Received for Delivery to Agent. *(Complete a separate PS Form 1583 for EACH applicant. Spouses may complete and sign one PS Form 1583. Two items of valid identification apply to each spouse. Include dissimilar information for either spouse in appropriate box.)*

3a. Address to be Used for Delivery *(Include PMB or # sign.)*

3b. City | 3c. State | 3d. ZIP + 4®

4. Applicant authorizes delivery to and in care of:

a. Name

b. Address *(No., street, apt./ste. no.)*

c. City | d. State | e. ZIP + 4

5. This authorization is extended to include restricted delivery mail for the undersigned(s):

6. Name of Applicant

7a. Applicant Home Address *(No., street, apt./ste. no)*

7b. City | 7c. State | 7d. ZIP + 4

7e. Applicant Telephone Number *(Include area code)*

8. Two types of identification are required. One must contain a photograph of the addressee(s). Social Security cards, credit cards, and birth certificates are unacceptable as identification. The agent must write in identifying information. Subject to verification.

a.

b.

Acceptable identification includes: valid driver's license or state non-driver's identification card; armed forces, government, university, or recognized corporate identification card; passport, alien registration card or certificate of naturalization; current lease, mortgage or Deed of Trust; voter or vehicle registration card; or a home or vehicle insurance policy. A photocopy of your identification may be retained by agent for verification.

9. Name of Firm or Corporation

10a. Business Address *(No., street, apt./ste. no)*

10b. City | 10c. State | 10d. ZIP + 4

10e. Business Telephone Number *(Include area code)*

11. Type of Business

12. If applicant is a firm, name each member whose mail is to be delivered. *(All names listed must have verifiable identification. A guardian must list the names of minors receiving mail at their delivery address.)*

13. If a CORPORATION, Give Names and Addresses of Its Officers

14. If business name *(corporation or trade name)* has been registered, give name of county and state, and date of registration.

Warning: The furnishing of false or misleading information on this form or omission of material information may result in criminal sanctions (including fines and imprisonment) and/or civil sanctions (including multiple damages and civil penalties).

15. Signature of Agent/Notary Public

16. Signature of Applicant *(If firm or corporation, application must be signed by officer. Show title.)*

PS Form **1583,** December 2004 *(Page 1 of 2)* (7530-01-000-9365) This form on Internet at www.usps.com®

Exhibit 612.13 (p. 2)
PS Form 1583, Application for Delivery of Mail Through Agent

Privacy Act Statement: Your information will be used to authorize the delivery of your mail to the designated addressee as your agent. Collection is authorized by 39 USC 401, 403, and 404. Providing the information is voluntary, but if not provided, we cannot provide this service to you. We do not disclose your information without your consent to third parties, except for the following limited circumstances: to a congressional office on your behalf; to financial entities regarding financial transaction issues; to a USPS® auditor; to entities, including law enforcement, as required by law or in legal proceedings; to contractors and other entities aiding us to fulfill the service; and for the purpose of identifying an address as an address of an agent who receives mail on behalf of other persons. Information concerning an individual who has filed an appropriate protective court order with the postmaster will not be disclosed except pursuant to court order. For more information on our privacy policies, see our privacy link on usps.com®.

PS Form **1583,** December 2004 *(Page 2 of 2)* (7530-01-000-9365)

612.14 OBC Acting as a CMRA

The procedures for an office business center (OBC) or part of its operation acting as a CMRA for postal purposes are as follows:

a. An OBC is a business that operates primarily to provide private office facilities and other business support services to individuals or firms (customers). OBCs receive single-point delivery. OBC customers who receive mail at the OBC address will be considered CMRA customers for postal purposes under the standards set forth in b. Parties considered CMRA customers under this provision must comply with the standards set forth in DMM D042.2.5 through 2.7. An OBC must register as a CMRA by completing PS Form 1583-A, *Application to Act as a Commercial Mail Receiving Agency*, and comply with all other CMRA standards if one or more customers receiving mail through its address is considered a CMRA customer.

b. An OBC customer is considered to be a CMRA customer for postal purposes if its written agreement with the OBC provides for mail service only or mail and other business support services (without regard for occupancy or other services that the OBC might provide and bill separately). Additionally, an OBC customer receiving mail at the OBC address is considered to be a CMRA customer for postal purposes and must complete PS Form 1583, *Application for Delivery of Mail Through Agent*, if each of the following is true:

   (1) The customer's written agreement with the OBC does not provide for the full-time use of one or more of the private offices within the OBC facility.

   (2) The customer's written agreement with the OBC does not provide all of the following:

      (a) The use of one or more of the private offices within the facility for at least 16 hours per month at market rate for the location.

      (b) Full-time receptionists service and live personal telephone answering service during normal business hours and voice mail service after hours.

      (c) A listing in the office directory, if available, in the building in which the OBC is located.

      (d) Use of conference rooms and other business services on demand, such as secretarial services, word processing, administrative services, meeting planning, travel arrangements, and video conferencing.

c. Notwithstanding any other standards, a customer whose written agreement provides for mail services only or mail and other business support services will not be considered an OBC customer (without regard for occupancy or other services that an OBC may provide and bill for on demand).

d. The Postal Service may request from the OBC copies of written agreements or any other documents or information needed to determine compliance with these standards. Failure to provide

requested documents or information might be basis for suspending delivery service to the OBC under the procedures set forth in DMM D042.2.6f through h.

612.15 Procedures for an Abandoned CMRA

In the event a CMRA abandons operations:

a. The Postal Service delivery unit must immediately post a notice at the CMRA location informing the CMRA customers of the location of their mail for pick-up. The delivery unit will hold the mail for 10 business days from the date of the posted notice.

b. Provide a Mover's Guide when the CMRA customer picks up the mail. Advise the CMRA customer to immediately notify all correspondents of new mailing address and to file a Change-of-Address Order.

c. Review the Change-of-Address Order and process per normal procedures and send the CMRA customer's mail to the Computerized Forwarding System (CFS) for forwarding.

d. At the end of 10 business days, complete a Change-of-Address Order marked as "Moved, Left No Address" for any CMRA customer who has not filed a Change-of-Address Order. Send all former CMRA customer mail (except unendorsed Standard Mail®) to CFS for processing.

e. Permit the CMRA customer of the abandoned CMRA to file a Change-of-Address Order for a period not to exceed 30 business days after the 10 business day hold period prescribed in subsection a. Inform the customer that mail previously received was returned to sender as undeliverable mail. Advise the customer to immediately notify all correspondents of new mailing address. The appropriate delivery person must complete a Forwarding Order Change Notice, PS Form 3546, modifying the original "Moved, Left No Address" order to the forwarding order now filed.

f. The above exceptions do not apply where a CMRA owner operates at more than one location and discontinues operations at some, but not all, locations. In that instance, the owner is still responsible for mail addressed to customers at the discontinued location(s).

612.16 Compliance With Proper Procedures

To ensure the CMRA complies with proper procedures, the postmaster must:

a. Provide for periodic compliance reviews by postal representatives or the Postal Inspectors at CMRA locations.

b. Obtain quarterly (due on January 15th, April 15th, July 15th, and October 15th) from the CMRA a list of all customers shown in alphabetical order cross-referenced to the CMRA addressee delivery designations. The alphabetical list must contain all new customers, current customers, and those customers who terminated within the last 6 months, including the date of termination. Utilize the quarterly alphabetical list to audit the PS Form 1583 files maintained at the Post Office and CMRA compliance with payment of new postage to re-mail.

c. Retain the original copies of PS Forms 1583 two years from the termination date of the agency relationship with the addressee.

d. Verify there is no misrepresentation of the delivery address designation used to deliver mail to each individual addressee or firm as being a structure or address other than a private mailbox (PMB). Return mail without a proper address to the sender endorsed "Undeliverable as Addressed."

e. Review PS Forms 1583 or 1583-A to ensure there is no modification or alteration by the CMRA or its customer. Modified or altered forms are invalid and the addressee's mail returned to sender in accordance with Postal Service regulations.

f. Periodically monitor mail going to the CMRA to ensure delivery to each addressee authorized through PS Forms 1583 and 1583-A. Use the quarterly alphabetical list of CMRA customers to examine a random sample of mail delivered to the CMRA.

g. Withhold mail from delivery to the agency and return to the sender "Undeliverable as Addressed," if the CMRA has no forms on file authorizing it to act as an agent for receipt of mail for the intended addressee.

h. Provide for an annual review at the CMRA business location to ensure compliance with D042.2.5 through D042.2.7 and other applicable postal requirements.

i. With the next higher level approval and notification to the Inspector-In-Charge, suspend delivery to a CMRA that, after proper notification, fails to comply with D042.2.5 through D042.2.7 or other applicable postal requirements. The proper notification must be in writing outlining the specific violation(s) with a reasonable time to comply. If the CMRA fails to comply with the written notification, the postmaster must receive approval from the next higher level and notify the Postal Inspector-In-Charge before suspension of delivery service to a CMRA.

j. With approval of suspension of delivery, the postmaster must provide the CMRA with written notification of the effective date and the reason(s). If the CMRA fails to comply by the effective date, return mail to sender endorsed "Delivery Suspended to Commercial Mail Receiving Agency."

k. Provide semiannually by January 15th and July 15th a current list of CMRAs to the Inspector-In-Charge.

612.2 Mail Addressed to Minors

A minor's guardian may control delivery of mail addressed to the minor. If there is no guardian, and the minor is unmarried, then either parent may receive delivery of the minor's mail.

612.3 Mail Addressed to Incompetents

If a person is legally declared incompetent, that person's mail may be delivered under the order of his or her guardian or conservator. Where there is no legal representative, the mail is delivered as addressed.

612.4 Mail Addressed to Deceased Persons

612.41 Delivery

The Postal Service should deliver as addressed any mail addressed to a deceased person. Anyone who would normally receive the addressee's mail at that address may receive the mail. If there is evidence that an individual is deceased and the mail is not being picked up, then:

a. The carrier must:

(1) Complete a PS Form 3849, *Delivery Notice/Reminder/ Receipt*, and leave it in the mail receptacle.

(2) Take the mail back to the office.

(3) Bundle the mailpieces and endorse the top mailpiece with the current date, then place the bundle with other mail held for customers. These actions begin the 20-day hold period.

b. The carrier must not:

(1) Make any further delivery to this address for this individual, unless written notification is received or the 20-day holding period has expired.

(2) Initiate a PS Form 3575, *Change-of-Address Order*, for a deceased person.

Only if the Postal Service has received no written instructions after 20 days should carriers treat the mail as undeliverable.

612.42 Mail That Can Be Forwarded

Mail described in 612.41 may be forwarded to a different address, including that of an appointed executor or administrator, if an order of request is filed at the Post Office.

612.43 Mail That Must Be Returned

Federal government checks addressed to a deceased person should be returned to the mailing federal agency without attempting delivery. Other mail endorsed "Return to Sender if Addressee Is Deceased" should be returned also without attempting delivery.

613 Jointly Addressed Mail

613.1 Delivery of Jointly Addressed Mail

Where mail is jointly addressed (for example, "Mr. and Mrs. John Doe" or "John and Jane Doe"), neither party is entitled to control delivery of such mail over the objection of the other. Jointly addressed mail is delivered as addressed by the sender, as long as one of the addressees can receive it there.

613.2 Delivery of Mail Addressed to Husbands or Wives

Neither party may control delivery of mail addressed to the other. In the absence of delivery instructions, the mail must be delivered as addressed by the sender. Refer to 616 for conflicting orders for delivery.

614 Delivery to Individuals at Organizations

614.1 At Organization Address

All mail addressed to a governmental or nongovernmental organization (including but not limited to corporations, firms, sole proprietorships, partnerships, joint ventures, and associations) or to an individual by name or title (an official, employee, contractor, client, agent, etc.) at the address of the organization is delivered to the organization. This regulation also applies to mail addressed in this manner to former officials, employees, contractors, agents, clients, or others associated with the organization. If disagreement arises about where any such mail should be delivered, it must be delivered according to the order of the organization's president or equivalent official.

614.2 Not at Organization Address

Mail addressed to a governmental or nongovernmental official by title or by organization name, but not to the address of the organization, must be delivered to the organization if the organization so directs.

615 Delivery to Persons at Hotels, Institutions, and Schools

615.1 Mail Addressed to Patients or Inmates

Mail addressed to patients or inmates at institutions is delivered to the institution authorities who, in turn, deliver the mail to the addressee under the institution's rules and regulations. If the addressee is no longer at that address, the mail must be redirected to his or her current address by the institution. If the forwarding address is unknown, the mail is returned to the Post Office.

615.2 Mail Addressed to Persons at Hotels, Schools, and Similar Places

Mail addressed to persons at hotels, schools, and similar places is delivered to the hotel or school. If the addressee is no longer at that address, the mail is redirected to his or her current address by the hotel or school. If the forwarding address is unknown, the mail is returned to the Post Office. For mail addressed to prisoners, see ASM 274.96.

615.3 Registered Mail Addressed to Persons at Hotels and Apartment Houses

Registered Mail addressed to persons at hotels and apartment houses is delivered to the persons designated by the management of the hotel or apartment house in a written agreement with the Postal Service. PS Form 3801-A, *Agreement by a Hotel, Apartment House, or the Like,* must be executed for this purpose. If delivery of the Registered Mail is restricted by the sender, it may not be delivered to the representative of the hotel or apartment house unless the addressee has authorized that person in writing to receive his or her restricted-delivery mail. Authorization may be made on PS Form 3849, *Delivery Notice/Reminder/Receipt;* PS Form 3801, *Standing Delivery Order;* or by a letter to the postmaster.

616 Conflicting Orders by Two or More Parties for Delivery of Same Mail

616.1 Delivery to Receiver

Where persons make conflicting orders for delivery of the same mail, and they are unable to agree among themselves which party should receive the mail, the mail may be delivered to a named receiver or third party unanimously agreed to by the disputing parties.

616.2 Receiver in Dispute

616.21 Steps for Resolution

The following Postal Service authorities have responsibilities in attempting to resolve disputes:

a. *Postmaster.* If the disputing parties are unable to select a receiver, they must furnish the postmaster all evidence on which they rely to exercise control over the disputed mail.

b. *Chief Field Counsel.* If after 5 workdays from receipt of such evidence the postmaster is still in doubt about who should receive the mail, the postmaster must submit the case to the chief field counsel for informal resolution.

c. *Judicial Officer Department.* If after 5 workdays from receipt of the case, or such additional time as may be agreed to by all parties, no informal resolution is achieved and no order is made by the chief field counsel to return the mail to the sender, the chief field counsel must forward the case file to the Judicial Officer Department for decision under the rules of procedure of that department.

616.22 Holding of Disputed Mail

If a dispute is referred to the Judicial Officer Department, the postmaster must hold the disputed mail as follows:

a. *Ordinary Mail.* Hold the mail until such time as notice of final disposition is received from the judicial officer.

b. *Accountable Mail.* Hold Registered Mail, Certified Mail, Insured Mail, COD, and Return Receipt for Merchandise Mail for the amount of time permitted by DMM F030, or until resolution of the dispute, whichever comes first.

c. *Express Mail.* Hold Express Mail as specified by DMM F030, or until resolution of the dispute, whichever comes first.

616.23 Returned Mail

Endorse returned items “In Dispute.”

616.3 Delivery of Mail According to Court Order

When the same mail is claimed by different persons, and a court decides to whom delivery should be made, the mail is delivered according to the court order.

617 Other Delivery Procedures

617.1 Delivery in Multiple-Floor Buildings

Parcel Post, Registered Mail, Insured Mail, and Certified Mail articles are delivered in person to the addressee or authorized agent, without regard to the floor on which his or her office or apartment is located. Cooperation of customers is requested, however, in making arrangements for mail to be delivered on the first floor.

617.2 Delivery of Parcels

617.21 Heavy or Bulky Items

A heavy or bulky item of any class is delivered as addressed, if facilities are available.

617.22 Delivery to Other Than the Addressee or Mail Receptacle

Unless the addressee has filed a written order asking that mail not be left outside the authorized delivery receptacle, parcels that do not require a signature may be left in a reasonably safe place, such as a porch or stairway that is protected from the weather, if:

a. The addressee has filed a written order to leave parcels.

b. The mailer has endorsed the item “Carrier — Leave if No Response.” The endorsement must appear in the upper left corner of the address side of the piece or the upper left corner of the addressing area of the piece, directly below the return address. The endorsement and return address must be printed in the same reading direction as the delivery address, with a clear space of at least 1/4 inch above and below the endorsement. The lettering of the endorsement must be in bold print and as large as, or larger than, the lettering of the addressee’s name and address but never smaller than 8-point type. The lettering of the endorsement must also stand out clearly against its background.

617.3 Additional Attempts to Deliver

617.31 Someone Normally Available to Receive Parcel

When someone is normally available to receive parcels, but an ordinary parcel (parcel without any extra services) cannot be delivered on the carrier’s first attempt, a second delivery attempt is made the next working day and no PS Form 3849 is left on the initial attempt. If the parcel cannot be delivered on the second attempt, leave PS Form 3849 at the address to indicate that the parcel is being held.

617.32 No One Usually Available to Receive Parcels

If no one is available to receive the parcel and the carrier knows that someone at the address is not usually available to receive parcels, PS Form 3849 is completed and left after the first attempt. When it is not known if someone is usually available to receive parcels, PS Form 3849 is left after the first attempt. Additional attempts are made only at the customer’s request.

617.33 Parcel Not Called For

A second notice is sent if the parcel is not called for after 5 days. If there is no response within 15 days after the first notice and no retention period is specified by the sender, the parcel is treated as undeliverable according to POM 68, Undeliverable Mail. When a retention period is specified, it is observed up to 30 days after the first notice. An additional attempt to deliver is made only if requested by the addressee.

617.34 Perishable Parcel

If a parcel is endorsed "Postmaster: Perishable. If not delivered within 5 days, call 1-800-XXX-XXXX." A Postal Service employee must call the telephone number printed by the mailer in the endorsement. The Postal Service employee gives the mailer the customer's name, address, and, if necessary, keyline information. A second attempt to deliver is made only if requested by the addressee. A second notice is sent if the parcel is not called for after 5 days. If the parcel remains unclaimed 10 days after the first delivery attempt or the retention period specified by the mailer, the parcel is handled according to POM 68, Undeliverable Mail. Mailers of perishable items who want to use this endorsement must place the endorsement along the left or bottom edge of the address label. The lettering of the endorsement must be in boldface type and as large as, or larger than, the lettering of the addressee's name and address but never smaller than 8-point type.

618 Delivery to Military Organizations and Naval Vessels

618.1 Units Not Operating Military Post Offices

Mail addressed to the Commanding General, Commander, Commanding Officer, staff sections and other officials by title, and personnel of military organizations is delivered to unit mail clerks or mail orderlies when such individuals are designated on DD Form 285, *Appointment of Unit Mail Clerk or Mail Orderly,* to receive all mail addressed to the unit for which designated. If the unit mail clerk or mail orderly is designated on DD Form 285 to receive ordinary mail only, then Registered Mail, numbered Insured Mail, Certified Mail, and Restricted-Delivery Mail addressed to individuals by name may be delivered to the unit mail clerk or mail orderly only if authorized by the addressee in a letter to the Post Office, PS Form 3849, or PS Form 3801.

618.2 Units Operating Military Post Offices

All mail addressed to military organizations that operate military Post Offices is delivered to the military postal clerk, assistant postal clerk, or postal finance clerk for the organization. Additionally, mail for other military organizations may be delivered to military postal clerks or postal finance clerks for further delivery when requested.

618.3 Identification

Unit mail clerks, mail orderlies, postal clerks, and assistant postal clerks must provide proper identification to obtain mail. Required identifications are DD Form 285, showing classes of mail that the bearer is authorized to

receive, and a military identification card (for a civilian employee, a government-issued identification card with the employee's picture).

618.4 Return Receipts

Return receipts for Registered Mail, numbered Insured Mail, and Certified Mail mail must not be completed by anyone except the addressee.

619 Mail Claim Check System

619.1 General

619.11 Purpose

The Mail Claim Check System is a system for notifying customers of the arrival of mail and for obtaining receipts for delivered mail. The system is designed primarily for handling mail intended for carrier delivery, but it is also used for mail addressed to Post Office boxes or general delivery.

619.12 Scope

619.121 Notice of Arrival and Claim

The system uses PS Form 3849 that serves both as a notice of arrival and a claim check for accountable mail and for other articles that cannot be immediately delivered. It also serves as a delivery receipt for Registered Mail, numbered Insured Mail, Certified Mail, Return Receipt for Merchandise Mail, or other signature Mail.

619.122 Definition of Carrier

For these instructions, the term *carrier* includes postal vehicle service (PVS) drivers and carriers serving city, rural, and all other types of carrier delivery routes. Also, the term *Post Office box* includes caller service and detached Post Office box service.

619.123 Use

The system is used when mail intended for delivery by carrier is held for redelivery or customer pickup. It also applies to Post Office box and general delivery mail.

619.124 Post Office Box or General Delivery

The system is not used for mail intended for Post Office box or general delivery if a separate storage area is provided for this type of mail. It is not used at installations that provide only Post Office box and/or general delivery service.

619.125 Delivery Receipts

Two methods of delivery receipt storage and retrieval are supported by the U.S. Postal Service under the signature capture process. Exhibit 619.125 provides site-specific information on electronic and manual record management sites.

a. *Electronic Record Management (ERM).* Delivery receipts for offices in the ERM program will be stored electronically in the Product Tracking System (PTS) database. All signature mail will have barcoded and/or numbered labels, which will be scanned at the time of delivery or attempted delivery using handheld scanners. Recipient information (including signature, printed name, and address) will be captured on

PS Form 3849, *Delivery Notice/Reminder/Receipt,* and optically scanned and stored in PTS along with the delivery event data. Delivery record information will be available for retrieval via the Postal Service Intranet or, for records filed manually or for offices that do not have access to the Intranet, by using PS Form 3811-A, *Request for Delivery Information/Return Receipt After Mailing,* according to retention periods as stated in ASM 351.

b. *Manual Record Management (MRM).* Delivery receipts for offices in the MRM program are filed and retrieved according to existing local office procedures. All delivery records for items delivered prior to the advent of the electronic management program will be manually stored according to local office procedures and stated retention periods. These records will be available for retrieval when a request is made using PS Form 3811-A.

Exhibit 619.125
Listing of Electronic Record Management (ERM) and Manual Record Management (MRM) Sites

Domestic	Status
Continental United States, Hawaii, Alaska	ERM

Territories and Possessions	Status
American Samoa	
Manua Island	MRM
Swain's Island	MRM
Tutuila Island	MRM
Baker Island	MRM
Guam	MRM
Howland Island	MRM
Jarvis Island	MRM
Johnston Atoll	
East Island	MRM
Johnston Island	MRM
North Island	MRM
Sand Island	MRM
Kingman Reef	MRM
Midway Atoll	
Eastern Island	MRM
Sand Island	MRM
Spit Island	MRM
Navassa Island	MRM
Northern Mariana Islands, Commonwealth of the	
Rota Island	MRM
Saipan Island	MRM
Tinian Island	MRM
Palmyra Atoll	MRM
Puerto Rico, Commonwealth of	ERM
U.S. Virgin Islands	
St. Croix Island	ERM
St. John Island	ERM
St. Thomas Island	ERM

Territories and Possessions	Status
Wake Atoll	
Peale Island	MRM
Wake Island	MRM
Wilkes Island	MRM

Freely Associated States	Status
Marshall Islands, Republic of the	
Ebeye Island	MRM
Majuro Island	MRM
Palau, Republic of	
Koror Island	MRM
Micronesia, Federated States of	
Chuuk [Truk] Island	MRM
Kosrae Island	MRM
Pohnpei Island	MRM
Yap Island	MRM

Military	Status
APO/FPO	MRM

619.2 Use

619.21 Forms

619.211 PS Form 3849, *Delivery Notice/Reminder/Receipt*

The required form for the mail claim check system is PS Form 3849, which has the following five uses:

a. Delivery receipt for Registered Mail, numbered Insured Mail, Certified Mail, or other signature Mail.

b. Notification form for mail that cannot be delivered immediately.

c. Second notice or reminder for an unclaimed article.

d. Claim check for retrieving mail at Post Offices.

e. Offices using manual record management must make a record for accountable mail articles (Registered Mail, numbered Insured Mail, Certified Mail, COD, and Express Mail) returned to sender.

619.212 Privately Printed Forms

Mailers may not print PS Form 3849 unless authorized by the manager, Information Systems, Expedited/Package Services, Headquarters.

619.22 Delivery

619.221 Receipt for Registered, Numbered Insured, Certified, and Other Signature Mail

PS Form 3849 must be used as a receipt for Registered Mail, numbered Insured Mail, Certified Mail, and other signature Mail when delivery is made. The carrier completes the form, has the recipient sign it under established procedures, ensures the delivery address is recorded, and returns the form to the delivery unit. Each customer signature (including printed name) must be collected on PS Form 3849.

619.222 **Notification of Mail That Cannot Be Delivered**

Delivery employees must use PS Form 3849 to notify customers of the arrival of mail that cannot be delivered. Carriers must check the name and address on the article for accuracy and legibility, since it is stored according to this information. The carrier must then return the item to the delivery unit for processing and storage.

619.223 **Reminder or Notice of Second Attempted Delivery**

PS Form 3849 is delivered to the addressee as a second notice or reminder when required either for unclaimed articles or when second delivery attempts are unsuccessful. The recipient uses the form to retrieve the article.

619.224 **Notice of Arrival of COD Mail**

PS Form 3849 may be used as a notice of arrival and as a record of delivery for ordinary COD Mail.

619.23 Claiming Mail

619.231 **Customer Action**

Customers claim their mail by presenting PS Form 3849 to the retail clerk at the location shown on the form. To claim Registered Mail, numbered Insured Mail, Certified Mail, or other signature Mail, a customer who is not known to the retail clerk must provide identification and must sign PS Form 3849.

619.232 **Without Claim Check**

A customer may obtain an article without a claim check; however, if the article is Registered Mail, numbered Insured Mail, Certified Mail, or other signature Mail, the customer must identify himself or herself and sign PS Form 3849.

619.233 **Postal Service Action**

After verifying that the delivery receipt is properly completed and all other delivery procedures followed, the clerk delivers the article and signs and dates the delivery receipt in the “Delivered by” and “Date” blocks.

619.24 Disposition of Forms 3849

Maintain PS Forms 3849 as follows:

a. Electronic Record Management (ERM) sites: Delivery receipts must be sent to the appropriate Computerized Forwarding System (CFS) unit, where they will be optically scanned into the database for future retrieval.

b. Manual Record Management (MRM) sites: Delivery receipts will be maintained at the office of delivery. Delivery receipts must be kept separate from notices of arrival of ordinary COD and nonaccountable mail. Delivery receipts for registered COD Mail must be kept for disposition under established procedures.

c. Keep claim checks overnight, including those for ordinary COD Mail that are not required as delivery receipts, and then recycle them according to established procedures.

619.25 Storage of Mail Awaiting Customer Pickup

619.251 Procedure

At the delivery unit, either write or stamp near the customer's address: the date of the initial attempt, the date of second notice, and the date of return; endorse with initials of the Notice Left employee; and place in storage bins or on shelves, according to 619.251a through 619.251c. If more than one item has the same number used in a numerical storage system, alphabetize the items within the separation. Use one of the following filing systems:

a. Alphabetical by last name or alphabetical by last name and date of attempt.

b. Last number of the delivery address.

c. Last two numbers of the delivery address.

d. By Post Office box number.

619.252 Parcels and Accountable Mail

Store mail in appropriate storage bins or shelves as follows in the designated areas by category (areas may be combined):

a. Certified Mail: in the designated area with separations for letters and flats.

b. COD: in the designated area.

c. Customs: in the designated area.

d. Express Mail: in the designated area.

e. Hold For Pickup: in the designated area.

f. Postage Due: in the designated area with separations for letters, flats, and parcels, if necessary.

g. Registered Mail: under control of the responsible employee at all times in a secure area, as per DM 901-3-3.3.2.

h. Post Office Box: in the designated area.

i. Parcels: in the designated area. All types of parcels not listed above intermingled in a designated Parcel Post area.

619.3 Overprinting

Each PS Form 3849 requires information such as Post Office, station, or branch; address; business hours; and telephone number. When economically feasible, this information can be overprinted at the same time the basic form is printed. Overprinting is generally limited to large quantities. Overprinting of forms is coordinated by a purchasing specialist at your area purchasing and materials service center. Offices not ordering overprinted stock must use a hand stamp to note office information on each PS Form 3849.

62 Delivery Schedules and Trip Frequencies

621 Schedules

621.1 Express Mail, First-Class Mail, and Priority Mail

Deliver on the first trip all Express Mail, First-Class Mail, and Priority Mail received at the central distribution facility prior to the established cutoff time.

621.2 Periodicals

Deliver Periodicals on the first scheduled delivery trip following receipt at the delivery unit, provided that such delivery does not delay First-Class Mail.

621.3 Standard Mail

Deliver Standard Mail not later than second delivery day after day of receipt. (Day of receipt begins at midnight unless the area manager approves a different time.) Deliver mail received on Saturday no later than Tuesday. Deliver circulars received on a day preceding a holiday no later than the second delivery day following the holiday.

621.4 Package Services

Where possible, schedule delivery of Package Services Mail parcels so as to maintain published service standards for these classes of mail.

622 Trip Frequencies

Frequency changes must be approved by the area manager.

623 Withdrawal of Delivery Service

623.1 Suitable Receptacles

Consider withdrawing service if a customer does not provide a suitable mail receptacle after being so notified by PS Form 1507, *Request to Provide Mail Receptacle* (city delivery routes); by PS Form 4056, *Your Mailbox Needs Attention* (rural and highway contract routes); by letter or verbally.

623.2 Blocked Mail Receptacles

623.21 General

The customer is responsible for keeping the approach to the mailbox clear to facilitate delivery (see 632.14). If the carrier continually experiences a problem in serving curbline boxes and where the customer is able to control access or on-street parking in front of his or her mailbox but does not take prompt corrective action after being properly notified, the postmaster may, with the approval of the district manager, withdraw delivery service.

623.22 Delivery to Mailbox Inside of a Screen or Storm Door

These mailboxes must meet the following requirements:

a. When the box is inside a screen or storm door, the door must be left unlocked; otherwise, the box should be located outside the door or a slot should be provided in the outer door.

b. When porches are screened in or enclosed by other material, and are used as living or sleeping quarters, the screen or storm door is considered the entrance door to the house. In these cases, request that customers place their mail receptacle outside the door or provide a slot in the door.

623.3 Safety or Security

Delivery service may be suspended when there is an immediate threat (including, but not limited to, threats due to loose animals) to the delivery employee, mail security, or postal property. Suspension of service should be limited to an area necessary to avoid the immediate threat. Postmasters should request corrective action from responsible parties and restore normal service as soon as appropriate.

623.4 Travel Obstructions

Persons responsible for road maintenance must be notified of road conditions obstructing the delivery of mail. If repairs are not made promptly, service may be withdrawn with the approval of the district manager. Resume service as soon as the road conditions are improved.

63 Modes of Delivery, Mail Receptacles, and Keys

631 Modes of Delivery

631.1 General

For all establishments and extensions, the options for delivery service are to the door, curbline boxes, or central delivery points or receptacles, supplemented as given below.

631.2 Business Areas

The type and design of buildings govern the mode of delivery to be implemented. The options are as follows:

a. *Central Delivery.* Central delivery service is for business office buildings, which may include call windows, horizontal locked mail receptacles, cluster box units (CBUs), neighborhood delivery and collection box units (NDCBUs), or mechanical conveyors (only for high-rise, multiple-tenant buildings, and only if certain conditions are met; consult postmaster for details).

b. *Single Point Delivery.* Single point delivery is for single points, receptacles, or door slots provided by business management. If there is an elevator and if the offices are open to receive mail, or if door slots are provided, delivery is authorized to all floors of office buildings. If

there is no elevator, delivery is provided to the first floor, and to the second floor if it is occupied primarily by business offices and if the service is requested.

631.3 Residential Housing (Except Apartment Houses and Transient Mobile or Trailer Homes)

631.31 General

For all residential areas, except apartment houses, transient mobile or trailer homes, colleges and universities, and other sites covered under 615, the delivery options, under the regulations given below, are curbside, sidewalk, or central delivery.

631.32 Curbside Delivery

Delivery may be provided to boxes at the curb so they can be safely and conveniently served by the carrier from the carrier's vehicle, and so that customers have reasonable and safe access. Mail receptacles may be grouped, two to a property line where possible.

631.33 Sidewalk Delivery

Options and requirements for sidewalk delivery are as follows:

a. If the sidewalk abuts the curb or if other unusual conditions exist (e.g., excessive street parking) that make it difficult or impractical to install or serve boxes at the curbline, those customers may be permitted to install all their boxes at the edge of the sidewalk nearest the residence, where they can all be served by the carrier from the sidewalk.

b. If the average lot frontage is 75 feet or less, the boxes are not required to be grouped together; if the average lot frontage exceeds 75 feet, the boxes must be installed in groups of at least two.

c. If the average lot frontage is 50 feet or less, customers may locate their mailboxes at the edge of the sidewalk nearest the residence rather than at the curb, regardless of whether the sidewalk abuts the curb or other unusual conditions exist. All the boxes must be located so that the carrier can serve them from the sidewalk.

631.4 Exceptions

631.41 Extension of Service Within an Existing Block

New homes or businesses built within a block of existing homes or businesses receive the same type of service as the older homes or businesses. When new development replaces more than one block, delivery methods must comply with mode of delivery options for establishment and extension of delivery service.

631.42 Hardship Cases

Procedures and guidelines for changes in delivery in hardship cases are as follows:

a. Changes in the mode of delivery authorized for a delivery point are considered where service by existing methods would impose an extreme physical hardship on an individual customer. Any request for a change in delivery mode must be submitted in writing.

b. Approval of these requests should be based on humanitarian and not economic criteria; however, rural delivery customers requesting a hardship extension must also meet current criteria for extension of rural delivery service (see 653). Each request for a change in delivery service should be evaluated based on the customer's needs; a request should not be denied solely because of increased operational costs or because a family member or other party may be available to receive mail for the customer.

c. If the local postmaster denies a request, the request must be sent to the district for review. The final decision is made by the district manager.

d. If a customer no longer requires a variation in the type of delivery service, mail service must be restored to the mode of delivery in effect in the area.

631.43 Local Ordinances

If a customer chooses not to erect a curbside box because of a local, city, county, or state ordinance prohibiting the installation of mailboxes at the curb, the delivery options in establishments and extensions are as follows:

a. *Central Delivery Service.* See 631.44.

b. *Post Office Box or General Delivery Service.* Post Office box or general delivery service may be provided at the nearest postal facility where carrier delivery emanates.

631.44 Central Delivery

631.441 Delivery Requirements

NDCBUs or CBUs may be approved for use at one or more central delivery points in a residential housing community. The local postal manager must approve the mailbox sites and type of equipment. Boxes must be safely located so that customers are not required to travel an unreasonable distance to obtain their mail. Normally, within one block of the residence is appropriate.

631.442 Central Delivery Addresses

Central delivery mail receptacles (including NDCBUs and CBUs, delivery centers, and postal centers) must be identified by the same addresses as the dwellings for which they serve as mail receptacles. These identical individual addresses should be placed inside the boxes to be visible only to the carrier as he or she serves the receptacle or the customer. For security or privacy, mailer associations or customer groups may use another alphanumeric identification system on the outside of the receptacle that is not part of, or used in, the mailing address.

631.45 Apartment Houses

631.451 General

Delivery of mail to individual boxes in a residential building containing apartments or units occupied by different addressees (regardless of whether the building is an apartment house, a family hotel, residential units, or

business units in a residential area and regardless of whether the apartments or units are owned or rented) is contingent on the following:

a. The building contains three or more units (above, below, or behind; not side by side) with:

(1) A common building entrance such as a door, a passageway, or stairs;

(2) A common street address (some part of the address is shared) approved by local or municipal authorities.

b. The installation and maintenance of mail receptacles is approved by the Postal Service.

c. Each apartment is provided one box, including that of any resident manager or janitor, unless the management has arranged for mail to be delivered at the office or desk for distribution by its employees.

d. The grouping of the boxes for the building is at a single point readily accessible to the carrier.

Note: The tenant's correct mailing address is the address of the entrance at which the mail receptacles are located, and should include the apartment number or designated mailbox number.

631.452 **Exceptions**

Exceptions to the above requirements are as follows:

a. If more than one such building in an apartment house complex has the same approved common street number, delivery of mail to individual boxes is contingent on the grouping of all the boxes for the common street number at a single point readily accessible to the carrier, even though the boxes serve residents in more than one building.

b. If such a building has more than one entrance, delivery of mail to receptacles grouped at more than one entrance is contingent on each entrance to which delivery is made serving three or more apartments or flats and the assignment, by local or municipal authorities, of a different street number to each such entrance.

c. When new apartments are being erected or existing ones remodeled, postmasters will inform builders and owners of the requirements of these regulations and will provide a suitable inspection to ensure that safe and durable receptacles are installed in conformance with these regulations. Postal Service-approved parcel lockers may be used with approved mail receptacles.

631.46 Mobile or Trailer Homes

631.461 **Options**

The delivery options for mobile or trailer home developments depend on whether the development is permanent or transient.

631.462 **Permanent Developments**

Permanent developments consist of managed mobile home parks or residential mobile home subdivisions where the lots are permanently assigned, the streets are maintained for public use, and the conditions are similar to those of a residential subdivision. For permanent developments,

the delivery options are either curbside, sidewalk, or central delivery, under the regulations below.

a. *Curbside Delivery.* Delivery service may be provided to boxes at the curb so that they can be safely and conveniently served by the carrier from the vehicle.

b. *Sidewalk Delivery*

(1) If the sidewalk abuts the curb or other unusual conditions exist (e.g., excessive street parking) that make it difficult or impractical to install or serve boxes at the curbline, those customers may install all their boxes at the edge of the sidewalk nearest the residence where they can all be served by the carrier from the sidewalk.

(2) In such conditions, if the average lot frontage is 75 feet or less, the sidewalk boxes are not required to be grouped together; if the average lot frontage exceeds 75 feet, the sidewalk boxes must be installed in groups of at least two.

(3) If the average lot frontage is 50 feet or less, customers may be permitted to locate all their mailboxes at the edge of the sidewalk nearest the residence rather than at the curb, regardless of whether the sidewalk abuts the curb or other unusual conditions exist. All the boxes must be located so the carrier can serve them from the sidewalk.

c. Central Delivery

(1) Delivery service may be provided to a single point or receptacle designated by the management of the development for the receipt of mail for distribution by its employees.

(2) Delivery service may be provided to one or more central points for the direct receipt of mail by postal customers within the area. The requirements for such central delivery are as follows:

(a) The local Postal Service managers must approve the mailbox sites and equipment.

(b) Customers must not be required to travel an unreasonable distance to obtain their mail.

631.463 Transient Developments

Transient developments are mobile home, trailer, and recreational vehicle parks where the lots are temporarily occupied or rented and considered transient or seasonal, even though some families may live in them for an extended period. For these developments, the only option is delivery to a single point or receptacle designated by park management and approved by local Postal Service managers for the receipt of mail for distribution and mail forwarding by employees of the park. This method is one of the service options for permanent developments.

631.5 Colleges and Universities

631.51 Administration Buildings

Mail is delivered to principal administration buildings. Mail undeliverable as addressed or not addressed to a specific building is delivered to the main administration building office for further handling. At larger universities, deliver to the different departments, colleges, faculty buildings, and principal campus structures, such as the Chemistry Building, Engineering Building, and so forth, provided that mail is thus addressed and the volume warrants. Delivery is not to be made to individual administration offices.

631.52 Dormitories or Residence Halls

Mail is delivered to dormitory buildings and residence halls when addressed to a specific building. Deliver mail in bulk to a designated representative of the school, who then is responsible for further distribution to students. A dormitory building or residence hall ordinarily consists of single-room units (or double rooms with connecting bath) and separate centrally located facilities for dining and receiving visitors. Whether located on or off campus and regardless of private ownership, such buildings are nevertheless dormitories and either the school or building owner is responsible for final delivery of student mail. Post Office personnel are not to distribute mail into apartment-type mailboxes.

631.53 Married Student Housing

Apartments and housing units for married students ordinarily are complete quarters consisting of a living room, kitchen-dinette, bedroom, and bath. Whether located on or off campus and regardless of ownership, the apartment mail receptacle requirements in 631.45 apply.

631.54 Fraternity and Sorority Buildings

Deliver mail in bulk to a common mailbox or to a representative of the organization if addressed to a specific building.

631.55 Parcel Post

Deliver parcel post in the same manner as other ordinary mail matter.

631.56 Forwarding of Mail

Forwarding mail for former students and for current students during the summer and vacation periods is the responsibility of the institution or building owner, except where delivery to individual apartment receptacles for married student housing is being provided. Encourage school officials to include mail forwarding, proper mail addressing, and other related postal features in general instructions to students.

631.57 Noncity Delivery Offices

Where city delivery service is not established, students may rent Post Office boxes or use general delivery, or the institution may arrange to pick up the mail in bulk and make its own distribution and delivery.

631.6 Conversion of Mode of Delivery

In this section, *conversion* refers to changing existing mail delivery to a more economical and efficient mode. The key to converting existing deliveries is

identifying those deliveries that are most costly to the Postal Service. Delivery managers can go into any delivery territory where delivery has been established for over 1 year and solicit to convert the mode of delivery if it would be cost beneficial to the Postal Service.

Postmasters should not establish a mixed delivery area where the carrier must zigzag from the door to the curb when previously the carrier took obvious shortcuts to effect delivery. Postmasters must weigh the advantages and disadvantages of converting less than 100 percent of the deliveries.

Customer signatures must be obtained prior to any conversion. In single-family housing areas (including manufactured housing and mobile homes) where the residences and lots are owned, each owner must agree to the conversion in writing. Owners who do not agree must be allowed to retain their current mode of delivery.

When a residence is sold, the mode of delivery cannot be arbitrarily changed prior to the new resident moving in. The existing mode of delivery must be retained. If an owners' association represents the community, it can direct the mode of delivery for the community. In rental areas, such as apartment complexes and mobile home parks, the owner or manager can approve the conversion.

631.7 Correction of Improper Mode of Delivery

In the event an improper mode of delivery is extended by a postal carrier or manager, the service will be withdrawn provided that the error is detected within 90 days. If the error is not detected within 90 days, the service will remain in place.

631.8 Military Installations

631.81 Family Housing

Delivery to family housing on military installations is effected in accordance with 611, 64, 65, or 66, whichever is appropriate.

631.82 Other Services - Agreement With the Military

Other services are provided to military installations in accordance with Publication 38, *Postal Agreement with the Department of Defense,* signed on February 21, 1980, reprinted in pertinent part:

III. POLICY

A. The Military Postal Service is operated as an extension of the United States Postal Service® as authorized by 39 U.S.C. 406.

B. The Department of Defense and the Postal Service agree to attempt to furnish mail service to the military equal to that provided the civilian population in the United States.

C. The Department of Defense and the Postal Service affirm the importance of the national goal of energy conservation, and both parties resolve to minimize energy expenditure while conducting military postal operations.

IV. RESPONSIBILITIES

A. The Department of Defense agrees to:

1. Maintain and operate military Post Offices in support of Armed Forces operations and personnel at locations outside the United States, or inside the United States where the military situation requires;
2. Ensure that each military Post Office that provides postal financial or accountable mail services or exchanges incoming and outgoing mail directly with carriers is supervised by at least one qualified, on-site military member of the Armed Forces;
3. Administer the military postal service in accordance with the law, with policies and regulations of the Postal Service, and with consistent implementing directives of the Department of Defense;
4. Arrange with foreign governments to permit military Post Offices to be established and military postal operations to be conducted in foreign countries;
5. Furnish information required by the Postal Service to provide efficient postal services to authorized personnel and units;
6. Establish and operate mail control activities at principal locations used by the Postal Service to receive and dispatch military mail and to provide information to distribute and dispatch military mail and to provide information for overseas and maneuver forces, ships, and other mobile units;
7. In time of war or national emergency, assist or supplement Postal Service operation of bulk mail centers, postal concentration centers, and airport mail facilities;
8. Establish and operate mail control activities at military aerial ports to receive outgoing military mail from the Postal Service for dispatch via military air transport and to receive incoming military mail via military air transport for entry into civilian postal channels;
9. Conduct postal finance services at military Post Offices, to include selling stamps and stamped paper; issuing domestic money orders; cashing money orders, when feasible; and providing Certified Mail, Insured Mail, and Registered Mail services. Remittances to the Postal Service shall be in dollars in the amounts required by the schedule of rates, fees, and charges provided by postal regulations;
10. Make periodic audits and inspections of military Post Offices.

B. The United States Postal Service agrees to:

1. Provide postal services for the Armed Forces at locations inside the United States, including the establishment of civilian Post Offices on military installations and the usual

postal finance, mail handling, and carrier delivery and collection consistent with United States postal laws and regulations, normal standards of the Postal Service, and changing military requirements;

2. Establish and operate postal concentration centers, as needed, for the concentration, sorting, and delivery or dispatch of military mail in accordance with requirements of the Department of Defense;
3. Process military mail in an expeditious manner while efficiently separating mail for the Armed Forces prior to delivery or dispatch;
4. Furnish information to the Department of Defense to permit proper routing of military mail prior to its entry into civilian postal channels;
5. Authorize the establishment of military Post Offices as branches of designated civilian Post Offices;
6. Extend stamp credits from designated civilian Post Offices to postal finance offices and other custodians of postal effects;
7. Assist the Department of Defense by informing postmasters and the public of proper addressing practices, applicable restrictions, and other military mail matters of interest.

V. ADMINISTRATION

A. The Assistant Secretary of Defense (Manpower, Reserve Affairs and Logistics) shall serve as the point of contact with the United States Postal Service and shall implement and administer this agreement for the Department of Defense. The Assistant Secretary of Defense (Manpower, Reserve Affairs and Logistics) may enter into supplemental agreements with the United States Postal Service as may be necessary to carry out the purposes of this agreement.

B. The Senior Assistant Postmaster General, Operations Group, shall serve as point of contact with the Department of Defense and shall implement and administer this agreement for the United States Postal Service. The Senior Assistant Postmaster General, Operations Group, may enter into supplemental agreements with the Department of Defense as may be necessary to carry out the purposes of this agreement.

VI. REVIEW AND AMENDMENT

A. This agreement may be amended at any time by mutual agreement. It shall be renewed every five years by the Department of Defense and the Postal Service.

SUPPLEMENTAL POSTAL AGREEMENT: ADMINISTRATIVE DETAILS

I. TRANSPORTATION

A. The Department of Defense agrees to arrange for military mail transportation from overseas postal facilities to commercial or

military terminals in the United States and between military postal activities within overseas areas.

B. The Postal Service agrees to:

1. Arrange for military mail transportation to overseas postal facilities from commercial terminals in the United States and make transportation arrangements when the postal services of another country are required. However, this does not preclude military departments from making direct arrangements for the transportation of military mail to or between designated overseas points on a short-term basis when operational requirements dictate.

2. Provide inbound and outbound mail transportation between the postal concentration centers and military or commercial air or surface carriers.

3. Transport mail between civilian Post Offices on military installations and the receiving or dispatching Postal Service facility.

II. PERSONNEL

A. The Department of Defense agrees to:

1. Appoint mail clerks and issue them uniform identification cards.

2. Assign only qualified personnel to duties in military Post Offices, mailrooms, mail control activities, and other postal facilities. No persons convicted of a crime involving theft or moral turpitude or disciplined for any action reflecting unfavorably upon their integrity shall be assigned to postal duties. Those having a history of psychiatric disorder, alcoholism, or drug abuse may be so assigned if medical evidence of current good health, sufficient to meet published Postal Service standards, is available. This does not preclude the Department of Defense from establishing requirements that are more stringent than the published Postal Service standards.

III. EQUIPMENT

A. The Postal Service agrees to:

1. Provide equipment and furniture necessary for the operation of civilian Post Offices located on military installations.

2. Furnish equipment and supplies for use in military Post Offices. Equipment shall be new or serviceable and shall be issued in accordance with mutually determined issuance standards. Supplies and accountable equipment shall be furnished without charge. Nonaccountable equipment shall be furnished on a reimbursable basis beginning in FY 82.

3. Repair equipment for which it has a unique capability.

B. The Department of Defense agrees to transport such equipment between the continental United States and the overseas destination.

IV. DELIVERY

A. The Department of Defense agrees to:

1. Decline to accept collect on delivery mail for delivery at military Post Offices.

2. Deliver mail to personnel in a temporary duty status, in training, and where delivery requirements exceed Postal Service standards.

3. Deliver accountable mail, delivery of which is restricted by the sender, through mail clerks, only upon the written authorization of the addressee when it is impracticable for the addressee to accept delivery in person at the civilian Post Office.

B. The Postal Service agrees to:

1. Neither accept nor forward to military Post Offices any collect on delivery mail.

2. Provide delivery service on military installation in the United States commensurate with the delivery service that would be provided for civilian communities of comparable characteristics. Postal Service criteria shall be used in considering extensions of mail service. Mail to principal administrative buildings or commands shall be delivered in bulk. The Postal Service agrees to also provide the mail in bulk to personnel and basic units in a transient or temporary duty status of 180 days or less. Where criteria will not allow free delivery service to be established or extended, the Postal Service agrees to provide the mail for individuals in bulk to basic units. However, in locations with adjacent civilian communities having delivery service, the Postal Service agrees to submit proposals to the Department of Defense to furnish service to groups of receptacles consistent with mutually agreed criteria and funding.

3. Deliver accountable mail addressed to military personnel, at military installations served by civilian Post Offices, to the addressees or mail clerks upon proper receipt.

V. CLAIMS

A. The Department of Defense agrees to:

1. Assume financial liability, under military claims procedures, for loss, damage, theft, wrong delivery, or rifling of accountable mail after receipt from or prior to delivery to a civilian or military Post Office by a mail clerk employed by the Department of Defense.

2. Reimburse the Postal Service for claims submitted by the Postal Service for the value of postal effects embezzled or

lost through negligence, errors, or defalcations while in the possession of military Post Office personnel. Reimburse the Postal Service for claims paid by the Postal Service for losses of accountable mail through negligence, errors, or defalcations while in the possession of military Post Office personnel.

a. To be reimbursable, claims must be submitted within one year from discovery of the loss by the Postal Service.

b. In all just and expedient cases, the military departments may request the Postal Service to take action under 39 U.S.C. 2601(a)(3) to adjust, pay or credit the account of a Military Post Office, Postal Finance Officer, Military Postal Clerk, Financial Postal Clerk, Custodian of Postal Effects, or persons acting in those capacities for any loss of Postal Service funds, papers, postage, or other stamped stock or accountable paper, under the same standards as such credit is granted to Postal Service employees.

B. The Postal Service agrees to relieve custodians of postal effects of responsibility for the amount of the invoice of any shipment of stamps or stamped paper lost in transit as a result of casualty.

VI. LOGISTICAL AND ADMINISTRATIVE SUPPORT

A. The Department of Defense agrees to:

1. Furnish adequate facilities for civilian Post Offices located at military installations solely in support of the installation's mission. Utilities and local telephone service shall be furnished on a reimbursable basis beginning FY 82.

2. Offer billeting and meals to civilian Post Office employees who work at military installations on the same basis as those offered to Department of Defense civilian employees.

3. Issue invitational travel orders for Postal Service representatives who, at the request of the Department of Defense, are assigned to perform inspections, investigations, or audits of overseas military postal operations.

B. The Postal Service agrees to:

1. Reserve the right to discontinue civilian Post Offices on military installations where existing conditions endanger the health, safety, or welfare of its employees.

2. Furnish office space for related military mail terminals, fleet Post Offices, or liaison units at postal concentration centers.

VII. AUDITS AND INSPECTIONS

A. The Department of Defense agrees to:

1. Assist Postal Service representatives in surveying, inspecting, and auditing military postal operations.

2. Conduct surveys, inspections, investigations, and audits of Department of Defense postal facilities and operation as needed to verify that accountable postal effects are on hand and properly protected, that all revenue due the Postal Service is being collected and properly accounted for, and that the service rendered is efficient and in accordance with Postal Service and Department of Defense regulations.

B. The Postal Service agrees to assign Postal Inspectors or other representatives of the Postal Service, as practicable, to conduct surveys, inspections, investigations, and audits of military postal operations to assure that efficient postal service is maintained.

VIII. MAIL SORTATION

A. Except in time of war or other emergency as determined by the Secretary of Defense, the Postal Service agrees to:

1. Sort mail for overseas forces in fixed base units to the 5-digit Army Post Office/Fleet Post Office ZIP Code separation. Mail for ships and other mobile units shall be sorted to the mobile unit by ZIP Code or name when warranted. Mail for maneuver forces, air groups, submarine groups, units in transit or temporary duty status for 180 days or less, and other similar units shall be separated in accordance with the needs of the Department of Defense. The Department of Defense agrees to develop mail routings for all of the above mail and provide the routing instructions to the postal concentration centers of the Postal Service.

2. Sort mail for the forces at installations in the United States where delivery receptacles are not provided to basic military units or numbered boxes in groups of approximately 200, so far as practicable and mutually agreeable to the Postmaster and military authorities concerned.

B. In time of war or other emergency as determined by the Secretary of Defense, the Postal Service agrees to:

1. Allow the Department of Defense to control ZIP Code assignment to all military units.

2. Specify jointly with the Department of Defense the sorting of mail for overseas forces and forces at installations in the United States.

C. Postal Service criteria shall be used to assign ZIP Codes to military installations in the United States.

D. The Department of Defense and the Postal Service agree to cooperate in the assignment and use of overseas ZIP Codes.

1. Normally each military installation shall have one 5-digit ZIP Code, although special circumstances may be considered in assigning additional ZIP Codes. Additional ZIP Codes shall only be assigned if all resulting separations receive at least 1,000 pieces of mail per day. The implementing procedures for 9-digit ZIP Codes shall be jointly developed.

2. The Department of Defense agrees to make every reasonable effort to see that its components have the correct ZIP Code in their address and return address. The Postal Service agrees to make every reasonable effort to see that the correct ZIP Code is in the address and return address of mail for military units and personnel originated by other government agencies and the civilian sector. Since the ZIP Code furnishes the Postal Service with its sole method of forwarding Army Post Office and Fleet Post Office mail, the Postal Service agrees to return to sender at the Post Office of origin all mail for Army Post Office or Fleet Post Office addresses that does not have an authorized Army Post Office/Fleet Post Office ZIP Code.

IX. MAIL FORWARDING

A. Where the Department of Defense delivers the mail it agrees to provide directory service for undeliverable-as-addressed military mail and endorse each piece to show a forwarding address or reason for nondelivery.

B. Where the Postal Service delivers the mail it agrees to maintain change of address forms and endorse forwardable mail that is undeliverable as addressed.

X. SAM/PAL LAW

A. This paragraph provides for the joint development of regulations as required by 39 U.S.C. 3401 (f)(1976) by the Postal Service and the Department of Defense concerning administration of the "SAM/PAL Law." Each party agrees to designate one or more organizational counterparts to serve on a committee to discuss conditions and regulations under which the SAM/PAL law will be jointly administered.

1. For the Postal Service, the designees are: The Assistant Postmasters General, Mail Processing Department, and Rates and Classification Department, or their designees; and the Chief Postal Inspector or his designee.

2. For the Department of Defense, the designee is: The Deputy Assistant Secretary of Defense (Supply, Maintenance and Transportation) or his designee.

B. Neither party shall take any unilateral action with respect to implementing policies, conditions, or regulations promulgated exclusively under the SAM/PAL law without prior consultation with the other party. Committee meetings may be held upon

written request of either party. Following such consultation, a joint committee report may be prepared for transmission to the respective managements.

C. Nothing herein is intended to provide for the joint administration of any activity whose administration is not provided for by 39 U.S.C. 3401 (f)(1976).

D. This section supersedes the supplementary agreement dated September 30, 1976, concerning "Joint Administration of 39 U.S.C. 3401 (the SAM/PAL Law) by the United States Postal Service and the Department of Defense."

631.83 Reference

See Publication 38-A, *Guidelines for Providing Postal Services on Military Installations,* for details on providing delivery, collection, and retail services.

632 Mail Receptacles

632.1 Customer Obligation

632.11 Responsibilities

Appropriate mail receptacles must be provided for the receipt of mail. The type of mail receptacle depends on the mode of delivery in place. Purchase, installation, and maintenance of mail receptacles is the responsibility of the customer. Appropriate locations for installation should be verified with local government officials. Customer obligations are as follows:

a. If door delivery is authorized, customers must provide either house-mounted boxes that provide adequate protection and security for the mail and that are approved by the local postmaster, or they must provide door slots (see 632.3).

b. If curbline delivery is authorized, customers must erect curb-mounted receptacles that comply with USPS®-STD-7 (see 632.5).

c. If centralized delivery is authorized, customers must install mail receptacles that comply with USPS-STD-4B, *Postal Service Standard, Apartment House Mail Receptacles,* or USPS-B-1118, *Postal Service Specification, Cluster Box Units* (see 632.6).

632.12 Exception

The Postal Service may elect, under certain conditions, to purchase, install, and maintain curb-mounted or cluster box units.

632.13 Receptacles Not Required

Business complexes are not required to provide mail receptacles or door slots if they are open and someone is on hand to receive the mail when the carrier arrives. If the offices are not open when the carrier arrives, mail receptacles or door slots must be provided.

632.14 Approach to Mailbox

The customer is responsible for keeping the approach to his or her mailbox clear to facilitate delivery. Where the approach to the mail receptacle located at the curb is temporarily blocked by a parked vehicle during normal delivery

hours for the area, or snow or ice hampers the approach to the mailbox, the carrier normally dismounts to make delivery. If the carrier continually experiences a problem in serving curbline boxes and where the customer is able to control on-street parking in front of his or her mailbox but does not take prompt corrective action after being properly notified, the postmaster may, with the approval of the district manager, withdraw delivery service.

632.2 Keys to Customer's Private Mail Receptacle

Carriers are prohibited from accepting keys for locks on private mail receptacles, buildings, or offices, except where an electromechanical door lock system or a key keeper box located within convenient reach of the door is used. Both devices must incorporate an Arrow lock to access the key or device needed to gain entry to the building. If customers place locks on their receptacles, the receptacles must have slots large enough to accommodate their normal daily mail volume so that delivery may be made by the carrier without using a key.

632.3 Door Slot Specifications

The clear rectangular opening in the outside slot plate must be at least 1-1/2 inches wide and 7 inches long. The slot must have a flap, hinged at the top if placed horizontally or hinged on the side away from the hinge side of the door if placed vertically. When an inside hood is used to provide greater privacy, the hooded part must not be below the bottom line of the slot in the outside plate if placed horizontally or beyond the side line of the slot in the outside plate nearest the hinge edge of the door if placed vertically. The hood at its greatest projection must not be less than 2-1/16 inches beyond the inside face of the door. Door slots must be placed no less than 30 inches above the finished floor line.

632.4 Receptacles Purchased by Postal Service

Cluster Box Units (CBUs) and Outdoor Parcel Lockers (OPLs) may be purchased by the Postal Service from approved manufacturers. Specifications for construction of CBUs are covered in USPS-B-1118, *Postal Service Specification, Cluster Box Units.* Specifications for construction of OPLs are covered in USPS-B-1116, *Postal Service Specification, Outdoor Parcel Lockers.* Individuals or firms interested in the manufacture of these units should write to:

ENGINEERING
IP DELIVERY & RETAIL SYSTEMS
US POSTAL SERVICE
8403 LEE HWY
MERRIFIELD VA 22082-8150

632.5 Curbside Mailboxes

632.51 Specifications for Manufacturers

632.511 Policy

Manufacturers of all mailboxes designed and manufactured to be erected at the edge of a roadway or curbside of a street and to be served by a carrier from a vehicle on any city, rural, or highway contract route must obtain

approval of their products according to USPS-STD-7, *Postal Service Mailboxes, Curbside.* A copy of USPS-STD-7 and a current listing of approved manufacturers and mailbox models may be obtained by writing to:

ENGINEERING
IP DELIVERY & RETAIL SYSTEMS
US POSTAL SERVICE
8403 LEE HWY
MERRIFIELD VA 22082-8150

632.512 **Approved Manufacturers and Models**

A current listing of approved manufacturers and models can be obtained from the office listed in section 632.511.

632.52 Installation and Use

632.521 **Custom-built Curbside Mailboxes**

Postmasters are authorized to approve curbside mailboxes constructed by individuals who, for aesthetic or other reasons, do not want to use an approved manufactured box. The custom-built box must conform generally to the same requirements specified in USPS-STD-7. Approval of such custom-built boxes will be done on a case-by-case basis. Such approval may be granted only for individual personal use, not for boxes produced as a commercial enterprise.

632.522 **Identification**

When box numbers are used on curbside mailboxes, the numbers must be inscribed in contrasting color in neat letters and numerals not less than 1 inch high on the side of the box visible to the carrier's regular approach, or on the door if boxes are grouped. Where street names and house numbers are assigned by local authorities and the postmaster has authorized use of a street name and house number as a postal address, the house number must be shown on the box. If the box is on a different street from the customer's residence, the street name and house number must be inscribed on the box. Placement of the owner's name on the box is optional. Advertising on boxes or supports is prohibited.

632.523 **Posts and Supports**

The Postal Service does not regulate mailbox supports in any way except for purposes of carrier safety and delivery efficiency. Posts and other supports for curbside mailboxes are owned and controlled by customers, who are responsible for ensuring that posts are neat and adequate in strength and size. Heavy metal posts, concrete posts, and miscellaneous items of farm equipment, such as milk cans filled with concrete, are examples of potentially dangerous supports. The ideal support is an assembly that bends or falls away when struck by a vehicle. Post or support designs may not represent effigies or caricatures that disparage or ridicule any person. Customers may attach the box to a fixed or movable arm.

The Federal Highway Administration (FHWA) has determined that mailbox supports no larger than 4 inches by 4 inches, or a 2-inch diameter standard steel or aluminum pipe, buried no more than 24 inches, should safely break away if struck by a vehicle. According to FHWA, the mailbox must also be securely attached to its post to prevent separation if struck.

632.524 **Location**

Curbside mailboxes must be placed so that they may be safely and conveniently served by carriers without leaving their conveyances. They must be reasonably and safely accessed by customers. Boxes must also be on the right-hand side of the road and in the carrier's direction of travel in all cases where driving on the left-hand side of the road to reach the boxes would pose a traffic hazard or violate traffic laws and regulations. On new rural or highway contract routes, all boxes must be on the right side of the road in the carrier's direction of travel. Boxes must be placed to conform to state laws and highway regulations. Carriers are subject to the same traffic laws and regulations as are other motorists. Customers must remove obstructions, including vehicles, trash cans, and snow, that make delivery difficult. Generally, mailboxes are installed at a height of 41 to 45 inches from the road surface to the bottom of the mailbox or point of mail entry. Mailboxes are set back 6 to 8 inches from the front face of the curb or road edge to the mailbox door. Because of varying road and curb conditions and other factors, the Postal Service recommends that customers contact the postmaster or carrier before erecting or replacing their mailboxes and supports.

632.525 **Grouping**

Boxes should be grouped wherever possible, especially at or near crossroads, service turnouts, or other places where a considerable number of boxes are presently located.

632.526 **More Than One Family**

If more than one family wishes to share a mail receptacle, the following standards apply:

a. *Route and Box Number Addressing.* On rural and highway contract routes authorized to use a route and box numbering system (e.g., RR 1 BOX 155), up to five families may share a single mail receptacle and use a common route and box designation. A written notice of agreement, signed by the heads of the families or the individuals who want to join in the use of such box, must be filed with the postmaster at the distributing office.

b. *Conversion to Street Name and Number Addressing.* When street name and numbering systems are adopted, those addresses reflect distinct customer locations and sequences. Rural and highway contract route customers who are assigned different primary addresses (e.g., 123 APPLE WAY vs. 136 APPLE WAY) should erect individual mail receptacles in locations recommended by their postmasters and begin using their new addresses. Customers having *different primary addresses* but wishing to continue sharing a common receptacle must use the address of the receptacle's owner and the "care of" address format:

JOHN DOE
C/O ROBERT SMITH
123 APPLE WAY

Customers having a common primary address (e.g., 800 MAIN ST) but different secondary addresses (e.g., APT 101, APT 102, etc.) may continue to share a common receptacle if single-point delivery is

authorized for the primary address. Secondary addresses should still be included in all correspondence.

632.527 **Locks**

The use of locks, locking devices, or inserts on curbside mailboxes on rural and highway contract routes is prohibited. See the list of curbside mailbox manufacturers for approved locking style mailboxes (a current listing of approved manufacturers and models can be obtained from the office listed in section 632.511). The Postal Service does not allow carriers to open locked boxes and does not accept keys for this purpose.

632.528 **Unstamped Newspapers**

Curbside mailboxes are to be used for mail only, except for newspapers regularly mailed at Periodicals rates. Publishers of these newspapers may, on Sundays and national holidays only, place copies of the Sunday or holiday issues in the rural and highway contract route boxes of subscribers, with the understanding that these copies must be removed from the boxes before the next day on which mail deliveries are scheduled.

632.529 **Newspaper Receptacles**

A receptacle for the delivery of newspapers may be attached to the post of a curbside mailbox provided that no part of the receptacle interferes with the delivery of mail, obstructs the view of the flag, or presents a hazard to the carrier or the carrier's vehicle. The receptacle must not extend beyond the front of the box when the box door is closed. No advertising may be displayed on the outside of the receptacle, except the name of the publication.

632.53 Nonconforming Mailboxes

Carriers must report to the postmaster any existing mailboxes that no longer conform to postal regulations. The postmaster sends PS Form 4056, *Your Mailbox Needs Attention,* to the owners of these boxes to request that they remedy the irregularities or defects. All newly installed or replacement mailboxes must be approved models in accordance with USPS-STD-7. A current listing of approved manufacturers and models can be obtained from the office listed in section 632.511.

632.54 State and Local Regulations

Some states have enacted laws that are more stringent and specific about the type of mailbox that may be used, the post or support that must be used to mount the mailbox, and the location of the delivery equipment. Regulations and recommendations published here might not reflect appropriate requirements for your area. When providing guidance to the general public concerning mailbox placement and replacement, advise them not only of postal regulations but also of any mailbox regulations that you know have been enacted by state or local authorities.

632.6 Apartment House Receptacles

632.61 General

Specifications for construction and approval procedures for manufacturers are covered in USPS-STD-4 (RDD), *Postal Service Standard, Apartment*

House Mail Receptacles. Individuals or firms interested in the manufacture of apartment house mailboxes should write to:

ENGINEERING
IP DELIVERY & RETAIL SYSTEMS
US POSTAL SERVICE
8403 LEE HWY
MERRIFIELD VA 22082-8101

632.62 Installation

632.621 General

Owners and managers of apartment houses, family hotels, flats, or complexes with obsolete apartment house mail receptacles should install up-to-date receptacles approved by the Postal Service to ensure more adequate protection. When such buildings are substantially renovated or remodeled to provide additional apartments, or when location of the boxes changes, obsolete receptacles should be replaced with currently approved receptacles.

632.622 Location and Arrangement

Regulations for the location and arrangement of receptacles are as follows:

a. Receptacles and parcel lockers in apartment houses should be located reasonably close to the entrance in vestibules, halls, or lobbies. The carriers must be able to serve the boxes without interference from swinging or open doors. The area must be adequately lighted to afford the best protection to the mail and to let carriers read addresses on mail and names on boxes without undue eye strain.

b. Installation of standard, approved apartment receptacles in exterior walls of buildings may be authorized, provided that they are not installed directly on the street or a public sidewalk. Wherever possible, keep at least 15 feet between the boxes and the street or sidewalk; the location should be clearly visible from one or more apartment windows. A canopy must be provided, and it must be designed and located to afford maximum protection from the weather, including driving rains. In addition, adequate night lighting must be installed.

632.623 Access to Rear-Loading of Horizontal-Type Receptacles

Carrier access to the rear area of mailrooms containing rear-loading mailbox panel(s) shall be provided via a secure access door fitted with an ANSI 156.13 F15 lock. A security door, frame, and the F15 lock shall be selected and installed in accordance with all the requirements for residential mailrooms as specified in Handbook RE-5, *Building and Site Security Requirements,* Chapter 3, and in Central Delivery Guidelines, Section 10. A key to the door lock must be available to the carrier via a key keeper manufactured and installed in accordance with United States Postal Service Specification for Key Keepers, USPS-B-3180. The rear of the mailbox panel(s) must have a door or cover in accordance with USPS-STD-4 to prevent the removal of mail from adjacent boxes and to prevent mail from coming out through the back. The cover or door must be either easily opened and closed or removed and replaced by the letter carrier.

632.624 **Installation With Telephone Units**

The guidelines for installing receptacles with telephone units are as follows:

a. When it is necessary or desirable to install mail receptacles with a standard-size telephone unit, vertical-type receptacles may be placed in two tiers. They may also be installed in groups of fewer than seven if necessary to properly arrange the groups in two tiers. This does not apply if the telephone unit is installed independently of the mail receptacles. Although there is no objection to combining these two services, the mail receptacles must be separated from the telephone or electrical unit. Electric push buttons, connected to wires outside the mail receptacles, may be placed in the frame of the installation if the push buttons can be removed from the outside and if the wire connections can be repaired without removing the receptacles.

b. Telephone units combined with mail receptacle units must allow access to the telephone unit without having to enter the mail receptacle; the mail receptacle must not be accessible when the telephone unit is opened.

632.625 **Key and Record Controls**

The following key and record controls apply for apartment houses:

a. Apartment house managers must maintain a record of the number of keys supplied by manufacturers so that new keys may be ordered when necessary. The record should match the key number to the receptacle number. Do not place key numbers on the outside barrels of the locks because this would allow unauthorized persons access to keys and boxes. Clearly number each individual receptacle lock on the back; replace lost keys according to lock numbers. Master-keying is not permitted.

b. Apartment house managers must also maintain a record of key numbers and combinations of keyless locks so that new tenants may be given the combination. These records must be kept in the custody of the manager or a trusted employee. The record of key numbers must be kept until the lock is changed, when it may then be destroyed.

c. Combination locks are not approved under current Postal Service receptacles standards.

632.626 **Directories**

The guidelines for apartment house directories for Postal Service use are as follows:

a. For all apartment houses with 15 or more receptacles, maintain a complete directory of all persons receiving mail. If an apartment house is divided into units, each with separate entrances and 15 or more receptacles, each unit should have a separate directory. In addition, if mail is not generally addressed to specific units, a directory must be kept at the main unit of the building listing all persons receiving mail in the various units.

b. Directories must be alphabetical by surname and must be maintained and kept up-to-date. The receptacle number and apartment number should always be the same, and the apartment number should appear

to the right of the name in the directory. If the apartment number is different from the receptacle number, the receptacle number should appear to the left of the name in the directory. Follow the same arrangement for apartments that are either lettered or lettered and numbered.

c. The directory must be legible, enclosed in a suitable protective frame, and attached to the wall immediately above or to the side of the mail receptacles where it can be easily read. If mailrooms are used, the directory should be placed for the carrier's convenience. If an attendant, such as a telephone operator, doorman, or elevator conductor, is on duty between the hours of 7:00 a.m. and 11:00 p.m., and the mail is delivered either to apartment house receptacles or in bulk for distribution by employees of the building, the employee on duty in the building may keep the directory to make it available to the carrier on request.

632.627 **Maintenance and Repair**

The guidelines for receptacle maintenance and repair are as follows:

a. Owners or managers of buildings must keep receptacles in good repair. When an inside-letterbox Arrow lock is no longer needed, the building management must immediately notify the postmaster, who will then send a postal employee to supervise removal of the lock from the master door and return it to the Post Office.

b. Carriers will report on PS Form 3521, *House Numbers and Mail Receptacles Report,* all apartment houses that are being remodeled and all unlocked or out-of-order mailboxes. Delivering employees and postmasters must ensure that all inside-letterbox Arrow locks are recovered when buildings are torn down or remodeled.

c. Upon receipt of a report of lack of repair or irregularity in the operation of apartment house mail receptacles, postmasters will promptly initiate an investigation and direct what repairs must be made by, and at the expense of, the owners or managers. To avoid any questions about disposition or treatment of mail, repairs must be made only when a postal representative is present. It is unlawful for anyone other than postal employees to open receptacles and expose mail.

d. Failure to keep boxes locked or in proper repair as directed by postmasters is sufficient justification for withholding mail delivery and requiring occupants to call for their mail at the Post Office or carrier delivery unit serving the area. A reasonable notice of approximately 30 days will be given in writing to the customers and the owner or manager of the apartment building.

e. If mail deposited by a carrier in an apartment house mail receptacle is reported lost or stolen, or if there is an indication that the mail has been willfully or maliciously damaged, defaced, or destroyed, the postmaster must immediately report the circumstances to the Postal Inspection Service.

f. The U.S. Code prescribes criminal penalties for the wrongful possession of mail locks and the willful or malicious injury or destruction of letterboxes and the theft of mail therefrom.

632.628 **Approved Manufacturers and Models**

A current listing of approved manufacturers and models can be obtained from the office listed in section 632.511.

632.63 New or Remodeled Apartment Buildings

When new apartments are being erected or existing ones remodeled, postmasters will inform builders and owners of the requirements of these regulations and will provide a suitable inspection to ensure that only approved receptacles are installed in conformance to these regulations.

633 Mail Keys

633.1 Types

The following types of mail keys are available:

a. LA keys.

b. Rotary lock keys.

c. Arrow lock keys used on street letter or collection boxes and apartment houses mail panels, and new neighborhood delivery and collection box units (NDCBUs).

d. Serial padlock keys.

e. Motor vehicle keys and motor vehicle padlock keys.

f. Highway contract route keys, SR padlock.

633.2 Personnel to Whom Keys Are Issued

633.21 LA Keys

LA keys are issued to all Post Offices, stations, branches, airport mail facilities, authorized postal employees, and military Post Offices.

633.22 Rotary Lock Keys

Rotary lock keys are issued to the following:

a. CAG A-J Post Offices, airport mail facilities, and military Post Offices.

b. Other Post Offices and installations as authorized by their district manager.

633.23 Other Keys

Other types of keys are issued to authorized postal employees.

633.3 Obtaining Keys

633.31 General

Postal locks and keys are available from:

MAIL EQUIPMENT SHOPS
US POSTAL SERVICE
2135 5TH ST NE
WASHINGTON DC 20260-6224

Mail Equipment Shops (MES) manufactures most of the locks and keys used by the Postal Service. PS Form 4983, *Postal Key and Lock Requisition,* will be used to order Post Office box locks and keys.

633.32 LA and Rotary Lock Keys

Keys for newly established Post Offices will be furnished by the Mail Equipment Shops upon receipt of PS Form 4983, except that rotary lock keys for CAG K and L Post Offices must be requested by the district managers or their designees. Request additional and replacement keys on PS Form 4983 from:

MAIL EQUIPMENT SHOPS
US POSTAL SERVICE
2135 5TH ST NE
WASHINGTON DC 20260-6224

633.4 Safekeeping Keys

633.41 In Installations

633.411 LA Keys

Attach LA keys in use to fixtures by a chain.

633.412 Rotary Lock Keys

Attach rotary lock keys in use to a safe by a chain, except when it is more practicable to attach to other fixtures for ready access.

633.42 Arrow Lock Keys

Employees must turn in Arrow lock keys daily on completion of duty. Carriers must keep Arrow lock keys attached to their clothing by a chain at all times while on duty. If a clearance employee is not available, Arrow lock keys (and any other postal keys in temporary use, such as for vehicles) should be deposited in a secure location, for instance, a designated storage box.

633.5 Record of Keys

633.51 Where to Record

Keep a record of the date of receipt, number of the key, and the combination, if any, at CAG A-G Post Offices on PS Form 1628, *Individual Key Record.* Other Post Offices must maintain a record of mail keys in the space provided on the inside back covers of their cash books.

633.52 Keys Assigned to Carriers

Have each carrier receipt mail keys issued to them. To eliminate the need for the carrier to repeatedly sign the key record book or PS Form 1628, use one of the following plans:

a. Make up sets of keys for each route and enter the number of each key in the key record book. Opposite the key number, place the number of the route to which the key is assigned. Issue metal or fiber key checks, each bearing a different number, to both regular and substitute carriers and obtain a receipt from the carriers. When a carrier calls for a set of keys assigned to the route the carrier is serving, have him or her surrender his or her key check. Place the check on separation in the key case. Return the check to the carrier when he or she returns the key.

b. Make up sets of keys for each route and attach a tag bearing a serial number to each set. Enter this number, in addition to the route number, in the key record. This number will identify the set of keys and may be entered on the receipt to be signed by a substitute carrier for any set

the carrier draws. The sets of keys may be issued to regular carriers upon surrender of key checks issued to them.

633.53 Keys Assigned to Other Employees

Keys assigned to other employees for collection purposes must be accounted for as prescribed above.

633.6 Keys Lost, Stolen, Missing, or Found

Report the recovery or finding of keys in the same manner as described in ASM 273, except that a duplicate copy of the memorandum shall be sent direct to the Mail Equipment Shops with the key. Retain serviceable LA keys for local use if needed.

633.7 Keys From Discontinued Offices

Handle keys from discontinued offices under instructions received from the district manager.

633.8 Unserviceable Keys

Forward unserviceable mail keys by Registered Mail to:

MAIL EQUIPMENT SHOPS
US POSTAL SERVICE
2135 5TH ST NE
WASHINGTON DC 20260-6224

A letter of transmittal or a list of the keys by number is not necessary, but the package of keys must be properly identified. Do not send any other item or requisition in the same package with unserviceable keys.

633.9 Receipt and Control

Receipt and control all mail keys and locks according to the instructions in ASM 273.

64 City Delivery Service

641 Establishment of City Delivery Service

See 63 for authorized modes of delivery.

641.1 Definition

In this section, *establishment* refers to the initiation of city delivery service in a community through a Post Office that does not currently provide it.

641.2 Requirements

In establishing city delivery service, a combination of delivery methods is considered to provide adequate service to all residential and business sections of a community. All establishments of delivery service must have final approval of the district manager, Customer Service and Sales, or designee. Establishment of city delivery service is considered when the following essential requirements are met:

a. Within the area to be served there is a population of 2,500 or more or 750 possible deliveries. (The postal customer population may vary greatly from the general census population because of different boundary interpretations and designations.)

b. At least 50 percent of the building lots in the area to be served are improved with houses or business places. Where a house or building and its yard or ground cover more than one lot, all lots so covered are considered improved.

c. The streets are paved or otherwise improved to permit the travel of Postal Service vehicles at all times, without damage or delay.

d. Streets are named and house numbers are assigned by the municipal authorities in accordance with Management Instruction DM-940-89-3, *Addressing Conventions.*

e. The street signs are in place and the house numbers are displayed.

f. The rights-of-way, turnouts, and areas next to the roads and streets are sufficiently improved so that the installation and servicing of boxes is not hazardous to the public or Postal Service employees.

g. Satisfactory walks exist for the carrier where required.

h. Approved mail receptacles or door slots are installed at designated locations.

642 Extensions

642.1 Definition

In this section, *extension* refers to the expansion of city delivery service to any areas not currently receiving delivery service but that are within the delivery limits of a Post Office from which city delivery service is already provided.

642.2 Requirements

The delivery service requirements for extensions are the same as those listed in 641.2 for establishments, with the following exceptions:

a. Section 641.2a does not apply to extensions.

b. The applicability of b may be waived if:

(1) There is a reasonable expectation that the requirements of 641.2b can be met within 12 months, and

(2) CBUs or NDCBUs are to be used for delivery.

642.3 Out-of-bounds Customers

Customers outside the limits of city delivery service may be given delivery service if they erect boxes on the delivery carrier's line of travel. Parcel Post, Insured Mail, Certified Mail, COD, and Registered Mail are delivered to the residences or businesses of out-of-bounds customers if the residences or businesses are not more than three blocks from the carrier's line of travel and passable walks are constructed or the street is not impassable. Otherwise, a notice is left in the box requesting that the customer call for the mail. If an

ordinary parcel is involved and it can be placed in the box, delivery is made in that manner.

643 Requests for Delivery Service

643.1 General

Requests or petitions to establish, change, or extend city delivery service must be made to the local postmaster. No formal petition is required. Postmasters forward requests or petitions to establish service to the district designee with a statement reporting whether the requirements in 641.2 have been met.

643.2 Labor Strikes

The procedures for delivery to an address experiencing a labor strike are as follows:

a. Where a labor strike is in progress, the same service as was in effect prior to the strike should continue. No requests for changes in mail service will be complied with while the strike is in progress. If a request is received to have mail delivered to a plant that is normally received through Post Office box service or at a firm call window, advise that the request will not be granted until after the strike is over.

b. Where it is the practice of a firm to transport mail to and from a detached mail unit (DMU) and a request is received for the Post Office to perform this service during a strike, disapprove the request because this involves a change in mail service.

644 Carrier Duties, Responsibilities, and Conduct

Refer to Handbook M-41, *City Delivery Carriers Duties and Responsibilities.*

645 Management

645.1 Pivoting Definition

Pivoting is a method of utilizing the undertime of one or several carriers to perform duties on a temporary vacant route or to cover absences. Nonpreferential mail may be curtailed within delivery time standards on the vacant route and/or on the route of the carriers being pivoted.

645.2 Pivoting Usage

Pivoting is not limited to periods when mail volume is light and when absences are high, but also can be utilized throughout the year for maintaining balanced carrier workloads.

646 Reporting Local Ordinances and State Laws

Managers are expected to report in a timely manner to district offices any contemplated action by local or state authorities to enact or consider enactment of local ordinances or state laws that would adversely affect operating costs or performance of postal services.

65 Rural Delivery Service

651 Types of Service

651.1 Regular and Auxiliary Routes

A regular or auxiliary route operates Monday through Saturday, excluding holidays. Regular routes are classified as H, J, or K, in accordance with the table of route classifications provided in the Postal Service-NRLCA National Agreement. Regular carriers assigned to H routes work 6 days per week, while J routes receive 1 scheduled relief day per pay period, and K routes have 2 scheduled relief days per pay period.

Mileage routes, also referred to as M or RCS routes, are regular routes on which the carrier is compensated on the basis of the route's mileage and the RCS (mileage) schedule. Mileage routes are no longer established. As existing mileage routes are vacated or standard hours increase so that the evaluated salary of the carrier would exceed the RCS salary, they are converted to the evaluated compensation system, at the appropriate classification.

Note: Authorized relief days are granted on Saturdays unless another day is desired by the regular carrier and is mutually agreeable to the postmaster and carrier. For J routes, the postmaster may schedule the relief day on either the first or second Saturday of the pay period.

651.2 Intermediate Offices

An *intermediate office* is any Post Office located on the line of travel of the rural route, included in the official route description, and at which the carrier stops (daily) to perform service. Irregularities, complaints, and administrative problems involving a route serving intermediate offices are reported to the postmaster of the office from which the route emanates.

651.3 Seasonal Routes

A *seasonal route* is one where certain areas are provided delivery only for a specified period of less than 1 year. Normally, these routes are located in resort or vacation areas. The seasonal period is defined as that period in which the carrier is required to attempt delivery. The seasonal period ends when delivery is no longer required of the carrier.

651.4 Triweekly Routes

A *triweekly route* is one on which the mail volume and requirement for service warrants delivery only 3 days a week.

651.5 Nonpersonnel Rural Units

651.51 Description

Nonpersonnel rural units are self-service units that provide essential mail services, such as the collection and delivery of ordinary mail and sale of stamps. Services such as the sale of money orders and the acceptance and delivery of Certified Mail, Insured Mail, Registered Mail, and COD Mail are

provided by rural carriers at the time they service these units. Carriers must remain at the unit at least 15 minutes each day that their routes are scheduled to operate in order to provide customers with the services not otherwise available from the unit.

651.52 Delivery

Mail addressed to a nonpersonnel rural unit is placed in the addressee's Post Office box or delivery receptacle at the unit.

652 Establishment of Rural Delivery Service

652.1 Definition

In this section, *establishment* refers to the initiation of rural delivery service to a community through a Post Office that does not presently provide it. Rural delivery service may be established at any Post Office. See 631 for authorized modes of delivery.

652.2 Requests

Customers must submit requests for establishment of rural delivery service to the postmaster of the Post Office from which delivery is desired, or to the district manager. PS Form 4027, *Petition for Change in Rural Delivery,* is available from the postmaster and used for this purpose.

652.3 Requirements

652.31 Customer Density

A newly established route should serve an average of at least one residential or business delivery per mile. On routes of less than 10 miles, an average of at least six deliveries per mile should be eligible for service before a route is established. Unusual conditions such as the volume and type of mail should be considered.

652.32 Minimum Workloads

In Post Offices with no existing rural delivery service, the proposed route evaluation should reflect sufficient workload to meet minimum rural carrier scheduling requirements efficiently, unless the intermediate office concept can be used in conjunction with an existing rural route (see Handbook M-38, *Management of Rural Delivery Services,* 225).

652.33 Roads

652.331 General

Roads should generally be public and must be well maintained and passable for delivery vehicles year round.

652.332 Road Maintenance

Rural delivery service is not established over roads that are not kept in good condition, that are obstructed by gates, or that cross unbridged streams that are not fordable throughout the year. If travel over private roads is proposed, the person responsible for road maintenance must provide a written agreement to keep the road passable at all times. The agreement must

include the statement: “It is understood that if the road is not properly maintained, rural delivery service will be withdrawn.”

652.4 Submission and Approval

652.41 Postmasters

Forward requests for establishment of delivery to the district, along with the proposed route statistics, a completed PS Form 4003, *Official Rural Route Description,* a map clearly identifying the potential line of travel, road maintenance agreements, and any other relevant documentation. Include a recommendation.

652.42 District Responsibilities

652.421 Review and Approval

The district manager or designee must review and approve any requests for establishment of rural delivery.

652.422 Delivery Boundaries

Districts should avoid duplication of existing delivery and the commingling of delivery boundaries with another Post Office. Postmasters’ recommendations, customer preferences, and community or municipal identity should be considered in establishing delivery boundaries.

652.423 Support

If the request is approved, ensure that necessary equipment, staffing, rural delivery management procedures, and so forth, are in place prior to initiating service.

652.424 Customer Notification

Ensure that customers are notified of changes in service promptly.

653 Extensions

653.1 Definition

In this section, *extension* refers to the expansion of rural delivery service into any areas not presently receiving delivery service, but within the delivery limits of a Post Office for which rural delivery has already been established. See 631 for authorized modes of delivery.

Note: Provide carrier service to persons who erect approved boxes on the line of travel of the rural carrier, and to persons for whom approved neighborhood delivery and collection boxes and parcel lockers are erected and maintained by the Postal Service on the carrier’s line of travel, but no rural carrier service may be extended to persons residing within the boundary formed by existing city delivery service.

653.2 Eligibility

At noncity delivery Post Offices of the first-, second-, and third-class, rural delivery may be extended to families who reside outside a 1/4-mile radius (1/2-mile radius for fourth-class Post Offices) of the Post Office if such service is requested and the other requirements in this section are met. Customers residing within the 1/4-mile radius may erect a box along the carrier’s established line of travel.

653.3 Requests

Customers may request extension of rural delivery service using PS Form 4027.

653.4 Customer Density

Extensions must serve a minimum of one customer per mile of additional travel, including retrace.

653.5 Roads

The requirements of 652.33 must be met.

653.6 Multiple Routes

Where routes from two or more Post Offices travel one road, the district will determine which office will provide delivery and contact the appropriate postmaster for the resulting route assignment.

653.7 Submission and Approval

District managers or their designees approve extensions of rural delivery. Postmasters who have demonstrated expertise in rural delivery may be authorized to approve extensions. Refer to sections 443 and 450 of Handbook M-38, *Management of Rural Delivery Services,* for instructions.

654 Conversions

654.1 Definition

In this section, *conversion* refers to the replacement of rural delivery service with another form of delivery service. Any conversion of rural delivery territory must be approved by the district manager, except as noted in 654.21d.

654.2 Conversions From Rural Delivery to Other Delivery Services

654.21 Full Development Not Adequate Justification for Conversion

The fact that a given area is fully developed and/or adjacent to city delivery service does not, of itself, constitute sufficient justification for conversion.

As a general rule, conversions from rural to city delivery shall be considered only for the following reasons:

a. To provide relief for overburdened rural routes when all other alternatives are impractical.

b. To establish clear-cut boundaries between rural and city delivery territory and eliminate overlapping and commingling of service.

c. To provide adequate service to highly industrial areas or apartment house complexes on rural routes.

d. To provide service to areas where city delivery service will be more cost effective.

Note: An area review is required when cost is the basis for conversion.

654.22 Requirements

Areas considered for conversion from rural delivery service to city delivery service must:

a. Meet all the requirements for extension of city delivery service (see 642).

b. Be contiguous to existing city delivery service.

654.23 Other Considerations

When considering conversion of rural delivery to another form of carrier delivery service, observe the following guidelines:

a. Keep in mind that the special services performed by rural carriers will no longer be available to that portion of the public transferred.

b. Consider that additional costs may be incurred through establishment of finance units, workload factors, and other added expenses.

c. Determine whether equal or better service can be provided at lower cost by establishment, extension, or rearrangement of rural delivery service.

d. Continue motorized delivery to curbline boxes or clusterbox units.

655 Duties, Responsibilities, and Conduct

655.1 Rural Carriers

Rural carriers must not carry mailable articles on their routes unless they are placed in the mail and the proper postage is paid.

Rural carriers should refer to Handbook PO-603, *Rural Carrier Duties and Responsibilities.*

655.2 Management

655.21 General

Refer to Handbook M-38, *Management of Rural Delivery Services.*

655.22 Suspension of Service

Do not permit suspension of service on rural routes because of the absence of regular carriers or their replacements. When conditions beyond the carrier's control prevent service on a triweekly route's scheduled service day, provide service on the next workday.

655.3 Reporting Local Ordinances and State Laws

Managers are expected to report in a timely manner to district offices any contemplated action by local or state authorities to enact or consider enactment of local ordinances or state laws that would adversely affect operating costs or performance of postal services.

656 Rural Addresses

656.1 Street Names and House Numbers

Postmasters should authorize the use of street names and house numbers assigned by local officials if street signs are erected and house numbers displayed.

656.2 Box Numbers

656.21 Existing Delivery Area

Where street names and house numbers have not been assigned and/or are not displayed as required in 656.1, assign numbers to all boxes on a route in numerical sequence in the order served. Allow sufficient increments between box numbers to accommodate foreseeable growth. Designate new boxes erected between existing boxes as A, B, C, and so forth (for example, 1-A, 1-B).

656.22 Newly Established Delivery Area

Box numbers shall not be assigned until 2 months after service begins on newly established routes for customers who are receiving service for the first time. If by that time 75 percent of the customers have not erected boxes, advise the district manager so that appropriate action can be taken.

656.3 Correction of Address Errors Due to Postal Service Adjustments

When rural carriers report receipt of rural route boxholders and duplicate pieces addressed to “Occupant” where street names and house numbers are used, advise the sender of the duplication on PS Form 3577, *Correction of Error in Address Because of Postal Service Adjustments.*

66 Highway Contract Service

661 Description

Highway contract route service provides for the transportation of mail between Post Offices or other designated points where mail is received or dispatched. Box delivery, collection service, and other mail services are also provided on many routes. Every highway contract route carrier must accept any mail matter presented if properly prepaid by stamps, and deliver it for mailing at the next Post Office at which he or she arrives.

662 Establishment

662.1 New Service

Contracts for regular service are awarded after the contracts have been solicited by public advertisement. Temporary service may be established on short notice without advertising. Requests or petitions for new routes should be addressed to the distribution networks (DNs) manager with supervision over the transportation of mail in the area involved.

662.2 Changes

Changes in line of travel, extensions of service, and schedules may be ordered by the DN manager at any time. Requests for changes and extensions should be addressed to that manager.

663 Box Delivery and Collection

663.1 Service Required

Highway contract route advertisements and contracts state whether box delivery, collection service, or other mail services are required and specify the area to be served. In addition to usual box delivery and collection service on some routes, carriers are required to do the following:

a. Sell stamp supplies.

b. Deliver Registered Mail, Insured Mail, Certified Mail, and COD Mail, Express Mail, and other special services Mail.

c. Accept special service matter presented by customers to be Registered Mail, Insured Mail, Certified Mail, COD, etc.

d. Accept money with applications for money orders and give receipts.

663.2 Availability

Contract route box delivery and collection service is provided without charge to customers who:

a. Are not eligible for city carrier service.

b. Reside on or near a route on which box delivery and collection service is required.

c. Either erect a curbside mailbox approved under 632.5 on the highway contract route carrier's existing line of travel or are authorized to receive delivery through neighborhood delivery and collection box units and parcel lockers owned and maintained by the Postal Service.

d. Request, from the Post Office to which mail is addressed, delivery and collection service on PS Form 5431, *Contract Route Box Customer Notice.* Highway contract route customers residing between two Post Offices may receive mail service from the Post Office that is the next one preceding the customer's residence, or from either Post Office if there is a return trip. In addition, the customer may receive delivery from the Post Office from which the highway contract route originates.

Note: Contract route delivery and collection service is also provided to persons at hotels, motels, other institutions, and mobile home, trailer, or recreational vehicle parks under 631.

663.3 Mail Delivery

Mail matter addressed to a qualified customer of a highway contract route is taken by the carrier from the Post Office and deposited into the proper mail receptacle. If required by the contract, the carrier delivers Registered Mail, Certified Mail, Insured Mail, and COD Mail, Express Mail, other special services mail, and ordinary parcels too large for the receptacle. Delivery of this mail is made to the customer's residence if it is not more than 1/2 mile

from the route and the road leading to it is passable. The carrier dismounts when necessary to transact business involving the above classes of mail. Package Services packages too large for receptacles may also be delivered to outside boxes if the addressee files a written request for delivery in that manner. If delivery cannot be made by the carrier, the mail is held at the Post Office.

663.4 Mail Collection

Mail matter properly stamped and placed in a mail receptacle for dispatch is collected by the carrier and deposited in the next Post Office at which the carrier arrives, unless otherwise directed by the Postal Service. Mail collected on the route and addressed for delivery on that part of the route still to be covered before reaching the next Post Office is delivered on the day of collection. The carrier cancels the stamps before delivery by writing across them the name of the Post Office last served, state, date, and number of the route. Bulky mailable matter, properly prepared and stamped, is collected by the carrier if it has been placed on or near the receptacle. Money left in mail receptacles for the purchase of stamps is left at the customer's risk.

664 Mailbox Location

Approved curbside mail receptacles (see 632.5) must be placed where they protect the mail, can be safely and conveniently served by carriers without leaving their vehicles, and can be reasonably and safely accessed by customers. These receptacles must be on the right-hand side of the road in the direction of travel when required by traffic conditions or when driving to the left-hand side of the road to reach the mail receptacle would violate traffic laws.

665 Postmaster Duties

Postmasters are required to do the following:

a. Withhold distribution of Registered Mail, Insured Mail, Certified Mail, and COD Mail to the carrier, unless the contract requires that the carrier deliver such mail.

b. Not provide mail to the carrier outside of the regular lock pouch before it has reached the Post Office to which it is addressed.

666 Carrier Duties

666.1 Contract Requirements

If the contract requires that the carrier case his or her mail, the carrier must do the following:

a. Accept from the postmaster mail addressed to customers on the route and arrange it in order of delivery.

b. Prepare and keep a list of the names of the customers served and arrange it in alphabetical order with the box number opposite each name.

c. Mark up and forward mail for customers on his or her route who have filed change-of-address orders and make the proper entries in the roster book.

666.2 Sale of Stamps and Stamp Supplies

If the contract requires the carrier to sell stamps and stamp supplies, a fixed credit is provided by the postmaster at the head of the route. Where the carrier serves customers who receive mail through other offices on the route, the carrier replenishes his or her fixed credit at those offices in amounts representing sales made by him or her to customers served through these offices.

67 Express Mail

671 General Standards

DMM D500 provides standards for delivery service objectives, postage guarantees, and refund conditions. The delivery standards vary by service offering and its availability to a particular destination. Express Mail is available in five basic service offerings (Same Day Airport Service, Custom Designed Service, Next Day Service, Second Day Service, and Express Mail Military Service).

672 Express Mail Same Day Airport Service

[Same Day Airport Service was suspended in 1995 and is not available until further notice.]

A Same Day Airport Service item must be dispatched on the next available transportation to the destination AMF. Upon arrival at the destination AMF, the item must be made available for claim by the addressee by the time determined for the item when accepted at the origin AMF.

673 Express Mail Custom Designed Service

Any Express Mail Custom Designed Service item is delivered only on a scheduled basis between designated postal facilities or other designated locations for mailable matter presented under a service agreement between the Postal Service and the mailer as described in DMM E500.

674 Express Mail Next Day Service®

674.1 Hold For Pickup Service

674.11 Acceptance Facility (Retail Location)

If Hold For Pickup service is requested, the retail associate at the designated Express Mail Hold For Pickup location performs the steps appropriate to the terminal/site.

674.111 **POS Terminal User Steps**

The user of a Point of Sale (POS) terminal should complete the following steps:

a. Place the shipment on the scale.

b. Select "Express Mail Hold For Pickup."

c. Select either "Express Mail Hold For Pickup" or "Express Mail Hold For Pickup Flat Rate."

d. Scan the shipment barcode on Label 11-HFPU, *Express Mail Hold For Pickup*.

e. Complete the payment transaction.

f. Dispatch the Express Mail Hold For Pickup shipment according to local policy.

674.112 **IRT User Steps**

The user of an integrated retail terminal (IRT) should complete the following steps:

a. Place the shipment on the scale.

b. Select "Express Mail Hold For Pickup."

c. Select the applicable Post Office, pickup time, and ZIP Code.

d. Use either the keyboard or the scanner and enter the item number.

e. Complete the payment transaction.

f. Dispatch the Express Mail Hold For Pickup shipment according to local policy.

674.113 **Manual Sites User Steps**

Manual sites should complete the following steps:

a. Place the Express Mail shipment on the scale.

b. Select the applicable Post Office location and pickup time from the Express Mail Hold For Pickup Claim Locations report and hardcopy Express Mail Network.

c. Complete the payment transaction.

d. Dispatch the Express Mail Hold For Pickup shipment according to local policy. No special separation of Express Mail Hold For Pickup is required at retail facilities.

674.12 Express Mail Hold For Pickup, Mailing Label 11-HFPU, Customer Responsibility

The customer must complete the "Customer Use Only" section of Label 11-HFPU that includes the following:

a. From: (required).

b. Payment by account: indicating Express Mail corporate account, federal agency, or Postal Service account number (if applicable).

c. Hold for (contact required): contact telephone number and complete name and address of recipient for identification and pickup purposes.

d. Pickup location (required): determined by POS, IRT, or product tracking system (PTS) Express Mail Hold For Pickup Claim Locations report.

674.13 Express Mail Hold For Pickup Label 11-HFPU, Postal Responsibility

The retail acceptance employee completes the "Origin Postal Use Only" section, including the following information:

a. ZIP Code of origin Post Office.

b. Date and time of acceptance.

c. Pickup availability date and time entered using POS, IRT, or the PTS Express Mail Hold For Pickup Claim Locations report and a hardcopy of the Express Mail Network Directory.

d. Flat rate or weight, postage, extra services fees (if requested), and total postage and fees.

If an account is not used for payment, the retail associate should affix postage to the shipment and collect payment for the transaction.

674.14 Destination Facility Scanning Requirements

The destination facility performs the following scans:

a. Arrival at unit (required).

b. Arrival at pickup point (required). This scan is critical as it generates the customer automated call notification.

c. Delivered.*

d. Return to sender — not picked up.*

e. Return to sender, refused.*

Note: Either "Delivered", "Return To Sender — Not picked up" or "Return To Sender, Refused" is required.

674.15 Destination Facility Customer Pickup Verification

The retail associate must take the following steps when the recipient or his or her designee arrives to pick up the Express Mail Hold For Pickup shipment:

a. Ensure that the recipient or his or her designee presents:

(1) A valid government-issued photo identification for verification.

(2) The name and address of the recipient as they appear on Label 11-HFPU.

(3) The last 4 digits of the tracking number.

b. On Label 11-HFPU, annotate the delivery date, time, and employee initials.

c. Scan the Express Mail Hold For Pickup shipment barcode delivered with POS or with the hand-held scanner when the shipment is released to the recipient or his or her designee.

674.2 Post Office to Addressee Service

Any Next Day Post Office to Addressee Service item presented under 137.541 must be delivered to the addressee within the designated delivery area of the destination facility by noon or 3 p.m. of the next day. If an item

cannot be delivered on the first attempt these procedures (unless when forwarding or return service is provided) must be followed:

a. The time and date when delivery was attempted and the signature of the employee who attempted delivery must be entered in the "Delivery Attempt" block of Label 11-B (or Label 11-F), *Express Mail Post Office to Addressee.*

b. PS Form 3849, *Delivery Notice/Reminder/Receipt,* is left at the delivery address if delivery cannot be made upon the first attempt (calendar Day 0), to show the item is being held for pickup. A second notice will be left on day 3. Unclaimed mailpieces will be returned to the sender at close of business on day 5. A second delivery attempt will only be made upon customer request.

c. The name, address, and hours of operation of the delivery facility where the item is held must be entered on PS Form 3849. If the item is transferred to another facility, the first delivery facility must be able to give the customer the appropriate information such as the name and address of the facility to which the item was transferred. If PS Form 3849 cannot be left for any reason (e.g., no mail receptacle), the addressee should be telephoned and informed that a delivery was attempted, and where the item may be picked up. The date of the telephone attempt or contact must be recorded on Label 11-B (or Label 11-F). Customers may request redelivery online at *www.usps.com/redeliver* or by calling 800-275-8777.

d. If the addressee has requested (in writing) that Next Day Service items be held for a specified day or number of days, then the time and date when the item was available for delivery and the initials of the employee must be entered in the "Delivery Attempt" block of Label 11-B (or Label 11-F). The item must be delivered on the next regular delivery day after the specified day or number of days that the item was requested to be held.

e. If delivery cannot be made, the item must be held for 5 calendar days, unless the addressee requests a redelivery.

f. Express Mail Waiver of Signature shipments should be delivered without attempting to obtain the customer's signature if the shipment can be delivered to the addressee's mail receptacle or left in another secure location. Follow the handheld scanner waiver of signature procedures, capturing the delivery employee's signature image as instructed.

675 Express Mail Second Day Service®

675.1 Post Office to Post Office Service

Any Second Day Post Office to Post Office Service item presented under 137.551 must be available for claim by the addressee at the destination facility by 10 a.m. of the second day that the destination office is open for retail business.

675.2 Post Office to Addressee Service

Any Second Day Post Office to Addressee Service item presented under 137.551 must be delivered to the addressee within the designated delivery area of the destination facility by noon or 3 p.m. of the second day. Instructions for second-attempt delivery are the same as those described in 674.2.

676 Express Mail Military Service

676.1 To APO/FPO

Under Post Office to Addressee Service to APO/FPO destinations, an Express Mail Military Service (EMMS) item presented under 137.561 for an APO/FPO address is available for delivery at the destination APO/FPO facility by 3 p.m. of the second day after mailing unless the APO/FPO facility is closed that day; in such a case, the item is available for delivery on the following business day. An EMMS item presented for 3-day service is available for delivery at the destination APO/FPO facility by 3 p.m. of the third day after mailing unless the APO/FPO facility is closed that day; in such a case, the item is available for delivery on the following business day.

676.2 From APO/FPO

Under Post Office to Addressee Service from an APO/FPO to a U.S. destination, an Express Mail Military Service (EMMS) item presented under 137.561 is delivered to an addressee within the delivery area of the destination facility by 3 p.m. of the second day after mailing. An EMMS item presented for 3-day service is delivered to an addressee within the delivery area of the destination facility by 3 p.m. of the third day after mailing.

68 Undeliverable Mail

681 Mail Undeliverable as Addressed

681.1 General Provisions

Nondelivery of mail occurs for any one of the following general reasons:

a. Mail without postage.

b. Incomplete, illegible, or incorrect address.

c. Addressee not at address (moved or died).

d. Mail unclaimed.

e. Mail refused by the addressee at time of delivery.

f. Mail refused by the addressee after delivery when refusal authorized under 611.1.

g. Mail not meeting minimum mailability criteria in 138.

681.2 Specific Provisions

The provisions governing undeliverable mail of each class are in the sections of this manual dealing with each class of mail and in DMM F010.

681.3 Undeliverable Due to Postal Service Adjustments

681.31 Types of Changes

Mail can be undeliverable because of Postal Service adjustments such as:

a. Renumbering houses.

b. Renaming streets.

c. Converting from rural route and box number-style addresses or highway contract route and box number-style addresses to city-state addresses.

d. Adjusting rural or highway contract routes.

e. Converting from rural or highway contract service to city delivery service.

f. Consolidating routes.

g. Consolidating Post Offices.

h. Readjusting delivery districts.

681.32 Change Notice

Customers should notify their correspondents of their correct address, including ZIP Code. PS Form 3576, *Change of Address Request for Correspondents, Publishers, and Businesses,* is available for this purpose. Where practical, postmasters must attempt to notify publishers and other mailers who regularly send bulk mailings into the area. No charge is made to these mailers for the notices or for corrections to galley lists of address changes due to Postal Service adjustments.

681.33 Mail Disposition

Mail that is undeliverable because of the Postal Service adjustments listed in 681.31a through 681.31c is redirected and delivered to the destination without an additional postage charge for 1 year from the date that the new address information appears in the Address Information System (AIS) quarterly (bimonthly effective October 15, 1996) customer products released in January, April, July, and October. Mail that is undeliverable because of other Postal Service adjustments (see 681.31d through 681.31h) is redirected and delivered to the destination without an additional postage charge for 1 year from the end of the month in which the postal change occurs. In all cases, simplified address mail addressed to “Rural Route Box Customer,” “Highway Contract Route Box Customer,” or “Post Office Box Customer” is redirected and delivered without an additional postage charge until either the next June 30 after the change in service or 90 days after the change in service, whichever is later.

681.34 Time Limit for Retention of Records

Records of address changes for Postal Service adjustments are kept by the local Post Office for 3 years.

681.4 Endorsements

The Postal Service endorses undeliverable-as-addressed mail with the reason for nondelivery.

681.5 Treatment of Undeliverable-as-Addressed Mail

681.51 General

Except under 681.53, mail that is undeliverable as addressed may be forwarded, returned to the sender, or treated as dead mail, depending on the treatment authorized for that class of mail and the mailer's endorsement.

681.52 Official Mail

Treat official mail like mail of the general public, except do not rate it or collect postage due upon delivery of mail or collect postage due for address-correction notices.

681.53 Nonmailable and Nonstandard Pieces

All nonmailable and nonstandard pieces are returned to the sender.

681.6 Processing

Postal Service policy is to process all undeliverable-as-addressed mail within 24 hours of receipt at the markup unit. PS Form 3579 is mailed weekly to publishers. PS Form 3579 is not to be kept in a forwarding unit for more than 7 days from receipt of the mail in the Computerized Forwarding System (CFS) site.

681.7 Removal From Postal Service Facilities

Employees are not permitted to remove undeliverable mail and/or waste or waste receptacles from postal facilities for personal use or for any use not authorized by the Postal Service.

682 Forwarding

682.1 Change-of-Address Order

682.11 Forwarding Instructions

Procedures for providing forwarding instructions are as follows:

a. Customers should advise their local Post Office when moving by filing PS Form 3575, *Change of Address Order,* available at any Post Office or from any carrier. Also acceptable is a written and signed order or a telegram sent by the customer, the customer's agent, or person in whose care mail is addressed. Old and new addresses should be furnished.

b. A change of address may not be filed with the Postal Service for an individual's mail addressed to an organization, or to the individual at his or her place of employment, business, or other affiliation, either during or after the termination of the employment, business, or other relationship. Such mail is delivered under 614.

c. A person or organization formerly receiving mail at the address of a governmental or nongovernmental organization may leave a forwarding address with that organization for having mail redirected under 682.24.

682.12 Guarantee to Pay Forwarding Postage

Unless endorsed "Do Not Forward, Do Not Return," the Postal Service forwards Package Services mail locally for 1 year free of charge. The addressee is charged forwarding postage for pieces forwarded nonlocally. The addressee may refuse any piece of Package Services mail (see 611.1c, 681.1e, and 681.1f). Such refusal does not revoke the right to have other Package Services mail forwarded. If the addressee does not want to pay forwarding postage for all Package Services mail, the addressee must request the postmaster of the new address to use PS Form 3546, *Forwarding Order Change Notice,* to notify the postmaster of the old address to discontinue forwarding Package Services mail.

682.13 Time Limit of Change-of-Address Order

The time limits on change-of-address orders are as follows:

a. *Temporary.* Customers moving temporarily may have mail forwarded for a specified period, but not longer than 12 months. These customers must provide beginning and ending dates on the change-of-address order. Customers should cancel the change-of-address order when returning to their old address or when moving to another permanent address within the specified period.

b. *Normal.* Post Offices keep records of permanent change-of-address orders (other than those subject to 682.13d) for forwarding and for address correction for 18 months, starting from the end of the month in which the change takes effect.

c. *Extension.* When a boxholder notifies the Post Office of a permanent change in mailing address or the Postal Service administratively changes a customer's mailing address, the postmaster may extend the forwarding period for 1 additional year if mail is regularly received addressed to the old address. To qualify, the addressee must show that a financial hardship will ensue if the forwarding period is not extended and that reasonable effort is being made to notify correspondents of the new address.

d. *Retention and Use of Orders.* Post Offices must keep change-of-address orders for 18 months from the end of the month in which the change takes effect. During this period, the orders are used for administrative purposes, for providing mailing list service (see DMM A910), and for releasing address-change information to the public under the Freedom of Information Act (see ASM 352).

e. *Change From General Delivery at City Delivery Office.* Post Offices keep a record of change-of-address orders to a permanent local address without time limit for 6 months. A record of change-of-address orders to other than a permanent local address is kept 30 days.

682.2 Forwardable Mail

682.21 Classes

If eligible for forwarding, the Postal Service reforwards mail as many times as necessary to reach the addressee if the address (but not the name) is changed. The Postal Service forwards the following classes of mail:

a. First-Class Mail (including Priority Mail), postcards, and postal cards.

b. Express Mail.

c. Official mail sent as First-Class Mail.

d. Periodicals.

e. Standard Mail when forwarding postage is guaranteed by sender.

f. Package Services locally or when forwarding postage is guaranteed by sender.

g. Mail of foreign origin (see IMM 764).

682.22 Change in Post Office Services

Guidelines for forwarding mail when there is a change in Post Office services are as follows:

a. *Discontinued Post Office.* All Express Mail, First-Class Mail, Periodicals, and Package Services and all single-piece-rate Standard Mail addressed to a discontinued Post Office may be forwarded without added charge to another Post Office that the addressee designates, when the addressee finds inconvenient the office to which the Postal Service ordered the mail sent.

b. *Rural Delivery.* When rural delivery service is established or changed, customers of any office who receive their mail from the rural carrier of another office may have their Express Mail, First-Class Mail, Periodicals, and Package Services, and single-piece-rate Standard Mail forwarded to the latter office for delivery by the rural carrier without added charge if they file a written request with the postmaster at the former office.

c. *City Delivery.* Mail addressed to Post Office, rural route, or highway contract route boxholder is delivered to customers residing in the affected area until June 30 following establishment or conversion to city delivery service, or for 90 days, whichever is longer.

682.23 Persons in U.S. Service

All Express Mail, First-Class Mail, Periodicals, and Package Services, and single-piece-rate Standard Mail addressed to persons in the United States services (civil and military), serving where U.S. mail service operates, is forwarded at no added charge when the change of address is caused by official orders. The forwarding Post Office endorses Periodicals and Package Services, single-piece-rate Standard Mail, and Priority Mail with “Change of Address Due to Official Orders.” This free forwarding of mail also applies to mail for household members whose change of address is caused by official orders to persons in the U.S. civil and military services. When military personnel depart on official permanent change of station orders, military authorities forward mail between the United States and overseas APO and FPO addresses for 60 days.

682.24 Reforwarding

The address (but not the name) may be changed and the mail reforwarded as many times as necessary to reach the addressee.

682.3 Additional Postage for Forwarding

Mail is forwarded subject to additional postage. The Postal Service computes this postage by using the forwarding office as the origin office. Forward as follows:

a. Forward First-Class Mail, including zone-rated Priority Mail, postcards, and postal cards, without charge if fully prepaid by the sender.

b. Forward Periodicals publications without charge for 60 days if fully prepaid by the sender.

c. For Standard Mail, collect additional postage from the sender if forwarding and return service is requested. Return any mail that qualifies for a single-piece Package Services rate at that rate if the mailer's endorsement specifies that rate. For example, a Standard Mail piece qualifying at the Media Mail rate for books is endorsed "Media Mail, Forwarding and Return Postage Guaranteed."

d. Collect additional postage for Package Services mail for nonlocal forwarding at the applicable rate. This forwarding must be guaranteed by the sender or recipient. Deliver all Package Services mail as directed when the old and new addresses are served by the same single ZIP Code or multiple ZIP Code Post Office. Additional postage is not required.

e. Forward Registered Mail, Certified Mail, Insured Mail, COD Mail, Confirmation Services mail, and special-handling mail without the payment of additional special service fees. The ordinary forwarding postage charges, if any, must be paid. Do not forward such mail to a foreign country.

f. Forward Express Mail without charge.

g. Mail of foreign origin is handled according to IMM 764.

682.4 Directory Service

Postal Service letter carrier offices give directory service to the types of mail listed in this section that have an insufficient address or cannot be delivered at the address given. A city or telephone directory is an acceptable source. Post Offices should not compile a directory of any kind. Types of mail given directory service are the following:

a. Certified.

b. COD.

c. Foreign, except circulars. Do not give directory service to foreign mail received in quantities if it bears letter-class postage but has the general characteristics of circular mail.

d. Package Services mail is subject to additional postage for nonlocal forwarding at the proper single-piece rate. Unless endorsed "Do Not Forward, Do Not Return," all Package Services mail is delivered as directed when the old and new addresses are served by the same single ZIP Code or multiple ZIP Code Post Office. Additional postage is not charged.

e. Mail from U.S. Armed Forces overseas. Do not return this mail to sender until every possible effort is made to deliver it.

f. Parcels mailed at any single-piece rate or endorsed by the mailer.

g. Perishable matter.

h. Registered matter.

i. Special handling.

j. Official Postal Service mail.

k. Express Mail Next Day Service (Post Office to Addressee Service only).

l. Confirmation Services.

683 Address Correction Service, Address Change Service, and Return

683.1 Address Correction Service

683.11 Availability

If mail cannot be delivered as addressed, the mailer may obtain the recipient's new (forwarding) address through the Postal Service address correction service if an order is on file. Otherwise, the reason for nondelivery is provided. Provide address correction service (including Address Change Service) automatically after 60 days from the effective date of the recipient's change of address for all Periodicals publications. Address corrections are available "on-piece" at no charge or separately, for a fee, at the mailer's request. When possible, the Postal Service provides on-piece address corrections for Express Mail, First-Class Mail, Priority Mail, Standard Mail, and Package Services mail. If the piece cannot be forwarded, it is returned with the address information or the reason for nondelivery attached. When separate corrections are necessary, PS Form 3547, *Notice to Mailer of Correction in Address,* is returned to the sender with the address correction fee charged, and the mail is forwarded. This service is not available for Express Mail, First-Class Mail, Standard Mail, or Package Services mail addressed to the addressee by military personnel at any military installation, including APOs and FPOs. Address correction service is available alone or with the forwarding and return service.

683.12 Address Change Service

Address Change Service (ACS) allows a mailer to obtain a customer's correct address or the reason for nondelivery on magnetic tape. This service is available weekly or monthly, depending on the mailer's requirements. ACS is provided through the Postal Service Computerized Forwarding System. (See DMM F030 for additional information.)

683.13 Endorsement

To request address correction service, the endorsement "Address Correction Requested" should be used.

683.14 Fee

The applicable fee for address correction service (including Address Change Service) as specified in DMM R900 is charged for each separate notification of address correction or the reason for nondelivery. Generally, when on-piece address correction can be provided, no fee is charged.

683.2 Sender Instruction

683.21 Return Service

Undeliverable-as-addressed Express Mail and First-Class Mail (including zone-rated Priority Mail, postal cards, and postcards) that cannot be forwarded or delivered as addressed are returned to the sender at no additional charge when possible. Mail of other classes may be returned to the sender if properly endorsed to guarantee return postage.

683.22 Endorsements Not to Forward

The Postal Service does not forward mail with the following address forms or endorsements:

a. Mail addressed to “Occupant” or “Postal Customer.”

b. Mail bearing instructions of the sender such as “Do Not Forward, Abandon.”

c. Perishable items not marked to abandon that cannot be delivered before spoiling, and day-old poultry that cannot be delivered within 72 hours after hatching. Return these items to the sender immediately if return can be made before spoilage or within the 72-hour period.

683.23 Registered Mail, Certified Mail, Insured Mail, COD Mail, Return Receipt for Merchandise Mail, and Confirmation Services Mail Items

A change-of-address order covers Registered Mail, Certified Mail, Insured Mail, COD Mail, Return Receipt for Merchandise Mail, and Confirmation Services Mail items unless the sender gives other instructions or the addressee moves outside the United States. The sender’s instructions should appear on the envelope or wrapper. The following are exceptions:

a. COD Mail is not forwarded to overseas military Post Offices.

b. Ordinary, Insured Mail, and COD parcels marked on the envelope or wrapper with the mailer’s instructions to abandon or sell perishable items are treated following the instructions. For example:

(1) “Do not forward or return. If not accepted within ____ days, treat as abandoned. Notify mailer of disposition.”

(2) “Do not forward or return. If undelivered after ____ days, sell contents to highest bidder and remit proceeds, less commission, to mailer.” (A commission of 10 percent, but not less than $0.25, is kept by the Postal Service from the amount for which perishable items are sold.)

c. When the mailer so requests, PS Form 3849-D, *Notice to Sender of Undelivered COD Mail,* is sent to the mailer under DMM S921. The mailer then may designate a new addressee or alter the amount of COD charges by submitting a written request to the postmaster and paying the proper fee (see DMM S921). The Postal Service returns the article to the mailer after the holding period if no response is received. The postage charge, if any, is collected from the mailer for returning the mail (but not registration or COD fees). When COD Mail is addressed to a person who moved and left no forwarding address, PS Form 3849-D is not sent and the mail is returned to the mailer.

d. Insured Standard Mail without any other endorsement is treated as if endorsed "Forwarding and Return Postage Guaranteed." The Postal Service forwards it and, if still undeliverable as addressed, returns it to the sender with the new address or reason for nondelivery attached.

e. Insured Package Services mail without any other endorsement is forwarded at no charge locally and postage-due nonlocally if the recipient guarantees to pay forwarding postage on PS Form 3575, *Change of Address Order.* (For forwarding purposes, *local* means within the same single ZIP Code or multiple ZIP Code Post Office.) If the article is undeliverable, the Postal Service returns it to the sender with the new address or the reason for nondelivery. The mailer is charged for the return of the mailpiece only and the attempted forwarding, when appropriate.

f. The Postal Service holds undeliverable Registered Mail, Insured Mail, COD Mail, Certified Mail, Confirmation Services mail, and Return Receipt for Merchandise mail items for no fewer than 3 days. For each service as noted below, the maximum number of days is as follows:

(1) Hold Registered Mail, Insured Mail, Certified Mail, Confirmation Services mail, and Return Receipt for Merchandise mail items a maximum of 15 days unless the sender specifies fewer days.

(2) Hold COD Mail a maximum of 30 days unless the sender specifies fewer days.

683.24 Express Mail Items

Any Postal Service employee who cannot dispatch, distribute, or deliver an Express Mail pouch because there is no delivery address on the outside of the pouch must promptly open the pouch to find a delivery address on any envelope, wrapper, or item inside the pouch. Postal Service employees may not open the wrappers or envelopes or break the seals of any Express Mail items inside the pouch. If address information is found, the employee should securely close and promptly tag the pouch and forward it to the delivery address. If no address information is found, the pouch is sent to the appropriate mail recovery center. Express Mail shipments should be held 5 workdays before return if the sender names no holding period (see DMM F020).

683.25 Return of Express Mail Items and Registered Mail, Numbered Insured Mail, COD Mail, Certified Mail, Return Receipt for Merchandise Mail, and Confirmation Services Mail Items

The Postal Service handles returns of Express Mail items and Registered Mail, numbered Insured Mail, COD Mail, Certified Mail, Return Receipt for Merchandise mail, and Confirmation Services mail items as follows:

a. If a return receipt is attached to Registered Mail, umbered Insured Mail, COD Mail, Certified Mail, or Return Receipt for merchandise Mail or Express Mail to be returned, write the reason for nondelivery on the face of the article. The return receipt remains attached to the article and is returned to the sender. Return Registered Mail immediately through the Registered Mail system.

b. Before returning or forwarding Express Mail items or Registered Mail, numbered Insured Mail, COD Mail, Certified Mail, Return Receipt for Merchandise mail, or Confirmation Services mail items, do the following:

(1) Electronic record management sites must scan/enter the article with the handheld scanner and enter the appropriate event code.

(2) Manual record management sites must:

(a) Complete PS Form 3849, *Delivery Notice/Reminder/Receipt,* and note on the back of the form the disposition of the article.

(b) File PS Form 3849 for Registered Mail, numbered Insured Mail, COD, Certified Mail, and Return Receipt for merchandise Mail with other delivery receipts.

(c) File PS Form 3849 for Express Mail, or PS Form 3883, *Firm Delivery Receipt for Accountable Mail and Bulk Delivery Mail,* with other delivery receipts.

c. When the recipient returns Express Mail items or Registered Mail, numbered Insured Mail, COD Mail, Certified Mail, Return Receipt for Merchandise mail, or Signature Confirmation mail items to the sender, the sender must sign PS Form 3849 at the time the article is returned. Electronic record management sites must scan/enter the article with the handheld scanner and enter the appropriate event code.

683.26 Other Mail

Postal Service employees must handle other returnable types of mail as follows:

a. Return without delay if refused by addressee.

b. Return without delay if undeliverable when addressed to a street, building, rural or highway contract route, or Post Office box. If the addressee moved without leaving a change of address, hold mail for 10 days awaiting a forwarding order. If no order is received in that time, handle the mail as undeliverable; however, this does not preclude compliance with a sender's request under DMM F030.

c. Return without delay if undeliverable when incompletely, illegibly, or incorrectly addressed and the addressee is unknown.

d. Hold in general delivery not to exceed 30 days at sender's request if addressed to indicate that the addressee is expected to call for the mail or if the addressee normally calls there for mail.

e. Hold as follows when not addressed or when sender does not specify a holding period:

(1) Five days if for delivery by rural or highway contract route carrier.

(2) Ten days if for general delivery service at an office with city carrier service, or up to 30 days if the postmaster is notified that the addressee will be delayed in coming to claim the item.

(3) Fifteen days if for general delivery service at an office without city carrier service.

f. Do not return mail addressed and deliverable to a Post Office box until after the box is declared vacant, except for Registered Mail, Certified

Mail, Insured Mail, COD Mail, Confirmation Services Mail, postage-due mail, and perishable mail items.

g. Send undeliverable letters bearing the return address of a hotel, motel, school, college, or other public institution printed on the envelope as an advertisement to mail recovery centers for disposition, unless the return address also includes the name or title of an individual or a printed or written request for return.

h. Return unclaimed franked mail from a member of Congress, and unclaimed official mail, including official reports and bulletins sent by state agricultural colleges and experiment stations, to the postmaster at the office of origin if known. If the office is not known, send the mail to the Post Office at Washington, DC. Send undeliverable mail bearing the return address of the White House, the Senate, or the House of Representatives, with or without postage stamps, to the Post Office at Washington, DC.

i. If nonmailable, return immediately under the procedures for the particular class of mail.

683.27 Return of Standard Mail and Package Services Mail

The sender of Standard Mail and Package Services mail may identify valuable pieces and ensure their return by using the applicable ancillary service endorsements. This allows the addressee to refuse delivery of the mail and to return it, at no charge to the original addressee, if the mail including attachments is not opened.

684 Commercial Mail Receiving Agencies (CMRAs)

In order to accommodate customers left without delivery service at a CMRA when it goes out of business, host delivery units must take the following actions:

a. When it is known that the CMRA is out of business and no longer accepting mail, place a notice at the CMRA business address informing the customers of the location where their mail is being held.

b. Hold these customers' mail at the delivery unit for up to 10 business days (the same delivery unit noted in the notice left at the former CMRA address).

c. As these former CMRA customers arrive to pick up their mail at the delivery unit, provide them with Publication 75, *Mover's Guide,* and advise them to file PS Form 3575*, Change of Address Order*. Review PS Form 3575 and process it using normal procedures, including sending the mail to the Computerized Forwarding System (CFS) for forwarding.

d. Use the opportunity when the former CMRA customers are picking up their mail at the delivery unit to promote the benefits of renting a Post Office box (i.e., cost, convenience, forwarding, access to postal products like Priority Mail, and the fact that the Postal Service doesn't go out of business). This assumes that Post Office boxes are available.

e. At the end of 10 business days, complete a PS Form 3575 marked as "Moved, Left No Address" for any customer who has not filed a PS

Form 3575. At this point, send all former CMRA customers' mail (except unendorsed Standard Mail and Bound Printed Matter) to CFS for processing.

f. At some point in the future, if a customer who did not file a PS Form 3575 during the 10 business day hold period wishes to file one, accept it. The appropriate delivery person should then complete PS Form 3546, *Forwarding Order Change Notice,* modifying the original "Moved, Left No Address" order to the forwarding order now filed by the former CMRA customer. Advise the customer that any mail received up to this point was Returned-to-Sender and cannot be retrieved. Again, take the opportunity to advise this customer of the advantages to renting a Post Office box.

69 Dead Mail

691 General

691.1 Definition

Dead mail is matter deposited in the mail that is or becomes undeliverable and cannot be returned to the sender from the last office of address. Some reasons that mail cannot be returned to the sender include:

a. Matter is nonmailable.

b. Sender is unknown.

c. Classification of the mail does not entitle it to return service.

691.2 Opening and Examination

Except for unendorsed Standard Mail, all undeliverable First-Class Mail, Standard Mail, and Package Services mail that cannot be returned because of an incorrect, incomplete, illegible, or missing return address is opened and examined to identify the sender or addressee. Insured First-Class® parcels containing Standard Mail or Package Services enclosures (sent under DMM S913) are handled the same way.

691.3 Insured and COD Matter

691.31 Holding Period

The Postal Service holds Insured Mail and COD mailpieces for 1 week after they become dead. On a weekly basis, send such pieces to the area mail recovery center (MRC) (see 692.12).

691.32 Articles Endorsed "Destroy" or "Abandon"

Insured and COD matter endorsed by the sender "Destroy" or "Abandon" is destroyed or abandoned when such matter becomes dead at the last office of address.

691.33 Refusal of Article by Sender

If the sender of undeliverable Insured Mail or COD Mail refuses to accept its return, send the mail to the servicing MRC on the next weekly dispatch. For

an Insured Mail article, electronic record management offices must scan/enter the article with the handheld scanner and enter the appropriate event code. Offices using the manual record management program should endorse PS Form 3849 to show the sender's refusal. For a COD article, electronic record management offices must scan/enter the article with the handheld scanner, enter the appropriate event code, and endorse the attached PS Form 3816, *COD Mailing and Delivery Receipt,* to show refusal. Offices using the manual record management program should endorse the attached PS Form 3816 to show refusal and file the form with the delivery records.

691.4 Items Loose in the Mail

691.41 Money

Postal Service employees must dispose of money found loose in the mail as follows:

a. Record U.S. money found loose in the mail, unless identified with losses from mail or returned to its owner, on PS Form 25, *Trust Fund Account,* and enter the amount in AIC 126, *Miscellaneous Nonpostal Revenue.* If a valid claim is received for money found loose in the mail after taken into the postal account, make the refund from current funds and enter the amount in AIC 624, *Refund of Miscellaneous Nonpostal Revenue.*

b. Hold for 15 days, awaiting possible claim, all foreign money and any U.S. money of unusual value, such as uncirculated coins, antiques, or collector's items if identified as such. If the money is unclaimed after 15 days, send it to the MRC service area.

c. If a letter contains $10 or more in money, convert the cash to a money order and deduct the money order fee before issuance of the money order.

691.42 Uncanceled Stamps

691.421 Uncanceled Stamps Fallen Off Mail

Postal Service employees must handle uncanceled stamps fallen off mail as follows:

a. Reaffix the stamp to the mail if possible; if not, drop the stamp in a slotted and sealed or locked container kept on the workroom floor for that use.

b. At least once a month, destroy stamps kept in these containers.

c. Make no record of these stamps.

691.422 Uncanceled Stamps Not Lost From Mail

Postal Service employees must handle uncanceled stamps not lost from mail as follows:

a. Place the stamps in an envelope and hold for 30 days in the claims section of the main office if found at main office or at contract stations or branches; if found at classified stations and branches, hold in the manager's care. Make a descriptive record on the envelope only if it helps in returning the stamps to the owner.

b. If the stamps are unclaimed after 30 days, destroy them at the holding place in the presence of a witness designated by the postmaster. Record on PS Form 25 only the total amount of stamps received and destroyed. Destruction of unclaimed stamps should be done on a monthly basis.

691.43 Other Items

Return to the owners any wallets, bank deposits, or other nonmail matter found in collection boxes or other points within Postal Service jurisdiction, postage-due at the single-piece Standard Mail rates for these articles.

691.44 Articles Separated From Envelopes

The Postal Service tries to match articles found loose in the mail with their envelopes or wrappers. If the mailer or addressee can be identified, the articles are returned or forwarded.

691.45 Unidentified Items

Treat unidentified items of value ($10 or more) as dead mail. Except for money (see 691.41) and uncanceled stamps (see 691.42), reuse for business purposes only, donate, recycle, or, as a last resort, dispose of as waste all unidentified items without value as follows:

a. Recycle unendorsed Standard Mail if cost-effective.

b. Donate (see 691.532) or recycle periodicals (such as printed matter, newspapers, magazines, and other publications).

c. If it is cost effective, strip coins from undeliverable circulars and account for their value (see 691.41a).

d. Stamp unidentified postal money orders “VOID” (location). Prepare a memorandum explaining the circumstances, and send the money orders and memorandum to the Money Order Branch, St. Louis Accounting Service Center.

691.5 Disposal

691.51 Undeliverable Merchandise to Manufacturer or Distributor

Return merchandise postage due to the nearest retail store, mail order store, or distribution center of a firm if the following evidence of ownership exists and the firm does not object. Direct questions about return of merchandise to the appropriate RCSC. These conditions apply:

a. The articles must appear new.

b. Each article, or bundle of articles, must show the following:

(1) Name of the firm that made or distributed the articles.

(2) Order or invoice number, or other evidence that the article was mailed by the firm because of a customer’s order.

691.52 Perishable Items, Drugs, and Cosmetics

691.521 Injurious Items

The Postal Service destroys packages containing medicine, perishable items, liquids, and other articles likely to injure employees, damage equipment or other mail, or attract pests. Applicable guidelines are in

Handbook AS-553, *Hazardous Waste Management,* and Publication 52, *Hazardous, Restricted, and Perishable Mail.*

691.522 **Noninjurious Items**

Dispose of other perishable mail, drugs, and cosmetics as follows:

a. *Perishable Items.* The postmaster must sell immediately all salable perishable matter that cannot be forwarded or returned before spoiling, day-old poultry that cannot be delivered or returned within 72 hours after hatching, and other animals that cannot be returned to the sender alive. The addressee and postal employees may not purchase any such item from the postmaster. The postmaster must send the proceeds of the sale, less a 25 percent commission (but not less than 1 dollar) and the proper money order fee and postage to the mailer by postal money order, with an explanation on PS Form 3820, *Sale or Destruction of Perishable Mail,* of the action taken. Deliver all perishable articles or animals that cannot be sold to any public or charitable organization that will accept them. Also try delivering live animals to a local humane society or animal shelter that will take them.

b. *Drugs.* Destroy packages that contain prescription drugs undeliverable to either the addressee or sender. Donate over-the-counter drugs that are sealed in their original packaging or container to public and charitable organizations, local food banks, shelters, or other nonprofit organizations that will accept them or destroy them if you cannot donate them. Turn over illegal drugs to the Postal Inspection Service.

c. *Cosmetics.* Donate undeliverable cosmetics that are sealed and unopened, including face and hand creams, soaps, perfumes, powders, lotions, and aftershave lotions, impartially and equitably to public and charitable organizations, local food banks, shelters, or other nonprofit organizations that agree to distribute them for free. If they are not donatable, treat them as dead parcels. Destroy undeliverable lipsticks and cosmetics that might jeopardize health.

691.53 Institutions

691.531 **Food**

Donate usable food items treated as dead mail impartially and equitably to public or charitable organizations, food banks, shelters, or other nonprofit organizations. Follow these guidelines:

a. Do not donate homemade items. Dispose of them as waste. If unsure whether an item is homemade or usable, destroy it.

b. Make sure that the receiving organization signs a PS Form 6011, *Agreement for Acceptance of Food Items,* stating that it takes full responsibility for the handling and use of the food items. Keep releases on file for 2 years. The receiving organization must accept the food items available and pick them up in a timely manner.

c. Destroy food items that cannot be donated by disposing of them as waste.

691.532 **Periodicals and Publications**

Follow these guidelines for disposing of periodicals and publications:

a. Donate copies of undeliverable magazines, newspapers, and other periodicals impartially and equitably to public and charitable organizations, shelters, hospitals, prisons, schools, and libraries. If requested, furnish copies of undeliverable publications to a court officer for persons called for or assigned to jury duty.

b. Provide publications to qualifying organizations under the condition that: (1) the recipients do not select the character, quality, or type of publication, and (2) the recipient calls for the copies promptly when notified or on a schedule. This privilege is at the option of the Postal Service and may be discontinued at any time without notice. Postal employees should inform the recipients of these conditions.

c. If periodicals cannot be donated, recycle them if cost-effective.

d. If they cannot be donated or recycled, dispose of them as waste.

691.533 **Merchandise and Product Samples**

Dispose of undeliverable merchandise and product samples, such as toiletries, toothpastes, diapers, and detergents, that are not endorsed "Return Service Requested" as follows:

a. Donate undeliverable merchandise and product samples impartially and equitably to public and charitable organizations, food banks, shelters, or other nonprofit organizations that agree to distribute them for free.

b. Dispose of as waste all samples not able to be donated.

691.54 Government Property

The MRCs send unclaimed government property with a National Stock Number (NSN) (a 13-digit number arranged in groups of 4-2-3-4, such as 1234-00-567-0089) imprinted on cartons, tags, or the item to the concentration point for return to the federal supply system.

691.55 Foreign Mail

691.551 **Canadian**

The Postal Service encloses dead letters and parcels originating in Canada in an official envelope. The envelope must show the complete return address of the office returning the mail to Canada. Address the envelope to:

UNDELIVERABLE MAIL OFFICE
CANADA POST CORPORATION
1860 MIDLAND AVE UNIT 1
SCARBOROUGH ONT M1P 2M0
CANADA

691.552 **Other**

The Postal Service sends dead letters and parcels originating in countries other than Canada to the exchange office daily (see IMM 772). Do not send such mail to MRCs.

691.56 Matter Mailed in Violation of Law or Treaty

The Postal Service holds for 6 months after it becomes dead all matter of obvious value, including First-Class Mail not in the form of a letter, addressed to foreign countries if mailed in violation of law or treaty stipulation. (See IMM individual country listing prohibitions.)

691.57 Firearms

The Postal Service handles concealable firearms mailed contrary to DMM C024 under ASM 276. Hold dead mail containing firearms mailed under DMM C024 for the applicable holding period. Send this mail weekly by Registered Mail to the area MRC, along with a memorandum stating why the mail was not delivered and how it was determined that the shipment contained firearms.

691.58 Disposition of Undeliverable Mail

691.581 First-Class Mail, Standard Mail, and Package Services

Send all First-Class Mail (except postcards and postal cards), Standard Mail, and Package Services mail that cannot be forwarded or returned to a MRC for disposition. Send dead letters daily and dead parcels weekly.

691.582 Express Mail

After all attempts to deliver, forward, or return an Express Mail shipment during the specified holding period are unsuccessful, send the shipment to the designated Mail Recovery Center (MRC) in an Express Mail pouch.

691.583 Postcards and Postal Cards

Dispose of postcards and postal cards without a return address if the Post Office can shred or destroy them completely. If not, they should be forwarded to the area MRC.

691.59 Makeup and Dispatch

691.591 Marking

Make sure that the reason for nondelivery is endorsed on dead mail when preparing it for final disposition. Stamp individual letters and parcels with a round date stamp.

691.592 Makeup

Make up the mail as follows:

a. Depending on the quantity of mail, either tie dead letters into bundles or place them loose in sacks. Do not use brown (kraft paper) Postal Service penalty envelopes for this mail.

b. Place dead parcels in sacks or plastic bags.

c. Never place mail not intended for MRCs in letter bundles or sacks addressed or labeled to those centers.

691.593 Labeling and Addressing for Dispatch

Address and label the mail for dispatch as follows:

a. Address dead letter mail as: Mail Recovery Center (city, state, and ZIP+4) (see 692.12).

b. Address dead parcel mail as: Mail Recovery Center (city, state, and ZIP+4) (see 692.12).

c. When a sack is used, show the address of the MRC on a separate ACT tag tied around the neck of the container to prevent opening in transit.

d. Label all containers used for shipping (e.g., hampers, APCs, and BMCs). Label to include MRC address and shipping office.

e. Where available, use preprinted central markup labels to forward mail to MRCs. Put the label on the top letter of the bundle, next to *but not*

covering the original address. Affix a blue Label F, *All for Firm,* in the lower left corner of the top letter.

f. When preprinted central markup labels are not available, use a blank sheet of paper to make a facing slip for letter bundles, or fold it to make a sack or pouch label.

691.594 **Listing and Recording**

Do not make a list or record of dead mail sent to an MRC, except as follows:

a. *Registered, Certified, Numbered Insured, and Delivery Confirmation Mail.* Electronic record management sites must scan/enter the article with the handheld scanner and enter the appropriate event code. Manual record management sites must complete delivery receipt, show disposition, and file with other delivery receipts. Dispatch as registered all dead Registered Mail.

b. *COD Mail.* Electronic record management sites must scan/enter the article with the handheld scanner and enter the appropriate event code. Manual record management sites must show disposition by endorsing PS Form 3816, *COD Mailing and Delivery Receipt,* and file the form with Returned-to-Sender records.

691.595 **PS Form 1510**

Send PS Form 1510, *Mail Loss/Rifling Report,* to mail recovery centers for First-Class letters or parcels valued at $10 or more.

692 Mail Recovery Centers

692.1 Dead Letter and Parcel Mail

692.11 Addresses

The MRC addresses for administrative mail and undeliverable mail processing are as follows.

Administrative Mail Addresses	Undeliverable Mail Processing Addresses
ATLANTA MAIL RECOVERY CENTER US POSTAL SERVICE PO BOX 44161 ATLANTA GA 30378-1161	ATLANTA MAIL RECOVERY CENTER US POSTAL SERVICE 5345 FULTON INDUSTRIAL BLVD SW ATLANTA GA 30378-2400
ST PAUL MAIL RECOVERY CENTER US POSTAL SERVICE 443 FILLMORE AVE E ST PAUL MN 55107-1206	ST PAUL MAIL RECOVERY CENTER US POSTAL SERVICE PO BOX 69001 ST PAUL MN 55169-9001

692.12 MRC Service Areas

MRCs serve designated BMC service areas. BMCs and all Post Offices within each BMC's service area send dead parcels and letters to their assigned MRC. The MRC service areas are as follows:

Bulk Mail Center	BMC Service Area	Designated Mail Recovery Center
Atlanta	298, 300-312, 317-319, 350-352, 354-368, 373-374, 377-379, 398-399)	Atlanta, GA 30378-2400

Bulk Mail Center	BMC Service Area	Designated Mail Recovery Center
Chicago	463, 464, 530-532, 534, 535, 537-539, 600-611, 613	St. Paul, MN 55169-9415 Effective February 28, 2009: Atlanta, GA 30378-2400
Cincinnati	250-253, 255-259, 400-418, 421-422, 425-427, 430-433, 437-438, 448-462, 469-474	Atlanta, GA 30378-2400
Dallas	706, 710-712, 718, 730-731, 733-738, 740-741, 743-799, 880, 885	St. Paul, MN 55169-9415 **Effective January 3, 2009: Atlanta, GA 30378-2400**
Denver	590-599, 690-693, 800-816, 820-834, 836-837, 840-847, 850, 852-853, 855-857, 859-860, 863-865, 870-875, 877-879, 881-884, 898, 979	St. Paul, MN 55169-9415 Effective February 28, 2009: Atlanta, GA 30378-2400
Des Moines	500-516, 520-528, 570-577, 612, 680-681, 683-689	St. Paul, MN 55169-9415 Effective March 14, 2009: Atlanta, GA 30378-2400
Detroit	434-436, 465-468, 480-497	St. Paul, MN 55169-9415 Effective February 28, 2009: Atlanta, GA 30378-2400
Greensboro	240-243, 245-249, 270-297, 376	Atlanta, GA 30378-2400
Jacksonville	006-009, 299, 313-316, 320-339, 341, 342, 344, 346-347, 349	Atlanta, GA 30378-2400
Kansas City	640-641, 644-658, 660-662, 664-679, 739	St. Paul, MN 55169-9415 **Effective January 3, 2009: Atlanta, GA 30378-2400**
Los Angeles	889-893, 900-908, 910-928, 930-935	St. Paul, MN 55169-9415 **Effective January 31, 2009: Atlanta, GA 30378-2400**
Memphis	369-372, 375, 380-397, 700-701, 703-705, 707-708, 713-714, 716, 717, 719-729	Atlanta, GA 30378-2400
Minneapolis/St. Paul	498-499, 540-551, 553-567, 580-588	St. Paul, MN 55169-9415 Effective March 14, 2009: Atlanta, GA 30378-2400
New Jersey	005, 068-079, 085-098, 100-119, 124-127, 340	Atlanta, GA 30378-2400
Philadelphia	080-084, 137-139, 169-199	Atlanta, GA 30378-2400
Pittsburgh	130-136, 140-168, 260-266, 439-447	Atlanta, GA 30378-2400
St. Louis	420, 423-424, 475-479, 614-620, 622-631, 633-639	St. Paul, MN 55169-9415 **Effective January 3, 2009: Atlanta, GA 30378-2400**
San Francisco	894-897, 936-969	St. Paul, MN 55169-9415 **Effective January 31, 2009: Atlanta, GA 30378-2400**
Seattle	835, 838, 970-978, 980-986, 988-999	St. Paul, MN 55169-9415 **Effective January 31, 2009: Atlanta, GA 30378-2400**
Springfield	010-067, 120-123, 128-129	Atlanta, GA 30378-2400
Washington DC	200-212, 214-239, 244, 254, 267-268	Atlanta, GA 30378-2400

692.13 Opening Letters

MRCs open dead letters to find the name and address of the addressee or sender and to deliver or return that person's property. If the sender or addressee cannot be identified, observe the following holding periods:

a. Letters with enclosures of value ($10 or more), 6 months.

b. Other letters, none.

692.14 Letters With Nonmailable Matter

692.141 Nonmailable Lottery Matter Except Nonmailable Unsealed Foreign Lottery Matter

Destroy, by shredding only, letters of domestic origin and sealed mail of foreign origin (see ASM 274), lottery tickets, chance books, and so forth, only if nonmailable under DMM C030.

692.142 Unsealed Foreign Nonmailable Lottery Matter

Post Offices should dispose of unsealed mail of foreign origin that contains nonmailable lottery matter (see DMM C030) as follows:

a. When the sender is identified as the respondent in an outstanding foreign lottery order (see the *Postal Bulletin*), dispose of the mail under DMM C030;

b. When the sender is not so identified, forward a sample of the mailing to the manager of Fraud and Prohibited Mailings, for action under 39 U.S.C. 3005. Do not process for delivery other unsealed mail from the same sender, pending *Postal Bulletin* notification of a foreign lottery order against the sender, or until General Counsel sends other instructions. The address for Fraud and Prohibited Mailings is as follows:

MANAGER
FRAUD AND PROHIBITED MAILINGS
US POSTAL SERVICE
475 L'ENFANT PLAZA SW
WASHINGTON DC 20260-2166

692.143 Other Nonmailable Matter

If the address of the sender (or addressee) is found, return the mailable contents to the sender (or forward it to the addressee), and destroy nonmailable matter.

692.15 Opening Parcels

MRCs open dead parcels to find the name and address of the addressee or sender, and to deliver or return that person's property. If parcels are endorsed to show that they are Postal Service property, or that the sender has refused to pay postage due on return as undelivered, the parcels are Postal Service property. Dispose of the parcels as follows:

a. Return a dead parcel to the sender. Deliver it to the addressee if only the addressee's name and address are found. Rate the parcel for postage due at the zone rate from the MRC.

b. If the sender or the addressee cannot be determined, hold for 90 days Priority Mail (flats or parcels), Standard Mail, and Package Services mail containing valuables. Hold, for 90 days, First-Class Mail not in the form of a letter addressed to another country; if posted in violation of law or treaty, hold for 6 months. (See IMM individual country listing prohibitions.)

c. Prepare for disposal as soon as received all loose-in-the-mail items without value, except books and sound recordings, if neither the sender nor the addressee can be identified.

692.16 Books and Sound Recordings

Dispose of books and sound recordings by sale, except for those that may be withheld from sale for release to a publisher or distributor under the following conditions:

a. If a publisher or distributor requests that books and sound recordings showing a specific trade name or other organizational identification be released to the requester or requester's representative, the request must follow these conditions:

(1) The request must be made in writing and sent to the Business Mail Entry manager.

(2) The request must include a statement that the requester is the publisher or distributor of the books and sound recordings showing the listed trade name or other organizational identification. More than one trade name or other organizational identification may be listed in the same request.

(3) The request must specify only one place for the pickup of the books and sound recordings. The requester may change the pickup facility by sending a written request to the Business Mail Entry manager.

(4) Confirmation of the request is sent to the requester. After approval, the Business Mail Entry manager keeps a central file of requesters and items specified for return. An approval remains in effect for 5 years or until the requester or the Postal Service cancels it in writing.

b. A book or sound recording is not released to the requester (despite showing an applicable trade name or other organizational identification) if it does not look new or was in the settlement of a postal indemnity claim, or if the requester is known not to be the mailer or addressee. Such books are auctioned.

c. A request for release of books or sound recordings is not granted if a written protest or a conflicting request from another party is sent to the Business Mail Entry manager. Books and sound recordings in dispute are sold at auction, unless both parties advise in writing before the sale deadline that the dispute is settled. Both parties are notified when ownership is in question and when the dispute is settled.

d. After the Business Mail Entry manager approves a request, BMCs and MRCs handling books and sound recordings must separate these materials as much as possible.

e. The Postal Service follows these release procedures at the BMC and MRC:

(1) Books and sound recordings are released to requesters or their representatives at a time and in a manner agreed on by the requester and the Postal Service, consistent with the instructions in this section.

(2) If the requester fails to pick up books and sound recordings within 15 days of written notice or on a previously scheduled

release date, the items are included in the next auction. The request is canceled.

f. Make sure that requesters or their representatives present a letter from the requester authorizing the Postal Service to release such items to the bearer. This letter must be executed in triplicate. When releasing the items, the person accepting delivery receipts the original and the two copies of the letter by signing and dating each one. Place one copy with the items, mail one copy to the requester, and keep the original at the releasing facility for 1 year.

g. Make up books and sound recordings separated for return at a location other than a designated release facility in individual shipments to the return point in packages, sacks, hampers, or other types of containers. Make packages as large as possible but within the weight and size limits for Package Services mail as specified in DMM 101. Send each package postage due to the requester and/or distributor. Sacks are subject to the 70-pound weight limitation. Hampers or other containers may be used if they provide adequate security against pilferage. If hampers or other containers are used, arrange with the distribution networks office associated with the sending facility for suitable containment, labeling, movement, and security.

h. When a request is canceled by the requester, the MRC or BMC notifies the requester in writing, with a copy to the Business Mail Entry manager. A canceled request may not be renewed until 6 months after the cancellation date. If the requester then sends a written application, it is treated as a new request. Books and sound recordings on hand at the time of a cancellation are sold in the next auction.

692.17 Disposal of Parcels Containing Firearms and Ammunition

The following terms apply to the disposal of firearms and ammunition:

a. *Definition.* The term *firearms* means any weapon, by whatever name known, designed to expel a projectile or projectiles by the action of an explosive; any firearm muffler or silencer; or any part or parts of such weapon.

b. *Sale.* The Postal Service sells firearms - other than pistols, revolvers, short-barreled rifles or short-barreled shotguns - only to licensed firearms dealers. When these items accumulate, notify local firearms dealers of the intended sale of all items as a single lot, by sealed bid. Display and sell the items to the dealer submitting the highest bid.

692.18 Disposal of Other Dead Parcels

The Postal Service disposes of unclaimed items by sale at auction. Hold auctions periodically and advertise them to the public. Obtain information on auction sales from the MRC conducting the sale.

692.19 Postal Service Employee Ineligibility

Postal Service employees may not buy directly or indirectly any dead parcel post matter.

692.2 Postal Inspection Use

Withdraw items, other than the contents of mail sealed against inspection, from MRCs only for official use by postal inspectors. The inspectors must give memorandum receipts for the articles withdrawn. Keep these receipts on file in the MRC and return them when the goods are returned for disposal as dead parcels.

692.3 Postal Service Systems and Training Use

The following restrictions apply to the use of Standard Mail:

a. *General.* Only undeliverable Standard Mail may be used for developing and testing Postal Service equipment and systems or for training Postal Service employees, under 692.3b and 692.3c. Obtain authorization from the Business Mail Entry manager.

b. *Submitting Requests.* Submit requests for authorization in writing and with all of the following:

(1) The purpose of testing or training and use of the mail.

(2) The type of undeliverable mail and volume required.

(3) The period during which required.

(4) The proposed source and arrangements for delivery of the mail to the contractor or Postal Service employee conducting the equipment test.

(5) The security of mail during the training or testing period.

(6) The arrangements for mail disposal after testing or training.

c. *Information on Outside Cover.* The name and address and other information on the outside cover of undeliverable mail must be kept confidential. Also confidential is any information about the contents of mail to the extent that such information may be associated with a customer (see ASM 274). A private contractor or Postal Service employee performing testing or training may not use the mail to permit any such confidential postal information to be disseminated

d. or disclosed to anyone. This prohibition includes, but is not limited to, photographic or other reproductions or copies of the actual wording. The mail (and reproductions or copies of the information on its cover) may be used in testing or training but not in reports or other material that can be disseminated outside the Postal Service.

692.4 Treatment of Mail Forwarded From MRCs

692.41 General Standards

The Postal Service follows these procedures for delivery of returned dead mail:

a. Treat unsealed mail as if under seal. Keep the strictest secrecy concerning its contents.

b. If the mail cannot be delivered within a reasonable time after return from the MRC, endorse the reason for nondelivery on the form that accompanies the mail. Return the mail and the form to the sending MRC under the same class by which received.

692.42 Registered Mail

In addition to the standards in 692.41, Registered Mail is handled as follows:

a. When received under registered cover, handle in the claims and inquiry section or in some other section by an employee designated by the postmaster. Do not allow the registry section to open and dispose of this mail.

b. If the address is supplied, register the item to the owner under the local registry number.

c. Enter the registry number on the form that accompanies the dead mail. File the form.

d. Register the item when forwarding it to another address or returning it to the MRC

7 Postal Vehicle Service

71 Introduction

711 Purpose and Scope

Modal Operations and Requirements is committed to providing efficient mail movement to meet the needs of the mail processing, customer services, and marketing organizations. This chapter sets forth the major policies governing the operation of postal-owned, mail-hauling vehicles operating in the postal vehicle service (PVS). It also includes the operation of leased vehicles. Detailed instructions are contained in Handbook PO-701, *Fleet Management,* and Handbook PO-702, *Accident Investigations — Tort Claims.*

712 Policies and Practices

712.1 General

There are a number of policies and practices that the Postal Service™ adheres to that all managers must be aware of and implement as appropriate. Postal vehicle service responsibilities consist of assigning vehicles, administering vehicle leases, street supervision of vehicles, vehicle safety, PVS accident investigation, vehicle utilization optimization, vehicle accounting and data collection, budgets, fleet performance indicators, identification of surplus vehicles for disposal, and labor relations.

712.2 Warranties and Modifications

The Postal Service uses the warranty provisions of the vehicle purchase contract to the fullest extent possible. The vehicle maintenance facilities (VMFs) are responsible for exercising the various provisions. Maintenance work under warranties must be restricted to the stated (written) warranty provisions. Modifications to vehicles are prohibited unless explicitly approved by Vehicle Maintenance, Headquarters. This includes changing any manufacturer-installed equipment or accessories.

712.3 Vehicle Emissions and Fuel Consumption

The Postal Service complies with the provisions of the Clean Air Act and practices fuel conservation. No one, postal employee or contractor, may change or make inoperative any emissions control device installed on any vehicle owned or leased by the Postal Service, except if authorized by Vehicle Maintenance, Headquarters. Properly maintained vehicles will achieve maximum fuel economy. Maximize vehicle utility by monitoring

individual driver and vehicle performance, and by reviewing regular Preventive Maintenance Service schedules.

712.4 Vehicle Appearance

The clean and uniform appearance of postal vehicles is essential to projecting a positive image of the Postal Service. Postal vehicles must be washed as needed, but not less than twice each month. Vehicles must be constantly scrutinized by managers at all levels for paint deterioration; missing, torn, or faded markings; and corrosion or damage to the body. Managers should schedule immediate repairs, as needed.

712.5 New Product Testing

To maintain efficient operations it is necessary to know of and evaluate new products to determine their value in Postal Service operations. Control of all testing of all new products (accessories, additives, vehicle components, etc.) resides with Headquarters (Engineering and Vehicle Maintenance).

72 Responsibilities

721 Headquarters

Modal Operations and Requirements, Logistics, at Headquarters establishes policy, provides administrative support, and furnishes technical guidance for postal vehicle service. Policies and procedures are directed to concerned persons at the areas and districts before implementation by field personnel at processing and distribution centers (P&DCs), processing and distribution facilities (P&DFs), and bulk mail centers (BMCs).

722 Areas

Within the area, the manager, Distribution Networks (DNs), has responsibility for coordinating transportation and providing vehicle contracting and postal vehicle service oversight to the P&DCs, P&DFs, and BMCs.

723 Vehicle Post Offices

At vehicle Post Offices™ (VPOs), the postmaster is responsible for the safe, efficient, and economical operation and maintenance of assigned postal-owned or -leased vehicles, under the guidance of personnel from the district.

73 Vehicle Types, Safety, and Maintenance

731 Types of Vehicles in Service

731.1 Cargo Vans, Tractors, Spotters, and Trailers

Cargo vans (5-, 7-, or 9-ton trucks), tractors, and trailers are used to transport bulk mail between P&DCs, P&DFs, AMCs, stations and branches,

and associate offices. Spotters are used to move trailers within a BMC yard operation.

731.2 Hired Vehicles

Various sized vehicles are occasionally leased, with or without drivers, by the Postal Service from commercial leasing firms and employed for mail-hauling purposes. Contact your servicing purchasing organization for details.

732 Driving and Safety Requirements

732.1 Vehicle Care and Operation

All drivers of postal-owned and -leased vehicles are responsible for the proper care and handling of vehicles in their custody. Employees are financially liable for loss or damage caused by willful or deliberate misconduct.

732.2 Traffic Laws

Employees driving any vehicle in performance of postal duties must obey all federal, state, and local traffic laws at all times. Postal-owned or -leased vehicles have no special right-of-way over private vehicles.

732.3 Safe Driving

Employees are responsible for the safe operation of any vehicle used while on duty. Managers must emphasize in their daily operation that safety is everyone's responsibility and must not be compromised. (For more details, see Handbook PO-701, chapter 2; ELM 827; and Handbook EL-801, *Supervisor's Safety Handbook.)*

733 PVS Accident Investigations

Immediate and complete on-the-scene investigations by the PVS are required for all accidents involving employees and vehicles. Accidents involving vehicles assigned to Customer Service and Sales will be investigated and processed by Customer Service and Sales or their designated representative. Since even the slightest accident may ultimately result in litigation against the Postal Service, such litigation must be anticipated. Detailed instructions for accident investigation are provided in Handbook PO-702, *Accident Investigations — Tort Claims.*

734 Vehicle Accounting

The Vehicle Management Accounting System (VMAS) is a cost-accounting system providing operating cost per vehicle, per mile, and per hour. Also reported is the cost of maintenance and repair, vehicle depreciation, parts, and fuel usage. Additional information is provided on vehicle use and inventories. This system, operated by vehicle maintenance facilities (VMFs), is available to all transportation managers to assist in decision making for efficient transportation operations.

735 Vehicle Reassignment and Disposal

735.1 Reassigning Excess Vehicles

Postmasters and installation heads must identify to the district Manager, Vehicle Maintenance (MVM), any vehicles determined to be excess to the needs of the function to which the vehicle is assigned. The MVM reassigns the vehicles to meet valid requirements within the cluster, and notifies the area Vehicle Maintenance Programs Analyst (VMPA) of vehicles that are excess to the needs of the cluster. The area VMPA then reassigns the vehicles (except excess Postal Vehicle Service (PVS) vehicles — i.e., truck tractors, spotter tractors, cargo vans, or trailers) to meet valid requirements within the area, and notifies Headquarters Delivery Vehicle Operations (DVO) of remaining excess vehicles. DVO will reallocate excess non-PVS vehicles to other areas as appropriate, and will contact Headquarters Surface Operations for authorization of any PVS vehicle reassignments.

For more information, refer to Handbook PO-701, *Fleet Management,* chapter 7, which is accessible on the Postal Service PolicyNet Web site at http://blue.usps.gov/cpim; click *HBKs.*

735.2 Disposing of Excess Vehicles

A vehicle must meet at least one of the following criteria for disposal:

a. The vehicle has been replaced.

b. The vehicle is uneconomical to repair.

c. The vehicle is no longer needed within the Postal Service.

If a vehicle meets at least one of these criteria, the MVM must dispose of surplus vehicles by one of the following methods (unless Headquarters issues vehicle-specific instructions):

a. Sales (eBay online auction, fixed-price sale, or auction sale).

b. Vehicle cannibalization/scrap.

c. Authorized donation.

For more information, refer to Handbook PO-701, *Fleet Management,* chapter 7, which is accessible on the Postal Service PolicyNet Web site at http://blue.usps.gov/cpim; click *HBKs.*

736 Fleet Maintenance

The VMF is responsible for providing quality and timely maintenance to the Postal Service fleet as outlined in the guidelines established by Vehicle Maintenance, Headquarters.

737 Equipment, Supplies, and Fuel

737.1 General

The costs for equipment, operating supplies, fuel, and lubricants are included in annual VMF budgets through Customer Service channels. Handbook PO-701, *Fleet Management;* the *Purchasing Manual;* and Vehicle Maintenance Bulletins contain additional procurement information.

737.2 Equipment

Accounting service centers (ASCs) are responsible for budgeting for specialized capital equipment to meet operations or environmental requirements. Equipment for postal vehicle service operations purposes include lift gates, radios, and so forth.

737.3 Fuel

All postal employees must use self-service pumps when fueling postal-owned or -leased vehicles at commercial retail outlets and automated VMF fueling stations. The only exception to this policy is in communities that do not have self-service fuel pumps or in states that prohibit them. However, should self-service fuel pumps become available, or if a state changes its laws, postal personnel must immediately change to the self-service pumps. Local VMF management must establish a program for checking oil, water, and other fluids at least weekly, and replenishing them as needed.

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8 Special Services

81 Special Mail Services

811 Delivery Records for All Signature Mail

811.1 General

Electronic record management sites must scan/enter the mailpiece barcode and enter the appropriate event code in the handheld scanner for all accountable and signature mail. This includes scan events for items other than delivery (such as attempts, forwards, etc.). See Handbook PO-610, *Signature Capture and Electronic Record Management: Manager's Guide to Standard Operating Procedures,* for a list of applicable scan events.

All signatures for Registered Mail™, Insured Mail over $200, Certified Mail™, COD Mail, Express Mail®, Signature Confirmation™, Return Receipt for Merchandise, and other signature services will be captured on PS Form 3849, *Delivery Notice/Reminder/Receipt,* which will be linked to the mailpiece and serve as the delivery record. Delivery records from electronic record management offices will be stored electronically and will be accessible via the Postal Service™ Intranet or by using PS Form 3811-A. Delivery records from offices using manual record management will be manually stored according to local office procedures.

811.2 Filing of Delivery Records

Handle delivery records as follows:

a. Electronic record management sites: Dispatch all signed PS Form 3849 with mail to be forwarded via pouch to the Computerized Forwarding System (CFS) site serving your office. At the CFS site, the PS Form 3849 will be optically scanned and the records stored in the Product Tracking System (PTS).

b. Manual record management sites: File PS Form 3849 in the consolidated file by the last 2 digits of the article number. If the quantity accumulated over the filing period is fewer than 200, use the last digit only for breaking the file. File PS Form 3883 alphabetically by the name of the firm (addressee) and the date of delivery. Delivery receipts for items returned to senders must be filed with delivery receipts for packages delivered to addressees.

c. As an option, offices with a large volume of PS Form 3849 should consider filing these receipts using a 3-digit sort. This greatly speeds search time and may be done in the following way: Offices that file

delivery records centrally should request that their stations and branches sort these forms into 10 separations, by the last digit of the article number, before sending them to the central filing location. When these are received at the central filing location, the forms should then be separated in a 100-hole case, using the second- and third-from-last article numbers for each of the original 10 separations made at the station or branch. The forms should then be filed by the 3-digit sort for future reference.

811.3 Retention of Delivery Records

Delivery records will be maintained as follows:

a. Electronic record management: Delivery records maintained electronically will be stored as follows:

(1) A delivery record will be maintained in the active database for 6 months after the delivery date. A record in the database may be accessed immediately via a query from the Postal Service Intranet.

(2) A delivery record will be maintained in the archive database after 6 months until the end of the retention period (as stated in ASM 351). These records are not immediately accessible. Upon completion of the archive search, a response will be provided to the customer.

b. Manual record management: Delivery records maintained manually follow retention periods as stated in ASM 351.

811.4 Retrieval of Delivery Records

Customers cannot receive delivery information or a delivery receipt for Certified Mail, COD, Registered Mail, Insured Mail over $200, or Return Receipt for Merchandise services without the assistance of a Postal Service employee. If a customer wishes to receive a copy of the delivery record, the customer must visit a Post Office™ and show proof of purchase of a return receipt (to request a duplicate return receipt) or purchase a return receipt after mailing. The postal employee will retrieve the records as follows:

a. Electronic record management sites: The postal employee will request a copy of the delivery receipt via the Postal Service Intranet or, for non-intranet sites, PS Form 3811-A. The customer will be either faxed or mailed the electronic delivery record (PS Form 3819). See Exhibit 811.4. Handbook PO-610 contains specific information on delivery record requests and retrieval.

b. Manual record management sites: The postal employee will look up the manually filed delivery receipt. PS Form 3811-A will be used to convey the delivery receipt information to the customer.

There are four possible electronic delivery record responses. They include the response as shown here with signature data; delivery record found with no signature data; no delivery record found; or unable to limit search due to duplicate label IDs.

Exhibit 811.4
Electronic Delivery Record Response

UNITED STATES POSTAL SERVICE

Date: March 30, 2000

JOHN DOE
PO BOX 12
ANYTOWN US 55555-5555

Dear John Doe:

The following is in response to your March 29, 2000, request for delivery information on your certified mail item number 7099 7856 4563 7869 9182. The delivery record shows that this item was delivered on March 20, 2000, at 10:31 a.m. The scanned image of the recipient information is provided below.

Signature of Recipient: Mark Olson
M Olson

Address of Recipient: 11 Deming Dr.

Thank you for selecting the Postal Service for your mailing needs. If you require additional assistance, please contact your local post office or Postal Service representative.

Sincerely,

United States Postal Service

812 Registered Mail

812.1 Fees and Liability

See DMM 503.2.

812.2 Sender's Declaration

812.21 Value

The sender must tell the Postal Service clerk (or enter on the firm mailing bill if a firm mailer) the full value of mail matter presented for registration.

812.22 Examples

Kind of Mail Matter	Value to Be Declared
Negotiable instruments - instruments payable to bearer, including stock certificates endorsed in blank.	Market value - all values are based on the value at the time of mailing.
Nonnegotiable instruments — all registered bonds, warehouse receipts, checks, drafts, deeds, wills, abstracts, and similar documents. Certificates of stock are considered nonnegotiable so far as declaration of value is concerned unless they are endorsed in blank.	No value, or replacement cost if postal insurance coverage is desired.*
Money.	Full value.
Jewelry, gems, precious metals.	Market value or cost.
Merchandise.	Market value or cost.
Nonvaluables - matter not having intrinsic value such as letters, files, records, etc.	No value, or replacement cost if postal insurance coverage is desired. *

* *Mailers who do not know replacement costs should contact a person or firm familiar with such documents and determine replacement costs before the articles are mailed.*

812.23 Official Mail

Government agencies or officials must declare the value of the matter presented to ensure proper care (see 812.261).

812.24 Underdeclaration of Value

If the accepting Postal Service employee believes the mailer has not declared the full value of an article presented for registration, the Postal Service employee must do the following:

a. Ask the mailer the nature of the contents of the article.

b. After discussion with the mailer, if it appears that the proper value is declared on the article, accept it as Registered Mail.

c. If it appears that the value of the article is underdeclared, explain to the mailer that the full value of the article must be declared on all Registered Mail articles.

d. If the mailer refuses to declare the article at its full value and pay the proper registration fee, inform the mailer that the article cannot be accepted as Registered Mail.

812.25 Merchandise Return

The customer (not the permit holder) must declare the full value of articles presented for registered merchandise return service under 812.21.

812.26 Mail Registered Without Prepayment

812.261 Official Mail

Official mail of authorized government departments and agencies, if prepared under the requirements for transmission of mail without prepayment of postage may be sent by Registered Mail without prepayment of a registration fee. Official Registered Mail paid by stamps or meters must be fully prepaid.

812.262 Merchandise Return

Matter registered with merchandise return service may be sent by Registered Mail without prepayment of postage and fees.

812.263 Other Mail

Handle other Registered Mail and official Registered Mail paid by stamps or meters as follows:

a. If found in ordinary mail, handle articles in one of the following ways:

(1) If unpaid, return the piece to the sender for postage. Endorse the piece “Not in the Registered Mail” and “Returned for Postage.”

(2) If only the First-Class® rate of postage is paid, endorse the piece “Not in the Registered Mail” and deliver it to the addressee as ordinary First-Class Mail.

(3) If the amount of postage and fees affixed indicates that the article was intended to be registered, endorse it “Found in Ordinary Mail.” Complete and send to the sender a mail registration receipt and PS Form 3892, *Registered Mail Found in Ordinary Mail.* Rate the item as postage-due and forward it to the addressee through the Registered Mail.

b. If found in the Registered Mail, handle unpaid or shortpaid items as Registered Mail without the collection of postage due. The unit noting the irregularity completes PS Form 3826, *Registry Irregularity Report;* endorses the mailpiece “PS Form 3826 Completed”; and dispatches the article in the Registered Mail. Return PS Form 3826 to the accepting Post Office for collection of postage.

812.264 Indemnity

Except for matter registered with merchandise return service, no indemnity is paid for any matter registered without prepayment of postage and fees. If a government department or agency wants indemnity coverage, both the postage and proper registry fee (see DMM 503.2.3.7) must be paid in full by stamps or meter stamps. See IMM 333.2 for international registered indemnity provisions.

812.3 Mailing Receipts

A receipt must be issued when mail is accepted for registration. If requested to do so by the sender, the accepting Postal Service employee shows on the mailing receipt and Post Office record the time the Registered Mail article was accepted for mailing. For individual transactions, PS Form 3806, *Receipt*

for Registered Mail, is issued at the time of the transaction. When PS Form 3877, *Firm Mailing Book for Accountable Mail,* is used, the permanent descriptive receipt is postmarked, signed, and issued as soon as possible after entries are checked against the mail. A temporary receipt showing only the total number of articles may be issued when several articles are mailed.

812.4 Delivery

812.41 Procedure

The responsibility of the Postal Service for Registered Mail ends with its proper delivery. Mail for delivery by carriers is taken on the first trip after it is received, unless the addressee has asked the postmaster to hold his or her mail at the Post Office. The addressee or a person representing the addressee may obtain the name and address of the sender and may look at Registered Mail while it is held by the Postal Service employee before accepting delivery and signing the delivery receipt. Identification must be required if the person accepting delivery of Registered Mail is unknown. The mail may not be given to the addressee until the delivery receipt is obtained by the Postal Service employee. The signature of the person receiving the article must appear in the correct block on the delivery receipt.

812.42 Notice of Arrival

The carrier must leave a notice of arrival in the form of a PS Form 3849 if the carrier cannot deliver the Registered Mail article for any reason. The article is brought back to the Post Office and held for the addressee as per DM 901. If the article is not called for within 5 calendar days, a second notice is issued. If the article is not called for or redelivery of the article is not requested, the article must be returned after 15 calendar days, unless the sender specifies fewer number of days on the mailpiece. In all instances, electronic record management sites must scan/enter the mailpiece barcode and enter the appropriate event code in the handheld scanner.

812.43 Restricted Delivery

Restricted delivery may be obtained by the payment of additional fees. For cases when restricted delivery may be made by delivery to a person other than the addressee, see 823. See IMM 350 for international restricted delivery service.

812.44 When Not Delivered

Postmasters may require customers to call for Registered Mail at the Post Office if delivery by carrier would not be safe.

812.45 Rural Delivery

For delivery by rural carriers or at nonpersonnel rural units, see 65.

812.46 Highway Contract Route Delivery

Highway contract route carriers deliver Registered Mail if required by the contract in accordance with 663.3.

812.47 Bad Condition

If the addressee accepts a Registered Mail article repaired with sealing stamps or reenclosed in a new envelope or wrapper, the addressee must

open it without disturbing the seal in the presence of the delivering employee. If anything is missing, the envelope or wrapper must be given to the employee after it is endorsed to show what was missing. The Postal Service employee must not wait while a large sum of money or coins is counted if the damage is so slight as to indicate nothing is missing.

812.5 Inquiries on Uninsured Articles

812.51 Who May File

When customers purchase Registered Mail service, they have the option of purchasing postal insurance. If postal insurance is purchased, the procedures for claims in DMM 503.2.6 must be followed. The procedures in 812.52 and 812.53 apply only to uninsured Registered Mail. Only the mailer may file an inquiry on uninsured Registered Mail. For matter registered without postal insurance with merchandise return service, only the permit holder may file an inquiry.

812.52 How to File

812.521 Original Inquiry

The mailer may not file any inquiry until 15 days after the date of mailing. An inquiry may be filed at any Post Office, classified station, or branch, except for inquiries about matter registered with merchandise return service, which must be filed by the permit holder at the Post Office where the permit is held. PS Form 1000, *Domestic Claim or Registered Mail Inquiry,* must be used in processing an inquiry for uninsured Registered Mail. An inquiry may be filed in the following manner:

a. Any mailer filing an inquiry for the alleged loss of Registered Mail must provide proof that a loss has actually occurred before a Post Office can accept the inquiry.

b. This proof may be supplied by the following methods (see 812.521c for registered merchandise return service articles):

(1) The mailer may obtain PS Form 1000 from any Post Office. The mailer must then complete PS Form 1000 and send it to the addressee. Postal employees may not mail the inquiry for the mailer, but they may help a mailer complete the form if requested. The addressee must complete item 13 and items 14 through 16 on PS Form 1000 and return it to the mailer. If the addressee signs the claim form and indicates the article was not received 15 days or more after the date of mailing, the mailer may then take the claim form, along with the original mailing receipt, to a Post Office and file an inquiry.

(2) If the mailer has written and signed documentation (such as a letter dated at least 15 days after the date of mailing) from the addressee stating the addressee did not receive the article, the mailer may take this documentation to a Post Office, along with the original mailing receipt, and file an inquiry. The Postal Service employee must attach this documentation, or a copy of it, to the inquiry form.

(3) If the mailer is unable to obtain the cooperation of the addressee in signing PS Form 1000 for a Registered Mail article, the mailer may request a copy of the delivery record utilizing PS Form 3811-A if 15 calendar days or more have elapsed since the date of mailing. Any such request for a delivery record must contain the date the article was mailed, the registered number, and the complete name and address of the mailer and addressee. The response to this search of delivery records ends the inquiry process.

c. A merchandise return permit holder must ask the customer to complete items 1 through 12 and 17 through 19 of PS Form 1000, and return it, along with the original mailing receipt, to the permit holder. The permit holder must complete item 13 and items 14 through 16 and submit the completed form, along with the original mailing receipt, to the Post Office where the permit is held. An inquiry may be filed no sooner than 15 days after the date of mailing.

812.522 Duplicate Inquiry

A duplicate inquiry may not be filed sooner than 30 days after the original inquiry. Complete PS Form 1000, mark it “Duplicate” in the upper right corner, and process the duplicate the same as the original.

812.53 Processing Inquiries

When accepting a customer’s inquiry, the accepting Post Office should handle the inquiry as follows:

a. If necessary, help the customer complete the applicable spaces on PS Form 1000.

b. Date-stamp immediately on receipt and sign in the proper spaces.

c. Detach the instruction sheet and make three copies of PS Form 1000; give the customer the original to keep until the inquiry is settled.

d. Within 2 days of the inquiry, Post Offices with intranet access should search the electronic delivery records via the Postal Service Intranet, or manually stored records by using PS Form 3811-A, to verify delivery of the article. Post Offices without intranet access should follow local procedures for handling PS Form 3811-A to verify delivery of the article. If PS Form 3811-A is used, the remaining two copies of PS Form 1000 with the supporting documentation should be sent with PS Form 3811-A.

The office making the delivery record search should follow these procedures after the search has been made:

(1) *No Record.* If there is no record of delivery, check the applicable box on PS Form 1000 and date-stamp. Send a copy to the local postal inspector in charge. Return a copy to the sender and show Postal Inspection Service notification.

(2) *Record Found.* If there is a record of delivery, enter the date of delivery and date-stamp. Record any unusual delivery conditions. Return PS Form 1000 to the sender. If the delivery record reports that some of the contents are missing, annotate PS Form 1000 and forward a copy to the local postal inspector in

charge. Return a copy to the sender and show Postal Inspection Service notification.

(3) *Article Forwarded.* Attach a separate sheet of paper showing the new address and date forwarded and forward PS Form 1000 to that Post Office.

(4) *Article Returned to Sender.* If the article was returned to the sender, enter the reason and the date of return. Date-stamp and return the inquiry form to the mailing Post Office for verification of return. The mailing office then must search its records and indicate whether there is a record and the date of delivery to the sender.

813 Certified Mail

813.1 How to Mail

Customers can obtain, at no charge, PS Form 3800, *Certified Mail Receipt,* at Post Offices or from rural carriers. Customers can also obtain blank return receipt forms if needed. Senders of Certified Mail must observe the following procedures:

a. Enter on the receipt part of the Certified Mail coupon the name and complete address of the person or firm to whom the mail is addressed.

b. If a return receipt is requested, check the block on the mailing receipt to show the fee and endorse the article, on the address side near the Certified Mail endorsement, “Return Receipt Requested” or “Return Receipt Requested Showing Address Where Delivered.” The sender must enter the Certified Mail number on the return receipt card, self-address it, and attach it to the back of small envelopes or on front of packages and large envelopes if it does not cover the address. If the sender wants the return receipt to show the address where the article was delivered, the sender must check the block at the top of the form. If a return receipt is requested, the sender must show a complete return address on the mailpiece.

c. Attach to the envelope sufficient postage stamps to pay for First-Class postage and all applicable fees.

d. If a postmarked sender’s receipt is requested, the sender must attach the Certified Mail sticker to the address side of the article and present the article and the completed receipt to the Postal Service employee. If asked to do so, the Postal Service employee must show on the receipt the time the article was accepted for mailing. If given to a rural carrier, the carrier returns the postmarked receipt to the sender.

e. If a postmarked receipt is not requested, the sender must attach the Certified Mail sticker to the address side of the article, detach the receipt, and mail the article. The sender must mark the receipt to show the date.

f. If the sender wants to restrict delivery of Certified Mail to the addressee or someone named by the addressee in writing, the sender must endorse the mail “Restricted Delivery.” This service is available only for articles addressed to individuals by name.

813.2 Delivery

813.21 Procedure

Certified mail for delivery by carriers must be taken out on the first trip after received, unless the addressee requests that the postmaster hold the addressee's mail at the Post Office. Certified mail not restricted in delivery is delivered to the addressee or addressee's authorized representative. Restricted delivery may be obtained by payment of the additional fees listed in DMM R900.21.0. For cases when restricted delivery may be to a person other than the addressee, see 823.1. Delivery rules are the same as for Registered Mail (see 812.4).

813.22 Rural Delivery

For delivery by rural carriers or at personnel and nonpersonnel rural units, see 65.

813.23 Highway Contract Route Delivery

Highway contract route carriers deliver Certified Mail if required by the contract, but delivery is made only at the customer's box or along the route.

813.24 Delivery Records

Certified mail delivery records are maintained for 2 years. After that period, the records are destroyed or deleted from the electronic record management system.

813.25 Notice of Arrival

The carrier must leave a notice of arrival on PS Form 3849 if the carrier cannot deliver the certified article for any reason. The article is brought back to the Post Office and held for the addressee. If the article is not called for within 5 calendar days, a final notice is issued. If the article is not called for or redelivery of the article is not requested, it must be returned after 15 calendar days, unless the sender specifies a fewer number of days on the mailpiece. In all instances, electronic record management sites must scan/enter the mailpiece barcode and enter the appropriate event code in the handheld scanner.

813.26 Delivery at Post Office

Hold Certified Mail at a place convenient for the public to call if addressed for box or general delivery or for firm callers, or if PS Form 3849 is left for addressee to call. Place PS Form 3849 in Post Office box for box-service customers, scan/enter the mailpiece barcode as appropriate, and enter the applicable event code in the handheld scanner. PS Form 3883 is used when firm or other customers receive an average of six or more certified letters at one delivery.

813.3 Carrier Controls

813.31 Assignment

Send Certified Mail, including that for firms, to a unit or employee designated to assign it for delivery and follow these procedures for each type of mail:

a. *Individual Pieces.* Use PS Form 3867, *Accountable Mail Matter Received for Delivery,* to show the total number of pieces given to each

carrier, or as a chargeout record. Use symbols on PS Form 3867 to show return receipt or restricted delivery. Obtain the signature of the carrier on PS Form 3867. If the mail is endorsed for restricted delivery, prepare PS Form 3849 and attach it to the certified article.

b. *Firm Mail.* Prepare PS Form 3883 in duplicate for addresses that receive an average of six or more pieces at one time. Do not include on firm bills articles restricted in delivery to the addressee. Handle these as covered in 813.31a. Use the duplicates of the firm bill as chargeout and recycle them after the delivery employee turns in receipted bill.

813.32 Clearance

The clearing clerk must clear carriers and messengers in the following manner:

a. Have the carrier or messenger account in bulk for all Certified Mail and return receipts charged to the carrier or messenger, either by signed receipts or returned articles. If any article or return receipt is not accounted for, make an immediate report to the supervisor. Endorse the chargeout record when clearing is complete.

b. Sign and give back to the carrier or messenger PS Form 3821, *Clearance Receipt,* which is prepared and submitted when accounting for certified articles and return receipts assigned for delivery.

c. If certified articles returned undeliverable by a delivery employee or messenger are forwarded or returned, show disposition on PS Form 3867. Electronic record management offices must scan/enter the mailpiece barcode and enter the appropriate event code in the handheld scanner. Offices using the manual record management program must prepare a disposition record on PS Form 3849, including the name of the addressee. File PS Form 3849 with the delivery receipts.

d. Examine all return receipts. Make sure that they are properly signed and that the date of delivery is entered. If the mail was restricted in delivery, check to see whether delivery was made to an unauthorized agent. If delivery was improper, have the carrier or messenger obtain the addressee's signature on another receipt card and destroy the original after the duplicate is signed. Mail the receipts no later than the next workday.

e. It is the supervisor's responsibility to take prompt corrective action with the delivering employee if return receipts or delivery receipts are not obtained or not properly completed.

f. If the mail is not delivered in 5 days, issue a final notice to the addressee on PS Form 3849 marked "FINAL NOTICE." Send it through regular channels with the addressee's ordinary mail.

g. International recorded delivery service mail must be handled under the clearance procedures for Certified Mail in 813.32a through 813.32f. See IMM 360.

h. Examine all PS Form 3849. Make sure that the delivery section on the form is properly completed and that there are no extra marks or tape

on the form. If errors are found, notify the carrier or messenger of the proper handling procedures for PS Form 3849.

813.33 Delivery to Military Installations

Certified Mail items addressed for delivery at military installations within the continental United States must be delivered to the installations in the same manner as Insured Mail items over $200.

813.34 Filing Delivery Receipts

See 811 for instructions on filing delivery receipts/records.

813.35 Undeliverable

Handle Certified Mail that is undeliverable or to be forwarded according to 813.32c.

813.4 Verified Mailing Receipts

813.41 Individual Mailings

Verify individual mailing receipts as follows:

a. Compare name and address of addressee on the article and on PS Form 3800, *Certified Mail Receipt.*

b. If sender checked blocks on PS Form 3800 for return receipt or restricted delivery service, make sure the article bears the proper endorsement.

c. Examine the return receipt card to determine whether the correct blocks in the instructions to the delivering employee are checked, and whether the card is securely attached. Make sure the address is properly completed by the sender.

d. Make certain that proper postal charges are paid by affixed stamps.

e. Postmark the receipt part and give it to the mailer. Enter the time that the article is mailed if requested to do so by sender, and place your initials by the entry. Use ink to enter the time and initials in the space for the name of the accepting employee.

f. Deposit the article in the mail. Do not return it to the mailer.

813.42 Large-Volume Mailings

The following steps are taken for large-volume mailings of certified articles that are not submitted under an approved Manifest Mailing System (MMS) agreement. Acceptance procedures for certified articles submitted under an MMS agreement are in Publication 401, *Guide to the Manifest Mailing System.* The following steps are in addition to any other acceptance and verification procedures required for the method of postage payment used or presort discounts claimed:

a. Randomly select certified articles from the mailing and match the certified numbers against PS Form 3877, *Firm Mailing Book for Accountable Mail* (FMB). Select a random sampling, as follows:

Articles in Mailing	Sampling Size Number of Articles
1-299	10 percent of mailing

Articles in Mailing	Sampling Size Number of Articles
300-1,999	30 articles
2,000-3,999	40 articles
4,000-5,999	50 articles
6,000-7,999	60 articles
8,000-9,999	70 articles
10,000-99,999	100 articles

b. On each article selected for sampling, do the following:

(1) Make sure that the article is properly endorsed.

(2) Verify that the postage and fees are correctly paid and entered on the FMB.

c. If the postage and/or fees on a sample article are not correct, take an entire new sampling, the same size as the original. If the second sampling is correct, accept the mailing and make a postage and/or fee adjustment for the incorrect amount. If the second sampling has an error, return the mailing to the mailer for correction.

d. Collect any postage and/or fees due from the mailer.

e. Postmark and sign the FMB in ink and give it to the mailer. Enter the time the articles are mailed if requested to do so by the mailer, and place your initials in ink by the entry.

f. Perform these verifications on all mailings.

g. Deposit articles in mail. Do not return to mailer.

814 Insured Mail

814.1 Inquiry About Contents and Preparation

The Postal Service employee at the window is required to ask whether the package presented for insurance contains fragile, perishable, or flammable matter. If the package does not contain such matter and to all outward appearances is adequately prepared, no further inquiry about contents is made. If the package contains such matter, detailed inquiry must be made to determine whether contents are admissible in the mail and are adequately packed.

814.2 Delivery

814.21 General Provisions

Delivery is made under the following provisions. Parcels insured for over $200 are delivered under the regulations for the delivery of Registered Mail items.

814.22 At Letter Carrier Offices

814.221 Holding Period

Insured mail is held for the period specified in the sender's return address, but not for more than 15 consecutive days. If no return period is specified,

the mail is held for 15 days. The retention period of 15 days applies also to offices to which the mail may be forwarded.

814.222 Notices

Insured parcels are delivered to the addressee's home or, if he or she receives his or her mail in a Post Office box or through general delivery, the addressee must be furnished with a notice of the arrival of the parcel. Electronic record management sites must scan/enter the mailpiece barcode and enter the appropriate event code in the handheld scanner. If the parcel is undelivered after 5 calendar days, a second notice must be sent. After receiving the notice, the addressee may go to the Post Office and obtain the parcel or may request that it be delivered to his or her home again. If the addressee does not accept the parcel when it is offered, the parcel is brought back to the Post Office for immediate return to the sender.

814.23 At Offices Without Carrier Delivery Service

The addressee is notified when an insured parcel is on hand for delivery. The notice is placed in the general delivery or in a Post Office box. A second notice must be issued if the article is undelivered after 5 calendar days. Electronic record management offices must scan/enter the mailpiece barcode and enter the appropriate event code in the handheld scanner.

814.24 Rural Delivery

Rural carriers must deliver insured mail to the residence if it is not more than 1/2 mile from the route and if the road leading to it is passable. Otherwise, the carrier leaves a notice in the box so that the addressee may meet him or her at the box on the carrier's next trip or call the Post Office for the mail. Rural carriers from electronic record management offices must scan/enter the mailpiece barcode and enter the appropriate event code when delivering the item or issuing a notice. For delivery by rural carriers or at Post Offices, stations, branches, or nonpersonnel rural units, see 65.

814.25 On Highway Contract Routes

Highway contract carriers deliver insured parcels if required to do so by their contract in accordance with 663.3. Electronic record management offices must scan/enter the mailpiece barcode and enter the appropriate event code in the handheld scanner at delivery.

814.26 Damaged Packages

Damaged packages refused by the addressee are handled as follows:

a. When a package is partially damaged, it must be returned immediately to the sender. At this time, the mailpiece barcode must be scanned/entered and the appropriate event code must be entered in the handheld scanner.

b. When a package is damaged beyond repair, the sender is informed of the damage and of the addressee's refusal. The mailpiece barcode is scanned/entered at this point and the appropriate event code is entered in the handheld scanner. The package is then held a reasonable time awaiting instructions from the sender or a request for payment of postal insurance. If neither instructions nor a request for payment of postal insurance is received, the postmaster at the office of mailing is requested to determine which disposition of the package is to be made.

814.27 Spoiled Contents

When the contents of a package are spoiled, the Postal Service employee must write on the receipt form the date and hour the package was received, the date and hour it was delivered to the addressee, whether the package was endorsed "Perishable," and any known cause of delay or improper handling.

814.28 Examination of Mail

The addressee or addressee's representative may read and copy the name and address of the mailer from insured mail while it is in the possession of the Postal Service employee. The addressee may examine the contents only after delivery is made.

814.3 Delivery Receipts

814.31 Unnumbered Packages

Unnumbered packages are delivered as ordinary mail.

814.32 Numbered Packages

Postal employees must take signed receipts for the delivery of numbered packages on the following forms:

a. PS Form 3849, *Delivery Notice/Reminder/Receipt,* when delivery is made by carrier and at window delivery at Post Offices with 190 or more revenue units.

b. PS Form 3867, *Accountable Mail Matter Received for Delivery,* and PS Form 3849 for window deliveries made at Post Offices with 189 or fewer revenue units.

c. PS Form 3883, *Firm Delivery Receipt for Accountable Mail and Bulk Delivery Mail,* when addressees regularly receive an average of six or more packages at one time.

d. PS Form 3811, *Domestic Return Receipt,* when requested by the sender. The delivering Postal Service employee also sees that the addressee properly completes the form.

814.4 Internal Controls

814.41 Large-Volume Mailings

The following steps are taken for large-volume mailings of insured articles that are not submitted under an approved Manifest Mailing System (MMS) agreement. Acceptance procedures for insured articles submitted under an MMS agreement are in Publication 401, *Guide to the Manifest Mailing System.* The following steps are in addition to any other acceptance and verification procedures required for the method of postage payment used:

a. Count the articles when they are received.

b. Check your count against the total number of articles listed on PS Form 3877, *Firm Mailing Book for Accountable Mail* (FMB). The total count must agree with the total number on the FMB provided by the mailer. If it does not, match each article in the mailing against the FMB. If it does match, see 814.41c.

c. Select a sample at random, as follows:

Articles in Mailing	Sampling Size Number of Articles
1-299	10 percent of mailing
300-1,999	30 articles
2,000-3,999	40 articles
4,000-5,999	50 articles
6,000-7,999	60 articles
8,000-9,999	70 articles
10,000-99,999	100 articles

d. On each article selected for sampling, do the following:

(1) Find the listing of the article on the FMB by matching the insured number.

(2) Make sure that the article is properly endorsed.

(3) Weigh the article and compute the postage to see that it agrees with the postage listed on the FMB.

(4) Verify that the fees are correctly paid and entered on the FMB.

e. If an insured number on a sample article is not on the FMB, take an entire new sampling, the same size as the original. If the second sampling is correct, accept the mailing and correct the FMB. If the second sampling has an error, match each article in the mailing against the FMB and correct the FMB. Have the mailer initial the corrected FMB.

f. If the postage and/or fees on a sample article do not agree with the amount listed on the FMB, take an entire new sampling, the same size as the original. If the second sampling is correct, accept the mailing and make a postage and/or fee adjustment for the incorrect amount. If the second sampling has an error, match each article in the mailing against the FMB and correct the FMB. Have the mailer initial the corrected FMB.

g. Collect any postage and/or fees due from the mailer.

h. Postmark and sign the FMB in ink and give it to the mailer.

i. Perform these verifications on all mailings.

j. Return any subsequent mailings that fail the first and second samplings to the mailer for correction.

814.42 Filing of Delivery Records

See section 811 for instructions on filing delivery receipts/records.

814.5 Check of Records

To ensure that delivery employees are obtaining the required delivery and return receipts and that all receipts are properly handled, offices with carrier delivery service must do the following:

a. Make a selective check at least quarterly of all delivery and return receipts.

b. Use PS Form 3871, *Receipt Verification - Insured and Returned COD Mail,* and keep a record for 1 year showing the dates of the selective checks and the results of the checks.

814.6 Dispatch From Post Offices

Insured mail is dispatched as ordinary mail and handled as ordinary mail while it is in transit.

815 Collect on Delivery (COD) Mail

815.1 Acceptance

815.11 Individual Receipts for Mailing

A receipt is issued for each COD parcel mailed on one of the following forms:

a. Mailer's receipt copy of COD form; PS Form 3816, *COD Mailing and Delivery Receipt,* or specially printed COD form.

b. PS Form 3877, *Firm Mailing Book for Accountable Mail,* or specially printed firm mailing bills.

c. Recapitulation sheets or receipt parts of multiple forms specially printed by the mailers.

815.12 Temporary Receipts

The postmaster may issue a temporary receipt on PS Form 3824, *Temporary Bulk Receipt,* when the number of articles presented at one time for COD warrants it. The permanent receipt is issued as soon as possible.

815.13 For Three or Fewer Parcels

Provide a receipt to mailers of three or fewer parcels at a time by postmarking the sender's receipt part of the COD form.

815.14 Large-Volume Mailings

The following steps are taken for large-volume mailings of COD articles that are not submitted under an approved Manifest Mailing System (MMS) agreement. Acceptance procedures for COD articles submitted under an MMS agreement are in Publication 401. The following steps are in addition to

any other acceptance and verification procedures required for the method of postage payment used:

a. Count the articles when they are received.

b. Check your count against the total number of articles listed on PS Form 3877, *Firm Mailing Book for Accountable Mail* (FMB). The total count must agree with the total number on the FMB provided by the mailer. If it does not, match each article in the mailing against the FMB. If it does match, see 815.14c.

c. Select a sample at random, as follows:

Articles in Mailing	Sampling Size Number of Articles
1-299	10 percent of mailing
300-1,999	30 articles
2,000-3,999	40 articles
4,000-5,999	50 articles
6,000-7,999	60 articles
8,000-9,999	70 articles
10,000-99,999	100 articles

d. On each article selected for sampling, do the following:

(1) Find the listing of the article on the FMB by matching the COD number.

(2) Make sure that the article is properly endorsed.

(3) Weigh the article and compute the postage to see that it agrees with the postage listed on the FMB.

(4) Verify that the fees are correctly paid and entered on the FMB.

e. If a COD number on a sample article is not on the FMB, take an entire new sampling, the same size as the original. If the second sampling is correct, accept the mailing and correct the FMB. If the second sampling has an error, match each article in the mailing against the FMB and correct the FMB. Have the mailer initial the corrected FMB.

f. If the postage and/or fees on a sample article do not agree with the amount listed on the FMB, take an entire new sampling, the same size as the original. If the second sampling is correct, accept the mailing and make a postage and/or fee adjustment for the incorrect amount. If the second sampling has an error, match each article in the mailing against the FMB and correct the FMB. Have the mailer initial the corrected FMB.

g. Match the amount due sender on the sample article against the amount due sender on the FMB. If the amount due sender does not agree, check the entire mailing.

h. Collect any postage and/or fees due from the mailer.

i. Postmark and sign the FMB in ink and give the original to the mailer. Keep the duplicate for the Post Office records.

j. Perform these verifications on all mailings.

k. Return any subsequent mailings that fail the first and second samplings to the mailer for correction.

815.15 Postmarking

Follow this procedure when postmarking COD mailings:

a. Postmark the postage when stamps are used. Postmark the COD form in the proper spaces.

b. Volume mailers may be authorized by the postmasters to type or computer-print the place and date of mailing on the COD forms. If this procedure is authorized, only the sender's receipt copy (if used) and postage stamps require postmarking by the accepting office. Spot-check mailings to make sure the forms bear the correct date and place of mailing. If the mailer printed the wrong date or place of mailing, the form must be postmarked. Notify the RCSC of improper printings.

815.16 Registered COD Articles

Registered COD articles are handled under 815.4.

815.17 Protection

Give COD mail every reasonable safeguard. Prevent access to the mail by unauthorized persons; keep such mail in locked cabinets, if available.

815.2 Delivery and Payment Procedures

815.21 Procedure

815.211 Observing Rules

Delivery rules are the same as those for Registered Mail (see 812.4).

815.212 Restricting Delivery

Except for Express Mail COD shipments, a local postmaster may restrict delivery of COD mail if certain conditions are met. In such cases, the postmaster may have the carrier deliver PS Form 3849, *Delivery Notice/Reminder/Receipt,* to notify the addressee that a COD article arrived at the Post Office. The notice must be delivered on the first carrier trip after the article is received at the Post Office. The total amount due must be shown in the proper part of the form. When the notice is delivered, electronic record management offices must scan/enter the mailpiece barcode and enter the appropriate scan event in the handheld scanner. The postmaster may restrict delivery under either of the following two conditions:

a. The amount of cash to be collected from the transaction or transactions makes the carrier a potential target for theft and increases the chances of physical injury.

b. The previous experience of attempted deliveries at an address makes it likely that the addressee is unavailable to receive the article at delivery.

815.213 Obtaining Signatures

Have recipient or authorized representative sign PS Form 3849. The delivery employee must sign and fill in the appropriate spaces on the COD form and PS Form 3849. Electronic record management offices must scan/enter the mailpiece barcode and PS Form 3849 and enter the appropriate scan event in the handheld scanner.

815.214 Returning Articles

If the addressee declines acceptance and the sender requests PS Form 3849-D, *Notice to Sender of Undelivered COD Mail,* send the form immediately. If PS Form 3849-D is not requested, return refused COD article to the sender immediately. In both cases, electronic record management offices must scan/enter the mailpiece barcode and enter the appropriate scan event in the handheld scanner.

815.215 Holding Articles

Follow these guidelines for holding articles:

a. *Undelivered.* Hold undelivered parcels for the period of time directed by the sender, but never for more than 30 days.

b. *Military.* Hold COD articles addressed to members of military organizations at military installations at the main office, station, or branch. Send a notice to the addressee to call for the article on PS Form 3849. Deliver the article only to the addressee, unless the addressee requests in writing delivery to another person.

815.216 Attempting Second Deliveries

Follow these guidelines when attempting second deliveries:

a. *Articles Refused at First Attempt.* If the addressee requests delivery of a COD parcel that was refused when it was first offered for delivery by the carrier, and it is being held because the sender requested a PS Form 3849-D service, collect the local rate of postage as postage due if delivery is made.

b. *Articles Not Refused at First Attempt.* At the addressee's request, attempt redelivery of a COD article that was not refused when first offered by a carrier for delivery. Do not charge extra postage. If a written request is received requesting redelivery, make a second delivery attempt if the required fee is paid. Do not charge additional postage for this delivery attempt.

c. *Additional Attempts.* When delivery of a COD article is attempted twice by carrier, postage at the local rate must be paid for each additional attempt. No local rate of postage is charged for renewed attempts to deliver COD mail prepaid at the First-Class rate of postage.

815.217 Handling Collection of Charges

The recipient must have the exact amount of the COD charges, since the carrier is not furnished change. The carrier may also accept a check from the recipient, made payable to the sender for the amount of the COD charges. When the recipient pays by check, the carrier does not collect a money order fee. Unless otherwise directed, the COD article may be delivered to the addressee's employee, to a competent member of his or her family, or to other persons (see 612).

815.218 Allowing Reading of Return Address

The addressee or representative may read and copy the name and address of the sender from COD mail while the article is in the possession of the Postal Service employee. The addressee or representative may examine the contents only after the COD charges are paid and delivery made.

815.219 Obtaining Receipt From Sender

Obtain receipt from sender on PS Form 3849 when delivering on return to sender. PS Form 3883, *Firm Delivery Receipt for Accountable Mail and Bulk Delivery Mail,* must be used if an average of six or more articles are delivered to the sender at one time.

815.22 Collection of Charges

Collect charges as follows:

a. At time of delivery, collect the charges entered on the COD forms. The recipient may pay the charges in cash (in which case a money order fee is collected) or by check payable to the mailer (no money order fee is collected). Do not accept checks payable to the United States. Postal Service®. Accept checks issued by businesses using the procedures in Handbook F-1, *Post Office Accounting Procedures.* Delivery employees must accept checks and see identification as follows:

(1) The recipient's name and complete address must be printed on the check. The recipient's telephone number must be recorded on the check.

(2) When a recipient is known by name to the Postal Service employee delivering the COD article, the employee making the identification should note "Customer Known" on the back of the check, sign it, and record one of the current forms of identification listed below (preferably a driver's license).

(3) If the recipient is unknown, one current form of identification from the following list must be recorded on the front of the check, in the memorandum area in the lower left corner, as specified in Handbook F-1, 31:

(a) Driver's license.

(b) State-issued, nondriver identification.

(c) Passport (foreign or domestic).

(d) Military identification card.

(4) If none of the above is available, enter on the check the issuing company's name from two of the following documents (Social Security cards are not acceptable identification):

(a) Credit card bearing the recipient's signature (do not record credit card numbers).

(b) Other credential showing the signature of the recipient.

(5) Compare the signature on the check with the signature on the identification. If the signatures do not match, do not accept the check.

(6) Record the COD number on the check.

b. If there is a difference between the amount of charges shown on the form and the amount shown on the package, collect the higher amount. Hold payment until the correct amount is ascertained from the mailing office; then return any overpayment. If the recipient does not pay the higher amount, hold the package until information on the correct amount is received, but never more than 30 days.

815.23 Issuance of Payment

815.231 Preparing Money Orders

Issue money orders on the day packages are delivered or the first business day following the day of delivery, except that for deliveries made on Friday, COD money orders may be prepared on Monday or the first business day following Sunday. Prepare COD money orders as follows:

a. Enter the complete name and address of the sender of the package under “Pay To” and the complete name and address of the addressee under “Purchased By.”

b. Place the complete COD number in the block labeled “COD No. or Used For:.”

c. Endorse “Illegible” or “Omitted” in the space provided for the COD number (opposite “For”) on the money order, if necessary.

d. Prepare and enclose PS Form 3833, *COD Irregularity,* with the check or money order if information on a form is illegible, incomplete, or omitted.

e. Keep the payment copy of the COD form with the money order. Do not pin or staple the money order, since it must later be processed by computer.

f. *Manual record management sites:* Staple customer’s receipt for the money order to the COD delivery record. At offices with 950 or more revenue units, file them by the last 2 digits of the COD number. At offices with 949 or fewer revenue units, file them by the last digit only. *Electronic record management sites:* Select COD clearance on handheld or POS ONE scanner, scan/enter the mailpiece barcode, and enter the corresponding money order number and amount.

g. The Post Office voucher copy of the money order is placed in sequence and handled with vouchers for other orders issued that day.

815.232 Remitting to Sender

Mail checks and money orders in an EM04 envelope on the day of issue or not later than the following workday. Place the payment copy of the COD form in the EM04 envelope so that the mailer’s name appears in the window. Use prepaid (or business reply) envelopes when they are furnished by the mailer.

815.233 Missing or Illegible Name of Sender

Deliver to the addressee and collect charges on a COD package received without name and address or with illegible name and address of the sender. Obtain name and address of the sender from the addressee and request the postmaster at the office of mailing to verify name and address. If the mailing records do not show the name and address of the sender, the mailing postmaster must obtain a statement from the person named as sender verifying that person as sender. The mailing postmaster must send this statement to the office of delivery. Attach the statement to the delivery record and issue the money order. If the sender’s name cannot be obtained, allow the addressee to pay for the article in cash only. Carry the amount as a trust item for 1 year. If it is not claimed, remove it from the trust and enter it in AIC 126, *Miscellaneous Nonpostal Revenue.*

815.24 Payment by Check

815.241 Accepting and Processing

The recipient's check must be made payable to the sender. Checks must be processed daily under 815.231. Prepare checks as follows:

a. Examine the check for completeness.

b. Enter COD number in memo part of the check if the addressee has not already done so.

c. Correct the address printed on the check, if necessary.

d. *Manual record management sites:* Annotate the COD form to show payment by check. Enter the check number and date the check was mailed. *Electronic record management sites:* Select COD clearance on handheld or POS ONE scanner, scan/enter the mailpiece barcode, and enter the corresponding check number and amount.

815.242 Remitting to Sender

Return the check to the mailer according to 815.232 and file COD forms according to 815.231d.

815.243 Missing or Illegible Name of Sender

Obtain the name and address of the sender from the recipient and request the postmaster at the office of mailing to review the sender's mailing receipt to verify that the package was mailed by him. If the sender cannot be verified, and the recipient wants to pay cash, deliver the article according to 815.233. Otherwise, handle the article according to 619.22.

815.25 Returned Money Orders and Checks

Try to obtain the correct address for money orders and checks returned as unclaimed. If the payee cannot be found, handle the money orders and checks as follows:

a. Forward the money order and a statement of the facts to the Money Order Branch, St. Louis Accounting Service Center.

b. Send money orders returned to postmasters endorsed "Refused," "Out of Business," or "Fictitious," to the same address.

c. Annotate the back of the COD form to show when a check is returned and forward the returned check to the customer.

d. If money orders or checks are returned as "Fraudulent," try to return the check to the customer or the amount of the money order to the purchaser. If this cannot be done, forward money orders to the Money Order Branch and attach checks to the COD form and file the form. In each instance, note the disposition of the money order or check on the COD form and file the form.

815.26 Claims and Inquiries on Nonreceipt of Checks or Money Orders

815.261 PS Form 1000, Domestic Claim or Registered Mail Inquiry

Inquiries or claims involving the nonreceipt of recipient's checks or money orders must be submitted on PS Form 1000 according to 146.

815.262 Payment Method

St. Louis ASC or the office of address must indicate whether United States Postal Service Postal Service records show delivery (including date of

delivery), to whom delivered, whether payment was made by check or money order, and the check or money order number (see 146).

815.263 **Disputes**

Postmasters must not participate in any disputes about the recipient's check.

815.3 Special Instructions

815.31 Mail Opening Units

Handle COD mail as follows:

a. Separate all COD mail not to be delivered from stations and give it to the recording or delivery unit without making a record or obtaining a receipt.

b. Dispatch COD mail for delivery by stations-to-stations in separate sacks labeled "COD" and mark for the superintendent. Do not postmark the forms or make a record on any bill.

815.32 Assignment and Reporting

815.321 **Window Delivery**

Give mail for window delivery to the delivery section without receipt.

815.322 **Other Delivery**

Use PS Form 3867, *Accountable Mail Matter Received for Delivery,* to show the total number of pieces given to each carrier. The carrier signs PS Form 3867.

815.323 **Firm Mail**

Prepare PS Form 3883 in duplicate for addressees who receive an average of six or more pieces at one time and for large volume of returned COD articles. A duplicate of the firm bill serves as the chargeout and is kept after the carrier turns in the receipted bill.

815.324 **Undelivered Articles**

Give to the delivery section, without receipt, undelivered packages awaiting call of addressee.

815.325 **Reports**

Each employee delivering COD mail to the public must make a report on PS Form 3821, *Clearance Receipt,* to the designated supervisor or station or branch superintendent or designee (if under system B, see 815.34). Prepare PS Form 3821 in duplicate. Keep the copy as a personal record and deposit the original in the locked receptacle provided for carriers.

815.326 **Disposal**

Depending on whether the office operates under system A or B, as explained in 815.34, dispose of PS Form 3821 as follows:

a. *System A.* Under system A, remove PS Form 3821 from the locked receptacle each day for transmission to the accounting unit. No employee responsible for preparing PS Form 3822, *COD Tag Transmittal,* or PS Form 1412-A, *Daily Financial Form,* and PS Form 1412-B, *Daily Financial Report,* may have access to PS Forms 3821 deposited in the locked receptacle for transmission to the accounting unit.

b. *System B.* Under system B, PS Form 3821 is removed from the locked receptacle by the station or branch superintendent or designee.

815.33 Clearance

Clear carriers in the following manner:

a. Have the carriers account for all COD mail charged to them either by turning in signed receipts, including the charges due sender and the money order fee, or returned articles. If any article is not accounted for, make an immediate report to the supervisor.

b. Sign PS Form 3821 and return it to the carrier.

c. If undeliverable COD articles returned by a carrier are forwarded or returned, show their disposition on PS Form 3867. Electronic record management offices must scan/enter the mailpiece barcode and enter appropriate event code in the handheld scanner. Offices using manual record management must prepare on PS Form 3849 a disposition record that includes the addressee's name and address. File PS Form 3849 with the delivery receipts.

d. Give to the delivery section, without receipt, undelivered packages awaiting call of addressee.

815.34 Remitting Units

815.341 Completion of PS Form 3822 in Duplicate

All units effecting delivery of COD mail must complete PS Form 3822, *COD Tag Transmittal,* in duplicate each day.

815.342 Carrier and Window Deliveries at Same Unit

If both carrier and window deliveries are accounted for at the same unit, the collections for both are entered on the same PS Form 3822.

815.343 Systems A and B

System A and system B are authorized. System A applies when all COD money orders are issued at the main office. System B applies when COD money orders are issued at delivery stations and branches, including rural stations and branches. Each system is outlined below.

a. *System A.* At offices operating under system A, verify and forward the original copy of PS Form 3822, the adding machine tape, and the COD forms to the superintendent of the main Post Office window unit. Issue payment as outlined in 815.23. On PS Form 3822, show separately the amounts to be remitted to sender by check and by money order. On the adding machine tape, list the amount of charges separately, in the following order:

(1) Amount of charges paid by check.

(2) Amount of charges paid by cash.

(3) Money order fee for each form included in 815.343a(2). Keep the forms in the same order as amounts shown on the tape. Forward the duplicate copy of PS Form 3822 with the verified adding machine tape to the accounting unit for PS Form 1412-A or PS Form 1412-B. Forward the COD forms to the unit that files the forms.

b. *System B.* At offices operating under system B, use the following procedures:

(1) The COD clerk must (a) deliver directly to the money order clerk the COD forms with adding machine tape, the cash and checks, and PS Form 3822 in duplicate, each prepared under 815.241a; (b) obtain the money order clerk's receipt on PS Form 3822; and (c) deliver the original to the station or branch superintendent or designee, and keep the copy for 3 months.

(2) The money order clerk must (a) verify the cash, the number of COD tags, and the amount shown on PS Form 3822; (b) receipt and return PS Form 3822 in duplicate to the COD clerk; (c) issue the money orders the same day delivery is effected, if practical, and send to senders with payment copies; (d) attach the customers' receipts to the corresponding COD forms and deliver them with adding-machine tape, separately listing charges and money order fees, to the superintendent or designee, with funds representing COD money orders not issued; and (e) process COD forms with checks made payable to the mailer under 815.24.

(3) The station or branch superintendent, or designee, receives the receipted original PS Form 3822 from the COD clerk and compares the amounts thereon with the totals on PS Form 3821. These must agree. This employee also receives the COD forms from the money order clerk with customers' receipts attached. Verify separately all COD forms annotated to show payment by check. Funds representing COD money orders not issued are recorded as trust funds on PS Form 1412-A or PS Form 1412-B and PS Form 3083, *Trust Accounts Receipts and Withdrawals.* COD forms are sent to the main office for filing. The original PS Form 3822 and supporting PS Form 3821 are kept at the delivering station or branch and filed by date. The cash is accounted for as money order funds on PS Form 1412-A or PS Form 1412-B.

(4) The station or branch superintendent, or designee, is responsible for ensuring that the check required by 815.35g is done at the station or branch.

(5) COD delivery units of the main office must follow the procedures in system A.

815.35 Main Office Retail Window Unit

Follow these procedures at a main office window unit:

a. Issue the money orders and mail recipients' checks to the mailer if paid by check (see 815.232).

b. Staple the customer's receipt to the COD form if appropriate, or annotate the COD form as required in 815.24.

c. Add separately the amounts of the money orders and fees covering the forms from each unit. If the totals agree with those on PS Form 3822 and the adding machine tape, no further verification is necessary.

d. Complete the money order accountability report.

e. Forward receipted PS Form 3822 to the accounting unit.

f. Manual record management sites must file COD forms in a separate file for semimonthly, monthly, or quarterly periods by the last 2 digits of the package numbers. Postmasters may keep this file in the inquiry section.

g. Check one day's COD business each month to make sure money orders are being properly prepared and promptly issued and that COD forms are properly annotated when paid by check. The check must be made by employees who do not issue money orders. This check must be done under both systems A and B.

815.36 Separation of Duties

Do not assign an employee engaged in the handling of COD mail or funds or in the issuance of COD money orders to handle inquiries or claims covering COD mail, unless this is unavoidable. If the quantity of mail does not justify separate recording and delivery sections and the duties can be done in one section, or if the duties of one or both sections can be done in the registry section, submit a statement of the facts to the district manager, Customer Service and Sales.

815.37 Examination of COD Business

At irregular intervals, but not less than twice a month, the postmaster must make a critical examination of COD business as follows:

a. Select at random three or more sheets of PS Form 3867 listing COD articles on them during the period to be checked.

b. Check "open" items against the on-hand packages. Packages should be on hand.

c. Check the COD form file to verify that packages shown on PS Form 3867 are delivered. Where applicable, compare dates of money orders with dates of delivery as shown on the COD forms and PS Form 3867.

d. Select at random several delivering employees' receipts and, if paid by money order, compare dates of delivery with recorded dates of COD money orders.

e. Ensure that senders' return instructions are being observed, and that returned packages are endorsed to show the reason for nondelivery and the dates addressees were notified.

f. Report immediately to the inspector in charge any irregularity or possible manipulation of COD funds or records. Do not reveal to employees that a report was made or is to be made.

815.4 Registered COD Mail

815.41 Acceptance

When accepting Registered Mail COD mail, check the Registered Mail block on the COD form. Place both a Registered Mail label and the COD form on the address side of the package.

815.42 Receipts and Office Records

Follow these procedures for handling receipts and office records:

a. Mark the sender's receipt and the office registration record "COD" and show separately the amount of COD charges, COD fee, and postage paid, in addition to particulars required to be kept of Registered Mail.

b. Detach the mailing office's and sender's receipt part of COD forms and attach to registered receipts. Give customer both the Registered Mail and COD receipts.

c. In individual registration receipts, use PS Form 3806, *Receipt for Registered Mail.* Enter the COD data on the back of the receipt.

815.43 Dispatch From Post Offices

Dispatch registered COD mail with "COD" written opposite the entry of mail. Do not enter the amount of COD charges on the dispatch record. If the dispatch does not consist entirely of registered COD mail, write "COD" opposite the entry of each registered COD article.

815.44 Handling and Delivery

Follow these procedures for handling and delivery of registered COD mail:

a. Include the mail with other Registered Mail, and process under the methods required for handling other Registered Mail.

b. Handle the charges collected in the same manner as charges collected on unregistered COD mail.

815.5 Express Mail COD

Express Mail COD is assigned and delivered under 815. After the money order or check is sent to the mailer, the clerk attaches the Express Mail delivery receipt from the appropriate Label 11 to the PS Form 3816, *COD Mailing and Delivery Receipt,* removed from the Express Mail article. The clerk sends the Express Mail receipt and COD form to the Express Mail unit for recording. The Express Mail unit then sends the receipt and form to the claims and inquiry unit for filing with the rest of the COD forms.

For offices that keep the COD forms, the Express Mail unit must make a photocopy of the COD form for filing with the Express Mail delivery receipt. Once completed, the COD form is returned to the delivery office. The COD forms with the Express Mail delivery receipts attached must be kept for 2 years from the date of mailing.

816 Delivery Confirmation Service

816.1 How to Mail

Two options are offered for this service: a retail option available at any Post Office; and an electronic option for mailers who apply identifying barcodes to each piece, establish an electronic link with the Postal Service to exchange acceptance and delivery information, and provide an electronic file of Delivery Confirmation service pieces that are entered for mailing.

a. Retail option Delivery Confirmation service provides the sender with access to information about the date an article was delivered and, if

delivery was attempted but not successful, the date of the delivery attempt. The retail Delivery Confirmation service process involves the collection and storage of delivery information and provides the delivery information through the Postal Service web site or a toll-free number (as stated in 816.24). Customers can obtain, free of charge, PS Form 152, *Delivery Confirmation,* at Post Offices or from rural delivery employees. Retail option Delivery Confirmation service can be used only with Priority Mail® and Package Services.

b. Electronic option Delivery Confirmation service provides the sender with information about the date and time an article was delivered, or if delivery was attempted but not successful, the date and time of the delivery attempt. Delivery information can be accessed by visiting *www.usps.com*® or through the electronic file. A mailer who wants to use the electronic option must supply the Postal Service with an electronic file that includes a list of the mailpieces. Electronic option Delivery Confirmation service may only be used with Priority Mail service, Standard Mail® service pieces when subject to the residual shape surcharge, and Package Services.

816.2 Delivery

816.21 Procedure

Delivery Confirmation service mail for delivery by carriers must be taken out on the first trip after receipt, unless the addressee requests that the postmaster hold the mail at the Post Office. Delivery employees from electronic record management offices must scan/enter the mailpiece barcode and enter the appropriate event code into the handheld scanner when delivering Delivery Confirmation service items.

816.22 Rural Delivery

Rural carriers from electronic record management offices must scan/enter the mailpiece barcode and enter the appropriate event code into the handheld scanner when delivering Delivery Confirmation service items. For more information on delivery by rural carriers or at personnel and nonpersonnel rural units, see 65.

816.23 Highway Contract Route Delivery

Highway contract route carriers deliver Delivery Confirmation service mail if required by the carrier's contract, but delivery is made only at the customer's mailbox or along the route. Electronic record management offices must scan/enter the mailpiece barcode and enter the appropriate event code in the handheld scanner at delivery.

816.24 Delivery Records

Customers will have access to delivery status information for 6 months by visiting the Postal Service Web site at *www.usps.com* or, for retail customers only, by calling toll-free 1-800-222-1811.

816.25 Notice of Arrival

The carrier must leave a notice of arrival using PS Form 3849, *Delivery Notice/Reminder/Receipt,* if the Delivery Confirmation service article cannot

be delivered because it will not fit into the mail receptacle or the parcel is endorsed under the standards in DMM D042.7.0. Delivery employees from electronic record management offices must scan/enter the mailpiece barcode and enter the appropriate event code in the handheld scanner. If the article is undeliverable it is brought back to the Post Office and held for the addressee. If the article is not called for within 5 calendar days, a final notice is issued. If the article is not called for or redelivery of the article is not requested, it must be returned to the sender after 15 calendar days, unless the sender had specified on the mailpiece that it be held a fewer number of days in accordance with DMM M012. Employees in electronic record management offices must scan/enter the mailpiece barcode and enter the appropriate event code in the handheld scanner when returning mail to sender.

816.26 Delivery at Post Office

Hold Delivery Confirmation service items at a place convenient for the public to call if addressed for Post Office box, general delivery, firm callers, or if PS Form 3849 is left for addressee to call. Place PS Form 3849 in the Post Office box for box-service customers. Delivery Confirmation service items do not require a signature, so PS Form 3883, *Firm Delivery Receipt for Accountable Mail and Bulk Delivery Mail,* or PS Form 3883-A, *Firm Delivery Receipt,* may be used when customers receive an average of 10 or more Delivery Confirmation service items at one delivery.

816.3 Carrier Controls

816.31 Assignment

Send Delivery Confirmation mail, including Delivery Confirmation mail for firms, to a unit or employee designated to assign this mail for delivery, and follow these procedures for each type of mail:

a. *Individual Pieces.* Deliver Delivery Confirmation service items in the same manner as other Priority Mail and Package Services items.

b. *Firm Mail*. Prepare PS Form 3883 or PS Form 3883-A in duplicate for customers who receive an average of 10 or more pieces at one time. Use the duplicates of the firm sheet as chargeout, and recycle the duplicate forms after the delivery employee has turned in the firm sheet and the signed PS Form 3849. PS Form 3883 may include other special service items. A minimum of six items is required for a mixed PS Form 3883. All items must be scanned and PS Form 3883 must be scanned to create an electronic link of all pieces to the firm sheet. Offices with firm print work stations will use these systems to create barcoded firm sheets.

816.32 Delivery to Military Installations

Delivery Confirmation service is not available to APO/FPO addresses.

816.33 Undeliverable

Use procedures in 816.25 to handle Delivery Confirmation service mail that is undeliverable or must be forwarded.

816.4 Verified Mailing Receipts

816.41 Retail Customers

Verify individual mailing receipts as follows:

a. Apply and scan Delivery Confirmation barcoded label.

b. Make certain that the correct postal charges have been paid with affixed adhesive or meter stamps.

c. Deposit the article in the mail.

816.42 Large-Volume Mailings

When accepting Delivery Confirmation service articles submitted under a Manifest Mailing System agreement, follow the acceptance procedures listed in Publication 401, *Guide to the Manifest Mailing System* (MMS), for insured, COD, Certified Mail, and return receipt for merchandise articles, using the Delivery Confirmation service package identification code as the article number.

For large-volume mailings that include Delivery Confirmation service articles not submitted using an approved Manifest Mailing System agreement, follow the steps below.

These steps are in addition to any other acceptance and verification procedures required in Handbook DM-109, *Business Mail Acceptance,* for the method of postage payment used or presort discounts claimed:

a. Randomly select sample pieces from the mailing according to the sample size chart below.

Articles in Mailing	Sampling Size
1-299	10 percent of mailing
300-1,999	30 articles
2,000-3,999	40 articles
4,000-5,999	50 articles
6,000-7,999	60 articles
8,000-9,999	70 articles
10,000-99,999	100 articles

b. For each sample piece selected with Delivery Confirmation service check the following:

(1) That the article number is listed on PS Form 3877, *Firm Mailing Book for Accountable Mail.*

(2) That the postage and fees are correctly paid and entered on PS Form 3877.

(3) That the article is properly endorsed.

c. If the postage and/or fees on a sample article are not correct, take an entirely new sample that is the same size as the original sample. If the second sampling is correct, accept the mailing and make a postage and/or fee adjustment for the incorrect postage amount. If the second sampling has an error, return the mailing to the mailer for correction.

d. Postmark and sign the firm mailing book in ink and give it to the mailer. Enter the time the articles are mailed if requested to do so by the mailer and initial in ink by the entry.

817 Signature Confirmation Service

817.1 How to Mail

817.11 Service Options

There are two options offered for this service: a retail option available at any Post Office; and an electronic option for mailers who apply identifying barcodes to each piece, who establish an electronic link with the Postal Service to exchange acceptance and delivery information, and who provide an electronic file of Signature Confirmation service pieces that are entered for mailing.

a. Retail option Signature Confirmation service provides the sender with signature proof of delivery on request and information about the date and time an article was delivered or, if delivery was attempted, the date and time of the delivery attempt. The retail Signature Confirmation service process involves collecting and storing delivery and signature information and provides the delivery information through the Postal Service Web site or a toll-free number (as stated in 817.24). The sender can request that a copy of the Signature Confirmation service signature and delivery information be sent by fax or mail. Customers can obtain, free of charge, PS Form 153, *Signature Confirmation,* at Post Offices or from rural delivery employees. Retail Signature Confirmation service can be used with Priority Mail service and Package Services.

b. Electronic option Signature Confirmation service provides the mailer with signature proof of delivery on request and information about the date and time an article was delivered, or if delivery was attempted, the date and time of the delivery attempt. Delivery information can be accessed by visiting *www.usps.com* or through the electronic file. The signature information can be requested by visiting the Web site or calling the toll-free number. A response letter will be faxed or mailed to the sender according to his or her request. A mailer who wants to use the electronic option must supply the Postal Service with an electronic file that includes a list of the mailpieces. Electronic Signature Confirmation service can be used with Priority Mail service and Package Services.

817.2 Delivery

817.21 Procedure

Signature Confirmation service mail for delivery by carriers must be taken out on the first trip after it is received, unless the addressee requests that the postmaster hold the mail at the Post Office. Delivery employees from electronic record management offices must scan/enter the mailpiece barcode and enter the appropriate event code in the handheld scanner when delivering Signature Confirmation items. A corresponding PS Form 3849 must be properly completed and scanned.

817.22 Rural Delivery

Rural carriers from electronic record management offices must scan/enter the mailpiece barcode and enter the appropriate event code into the handheld scanner when delivering Signature Confirmation service items. The corresponding PS Form 3849 must be scanned and properly completed. For more information on delivery by rural carriers or at personnel and nonpersonnel rural units, see 65.

817.23 Highway Contract Route Delivery

Highway contract route carriers deliver Signature Confirmation service mail if required by the carrier's contract, but delivery is made only at the customer's mail box or along the route. Electronic record management offices must scan/enter the mailpiece barcode and enter the appropriate event code in the handheld scanner at delivery. Corresponding PS Form 3849 must be scanned and properly completed.

817.24 Delivery Records

Customers will have access to the delivery status and signature image for 1 year by visiting the Postal Service Web site at *www.usps.com* or, for retail customers only, by calling 1-800-222-1811.

817.25 Notice of Arrival

The carrier must leave a notice of arrival using PS Form 3849, *Delivery Notice/Reminder/Receipt,* if no one is available to receive the Signature Confirmation service article. Delivery employees from electronic record management offices must scan/enter the mailpiece barcode and enter the appropriate event code in the handheld scanner. Articles that have the signature waived and can be left in a secure area must be signed for by the carrier and delivered. If the article cannot be delivered, it is brought back to the Post Office and held for the addressee. If the article is not called for within 5 calendar days, a final notice is issued. If the article is not called for or redelivery of the article is not requested, it must be returned after 15 calendar days, unless the sender specifies a fewer number of days on the mailpiece in accordance with DMM M012. Employees in electronic record management offices must scan/enter the mailpiece barcode and enter the appropriate event code in the handheld scanner when returning mail to sender.

817.26 Delivery at Post Office

Hold Signature Confirmation service items at a place convenient for the public to call if addressed for Post Office box, general delivery, firm callers, or if PS Form 3849 is left for addressee to call. Place PS Form 3849 in the Post Office box for box-service customers. PS Form 3883, *Firm Delivery Receipt for Accountable Mail and Bulk Delivery Mail,* or PS Form 3883-A, *Firm Delivery Receipt,* can be used for a firm or customer that receives an average of six or more Signature Confirmation service items at one delivery. Corresponding PS Form 3849 must be signed when using PS Form 3883.

817.3 Carrier Controls

817.31 Assignment

Send Signature Confirmation service mail, including Signature Confirmation service mail for firms, to a unit or employee designated to assign this mail for delivery, and follow these procedures for each type of mail:

a. *Individual Pieces.* Deliver Signature Confirmation service items in the same manner as other Priority Mail service and Package Services items. Signature Confirmation service items must be signed for by the addressee or addressee's agent in accordance with 817.2.

b. *Firm Mail.* Prepare PS Form 3883 or PS Form 3883-A in duplicate for addressees who receive an average of 6 or more pieces at one time. Use the duplicates of the firm sheet as chargeout, and recycle the forms after the delivery employee has turned in the firm sheet and the signed PS Form 3849. PS Form 3883 may include other special service items. A minimum of six items is required for mixed PS Form 3883. All items must be scanned and the PS Form 3883 barcode scanned to create an electronic link of all pieces to the firm sheet. Offices with firm print work stations will use these systems to create barcoded firm sheets.

817.32 Delivery to Military Installations

Signature Confirmation service is not available to APO/FPO addresses.

817.33 Undeliverable

Use procedures in 817.25 to handle Signature Confirmation service mail that is undeliverable or must be forwarded.

817.4 Verified Mailing Receipts

817.41 Retail Customers

Verify individual mailing receipts as follows:

a. Apply and scan Signature Confirmation service barcoded label.

b. Verify completion of Signature Waiver information for customers choosing option.

c. Make certain that correct postal charges are paid by affixed adhesive or meters stamps.

d. Deposit the article in the mail.

817.42 Large-Volume Mailings

When accepting Signature Confirmation service articles submitted under a Manifest Mailing System agreement, follow the acceptance procedures listed in Publication 401, *Guide to the Manifest Mailing System (MMS),* for insured, COD, Certified Mail, and return receipt for merchandise articles, using the Signature Confirmation service package identification code as the article number.

For large-volume mailings that include Signature Confirmation service articles not submitted using an approved Manifest Mailing System agreement, follow the steps below. These steps are in addition to any other acceptance and verification procedures required in Handbook DM-109,

Business Mail Acceptance, for the method of postage payment used or presort discounts claimed:

a. Randomly select sample pieces from the mailing according to the sample size chart below.

Articles in Mailing	Sampling Size
1-299	10 percent of mailing
300-1,999	30 articles
2,000-3,999	40 articles
4,000-5,999	50 articles
6,000-7,999	60 articles
8,000-9,999	70 articles
10,000-99,999	100 articles

b. For each sample piece with Signature Confirmation service check the following:

(1) That the article number is listed on PS Form 3877, *Firm Mailing Book for Accountable Mail.*

(2) That the postage and fees are correctly paid and entered on PS Form 3877.

(3) That the article is properly endorsed.

c. If the postage and/or fees on a sample article are not correct, take an entirely new sample that is the same size as the original sample. If the second sampling is correct, accept the mailing and make a postage and/or fee adjustment for the incorrect postage amount. If the second sampling has an error, return the mailing to the mailer for correction.

d. Postmark and sign the firm mailing book in ink and give it to the mailer. Enter the time the articles are mailed if requested to do so by the mailer and initial in ink by the entry.

82 Supplemental Mail Services

821 Certificates of Mailing

821.1 Procedures

821.11 At Time of Mailing

821.111 Mailer Preparation

Certificates of mailing must be prepared by the mailer, using a typewriter, ink, or ballpoint pen. Individual and firm mailing book certificates must show the names and addresses of the sender and addressee, and may show the amount of postage paid. Mailers may also place identifying invoice or order numbers on the certificate.

821.112 Rural Carriers

Mailers on rural routes and nonpersonnel rural units may provide mail to the rural carrier with the fee for the certificate. The carrier obtains the certificate

at the Post Office, attaches the stamps, cancels them by postmark, and delivers the certificate to the mailer on the next trip.

821.12 After Mailing

To obtain an additional certificate after mailing, the mailer must present the original certificate and an additional certificate endorsed “Duplicate,” or a copy showing the original dates of mailing. The additional certificate must be postmarked to show the current date.

822 Return Receipts

822.1 Delivery

822.11 Procedures

822.111 Delivering Employee

The delivering carrier or window clerk must obtain on the PS Form 3811, *Domestic Return Receipt,* either the signature or the authorized signature stamp of the individual or organization receiving the article in item 6. The recipient must also print his or her name in item 5. Except when following 823.1, do not make restricted delivery (to addressee or authorized agent only) unless requested on PS Form 3811. The delivery employee must complete the date of delivery if the addressee has not already done so. Complete the addressee’s address in item 8 only if requested in item 1. The delivering employee must examine the card for completeness and make any necessary corrections. Postal Service employees must give return receipts to the clearing clerk daily.

822.112 Clearing Employee

The clearing clerk must check all return receipts to make sure that they are properly signed and dated. If the mailer requested restricted delivery, the clearing employee should check to see that delivery was not made to an agent, except under 823.2. If delivery was improper, the addressee must sign a second return receipt. Prompt corrective action must be taken with delivery employees if return receipts are improperly handled or completed. A properly completed return receipt must be mailed no later than the first workday after delivery. Undeliverable articles must be handled under 68.

822.2 Signature Stamps

822.21 Federal or State Officials

A return receipt on mail addressed to a federal or state official may be signed for with a stamp showing the name and location of the accepting organization (e.g., “The White House, Washington, DC”). The hand stamp must be sized to fit within the Printed Name and Delivery Address blocks of the November 1999 or later version of PS Form 3849, *Delivery Notice/ Reminder/Receipt.* The stamp must not overlap into the Signature block or barcode section.

822.22 Large Companies or Organizations

A return receipt addressed to a large, well-known company or organization may be signed for with signature stamps approved by the postmaster. In accordance with the electronic signature capture process, the hand stamp

must be sized to fit within the Signature and Printed Name blocks of the November 1999 or later version of PS Form 3849, *Delivery Notice/Reminder/Receipt.* The stamp must not overlap into the delivery office information section or the Delivery Address block of the form. The company must provide the Post Office with a written statement saying that the person whose name appears on the stamp is the same as the person who is authorized to accept accountable mail. A sample of the authorized employee's signature must be submitted and verified against the signature appearing on the stamp.

823 Restricted Delivery

823.1 General

Deliver mail marked "Restricted Delivery" only to the addressee or to the person authorized in writing as the addressee's agent to receive the mail.

823.2 Special Circumstances

Restricted delivery mail is usually handled as follows for addressees under these circumstances:

a. *Famous Personalities and Corporate Executives.* To famous personalities and executives of large organizations, the mail is normally delivered to an agent authorized to sign for such mail.

b. *Government Officials.* To officials of executive agencies or members of the legislative and judicial branches of the government of the United States or of the states and possessions and their political subdivisions, or to members of the diplomatic corps, the mail is delivered either to the addressee or a person authorized to receive the addressee's mail by the addressee or by regulations or procedures of the agency or organization.

c. *Military Personnel.* To the commander, staff sections, or other officials of military organizations by name and title, the mail is delivered to the unit mail clerk, mail orderly, postal clerk, assistant postal clerk, or postal finance clerk when such individuals are designated on DD Form 285, *Appointment of Unit Mail Clerk or Mail Orderly,* to receipt for all mail addressed to the units for which they are designated. If the individual accepting mail is designated on DD Form 285 to receipt for ordinary mail only, then restricted delivery mail addressed to the commander, or other official by name and title, is delivered to the mail clerk only if authorized by the addressee under 823.3.

d. *Inmates.* To an inmate of a city, state, or federal penal institution, in cases where a personal signature cannot be obtained, the mail is delivered to the warden or designee.

e. *Minors or Persons Under Guardians.* To minors or to persons under guardianship, the mail may be delivered to their parents or guardians.

823.3 Agent Authorization

823.31 Standing Authorization

Addressees who regularly receive restricted delivery mail may authorize an agent by use of PS Form 3801, *Standing Delivery Order,* or by a letter to the postmaster. The notation, "This authorization is extended to include restricted delivery mail," must be made by the addressee on PS Form 3801 (in the area provided for signatures of authorized agents) or in the letter to the postmaster.

823.32 PS Form 3849 Authorization

PS Form 3849, *Delivery Notice/Reminder/Receipt*, may be left for authorization if the Post Office has no standing delivery order (PS Form 3801) or letter on file. The addressee may enter the name of the agent designated to sign for the article in the space provided on PS Form 3849 and sign the authorization. At delivery, the agent must sign and print his or her name on the back of the form in the delivery section. The delivery employee from an electronic record management office must scan/enter the mailpiece barcode, enter the authorized agent event code, and scan and properly complete the corresponding PS Form 3849.

823.4 Addresses

823.41 Addressed to Two or More Persons

Mail addressed to two or more persons is handled as follows:

a. *Jointly Addressed.* When mail is addressed to two or more persons jointly (as shown by the word "and" or symbol "&" connecting their names), all addressees or their agents are notified to be present to accept delivery together. The delivery receipt obtained and the return receipt, if any, must be signed by all joint addressees or their agents. The mail may then be delivered to any of the addressees or their agents unless one or more addressees or their agents object, in which case delivery is not made until all the addressees or their agents sign a statement designating who is to receive the mail.

b. *Addressed "In Care Of."* Either person may sign for mail addressed to one person in care of another.

823.42 Identification

The addressee (or agent) must provide proof of identification if the addressee (or agent) is not known to the delivering employee.

824 Return Receipt for Merchandise

824.1 Procedures

Return receipt for merchandise mail for delivery by carriers must be taken out on the first trip after it is received, unless the addressee requests the postmaster to hold such mail at the Post Office. Return receipt for merchandise mail must be delivered to the addressee or authorized representative. A signature must be obtained on PS Form 3849, *Delivery Notice/Reminder/Receipt*. If the mailer shows on the mailing label that

delivery is to be made without obtaining the signature of the addressee or the addressee's agent, the delivery employee must sign the return receipt in the "Signature: (Addressee or Agent)" block, sign PS Form 3849 in the "Signature" block, and leave the article. PS Form 3849 should be initialed and dated in the "Delivered By" block. Similarly, if the addressee authorized unprotected delivery, articles should be delivered under 617.2. The delivery employee should complete PS Form 3849 and the return receipt as above.

824.2 Rural Delivery

For delivery by rural carriers or at personnel and nonpersonnel rural units, see 65.

824.3 Highway Contract Route Delivery

Highway contract route carriers deliver return receipt for merchandise mail if required by the contract, but delivery is made only at the customer's box or along the route.

824.4 Delivery Records

Delivery records must be maintained for 2 years. After that period, the records are destroyed. See 811 for filing delivery records.

824.5 Notice of Arrival

The carrier must leave a notice of arrival on PS Form 3849 if he or she cannot deliver the return receipt for merchandise article for any reason. The article is brought back to the Post Office and held for the addressee. Electronic record management sites must scan/enter the mailpiece barcode and enter the appropriate event code in the handheld scanner. If the article is not called for within 5 calendar days, a second and final notice on PS Form 3849 must be issued. If the article is not called for or redelivery of the article is not requested, it is returned at the expiration of the period stated by the sender, or after 15 calendar days if no period is stated.

824.6 Delivery at Post Office

Hold return receipt for merchandise mail at a place convenient for the public to call if addressed for box or general delivery or for firm callers, or if PS Form 3849 was left for addressee to call. Place PS Form 3849 in Post Office boxes for box-service customers. Use PS Form 3883*, Firm Delivery Receipt for Accountable Mail and Bulk Delivery Mail,* for firms or other customers who receive an average of six or more return receipt for merchandise articles at one delivery. Electronic record management sites must scan/enter the mailpiece barcode if appropriate and enter the appropriate event code in the handheld scanner.

824.7 Carrier Controls

824.71 Assignment

Send return receipt for merchandise mail, including that for firms, to a unit or employee designated to assign it for delivery. Assign as follows:

a. *Individual Pieces.* Offices with 190 or more revenue units must use PS Form 3867, *Accountable Mail Matter Received for Delivery,* to show the

total number of pieces given to each carrier. Carriers should sign PS Form 3867.

b. *Firm Mail.* Prepare PS Form 3883 in duplicate for addressees who receive an average of three or more pieces at one time.

824.72 Clearance

The clearing clerk must clear carriers and messengers in the following manner:

a. Have carriers or messengers account in bulk for all return receipts for merchandise articles charged to them, either by signed receipts or returned articles. If any article or return receipt is not accounted for, make an immediate report to the supervisor. Endorse the chargeout record when clearing is complete.

b. Sign and give back to the carrier or messenger PS Form 3821, *Clearance Receipt,* which is prepared and submitted when accounting for certified and return receipt for merchandise articles and return receipts assigned for delivery.

c. When articles returned as undeliverable by a carrier or messenger are forwarded or returned, show disposition on PS Form 3867. Manual record management sites must prepare a disposition record on PS Form 3849, including the name of the addressee. File PS Form 3849 with the delivery receipts. Electronic record management sites must scan/enter the barcode and enter the appropriate event code in the handheld scanner.

d. Examine all return receipts. Make sure that they are properly signed and that the date of delivery is entered. If delivery was improper, have the carrier or messenger obtain the addressee's signature on another receipt card and destroy the original after the duplicate is signed. Mail the receipts no later than the next workday.

e. It is the supervisor's responsibility to take prompt corrective action with the delivering employee if return receipts or delivery receipts are not obtained or properly completed.

f. If the mail is not delivered in 5 days, issue a second and final notice to the addressee on PS Form 3849 marked "FINAL NOTICE." Send it through regular channels with the addressee's ordinary mail.

824.73 Delivery to Military Installations

Return receipt for merchandise mail addressed for delivery at military installations within the continental United States must be delivered to the military installations in the same manner as numbered insured mail.

824.74 Filing Delivery Receipts

See 811 for instructions on filing delivery receipts.

824.75 Undeliverable

Handle return receipt for merchandise mail that is undeliverable or to be forwarded under 68.

824.8 Acceptance Procedures

824.81 Individual Mailings

The window clerk should verify individual mailings as follows:

a. Compare name and address of addressee on the article and on PS Form 3804, *Return Receipt for Merchandise.*

b. Make sure the article bears the proper endorsement.

c. Examine the return receipt card to determine whether the proper blocks in instructions to the delivering employee are checked and whether the card is securely attached. Make sure the address is completed by the sender (mailer).

d. Make sure the proper postal charges are paid by stamps or meter strip affixed

e. Postmark receipt part and give to the sender. Initial by the entry on the receipt, in ink, the time the article is mailed if requested by the sender.

f. Deposit article in mail. Do not return to the sender.

824.82 Large-Volume Mailings

The following steps are in addition to any other acceptance and verification procedures required for the method of postage payment used.

a. Randomly select return receipt for merchandise articles from the mailing and match the return receipt for merchandise number against PS Form 3877, *Firm Mailing Book for Accountable Mail.* Select a random sampling, as follows:

Articles in Mailing	Sampling Size Number of Articles
1-299	10 percent of mailing
300-1,999	30 articles
2,000-3,999	40 articles
4,000-5,999	50 articles
6,000-7,999	60 articles
8,000-9,999	70 articles
10,000-99,999	100 articles

b. On each article selected for sampling, do the following:

(1) Make sure that the article is properly endorsed.

(2) Verify that the postage and fees are correctly paid and entered on the FMB.

c. If the postage and/or fees on a sample article are not correct, take an entire new sampling, the same size as the original. If the second sampling is correct, accept the mailing and make a postage and/or fee adjustment for the incorrect amount. If the second sampling has an error, return the mailing to the mailer for correction.

d. Collect any postage and/or fees due from the mailer.

e. Postmark and sign the FMB in ink and give it to the mailer. Enter the time that the articles are mailed if requested to do so by the mailer, and place your initials in ink by the entry.

f. Perform these verifications on all mailings.

g. Deposit articles in mail. Do not return to mailer.

824.83 Filing an Inquiry

Senders may file an inquiry for loss or rifling by submitting PS Form 1510, *Mail Loss/Rifling Report,* at any Post Office.

824.84 Delivery Verification

If the return receipt for this service is not received, mailers may obtain a copy of the delivery record by making a request at the Post Office. The office will submit the request via either the Postal Service Intranet or PS Form 3811-A, as appropriate.

825 Mailing List Services

825.1 Correction of Mailing Lists

Mailing lists submitted by members of Congress, federal agencies, departments of state governments, municipalities, religious, fraternal, and recognized charitable organizations, and mailing lists used by concerns or persons for the solicitation of business-by-mail will be corrected as frequently as requested. Postal employees must not compile mailing lists, including occupant lists. Persons other than postal employees may not copy or record by any other means names or addresses from city or rural carrier cases.

825.2 Furnishing Address Changes to Election Boards and Registration Commissions

Residential change-of-address information is available to duly constituted election boards or registration commissions using permanent registration.

825.3 Address Card Arrangement

Arrange address cards in sequence of carrier route delivery, without charge.

825.4 Reference

See DMM A900 for additional information.

826 Change-of-Address Orders

Encourage customers to file a change-of-address order prior to a temporary absence or permanent move. Customer have the following three options for filling temporary and permanent changes:

a. Use Internet Change of Address (ICOA) at *https://moversguide.usps.com.* Customers using the ICOA method must provide a valid credit card number for identity verification. The customer's credit card is charged $1.00 for the verification.

b. Use Telephone Change of Address (TCOA) at 1-800-ASK-USPS. Customers using the TCOA method must provide a valid credit card

number for identity verification. The customer's credit card is charged $1.00 for the verification.

c. Obtain Publication 75, *Mover's Guide,* which contains the necessary forms. The customer should complete PS Form 3575, *Change of Address Order*, or other written notice and drop it in the mail or submit it to any Post Office.

See subchapter 68 for handling mail that is undeliverable-as-addressed.

83 Money Orders

831 Issuance

831.1 Issuance Procedures

831.11 General

Money orders must be issued on the money order imprinter provided to issuing offices or by other authorized postal systems. Handwritten issuance is prohibited.

831.12 Imprinter Preparation

At the start of a duty tour, the issuing clerk receipts for blank money order sets by signing control registers kept on Item O-137a. At the workstation, the clerk verifies that the issue ID is correct and sets the appropriate date on the imprinter. (The setting from left to right is year, month, and day, for example, 920617 for June 17, 1992.)

831.13 Imprinting Money Order

Before imprinting each money order, clerks must verify that the proper data is set. Set the five amount keys to the requested amount (never more than $700) and verify the money setting displayed in the windows on the top of the imprinter. Next, completely insert the form set into the imprinter. Finally, imprint the money order by pulling the lever all the way forward.

831.14 Completing Transaction

Remove the imprinted form set and move the amount keys back to their asterisk position. Detach the voucher and verify that the date, office number, and amount are legible on all parts. (See 831.3 for spoiled orders.) Both customer and clerk should verify the dollar amount. Collect payment for the dollar amount and the fee. After collection of the dollar amount and fee, give the customer the rest of the form set (customer receipt, money order, and the carbons). Place the voucher in the proper receptacle for end-of-day reporting.

831.15 Inoperable Imprinter Procedures

Manual issuance of money orders is not permitted. Inoperable imprinters must be replaced or restored to service as quickly as possible. In the event an imprinter is deemed inoperable and in need of service, refer to the operator's manual. There is a manufacturer's warranty on the imprinters. While service is disrupted, customers must be advised of the anticipated

time that service is to be restored and of the location of nearby facilities where service is available. Also, they are allowed to apply on PS Form 6387, *Rural Money Order Transaction Application,* for immediate issuance of an order when service is restored. In such instances, procedures as prescribed for issuance to rural customers in 831.2 apply, except that mailing of order to payee, and order and/or customer receipt to customers are at Postal Service expense.

831.16 Owner Responsibilities

The owner is responsible for completing the information of both the money order and the customer's receipt. The Postal Service does not guarantee a 100 percent refund if the customer's receipt is not presentable when a claim for refund is made on a lost or stolen money order. Buyers must fill in the names and addresses of the payee and the buyer on the money order and the customer's receipt. This should be done promptly to protect the buyer's rights if inquiries are made later. Money orders are to be made payable to one identifiable person, firm, or agency. Customers may make money orders payable to themselves.

831.2 Issuance to Rural Customers

831.21 Procedures

The following list outlines how to provide money order service to rural route customers. These procedures also apply to any highway contract carriers whose contract requires money order service.

a. The carrier supplies PS Form 6387, *Rural Money Order Transaction Application,* on request.

b. The customer completes the application part of PS Form 6387, returns the entire form to the carrier, and pays for the money order. The carrier completes and detaches the customer receipt part of PS Form 6387 and returns it to the customer with instructions to save it in case there are future questions about the transaction. (Exception: If unable to make correct change, the carrier gives the change to the customer on the next delivery trip.)

c. The money order request is processed at the Post Office when the carrier returns.

d. On the next trip, the carrier delivers the money order, the customer receipt part of the money order form set, and the application part of PS Form 6387 in an addressed envelope.

831.22 Mailing

A customer may request the Post Office to mail the money order to the payee. A Postal Service employee completes the money order and mails it to the payee. No extra charge is made for this service. However, the customer must provide a stamped, addressed envelope large enough to hold the money order without folding. The carrier delivers the application part of PS Form 6387 and the customer receipt part of the money order form set in an addressed envelope on the next trip. Blank money orders must never be mailed.

831.23 PS Form 6387 for Rural Carriers

Postmasters must furnish rural carriers PS Form 6387 for transacting money order business.

831.24 Nonpersonnel Rural Units

The procedures outlined in 831.21 must be followed in providing money order service to customers of nonpersonnel rural units. Customers should meet the carrier at the station or branch. A supply of applications is kept at the station or branch.

831.25 Issuance to Highway Contract Route Customers

If required to do so by the contract, highway contract route carriers accept money and applications for money orders and give receipts for the money on PS Form 6387. Unless an intermediate office is involved, the application and money must be turned in for issuance of the money order at the Post Office where the carrier's trips begin and end. If possible without delay to the issuance of the money order or to the proper dispatch of mail, the carrier submits the money order application and money to the intermediate office for issuance. When the money order is not issued before the carrier leaves the Post Office, the carrier must be given a receipt on PS Form 1096, *Receipt,* for the funds turned in. In all other respects, money order procedures for highway contract route carriers are the same as for rural carriers.

831.3 Spoiled or Incorrectly Prepared Money Orders

831.31 Issuance of New Money Order

A new money order must be issued for the following reasons:

a. *Post Office Error.* The blank money order stock is defective or a money order has been ruined during imprinting.

b. *Customer Error.* A money order has been spoiled during completion or by accidental mutilation.

831.32 Procedures

Treat a spoiled or incorrectly prepared order as follows:

a. *On Date of Issue.* Handle the spoiled order as follows:

(1) *Customer's Receipt.* Recover and destroy immediately.

(2) *Voucher Copy.* Destroy immediately for money orders spoiled on the day of issuance.

(3) *Money Order.* Stamp or mark "Spoiled" in the "Pay to" or "From" area. Be sure not to obliterate any *imprinted data.* When the office number or date do not appear or are illegible, write them on the order below and outside the read band. Do not stamp, mark, or write in the upper one-third of the money order. Do not intermingle a spoiled money order with the voucher copies of issued orders. Do not attach anything to the spoiled orders. Each clerk must identify and submit the spoiled money orders as a separate group to a verifying manager or designated employee, who must then destroy the spoiled money orders.

b. *After Date of Issue.* Determine that the order is not altered. Recover the customer's receipt and annotate it "Replaced by Money Order Serial No. ___." Do not attempt to recover the voucher copy. Obtain the customer's endorsement on the back of the order. Handle the money order as if it were made payable to the buyer and include with other Postal Service funds deposited.

c. *If Refused by Bank or Damaged:* If a bank refuses to accept an incorrectly prepared money order for deposit, the Post Office initiates a PS Form 6401, *Money Order Inquiry,* and sends it to:

MONEY ORDER BRANCH
ACCOUNTING SERVICE CENTER
US POSTAL SERVICE
PO BOX 82453
ST LOUIS MO 63182-9453

When a customer presents a damaged postal money order, the Post Office asks the customer for the matching postal money order receipt and requests that the customer complete and sign a PS Form 6401, *Money Order Inquiry* (see Note below for customers who do not have a matching money order receipt). The Post Office issues a replacement no-fee postal money order for the amount claimed on PS Form 6401, writes "No-Fee Money Order Issued" and the no-fee serial number on the form, and postmarks it. The Post Office destroys and disposes of the damaged money order and submits the PS Form 6401 to the address in item c above.

After issuing a replacement no-fee postal money order, the Post Office uses AIC 641, Replacement Money Order Issued, to offset the amount claimed and AIC 586, Fee Offset — No-Fee Money Order, to offset the fee of the replacement money order.

Note: If a customer does not have the matching postal money order receipt for a damaged money order, the Post Office asks the customer to (1) complete and sign a PS Form 6401 and to (2) submit it with the damaged money order to the Money Order Branch (see address above) for expedited replacement processing and mailing to the customer.

831.33 Fee

Fees for spoiled money orders are handled as follows:

a. No fee is charged, whether the customer or the Postal Service clerk made the error.

b. To account for the noncollected fee, the amount of the money order is entered in AIC 100, *Domestic Money Order — Value;* the amount of the fee that should have been collected is entered in AIC 101, *Domestic Money Order — Fee;* and offset the entry to AIC 586, *Fee Offset — No Fee Money Order.* Submit the customer's receipt for the incorrectly prepared money order with PS Form 1412-A to support the "Fee Offset" entry.

832 Cashing

832.1 Examination of Order by Postal Employee

832.11 Procedure

When accepting a money order from a customer, examine the order as follows:

a. Determine whether the money order is already reported as stolen. If so, try to delay the customer while notifying local police, nearest postal inspector, or postal inspector-in-charge. Hold the order for instructions.

b. Ensure that the money order is properly imprinted and drawn by the issuing office. Orders are payable in the amount imprinted by the machine and for no more than the allowed maximum.

c. Determine whether the money order bears any alterations or erasures. Require positive identification. Hold money order to light and examine. Ensure that in direct light there are no areas (especially the dollar amount) where more light passes through the form, because this could mean that the money order has been altered. If the cashier believes a money order is altered, the cashier must contact the postmaster or the postmaster's representative. If the postmaster also believes the money order is altered, then the Inspection Service must be notified immediately.

d. Ensure that the money order is presented by the payee, endorsee, or buyer. (A duplicate money order is payable only if presented by the payee or by his or her endorsee.) Money orders bearing rubber-stamped endorsements may be accepted from responsible individuals or business firms if a specimen of the endorsement to be used is filed with the postmaster over the signature of the individual, or an authorized officer of the company accepting responsibility for the payment of orders so endorsed.

832.12 ASC Verification

If in doubt about the date or amount on a domestic money order, verify the information by sending an inquiry to:

MONEY ORDER BRANCH
ACCOUNTING SERVICE CENTER
US POSTAL SERVICE
PO BOX 82453
ST LOUIS MO 63182-9453

or by telephoning the Money Order Branch at 314-436-5075.

832.2 Identification of Payee

When presenting a money order for payment, the payee must sign in the presence of a Postal Service employee. If the payee is not personally known to the Postal Service employee, the payee must provide identification. Normally acceptable forms of identification are driver's permits, military identification cards, or other credentials with the signature of bearer and serial numbers or other indicia that can be traced to the holder. Social

Security cards are not acceptable. The Postal Service may refuse payment on any money order when the payee's identity is not proven to the satisfaction of the Postal Service employee.

832.3 Disposition of Paid Orders

Put the office dating stamp and initials on the back of the money orders paid and handle them as Postal Service funds. When a customer regularly presents many money orders for payment, write to the Money Order Branch for special handling instructions.

832.4 Foreign Money Orders

See IMM 371.5 for the procedures for cashing money orders issued by foreign countries.

833 Photocopy or Replacement of Paid Money Orders

After the Money Order Branch has received PS Form 6401, *Money Order Inquiry,* the following procedures apply:

a. If the money order has been cashed, a photocopy is sent to the customer filing the inquiry. A photocopy can be obtained only within 2 years of the money order payment date.

b. If the money order has not been cashed, the Money Order Branch sends a replacement money order after 60 days from the date of purchase. A replacement order is sent to the payee designated in the "Send Refund To" part of PS Form 6401.

834 Wrong Payment

834.1 Through Error Only

If a money order intended for one person or firm is paid to another by a Postal Service error, the following procedures apply:

a. The Money Order Branch advises both the issuing office and the correct payee, by letter, that a new money order is to be issued by the issuing office.

b. The issuing office, after reissuing a new money order to the correct payee, places the amount of the money order in suspense.

c. The issuing office initiates collection from the endorser of the original money order.

d. The issuing office should keep the letter and photocopy from the Money Order Branch until the amount in suspense is cleared.

e. Any fee paid by the customer for the copy of the original money order should be refunded by the postmaster.

834.2 Through Alleged Forgery

When improper payment is alleged, the buyer or payee must notify the Money Order Branch, St. Louis Accounting Service Center. On notification, the Money Order Branch sends the payee a claim/questionnaire form to be completed only by the payee. As soon as the Money Order Branch receives

the photocopy of the improperly cashed money order and the completed claim/questionnaire form, the buyer or payee is reimbursed if the claim is deemed valid, unless the domestic money order was sent to a foreign country. Reimbursement for a domestic money order sent to a foreign country requires a longer processing period. This is because a refund is required from the presenting institution before the buyer or payee can be reimbursed.

835 Nonpostal Money Orders

835.1 By Postal Employees

Postmasters and employees must not sell nonpostal money orders, checks, or similar instruments for the transmission of money.

835.2 By Contract Units

Nonpostal money orders sold by contract and personnel rural stations and branches are separate from the Postal Service operations and must not be identified with that function.

836 Payments to Banks Through Federal Reserve System

836.1 General

All money orders are forwarded through the Federal Reserve banking system. Commercial banks provide this service.

836.2 Presentation for Payment

Banks may present money orders for payment through the Federal Reserve system.

836.3 Definitions

836.31 Money Order

Money order means a U.S. Postal Money Order.

836.32 Federal Reserve Bank

Federal Reserve bank means a Federal Reserve bank or branch thereof that presents a money order for payment by the Postmaster General.

836.33 Presenting Bank

Presenting bank means a bank that presents a money order to a Federal Reserve bank and receives credit for it from the Federal Reserve bank.

836.34 Reclamation

Reclamation means the action taken by the Postmaster General to obtain refund of the amounts of paid money orders.

836.35 Examination

Examination includes examination of money orders for indicia of theft, forged endorsements, forged signatures or initials of issuing employees, raised

amounts, and other material defects by electronic methods and visual inspection for defects that cannot be electronically discovered.

836.36 Stolen Money Order

Stolen money order means a U.S. Postal Money Order stolen from a Post Office, classified or contract station or branch, or Postal Service employee before it is officially issued by the Post Office, classified or contract station or branch, or Postal Service employee in discharging his or her official duties.

836.4 Payment

The Postmaster General has the usual right of a drawee to examine money orders presented for payment by banks through the Federal Reserve system and to refuse payment of money orders. The Postmaster General also has a reasonable time after presentation to make each examination. Provisional credit is given to the Federal Reserve bank when it furnishes the money orders for payment by the Postmaster General. Money orders are deemed to be paid only after examination is fully completed, subject to the Postmaster General's right to make reclamation under 836.6.

836.5 Endorsements

The presenting bank and the endorser of a money order presented for payment are deemed to guarantee to the Postmaster General that all prior endorsements are genuine, whether an express guarantee to that effect is placed on the money order. When an endorsement is made by a person other than the payee, the presenting bank and the endorser are deemed to guarantee to the Postmaster General, in addition to other warranties, that the endorser was not authorized to endorse the money order in behalf of the payee.

836.6 Reclamation

The Postmaster General has the right to demand refund from the presenting bank of the amount of a paid money order if, after payment, the money order is found to have been stolen, to bear a forged or unauthorized endorsement, or to contain any material defect or alteration that was not discovered on examination. Such right includes, but is not limited to, the right to reclaim the amount by which the genuine money order, bearing a proper endorsement, has been raised. Such right must be exercised within a reasonable time after the Postmaster General discovers that the money order has been stolen, bears a forged or unauthorized endorsement, or is otherwise defective. If refund is not made by the presenting bank within 60 days after demand, the Postmaster General takes such actions as may be necessary to protect the interests of the United States.

84 Other Delivery Services

841 Post Office Box Service

841.1 Purpose and Definition

841.11 General

Post Office box service is a premium service offered for a fee to customers requiring more than free carrier or general delivery. Post Office boxes allow customers to obtain their mail during the hours the box lobby is open. Post Office box service does not include alternate means of delivery established to replace, simplify, or extend carrier delivery service.

841.12 Obtaining Service

841.121 Application

Procedures for applying for Post Office box service are as follows:

a. PS Form 1093, *Application for Post Office Box or Caller Service,* is used to apply for Post Office box service. Blank applications are available at any Post Office. An applicant must complete all spaces on the form that require customer-provided information and submit the completed form to any postal facility that provides window service to the public. The facility where the application is submitted need not be the same as that at which the Post Office box service is desired. Furnishing false information on the application or refusal to furnish required information may be sufficient reason for denial of the application or discontinuance of service.

b. The employee accepting an application must do the following:

(1) Complete the verification in 841.122a or 841.122b.

(2) Date the application on the reverse side with an Item 570, *All Purpose Dating Stamp.*

(3) If Post Office box service is desired at a postal facility other than that at which the application is submitted, forward the application to the postmaster of the facility where the service is desired.

c. The postmaster of the facility where box service is provided must do the following:

(1) Approve or deny the application.

(2) Notify the applicant of the decision and the reason for any denial.

(3) If the application is approved, assign a box number on receipt of the proper fee. Normally, if the address shown on the application is a local address, a box number is assigned at the facility serving that address; or, if an out-of-town address is shown, a box number is assigned at the main office station. Other arrangements may be made at the postmaster's discretion.

d. A waiting list must be kept of Post Office box applicants when the desired box size is not available.

841.122 **Verification**

An application for Post Office box service may not be approved until the applicant's identity and current permanent physical address where he or she resides or conducts business is verified. Verification criteria are as follows:

a. Applicant

(1) An applicant must present two items of valid identification with the application; one item must contain a photograph of the applicant. Social Security cards, credit cards, or birth certificates are unacceptable as identification. The following are acceptable identification:

(a) Valid driver's license or state nondriver's identification card.

(b) Armed forces, government, university, or recognized corporate identification card.

(c) Passport, alien registration card, or certificate of naturalization.

(d) A current lease, mortgage, or deed of trust.

(e) Voter or vehicle registration card.

(f) Home or vehicle insurance policy.

(2) The identification presented must be current. It must contain sufficient information to confirm that the applicant is who he or she claims to be and must be traceable to the bearer. Spouses may complete and sign one PS Form 1093. Each spouse must present two items of valid identification. A parent or guardian may receive delivery of a minor's mail by listing the name(s) of each minor on PS Form 1093. Other adult persons who receive mail in the Post Office box of an individual box customer must be listed on PS Form 1093 and must present two items of valid identification to the Post Office. Employees or members who receive mail in the Post Office box of an organization box customer must be listed on PS Form 1093. Each person must have verifiable identification, and upon request, present this identification to the Postal Service.

(3) When any information required on PS Form 1093 changes, the box customer must update the application on file at the Post Office.

(4) Consider an application verified if there is no discrepancy between the information on the application and on the identification presented. If the information on the application does not match that on the identification, verification procedures must be followed to substantiate that the applicant resides or conducts business at the permanent physical address shown. Complete this verification within 3 workdays.

b. When the location of the current permanent physical address on PS Form 1093 differs from the physical address on the applicant's valid identification, verification procedures are as follows:

(1) Use carrier knowledge to confirm that the applicant currently resides or conducts business at the permanent physical address listed on PS Form 1093.

(2) In offices without delivery service, the applicant must provide a current document from a government entity or recognized financial institution or a utility bill with the applicant's name and permanent physical address.

(3) If the carrier is unable to confirm or the applicant is unable to substantiate the permanent physical address, the application for Post Office box service is not approved.

c. *Assignment.* A box is assigned immediately after approval of the application and payment of the box fee.

841.123 **Changing Locations**

Post Office box service may be transferred without payment of an additional fee to a box of the same size and fee group at a different facility of the same Post Office. To obtain such a transfer, the box customer must submit a new application on PS Form 1093 to either the location where service was originally provided or to the facility where Post Office box service is desired. A box customer may transfer no more than once in a semiannual payment period and must submit a PS Form 3575, *Change of Address Order,* at the time of transfer. PS Form 1091-A, *Post Office Box Fee Register,* must be endorsed at both the old and new locations to show that service and payment period are transferred. Any requests involving a change to a box of a different size, fee group, transfer to a different Post Office, or more than one transfer in any semiannual payment period must be handled as a new transaction, with the current service subject to the refund provisions of 841.31. The exchange of information between facilities required by this section should be made by telephone if possible.

841.124 **Minors**

Post Office box service may be provided to minors unless parents or guardians object in writing to the postmaster.

841.13 Restrictions on Use

841.131 **Permissible Matter**

Only matter that passes through the mail or official Postal Service notices may be placed in a Post Office box.

841.132 **Mail Accumulation**

Regulations concerning mail accumulation in Post Office boxes are as follows:

a. *Time Limit.* Box customers must remove mail promptly from their boxes. If mail is to accumulate for more than 30 days and an overflow condition is probable, the customer must make arrangements in advance with the postmaster. Postmasters should not take remedial action if the accumulation of mail is not an operational problem beyond 30 days.

b. *Overflow.* When the mail accumulation for a customer's Post Office box is more than the box can hold (a condition defined as overflow) on 12 of any 20 consecutive business days (excluding Saturdays, Sundays, and national public holidays), the customer must change to a box of sufficient capacity or use caller service (see 842.116a). Items too large to fit in a customer's empty box are not counted as overflow. Disregard overflows if prior arrangements are made for an expected accumulation of mail or because of unusual circumstances that interrupt the normal removal of mail from the box. Such instances may occur when individual box customers are on vacation (not applicable to firm, corporate, association, or institutional box customers) or for other valid reasons. Also disregard an overflow that occurs because of a box customer using Express Mail reshipment service. The postmaster determines the sufficiency of a reason and follows these procedures for evaluating overflow:

(1) A check of the mail volume for a box must be conducted when any employee or supervisor assigned to the box section observes an overflow for that box. Postmasters must instruct employees in the box section to report such overflows to their supervisors.

(2) Besides the checks resulting from the ongoing observations, a check must be scheduled for a 5-day period twice each year at every postal facility that offers Post Office box service to the public. All facilities need not be checked in the same 5-day period. During each of these checks, all Post Office boxes in a facility must be observed for 5 consecutive days, excluding Saturdays, Sundays, and national public holidays. As far as practicable, these checks must be scheduled at different times each year so that all the weeks in each 6-month check period are eventually sampled. Do not schedule a check in the same week nor in the week succeeding that of the previous year.

(3) Use PS Form 1532, *Semiannual Check of Overflow Mail,* as the record of all such volume checks. Complete a separate PS Form 1532 for only those boxes in which an overflow is observed. If none is observed, endorse a single blank PS Form 1532 to state that no overflows were observed for any box during the regularly scheduled check and place the form in the file. Record overflows on section 1 of PS Form 1532 and continue observations over a 5-day period. During this 5-day period, if PS Form 1532 shows any box with an overflow for 3 or more days, complete the notice on section 3 of PS Form 1532 for each overflow and deliver it to the box customer. After section 3 is issued, continue the observations and record them by completing section 1 and continuing through section 2 of PS Form 1532. Keep PS Form 1532 for 1 year.

(4) Discontinue a check if the box is in overflow for fewer than 3 of the first 5 days or less than 12 of the full 20-day test period. When a check is discontinued because of an insufficient number of overflow days in the test period, complete block 1 on section 4

of PS Form 1532 and deliver the form to the box customer who had previously received section 3.

(5) If a check shows an overflow on 12 of the 20 days in the test period, notify the customer by completing block 2 on section 4 of PS Form 1532. Give the customer 5 days to respond to the notice and to make arrangements under the options listed in section 3 of PS Form 1532. If caller service is adopted, follow the procedures in 842.116a. Any customer who is released from caller service because of a follow-up check in 842.116a(2) is subject to the checks required by 841.132b1 and 841.132b2 on resumption of box delivery.

841.133 **Unlawful Activity**

No Post Office box may be used for, or in connection with, a scheme or enterprise that does any of the following:

a. Violates any federal, state, or local law.

b. Breaches an agreement with a federal, state, or local agency whereby the box customer has agreed to discontinue a specified activity.

c. Violates or attempts to evade any order of a court or administrative body.

841.134 **Forwarding**

Boxes may not be used when the sole purpose is, by subsequently filing change-of-address orders, to have mail forwarded or transferred to another address by the Postal Service, free of charge.

841.135 **Holding Period**

The holding period for mail addressed and deliverable to a Post Office box is detailed in 683.26.

841.2 Fees

841.21 Notices

841.211 **Date of Placement in Boxes**

Place Notice 32-B, *PO Box Fee Due (FIM B Marking),* or Notice 32-C, *PO Box Fee Due (FIM C Marking),* in boxes 20 calendar days before the fee is due. If a box customer is temporarily out of town and has filed a temporary forwarding order, send the notice to the customer.

841.212 **Release to Government Agencies**

Postmark notices before release to government agencies paying fees on an annual basis. Notices must include the box numbers and the amount due for 1 year.

841.22 Past-Due Box Fee Procedures

The procedure for handling past-due box fees is as follows:

a. Plug the lock or change the combination if a Post Office box customer fails to pay the fee by the due date or submits a change-of-address order or otherwise indicates that the service is ended.

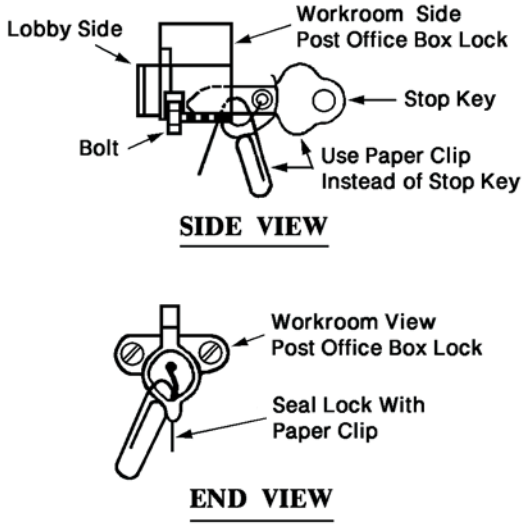
b. Prevent access to a key type lock by securing according to Exhibit 841.22b. If key stops are available, use them. If they are not available, use a paper clip. Key stops are a discontinued item.

c. Continue to distribute the mail to the box for up to 10 days. If after that time the customer has not paid the fee or given other instructions, remove the mail from the box. Treat that mail and any subsequent mail addressed to the customer at that box as undeliverable mail, unless it is possible to deliver it by carrier or general delivery.

d. After 10 days, close the box if the customer has not paid the fee or given other instructions.

e. If the customer pays the fee, unplug the lock or notify the customer of the new combination.

Exhibit 841.22b
Plugging Post Office Box Lock



841.3 Refund of Box Fee

841.31 Calculation of Amount

When Post Office box service is terminated or surrendered by the customer, the unused portion of the fee may be refunded as follows:

a. If service is discontinued any time within the first 3 months of the service period, then one-half of the fee is refunded.

b. If service is discontinued after the beginning of the fourth month of the service period, then none of the fee is refunded.

c. If service is discontinued and the customer has prepaid for the next semiannual service period, then the entire fee for that next period is refunded.

841.32 Surrendered Boxes

Consider a box surrendered if any of the following occur:

a. The box customer refuses or fails to pay the proper fees.

b. The box customer submits a written notice to discontinue service.

c. Any person other than the box customer attempts to renew service at the end of the period for which the box is issued.

d. The box customer, or an appointed executor or administrator of a deceased box customer, submits a permanent change-of-address order, except as provided in 841.33d.

e. A Group E residential box customer files a permanent change-of-address order and no other person listed on the PS Form 1093 files a new PS Form 1093 to become the box customer.

841.33 Boxes Not Surrendered

Do not consider a box surrendered if any of the following occur:

a. A box customer dies or disappears before the end of the period for which the box is issued.

b. A box customer submits a temporary change-of-address order.

c. Except as provided in 841.33(d) below, a change-of-address order is submitted by any person other than the box customer, or an appointed executor or administrator of a deceased box customer for mail going to the box.

d. A Group E residential box customer files a permanent change-of-address order and another person listed on the PS Form 1093 files a new PS Form 1093 to become the box customer.

841.34 Boxes in Discontinued or Relocated Postal Facilities

Former Post Office box customers of discontinued or relocated postal facilities may obtain a refund of unused box fees when additional travel of 1/4 mile (0.4 kilometer) or more (from the address listed on the applicable PS Form 1093, *Application for Post Office Box or Caller Service*) is required to obtain equivalent service. For refunds processed under this section, refund one-sixth of a semiannual fee for each month remaining in the period. If the effective date of the facility discontinuance falls on or before the 15th of the month, compute the refund from the first day of that month. If the effective date is after the 15th of the month, compute the refund from the first day of the following month.

841.35 Reassignment of Closed or Surrendered Box

A box may be issued to another customer 15 days after it is closed by a final decision of the Postal Service (see 841.8), 11 days after surrender under 841.32b, and immediately following its surrender under 841.32a or 841.32c.

841.4 Keys

841.41 Fee

Issue keys for key type Post Office boxes to box customers on receipt of $1 for each key. This fee applies to all keys, including those initially issued to new box customers.

841.42 Number

Provide box customers of key type Post Office boxes with as many keys as they need if proper payment is made for each key issued (see 841.41).

841.43 Restrictions

Customers using Post Office boxes may not obtain or use any keys except those issued by the Postal Service.

841.44 New Keys

Box customers may obtain additional or replacement keys by filling out PS Form 1094, *Request for Post Office Box Key or Lock Service,* and paying the key charge at the time of application (see 841.41).

841.45 Worn or Broken Keys

Worn or broken keys are replaced at no charge if the keys are returned.

841.46 Refund of Key Fee

After terminating box service, the Postal Service refunds to box customers the refundable key deposits for the initial two keys plus any additional keys listed on PS Form 1094, if the keys are returned to the Post Office where the box was issued.

841.47 Acceptance of Orders for Additional or New Keys

Postmasters must not hold orders for new or additional keys (on PS Form 1094) more than 24 hours. Key orders should not be consolidated unless the keys are for an entire unit of boxes.

841.48 Key Inventory Maintenance

At least three keys must be provided for each key locking Post Office box. Keep at least one key on hand at all times for issuance to customer on submission of PS Form 1094. After issuing the spare key, order a replacement. Withdraw keys over reserve requirements, tag them to show the key or lock number, file them numerically, and store them in a safe place.

841.49 Notice to Return Keys

When a box customer surrenders a box and fails to return all keys, send PS Form 1099, *Notice to Return Keys,* to the former box customer's new address.

841.5 Locks

841.51 Broken Locks

Do not use or issue boxes with broken locks. When the lock on an assigned box is broken, replace the lock and issue the same number of keys (at no charge) as issued for the broken lock. Do not require box customers to change boxes or box numbers because of failure of Postal Service equipment.

841.52 Changing Locks

Always change the lock immediately when a key type box is surrendered.

841.53 Changing Combinations

When a keyless box is surrendered, change the combination before reassignment.

841.6 Record of Box Customers

841.61 Files

Keep a record of boxes and box customers on PS Form 1091-A, *Post Office Box Fee Register,* filed as follows:

a. A PS Form 1091-A for each vacant box in numeric sequence.

b. A PS Form 1091-A for each box issued, by the month the fee is due, and in numeric sequence within the month.

841.62 Inventory

Prepare and keep a master list of all Post Office boxes installed at each facility. The master list must be compared against all PS Forms 1091-A on file by July 1 of each year. When a PS Form 1091-A is not on file for an issued box, a new form must be prepared and the proper Post Office box fees collected. When a PS Form 1091-A is not on file for a vacant box, a new form must be prepared and placed in the vacant box file. An equivalent, existing Post Office box inventory system or diagram showing all installed boxes may be used instead of a master list.

841.63 Verification

Facilities charging Group 1 fees under DMM D910 must review their inventory list for box customers charged Group 2 fees to ensure that those customers are still eligible for those fees. Postmasters or their designees must ask all Group 2 box customers holding a box for more than a year to verify that the information on their PS Form 1093 is current or to update their forms. If the box customer is no longer eligible for the Group 2 fees, but has already paid those fees for the current or next period, the customer is *not* charged the difference. The facility must charge the Group 1 fee, however, beginning with the next period for which the fee is due.

841.7 Operations

841.71 Placing in Boxes

Place mail addressed to Post Office boxes in proper boxes immediately after distribution. If the flow of the mail allows and no lengthy delays occur, attempt to place larger items (such as newspapers, catalogs, and magazines) in boxes before placing smaller items such as letter mail and flats in the boxes.

841.72 Withdrawal From Boxes

Mail may be delivered to authorized persons who forget their keys or cannot open their boxes. Mail should not, however, be handed out to persons properly supplied with keys who can open their boxes but who make it a practice of requesting that their mail be given to them.

841.73 Delivery by Carrier

Do not remove mail from boxes of address for delivery by carrier unless box service is discontinued due to failure to pay fees.

841.74 Standards — Box Service

If postmasters find that safety and security provisions allow it and there is sufficient public demand, they may keep the box lobby open to the public 24 hours a day.

841.75 Change-of-Address Orders

841.751 Who May File

Customers may file change-of-address orders as follows:

a. Organizations. Only the box customer or authorized representatives of the organization listed on the PS Form 1093 may file change-of-address orders. The organization is responsible for forwarding mail to other persons receiving mail at the box.

b. Residential box customers, Fee Groups 1 through 7. Only the box customer listed on the PS Form 1093 may file change-of-address orders. The box customer is responsible for forwarding mail to other persons receiving mail at the box.

c. Residential box customers, Fee Group E. The box customer or any other person listed on the PS Form 1093 may file an individual change-of-address order. Only the box customer may file a change-of-address order for the entire family.

841.752 Standard Procedure for Facilities Without a Central Markup System

Procedures for change-of-address orders for facilities without a central markup system are as follows:

a. When the number of PS Form 3575, *Change of Address Order,* averages five or more per month, keep a change-of-address order book (Item O-391-M) in the box and caller section.

b. Use a separate PS Form 1564, *Address Change Sheet,* for each letter of the alphabet separated by Item O-89B, Index.

c. As PS Form 3575 are received, post pertinent information by date on an appropriate sheet. Make proper entries on PS Form 1564 when former box customers fail to furnish forwarding orders at the time they surrender a Post Office box or when a box is closed for nonpayment of fee.

d. Keep one set of PS Form 1564 at the office providing the service.

e. After making the entries on PS Form 1564, file PS Form 3575 chronologically by month, or alphabetically to permit withdrawal at the end of the month after requests are 2 years old. (See 682.13 for forwarding time limit.)

f. If the number of requests on PS Form 3575 averages fewer than five a month, the same procedure is followed, except that PS Form 3575 may be filed in alphabetical order and used for reference in lieu of a register.

841.753 Flag Boxes

Procedures for change of address for flag boxes are as follows:

a. White labels identify Post Office boxes for which there are no entries on PS Form 1564. Use a colored label or a colored dot or tab applied to a white label to identify all boxes for which there are entries on PS Form 1564, and for which mail may be received addressed to other than the current box customer.

b. If a box has changed hands more than three times in the last year, use any reasonable means, in addition to colored labels or dots, to identify this box as one that probably receives mail addressed to other than the current box customer.

c. If desired, the dates on which colored labels should be replaced with white labels, or dots or tabs removed from white labels, may be shown on the labels. Except for these dates, labels should show only information on the name of the current box customer. No data on the forwarding address of the former box customer may be placed on labels either before or after issuance of the box.

d. Case all mail addressed to color-coded boxes, other than that addressed to or in care of the current box customer, to a single separation for determination of forwarding address from PS Form 1564. If no forwarding address is on record, distribute the mail to the boxes as addressed, unless the box customer of record advises that mail for the addressee must not be placed in the box.

e. Treat mail rejected by the box customer as undeliverable, unless it may be readily delivered by carrier.

f. Replace colored labels with white labels or remove colored dots or tabs from white labels immediately following the termination of a forwarding or change-of-address order or as soon as it is apparent that color-coding no longer is needed.

841.8 Refusal to Provide Service, Termination of Service, and Surrender of Service

841.81 Refusal to Provide Service

A postmaster may refuse to issue a Post Office box under any of the following circumstances:

a. The applicant submits a falsified application for box service.

b. Within the 2 years immediately preceding submission of the application, the applicant physically abused a box or violated a regulation on the care or use of a box.

c. There is substantial reason to believe that the box is to be used for purposes that violate 841.133.

841.82 Termination of Service

A postmaster may close a Post Office box when the box customer has done any of the following:

a. Falsified the application for the box.

b. Physically abused the box.

c. Violated any regulation on the care or use of the box.

841.83 Postmaster's Determination

841.831 Basis for Issuance

When postmasters are satisfied that an application to begin service should be denied under 841.81, or that service to a box customer should be ended under 841.82, they issue a written *Determination.*

841.832 **Content**

The *Determination* must state the reasons for its issuance and contain the following statement:

"You may file a petition opposing this *Determination* within 20 days (Sundays and holidays included) after the date you receive it. Your petition must be in writing and include a statement of your reasons for opposing the *Determination.* Your petition, signed by you or your attorney, must be filed in triplicate at the Post Office address given above. This filing may be accomplished by Certified Mail, or by delivering the petition to the above address. Obtain and keep a written receipt to show that your petition was timely filed. Your petition is forwarded to the Vice President, Consumer Advocate, Postal Service Headquarters, for action. If you do not file a timely petition, this *Determination* becomes the final decision of the Postal Service."

841.833 **Delivery**

The postmaster's *Determination* must be delivered to the applicant or box customer by Certified Mail or any other method if a signed receipt is obtained from the addressee. If such delivery cannot be made within 15 days after issuance of the *Determination,* it must be delivered as ordinary mail, and the postmaster must make a written record of the date of such delivery and the prior attempts made to deliver it.

841.84 Petition by Applicant or Box Customer

841.841 **Procedure**

The procedure for applicant or customer petition is as follows:

a. The applicant or box customer may file a petition opposing the postmaster's *Determination* within 20 days (Sundays and holidays included) after delivery, under the instructions in the *Determination.*

b. The filing of a petition prevents the postmaster's *Determination* from taking effect and transfers the case to the Vice President, Consumer Advocate. Thereafter, if a final decision on the merits is rendered by the Vice President, Consumer Advocate, it constitutes the final decision of the Postal Service.

841.842 **Effective Date**

The procedure for the postmaster is as follows:

a. After delivery of the *Determination,* the postmaster must take no action to implement it for the 20-day period allowed for filing a petition, and an additional 7 days. If no petition is received by the 27th day, the *Determination* takes effect, becoming the final decision of the Postal Service. The postmaster should keep documentation establishing the date and method of delivery of the *Determination* for at least 1 year.

b. After receiving a petition, even if late or nonconforming, the postmaster must immediately forward two copies to the Vice President, Consumer Advocate. He or she also forwards a report to:

VICE PRESIDENT
CONSUMER ADVOCATE
POSTAL SERVICE
475 L'ENFANT PLAZA SW
WASHINGTON DC 20260-2200

The report includes the evidence on which the postmaster's *Determination* was based and the proof of delivery of the *Determination* to the customer.

841.85 Mail Addressed to Closed Box

When a Post Office box is closed by a final Postal Service decision, the postmaster must notify the box customer in writing that mail addressed to the box number is thereafter to be forwarded according to a valid change-of-address order if one is submitted, or transferred to general delivery for holding for the forwarding time limit. After the applicable period, all mail so addressed is handled as undeliverable. However, this procedure does not preclude compliance with a sender's request for a specific retention period under DMM F030.

842 Caller Service

842.1 Purpose and Definitions

842.11 General

842.111 Assignment of Number

Except under 842.118 for origin caller service, a caller number is assigned to the caller for each separation used, and the caller must use the term *Post Office Box* (P.O. Box) and the assigned number in the mailing address immediately above the city, state, and ZIP™ Code. Lack of a ZIP Code on the mail or use of a ZIP Code other than that assigned to the box section that provides the caller service can delay delivery. Mail without a box number is delivered to the street address if shown on the mailpiece. If no street address is shown, the mail may be treated as undeliverable as addressed. Mail bearing both a street address and a box number is delivered under DMM A010.

842.112 Group 2 Noncity Delivery

Caller service at Group 2 noncity delivery offices is available only under DMM D920.

842.113 Client Mail

A customer may obtain caller service to receive the mail of a client. A caller number issued to such a customer is considered as held by the customer and not the client. All restrictions or regulations in DMM D042 apply to the provision of caller service under this section.

842.114 **No Physical Box**

No physical Post Office box may be used to provide a number for a caller. When a customer is converted to caller service, the following apply:

a. If the customer is using a Post Office box number, the Post Office box number remains with the physical Post Office box and a caller service number is assigned before caller service may begin.

b. All other customers are assigned a caller number before caller service may begin.

c. Assign caller service numbers as required in 141.43.

842.115 **Local Restrictions**

Caller service may be restricted by postmasters with approval of the district manager, Customer Service and Sales, if local conditions justify such restrictions.

842.116 **Permitted Uses**

Customers must use caller service under the following conditions:

a. When a mail volume check, required by 841.132b, shows an overflow for 12 out of 20 days and the customer does not change to a box of sufficient capacity, the following provisions apply:

(1) Determine the caller service payment period starting date as specified by 842.216 for a new payment period but, for this section, compute the fee relative to the date on which the 12th overflow day occurred. Determine the caller service payment period independently from the issue period for any boxes held by the customer. When PS Form 1091-B, *Register for Caller Service Fees,* is prepared, it must be flagged or identified to show that the caller service is required under 841.132b.

(2) A customer required to use caller service because of 841.132b may submit a written request no more frequently than once in each semiannual payment period for a follow-up check to determine whether the overflow condition still exists. The Postal Service conducts a follow-up check under 841.132b, with the following modifications:

(a) Conduct a follow-up check at any time after the first month (subject to the minimum frequency of once in any semiannual payment period).

(b) Conduct follow-up checks for the full 20-day test period. Do not use section 3 of PS Form 1532, *Semiannual Check of Overflow Mail,* in a follow-up check.

(c) Measure the volume of mail against the capacity of the customer's box if the actual box is kept. If the box is given up, measure the test for overflow against the capacity of other vacant boxes in the facility.

(d) If the record of a follow-up check on PS Form 1532 shows at least 12 out of 20 days overflow, notify the customer by completing block 3 of section 4 to show that caller service must be continued into the next payment period. If the

record shows less than 12 days' overflow, notify the customer by completing block 4 of section 4 to show that caller service may be discontinued after the current payment period and delivery reverted at that time to any available box large enough to hold the customer's mail.

(3) Any customer released from caller service because of the follow-up check in this section is immediately subject to the checks required by 841.132b, on resumption of box delivery.

b. See DMM D920 concerning the required use of caller service.

c. Consider governmental organizations (e.g., departments, agencies, commissions, bureaus, divisions, etc.) as separate customers for this section. The various departments or schools within educational institutions are also separate customers for this section.

842.117 **When Provided to Customers**

Caller service may be provided to customers under the following conditions:

a. To a new customer planning to receive (or expected to receive) too much mail to be accommodated in the largest box available in the facility.

b. To customers who, at their option, want a Post Office box when none is available, and postmasters determine that such service would not adversely affect normal Postal Service operations.

c. To any customer who wants caller service instead of Post Office box service, even though boxes of adequate size may be available, when the provision of such service would not adversely affect normal Postal Service operations.

842.118 **Accelerated Reply Mail**

Accelerated reply mail (ARM) (origin caller service) may be obtained if all the following conditions are met:

a. Origin caller service must be obtained at an originating mail processing facility that can process prebarcoded mail.

b. The caller's mail must meet all the requirements for prebarcoded First-Class Mail® in DMM E140. The mail must also be certified by the mailpiece design analyst at the origin facility where ARM is requested. The barcode on the mailpiece must represent the ZIP+4® code or the mailer's unique 5-digit ZIP Code printed on the mailpiece.

c. The caller's mail must bear a facing identification mark (FIM A), as set forth in Publication 25, *Designing Letter and Reply Mail.*

d. Caller service must also be obtained at the destinating postal facility. The address on all mailpieces to be received through ARM must be the Post Office box address assigned where destination caller service is authorized. Mailpieces that show a dual address must show only the Post Office box on the line immediately above the city, state, and ZIP Code line.

e. The mailer may either pick up ARM at the origin facility caller service window or have it reshipped, by Express Mail Custom Designed Service, to the destination caller service address or to another address

specified by the mailer in the Custom Designed Service Agreement (see DMM D500). To change the destination address on the Custom Designed Service Agreement, the mailer must provide a 30-day advance notice and submit an amended ARM application, completing only the Applicant Information (1 through 8) and Express Mail Reship (12 through 17) sections.

f. An applicant for ARM must comply with 842.12.

g. An applicant who is a commercial mail receiving agent must also comply with DMM D042.

842.12 Obtaining Service

842.121 Application

The procedure for ARM application is as follows:

a. A PS Form 1093, *Application for Post Office Box or Caller Service,* is used to request caller service. Customers may obtain blank applications at any Post Office. An applicant must complete all spaces on the form that require customer-provided information. Furnishing false information or refusing to furnish required information on the application may be sufficient reason for denial of the application or discontinuation of service.

b. A completed application for destination caller service may be submitted to any postal facility that provides window service to the public; the facility need not be the same as that at which the destination caller service is desired.

c. In addition to PS Form 1093, an applicant for ARM (origin caller service) must also complete PS Form 8061, *Application for Accelerated Reply Mail,* and submit both forms to the facility where accelerated reply mail (origin caller service) is desired. PS Form 8061 may be obtained from the applicant's account representative or from the district manager, Customer Service and Sales.

d. The employee who accepts an application takes the following actions:

(1) Performs the verification in 842.122a or 842.122b.

(2) Dates the application on the reverse side with Item 570, *All Purpose Dating Stamp.*

(3) If caller service is desired at a postal facility other than that at which the application is submitted, forwards the application to the postmaster of the facility where the service is desired.

e. The postmaster of the facility where caller service is to be provided takes the following actions:

(1) Approves or denies the application.

(2) Notifies the applicant of the decision and the reason for any denial.

(3) If the application is approved, assigns a caller number on receipt of the proper fee. Normally, if the address shown on the application is a local address, a caller number is assigned at the facility serving that address; if an out-of-town address is shown,

a caller number is assigned at the main office station. Other arrangements may be made at the discretion of the postmaster.

842.122 **Verification of Application for Destination Caller Service**

An application for caller service may not be approved until the application is verified. The criteria for verification are as follows:

a. *Known Applicant.* Consider the application of a known applicant verified on submission of PS Form 1093.

b. Unknown Applicant

(1) An unknown applicant must present valid identification with the application. The following are examples of acceptable identification:

(a) Driver's license.

(b) Military, government, or an established corporate identification card.

(c) Passport.

(d) Other credential showing the applicant's signature and a serial number or other indicia that can be traced to the bearer (Social Security cards are not acceptable identification).

(2) Consider an application verified if there is no discrepancy between information on the application and on the identification presented. If the information on the application does not match that on the identification, verification procedures must be followed to substantiate that the applicant resides or conducts business at the address shown. Complete this verification within 3 workdays.

c. *Assignment*. A caller service number is assigned immediately after approval of the application and receipt of the caller service fee.

842.123 **Verification of Application for ARM (Origin Caller Service)**

ARM (origin caller service) must not be provided until the following steps are completed:

a. *Applicant.* The applicant presents valid identification.

b. *Documentation*. If the applicant is applying in behalf of an organization or as an agent for another person or organization, satisfactory documentation to confirm that representation is approved.

c. *Confirmation.* The applicant's destination caller service number and authorization are confirmed.

d. *Appropriate Facility.* The availability of ARM (origin caller service) at the facility where the service is requested is confirmed.

e. *Scheduling.* On verification of the above information, the applicant must be informed of the beginning date for the ARM (origin caller service). Depending on the schedule for updating the facility's barcode sortation schemes, the beginning date must be no later than 30 days after the date of approval of the application on PS Form 8061.

f. *Assignment.* An ARM (origin caller service) number is assigned after approval of the application and receipt of the caller service fee.

842.2 Fees

842.21 Payment

842.211 Payment Period

The Postal Service has established semiannual payment periods for caller fees. Payment may be made for any period of 6 or 12 consecutive months. The beginning of a payment period is established on the date of the approval of the application for caller service.

842.212 Fees Paid in Advance

Caller fees must be paid in advance for no less than one and no more than two semiannual periods. Fees may be paid by check to postmasters. Payments for destination caller service sent by mail must be received by the postmaster by the due date. Payments for ARM (origin caller service) must be received at least 45 days before the applicable semiannual period.

842.213 Receipt

A PS Form 1538, *Receipts for Post Office Box/Caller Service Fees,* is given for each caller payment, except that callers who hold more than one caller number may be issued one receipt for payment for all their caller numbers. Keep a separate list of multiple-number customers showing numbers used, customer name, fee charged, and normal due date for each. Mark each receipt with the number (count) of caller numbers paid for at one time.

842.214 Change of Payment Period Date

Callers of record may change their payment period by submitting a new application, noting the month they want to use as the start of their revised payment period. The date selected must be before the end of the current payment period. The unused fee for the period being discontinued may be refunded under 842.24, and the fee for the new payment period must be fully paid in advance. A change of payment dates may not be used to circumvent a change in caller-service fees.

842.215 Renewal of Service

Fees for renewal of service are as follows:

a. *Destination Caller Service*. Fees for renewal of destination caller service are due by the last day of the last month of the current period. During the last 30 days of their service period, callers may pay their fees for their next semiannual or annual payment period, as appropriate. Postmasters may accept fee payments more than 30 days in advance.

b. *Accelerated Reply Mail (Origin Caller Service*). Payment of the fee for renewal of ARM (origin caller service) is due at least 45 days before the last day of the last month of the current period. Payment may be made for the next semiannual or annual period, as appropriate.

842.216 Adjustment for Midmonth Payment

The payment period for a new caller service started on or before the 15th of any month is from the first day of that month. If service is started after the 15th day of the month, compute the period from the first day of the following month.

842.217 **Record of Callers**

The guidelines for keeping records of callers are as follows:

a. A record of destination service callers must be kept by the authorizing Post Office using PS Form 1091-B, *Register for Caller Service Fees.* PS Form 1091-B are filed for each number or group of numbers assigned to the same caller that falls due in the same month. Forms are filed by the month the payment is due and in numeric sequence.

b. Separate records of accelerated reply mail (origin caller service) also must be kept on PS Form 1091-B.

c. Prepare and keep a master list of all assigned caller-service numbers, including multiple Post Office box/caller service users and reserved caller numbers, at each facility. The master list must be compared against all PS Form 1091-B on file by July 1 of each year. When a PS Form 1091-B is not on file for an assigned number, a new form must be prepared and the proper fees collected. When PS Form 1091-B is not on file for a reserved number, a new form must be prepared and placed in file. An equivalent, existing caller-service number inventory system showing all assigned numbers may be used instead of a master list.

842.22 Notice of Payment Due

Notice 32-B, *PO Box Fee Due (FIM B Marking),* or Notice 32-C, *PO Box Fee Due (FIM C Marking),* is distributed to destination caller service customers 20 calendar days before the fee is due. If callers are temporarily out of town and they have filed a temporary forwarding order, the notice must be sent to them.

842.23 Past-Due Caller Fee Procedures

842.231 **Holding Mail**

If a destination caller service customer does not pay the fee by the due date, submit a change-of-address order, or attempt to obtain the mail, the mail is held for no more than 10 days; it is then treated as undeliverable mail unless it can be readily delivered by carrier.

842.232 **Delivery to Street Address**

If a destination caller service customer fails to pay the fee by the due date, does not submit a change-of-address order, and attempts to obtain the mail within 10 days, the caller or agent must be informed that after the 10th day following the due date if payment or a change-of-address order is not received, the mail is delivered to the street address. The caller or agent must also be informed that the caller loses the use of the Post Office caller number and may not obtain this mail at the postal facility. The caller should be given the mail until the end of the 10-day period; but, during that period, the caller may not be provided more than one separation (if multiple separations are provided previously).

842.233 **Accelerated Reply Mail**

If an accelerated reply mail (origin caller service) customer fails to pay the fee at least 45 days in advance, a notice of nonpayment is sent to the caller by Certified Mail, Return Receipt requested. If payment is not received by the 30th day before the end of the current payment period, the caller is notified in writing that the barcode sortation scheme is revised to eliminate the

separation for the caller. Once that change is made, the caller must reapply to obtain further accelerated reply mail (origin caller service).

842.24 Refund of Caller Service Fee

842.241 Discontinued Number

When a destination caller service number is discontinued, the unused part of the fee for that number may be refunded as follows:

a. Refunds are processed according to DMM D920.

b. Refund the entire fee for a semiannual payment period after the period in which service is discontinued.

c. Determine refunds for the payment period in which service is discontinued as follows:

(1) For service discontinued any time within the first 3 months (i.e., on or before the last day of the third month) of the semiannual payment period, the amount refunded is half the semiannual caller service fee in DMM R900.5.0.

(2) No refund is made when service is discontinued any time after the third month (i.e., on or after the first day of the fourth month) of the semiannual payment period.

842.242 Caller Numbers in Discontinued or Relocated Postal Facilities

Former destination caller service customers of discontinued or relocated postal facilities may obtain a refund of unused caller-service fees when additional travel of a 1/4 mile (0.4 kilometer) or more (from the address listed on the applicable PS Form 1093) is required to obtain equivalent service. For refunds processed under this section, refund one-sixth of a semiannual fee for each month remaining in the payment period. If the effective date of the facility discontinuance falls on or before the 15th of the month, compute the refund from the first day of that month. If the effective date is after the 15th day of the month, compute the refund from the first day of the following month.

842.243 Accelerated Reply Mail

No refund is made for the remaining part of the current fee period if a caller discontinues accelerated reply mail (origin caller service). A refund of fees paid for a future period is made.

842.3 Mail Pickup Hours

Provide caller service during normal hours of business. Caller service may be provided at all other hours during which mail is distributed within the facility if it is consistent with normal operations.

842.4 Refusal to Provide Service, Termination of Service, and Surrender of Service

842.41 Refusal to Provide Service

A postmaster may deny an application of caller service under any of the following circumstances:

a. The applicant submits a falsified application for the service.

b. Within the 2 years immediately preceding submission of the application, the applicant violated a regulation on use of the service.

c. There is substantial reason to believe that the service is to be used for purposes that violate DMM D920.

842.42 Termination of Service

A postmaster may end caller service if the caller:

a. Falsifies the application for the service.

b. Violates any regulation on the service.

842.43 Postmaster's Determination

842.431 Basis for Issuance

When a postmaster is satisfied that an application for commencement of caller service should be denied under 842.41, or that service to a caller should be ended under 842.42, the postmaster issues a written *Determination.*

842.432 Content

The *Determination* must state the reasons for its issuance and contain the following statement:

> "You may file a petition opposing this *Determination* within 20 days (Sundays and holidays included) after the date you receive it. Your petition must be in writing and include a statement of your reasons for opposing the *Determination.* Your petition, signed by you or your attorney, must be filed in triplicate at the Post Office address given above. This filing may be accomplished by Certified Mail, or by delivering the petition to the above address. Obtain and keep a written receipt to show that your petition was timely filed. Your petition is forwarded to the Vice President, Consumer Advocate, Postal Service Headquarters, for action. If you do not file a timely petition, this *Determination* becomes the final decision of the Postal Service."

842.433 Delivery

The postmaster's *Determination* must be delivered to the applicant or caller by Certified Mail or by any other method if a signed receipt is obtained from the addressee. If such delivery cannot be made within 15 days after issuance of the *Determination,* it must be delivered as ordinary mail and the postmaster must make a written record of the date of such delivery and the prior attempts made to deliver it.

842.44 Petition by Applicant or Caller

842.441 Procedure

The procedure for applicant or caller petition is as follows:

a. The applicant for caller service may file a petition opposing the postmaster's *Determination* within 20 days (Sundays and holidays included) after delivery, under the instructions in the *Determination.*

b. The filing of the petition prevents the postmaster's *Determination* from taking effect and transfers the case to the Postal Service Vice President, Consumer Advocate. Thereafter, if a final determination on the merits is rendered by the Consumer Advocate, it constitutes the final decision of the Postal Service.

842.442 **Effective Date**

The procedure for the postmaster is as follows:

a. After delivery of the *Determination,* the postmaster takes no action to implement it for the 20-day period allowed for filing a petition, and an additional 7 days. If a petition is not received by the 27th day, the *Determination* takes effect, becoming the final decision of the Postal Service. The postmaster should keep documentation establishing the date and method of delivery of the *Determination* for at least 1 year.

b. After receiving a petition, even if late or nonconforming, the postmaster immediately forwards two copies to the Postal Service Recorder, Judicial Officer Department. The postmaster also forwards a report to the Chief Counsel, Consumer Protection Law. The report includes the evidence on which the postmaster's *Determination* was based and the proof of delivery of the *Determination* to the customer.

842.45 Surrender of Service

Caller service is surrendered when the caller does at least one of the following:

a. Submits a permanent change-of-address order.

b. Fails or refuses to pay the pertinent fee due.

c. Submits a written notice to discontinue the service.

842.46 Disposition of Mail

842.461 **Destination Caller Service**

When destination caller service is ended by a final Postal Service decision, the postmaster must give written notice to the caller that mail addressed to him or her at the caller number is thereafter to be forwarded according to a valid change-of-address order if one is submitted, or transferred to general delivery for holding the current time limit for forwarding. After the applicable period, all mail so addressed is handled as undeliverable. However, this procedure does not preclude compliance with the sender's request for a specific holding period under DMM F030.

842.462 **Accelerated Reply Mail (Origin Caller Service)**

When accelerated reply mail (origin caller service) is surrendered by the customer or ended by the Postal Service, mail continues to be separated for the accelerated reply mail (origin caller service) until the barcode sortation schemes can be revised to permit the mail to be processed to the destination address on the mail.

843 General Delivery

843.1 Delivery

Place mail endorsed with "Transient, To Be Called for General Delivery," or similar words in the general delivery case for delivery to the addressee on presentation of identification. Inbound foreign-originated articles can be endorsed "Poste Restante" (French for "General Delivery").

843.2 Retention

Hold general delivery mail for no more than 30 days, unless otherwise requested. Return accountable mail as instructed under 683.25.

844 Other Deliveries

In all other cases, provide the services in 841, 842, or 843 to customers who want to call for their mail at a postal unit.

845 Firm Holdout

See DMM D930.2.0.

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Forms Index

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